



2020-2021 Indiana AmeriCorps *State
REQUEST FOR PROPOSALS
NOTICE OF FUNDING OPPORTUNITY (NOFO)

1 TABLE OF CONTENTS

2	NOTICE OF FEDERAL FUNDING OPPORTUNITY.....	5
3	Important Dates.....	6
3.1	Fall Submission Dates: competitive & Formula Re-Compete, Competitive Continuation, and New Applicants.....	6
3.2	Spring Submission Dates: Formula continuations Applicants only.....	6
3.3	Additional Information & Reminders	7
4	About Serve Indiana	8
5	PROGRAM DESCRIPTION	10
5.1	Purpose of AmeriCorps Funding	10
5.2	CNCS Focus Areas	10
5.3	Funding Priorities.....	11
5.4	Performance Measures.....	11
5.5	Program Authority	12
6	FEDERAL AWARD INFORMATION.....	13
6.1	Estimated Available Funds.....	13
6.2	Estimated Award Amount.....	13
6.3	Project and Award Period.....	13
6.4	Type of Award.....	13
7	ELIGIBILITY INFORMATION	15
7.1	Eligible Applicants	15
7.2	New Applicants	15
7.3	Types of Applicants	15
7.4	Threshold Issues	16
7.5	Other Requirements	17
8	APPLICATION AND SUBMISSION INFORMATION	18
8.1	Address to Request Application Package	18
8.2	Content and Form of Application Submission	18
8.3	Page Limits	18
8.4	Dun and Bradstreet University Numbering System (DUNS) Number & System for Award Management (SAM)	19
8.5	Submission Dates and Times	20

8.5.1	National Direct Applicants:.....	20
8.5.2	Fall Submission Dates: competitive & Formula Re-Compete, Competitive Continuation, and New Applicants	20
8.5.3	Spring Submission Dates: Formula continuations Applicants only	20
8.6	Additional Documents Deadline	21
8.7	Late Applications.....	21
9	Intergovernmental Review	22
10	Funding Restrictions.....	23
10.1	Member Living Allowance	23
10.2	Maximum Cost per Member Service Year (MSY)	24
10.3	Segal AmeriCorps Education Award	25
10.4	Cost Sharing or Matching.....	25
10.5	Indirect Costs	25
11	Other Submission Requirements	26
11.1	Coordination among State Commissions and National Direct Applicants	26
11.2	Electronic Application Submission in CNCS’s web-based management system	27
11.3	Submission of Additional Documents.....	28
12	APPLICATION REVIEW INFORMATION.....	29
12.1	Executive Summary (Required - 0 percent)	30
12.2	Program Design (50 percent).....	30
12.2.1	Theory of Change and Logic Model (28 points).....	30
12.2.2	Evidence Base (16 points).....	31
12.2.3	Notice Priority (0 points)	33
12.2.4	Member Experience (6 points).....	33
12.3	Organizational Capability (25 percent)	33
12.3.1	Organizational Background and Staffing (9 points).....	33
12.3.2	Compliance and Accountability (8 points).....	33
12.3.3	Culture that Values Learning (6 points).....	34
12.3.4	Member Supervision (2 points).....	34
12.4	Cost Effectiveness and Budget Adequacy (25 percent).....	34
12.4.1	Cost Effectiveness and Budget Adequacy (25 points)	34

12.5	Evaluation Plan (Required for recompeting grantees - 0 percent).....	35
12.6	Amendment Justification (0 percent)	37
12.7	Clarification Information (0 percent).....	37
12.8	Continuation Changes (0 percent).....	37
13	Review and Selection Process	38
13.1	Compliance and Eligibility Review	38
13.2	Review.....	38
13.3	Post Review Quality Control	38
13.4	Risk Assessment Evaluation	39
13.5	Consideration of Integrity and Performance System Information	40
13.6	Applicant Clarification.....	40
13.7	Selection for Funding	40
14	Feedback to Applicants	41
15	Transparency in Grant-making.....	42
16	FEDERAL AWARD ADMINISTRATION INFORMATION	42
16.1	Federal Award Notices.....	42
16.2	Administrative and National Policy Requirements.....	42
16.2.1	Use of Material	43
16.2.2	Reporting	43
17	Continuation Funding Information and Requirements.....	44
18	FEDERAL AWARDDING AGENCY CONTACT(S).....	45
19	OTHER INFORMATION.....	45
19.1	Technical Assistance	45
19.2	Reallocation of Funding	45

2 NOTICE OF FEDERAL FUNDING OPPORTUNITY

- **Issued by:** Serve Indiana/Department of Workforce Development
- **Federal Agency Name:** Corporation for National and Community Service
- **Funding Opportunity Title:** FY 2020 AmeriCorps State and National Grants
- **Announcement Type:** Initial Announcement
- **CFDA Number:** 94.006

Disclosure: Publication of this Announcement of Federal Funding Opportunity (*Notice*) does not obligate the Corporation for National and Community Service (CNCS) or Serve Indiana to award any specific number of grants or to obligate any particular amount of funding. The 2020 President’s Budget proposed the elimination of CNCS. The actual level and timing of grant funding will be subject to the availability of annual appropriations.

NOFO Administration: Serve Indiana oversees and administers the grant application review process for this funding opportunity within Indiana. All appropriate applicants should work directly with Serve Indiana staff and follow their specific deadlines and updates for AmeriCorps State funding in Indiana. Applicants should review the “eligible applicants” sections of this NOFO for additional information on appropriate organizations.

NOFO Applicants: This NOFO includes information for all AmeriCorps State organizational applicants in Indiana. This is the only NOFO that will be released for the 2020-21 funding and includes due dates and information for both competitive and formula applicants. The fall due dates apply to competitive re-competes, competitive continuations, and new applicants. The spring due dates apply to formula continuations and formula re-competes only. It is the responsibility of the applicant to understand their due date.

NOFO and State Service Plan Review: Before submitting an application, all applicants are encouraged to review the full information provided in this notice of funding opportunity as well as Serve Indiana’s State Service Plan (SSP) available on the Serve Indiana website here: <http://www.in.gov/serveindiana/2355.htm>. A new, 3 year, SSP is available for applicants to review.

Serve Indiana NOFO Contact: For any questions or concerns throughout the grant review process, please contact Cassandra Gillenwater, Director of Grants.

- **Cassandra Gillenwater: Main contact**
 - Email: ckelloggillenwater@serveindiana.gov
 - Office Line: 317-220-1659
- **Naejla Walton: Secondary contact**
 - Email: nwalton@serveindiana.gov
 - Office Line: 317-273-3827

3 IMPORTANT DATES

Serve Indiana has one NOFO (and application instructions) posted for the 2020-21 funding opportunities. This means the NOFO and application instructions include due dates and information for ALL types of applicants. This includes competitive, new, and formula applicants. It is the responsibility of the applicant to understand the type of application they must apply for and when. Below due dates and types of applications are explained.

Serve Indiana reserves the right to edit the Application Instructions at any time. Notification of edits will be made public via the website.

3.1 FALL SUBMISSION DATES: COMPETITIVE & FORMULA RE-COMPETE, COMPETITIVE CONTINUATION, AND NEW APPLICANTS

- **Notice of intent to apply: October 4th, 2019**
 - All organizations who plan to submit an application are encouraged to submit an email by 5pm (EST) on October 4th, 2019 to ckelloggillenwater@serveindiana.gov with the subject line reading “Notice of Intent to Apply for (Organization Name)”. In the body of the email, please indicate the following information:
 - Name, organization, contact information, proposed number of AmeriCorps members, proposed priority area
- **Application due date: October 28th, 2019**
 - All required documents should be submitted in eGrants by 5:00pm (EST) on October 28th, 2019. Any additional documents should be emailed as instructed in the NOFO by this date and time as well.
- **Clarifications Communicated: Mid to late November**
 - Prior to the December 12th Commission decision meeting, Serve Indiana staff will communicate clarifications to applicants. These clarifications will help finalize the application, clarifying specific items that must be updated in order for a decision to be made. These clarifications will be sent out via email to the main grant contact in eGrants and will include a due date.
- **Competitive letters sent to programs: December 13th, 2019**
 - Serve Indiana will send letters to all fall applicants on or before December 13th updating them with whether or not they have moved on to the competitive or formula slate. There may be additional clarifications communicated at this time. The letter will include a due date for resubmission in eGrants and next steps.
- **CNCS Decision on Competitive Funding: May 1st, 2020**
 - Once Serve Indiana submits applications to CNCS on behalf of approved competitive applicants (January 8th, 2020), CNCS will conduct a review process of these applications. Competitive applicants should anticipate clarifications from CNCS as well. When Serve Indiana is notified of the final competitive funding decisions, they will notify the applicant within 2-3 business days via email.

3.2 SPRING SUBMISSION DATES: FORMULA CONTINUATIONS APPLICANTS ONLY

- **Application due date: March 13th, 2020**
 - All required documents should be submitted in eGrants by 5:00pm (EST) on March 13th, 2020. Any additional documents should be emailed as instructed in the NOFO by this date and time as well.
- **Clarifications Communicated: Late March/Early April**

- Prior to the December 12th Commission decision meeting, Serve Indiana staff will communicate clarifications to applicants. These clarifications will help finalize the application, clarifying specific items that must be updated in order for a decision to be made. These clarifications will be sent out via email to the main grant contact in eGrants and will include a due date.
- **CNCS Funding decisions sent to programs: June 26th-July 31**
 - Serve Indiana will send letters to all formula applications on April 17th following the April 16th Commission funding meeting. This letter will be emailed and will include final funding decisions. There may be additional clarifications communicated at this time. The letter will include a due date for resubmission in eGrants and next steps. Preliminary formula funding decisions will be made Mid-April. CNCS final competitive and formula decisions will be mid May/end of July.

3.3 ADDITIONAL INFORMATION & REMINDERS

Type of Application	Application Due Date in eGrants & Information
New Applicant	Submit a full application in eGrants by October 28, 2019 at 5pm (EST)
Re-compete Applicants: Formula & Competitive	Submit a full application in eGrants by October 28, 2019 at 5pm (EST)
Competitive Continuation	Continuations should not make any direct changes to their original application narratives. They may, however, make changes to their executive summary, logic model, budget, and performance measures sections. They must also answer the required continuation questions and any changes made to the above sections in the “continuation” section of their application. These changes must all be submitted in eGrants by October 28, 2019 at 5pm (EST).
Formula Continuation	Continuations should not make any direct changes to their original application narratives. They may, however, make changes to their executive summary, logic model, budget, and performance measures sections. They must also answer the required continuation questions and any changes made to the above sections in the “continuation” section of their application.

	These changes must all be submitted in eGrants by March 13 th , 2020 at 5pm (EST).
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Additional Information:

- Serve Indiana has both a word document and excel spreadsheet template available for use on their website here: <http://www.in.gov/serveindiana/2718.htm>
- **Late proposals will not be accepted**
- Serve Indiana provides several opportunities for training and guidance throughout the grant application review process. Serve Indiana encourages all new applicants to attend at least one webinar and in person technical assistance opportunity. For all deadlines, including training/technical assistance dates, please review the grant application review process calendar available on Serve Indiana’s website: <http://www.in.gov/serveindiana/2718.htm>

4 ABOUT SERVE INDIANA

Serve Indiana is a division of the Department of Workforce Development (DWD) within the state of Indiana government. Serve Indiana is considered a “State Commission” according to the Corporation for National and Community Service (CNCS), the federal funder for AmeriCorps. In the National and Community Service Act of 1990, in order for states to receive AmeriCorps State dollars, there must be a commission in place. The State’s Executive Order takes the Commissions duties into consideration and has identified these as key duties:

- prepare a three-year national service plan as called for under the National and Community Service Act of 1993.
- develop and implement a comprehensive, statewide plan for promotion volunteer involvement and citizen participation in Indiana with the advice and assistance of the Serve Indiana Commission;
- apply for funding to the Corporation for National and Community Service and other entities that administer federal grants to support the objectives established by Serve Indiana for faith-based and community-based service and volunteer programs;
- provide to all applicants under the National and Community Service Grant Programs and other federal programs the services required by, and select grantees under, such guidelines as may be specified by those federal programs and applicable law;
- work closely with faith-based and community-based groups, charitable organizations, private charities, voluntary associations, educational entities, and other nonprofit service organizations to promote volunteerism and community service
- provide technical assistance, education, information, and other support to such groups and organizations to improve and strengthen the State’s volunteerism and community service infrastructure
- promote innovative and model programs and initiatives and share best practices among such groups and organizations; and
- coordinate Serve Indiana activities with those of any federally administered service programs to ensure that services are not duplicated.

Serve Indiana’s mission is to advance service and volunteerism by informing, connecting, and promoting opportunities and resources that enrich the lives of Hoosiers. Serve Indiana accomplishes its mission through several programs and initiatives.

Serve Indiana Programs:

- **AmeriCorps State:** AmeriCorps State provides opportunities for individuals to make an intensive commitment to service with the goal of “helping others and meeting critical needs in the community.” This is a national program administered by the Corporation for National and Community Service (CNCS). In Indiana, the AmeriCorps*State grant is administered by Serve Indiana. Serve Indiana manages the grant application process for AmeriCorps*State, including issuing requests for proposals, conducting application reviews, and awarding grants. It also provides training and technical assistance to support sub-grantees and in turn, monitors programs, host-sites, and members to ensure compliance to federal and state grant requirements. The performance of each program is tracked and measured to established targets and outcomes.
- **Indiana Kids:** Indiana Kids is a partnership between Serve Indiana, Family and Social Services Agency (FSSA) and nonprofit organizations. FSSA’s provides funding through Temporary Assistance for Needy Families (TANF) for this program and Serve Indiana provides the funding, structure and oversight of the programs to its sub-grantees. The program has four main goals: Increase youth career and college readiness, promote civic responsibility through engaging students in service activities, increase student academic achievement and increase parents job skills, parenting skills and life skills leading to overall self-sufficiency. Sub-grantees of this program are after school organizations that can meet the above goals within TANF funding goals 3 and/or 4.

Serve Indiana Initiatives

- **Serve Indiana Awards for Excellence:** The awards event is Serve Indiana’s opportunity to honor individuals in Indiana communities that volunteer or serve. There are several different categories (youth, volunteer, faith, national service, corporate, service-learning, lifetime, and volunteer program) and applications are open to any person who would like to make a nomination. Nominations generally open in the spring and are due in the summer with the award ceremony happening in the fall.
- **Days of Service Mini Grants:** Mini-grants are offered to provide resources to coordinate Day of Service projects. The goal of the Mini-Grant program is to demonstrate the power of service as a solution to community needs. Serve Indiana encourages Day of Service projects that (1) demonstrate community connections and use of local resources, (2) build capacity of local volunteer centers and national service programs through regional networking and co-planning of activities, and (3) increase national service participant and volunteer understanding and commitment to national service and volunteerism.

For more information on any of these programs, contact Serve Indiana at 317-234-8845, info@serveindiana.gov, or visit our website at www.serveindiana.gov.

5 PROGRAM DESCRIPTION

5.1 PURPOSE OF AMERICORPS FUNDING

The mission of CNCS is to improve lives, strengthen communities, and foster civic participation through service and volunteering. Through AmeriCorps, Senior Corps, and the Volunteer Generation Fund, CNCS has helped to engage millions of citizens in meeting community and national challenges through service and volunteer action.

AmeriCorps grants are awarded to eligible organizations (see *Eligible Applicants* section) proposing to engage AmeriCorps members in evidence-based or evidence-informed interventions/practices to strengthen communities. An AmeriCorps member is an individual who engages in community service through an approved national service position. Members may receive a living allowance and other benefits while serving. Upon successful completion of their service, members earn a Segal AmeriCorps Education Award from the National Service Trust that members can use to pay for higher education expenses or apply to qualified student loans.

5.2 CNCS FOCUS AREAS

The National and Community Service Act of 1990, as amended by the Serve America Act, emphasizes measuring the impact of service and focusing on a core set of issue areas. In order to carry out Congress' intent and to maximize the impact of investment in national service, CNCS has the following focus areas:

Disaster Services

Grant activities will provide support to increase the preparedness of individuals for disasters, improve individuals' readiness to respond to disasters, help individuals recover from disasters, and/or help individuals mitigate disasters.

Economic Opportunity

Grants will provide support and/or facilitate access to services and resources that contribute to the improved economic well-being and security of economically disadvantaged people; help economically disadvantaged people, to have improved access to services that enhance financial literacy; transition into or remain in safe, healthy, affordable housing; and/or have improved employability leading to increased success in becoming employed.

Education

Grants will provide support and/or facilitate access to services and resources that contribute to improved educational outcomes for economically disadvantaged children; improved school readiness for economically disadvantaged young children; improved educational and behavioral outcomes of students in low-achieving elementary, middle, and high schools; and/or support economically disadvantaged students' preparation for success in post-secondary educational institutions.

Environmental Stewardship

Grants will support responsible stewardship of the environment, while preparing communities for challenging circumstances and helping Americans respond to and recover from disruptive life events: programs that conserve natural habitats; protect clean air and water; maintain public lands; support wildland fire mitigation; cultivate individual and community self-sufficiency; and provide reforestation services after floods or fires.

Healthy Futures

Grants will provide support for activities that will address the opioid crisis; increase seniors' ability to remain in their own homes with the same or improved quality of life for as long as possible; and/or increase physical activity and improve nutrition with the purpose of reducing obesity.

Veterans and Military Families

Grants will positively impact the quality of life of veterans and improve military family strength; increase the number of veterans, wounded warriors, military service members, and their families served by CNCS programs; and/or increase the number of veterans and military family members engaged in service through CNCS programs.

5.3 FUNDING PRIORITIES

(See Mandatory Supplemental Guidance for further information about some of the priorities)

CNCS seeks to prioritize the investment of national service resources in the following areas:

- Economic Opportunity – evidence-based interventions on the CNCS Evidence Exchange. In order to qualify for this priority, the applicant must be assessed as having Moderate or Strong evidence by the reviewers.
https://www.nationalservice.gov/sites/default/files/evidenceexchange/CNCS_Economic_Opportunity_Evidence_Brief_2019_508.pdf

OR

Increasing economic opportunities for communities by preparing people for the workforce.

- Education – evidence-based interventions on the CNCS Evidence Exchange. In order to qualify for this priority, the applicant must be assessed as having Moderate or Strong evidence by the reviewers.
https://www.nationalservice.gov/sites/default/files/evidenceexchange/CNCS_Education_Evidence_Brief_112_318_508.pdf
- Healthy Futures – evidence-based interventions on the CNCS Evidence Exchange. In order to qualify for this priority, the applicant must be assessed as having Moderate or Strong evidence by the reviewers.
https://www.nationalservice.gov/sites/default/files/evidenceexchange/HF_Evidence_Brief_FINAL_v2_508.pdf

OR

Reducing and/or preventing prescription drug and opioid abuse

- Veterans and Military Families - positively impacting the quality of life of veterans and improving military family strength.
- Rural intermediaries - organizations that demonstrate measureable impact and primarily serve rural communities with limited resources and organizational infrastructure.
- Faith-based organizations.

To receive priority consideration, applicants must show that the priority area is a significant part of the program focus and intended outcomes, and must include a high-quality program design. Proposing programs that receive priority consideration does not guarantee funding.

5.4 PERFORMANCE MEASURES

CNCS requires that all applications must include at least one aligned performance measure (output and outcome) that corresponds to the proposed primary intervention. This may be a National Performance Measure or an applicant-determined measure. Additional performance measures, including output-only National Performance Measures, may also be included if desired; however, all performance measures must be consistent with the program's Theory of Change and represent significant program activities. CNCS does not expect applicants to select performance measures to correspond to each and every potential member activity or community impact. CNCS values the quality of performance measures over the quantity of performance measures.

CNCS expects applicants to use National Performance Measures as part of their comprehensive performance measurement strategy if the National Performance Measures are part of the applicant's theory of change. Applicants should use both performance and evaluation data to learn from their work as well as make tactical and strategic adjustments to achieve their goals. For more information, please refer to the National Performance Measure Instructions.

Serve Indiana encourages new applicants to only select one National Performance Measure with one output and one outcome.

5.5 PROGRAM AUTHORITY

CNCS's legal authority to award these grants is found in the National and Community Service Act of 1990, as amended, (NCSA) (42 U.S.C. 12501 et seq.)

6 FEDERAL AWARD INFORMATION

6.1 ESTIMATED AVAILABLE FUNDS

CNCS expects a highly competitive AmeriCorps grant competition. CNCS reserves the right to prioritize providing funding to existing awards over making new awards. The actual level of funding will be subject to the availability of annual appropriations. For formula funding, Serve Indiana receives an allocation every year from CNCS. That amount is not communicated until mid-2020. Formula funding is used for approved formula continuations and any new or re-compete application that is dropped from competitive as approved by the Serve Indiana Commission.

6.2 ESTIMATED AWARD AMOUNT

Grant awards have two components: operating funds and AmeriCorps member positions. Grant award amounts vary – both in the level of operating funds and in the type and amount of AmeriCorps member positions – as determined by the scope of the projects.

6.3 PROJECT AND AWARD PERIOD

The project period is generally one year, with a start date proposed by the applicant*. The grant award covers a three-year project period unless otherwise specified. In most cases, the application is submitted with a one-year budget. Continuation funding for subsequent years is not guaranteed and shall be dependent upon availability of appropriations and satisfactory performance.

The project start date may not occur prior to the date CNCS awards the grant. AmeriCorps members may not enroll prior to the start date of the award. AmeriCorps members may not begin service prior to the beginning of the member enrollment period as designated in the grant award. A program may not certify any hours a member performs prior to the beginning of the member enrollment period.

****Serve Indiana will only accept two start dates, August 1st or September 1st for all grants. Grants that begin August 1st will end July 31st of the following year. Grants that begin September 1st will end August 31st of the following year. All new applicants may only start their grants September 1st and it is highly encouraged that members of newly funded programs begin their service on or after September 15th to allow programs to properly conduct background checks and enrollment processes. For all programs, it is highly encouraged that members' start/enrollment dates are after the start of the grant to ensure all criminal history checks and enrollment forms are completed properly.***

6.4 TYPE OF AWARD

AmeriCorps Operating Grants: CNCS may award a Cost Reimbursement or a Fixed Amount grant to any successful applicant, but the availability of a Full-Cost Fixed Amount grant is limited to certain applicants. Professional Corps are not eligible to apply for Cost Reimbursement grants. See the *Eligible Applicants* section and the Mandatory Supplemental Guidance for more information. CNCS will not provide both types of grants for the same project in one fiscal year.

Grant Types	Cost Reimbursement	Fixed Amount			
Available Subtypes	Traditional	Full-Cost	Education Award Program (EAP)	Professional Corps See Sec. D.6.a.2 for further requirements	No Cost Slots
Maximum Cost per MSY	\$15,479	\$15,479	\$800	\$1,000*	\$0
Type of Slots in the National Service Trust	Full-time, Three Quarter-time, Half-time, Reduced half-time, Quarter-time, Minimum Time	Full-time	Full-time, Three Quarter-time, Half-time, Reduced half-time, Quarter-time, Minimum Time	Full-time only	Full-time, Three Quarter-time, Half-time, Reduced half-time, Quarter-time, Minimum Time
Budget Submission Required	Yes	No		Yes, if requesting operating funds	No
Availability of Funds linked to enrollment and retention of awarded MSYs	No	Yes			No
Special Requirements	N/A	N/A		Must place qualified professionals in communities with an inadequate number of such professionals. Member salaries and benefits must be paid entirely by organization where member serves and not included in budget.	N/A
Financial Reporting Requirements	Yes	No			

Available to new Applicants	Yes	No	Yes
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7 ELIGIBILITY INFORMATION

7.1 ELIGIBLE APPLICANTS

The following Non-Federal entities (as defined in 2 CFR §200.69) who have DUNS numbers and are registered in System for Award Management (SAM) are eligible to apply:

- Indian Tribes (2 CFR §200.54)
- Institutions of higher education (2 CFR §200.54)
- Local governments (2 CFR §200.64)
- Nonprofit organizations (2 CFR §200.70)
- States (2 CFR §200.90)

7.2 NEW APPLICANTS

CNCS encourages organizations that have not received prior funding from CNCS to apply. The general practice is to award no more than 50 member positions (slots) to organizations receiving an AmeriCorps grant for the first time.

New applicants are eligible to apply for Cost Reimbursement and Education Award Program (EAP) grants but are not eligible to apply for Full-Time Fixed Amount grants. Existing sub-grantees/operating sites of Fixed Amount and Cost Reimbursement grantees who can demonstrate a successful history and current capacity to manage a Fixed Amount grant are considered to have AmeriCorps experience and therefore can apply for Fixed Amount grants. See Mandatory Supplemental Guidance for more information.

7.3 TYPES OF APPLICANTS

State and Territory Service Commissions (for Single-State Applicants)

Organizations that propose to operate in only one state must apply through the Governor-appointed State or Territory Commissions. Each commission administers its own selection process and submits the applications it selects to compete for funding directly to CNCS. Single-State applicants must contact their Commissions to learn about their state or territory processes and deadlines. State deadlines may be significantly earlier than the CNCS deadlines and may have additional requirements. The list of Commissions can be found on the CNCS website. A Single-State application from a State or Territory with a Commission that is submitted directly to CNCS by the applicant rather than the Commission will be considered noncompliant and will not be reviewed. See the Mandatory Supplemental Guidance for descriptions of National Direct and Single-State applicants. **In Indiana, Serve Indiana is considered the commission for which organizations should apply if they fall within the single state rule.**

National Direct Applicants¹

Multi-State: Organizations that propose to operate AmeriCorps programs in more than one State or Territory apply directly to CNCS.

States and Territories without Commissions: Applicants in South Dakota, American Samoa, the Commonwealth of the Northern Mariana Islands, and the U.S. Virgin Islands apply directly to CNCS because this State and these Territories do not have an established Commission.

Federally-recognized Indian Tribes: Applicants that are Indian Tribes apply directly to CNCS. In addition to Indian Tribes as defined in 2 CFR §200.54, tribal organizations controlled, sanctioned, or chartered by Indian Tribes are also eligible to apply. An entity applying on behalf of a federally-recognized tribe or multiple specific federally-recognized tribes must submit a sanctioning resolution, applicable for the entirety of the period of performance that the applicant is applying for, adopted by the Tribal Council (or comparable tribal governing body) of each Indian Tribe. The resolution(s) must identify the entity by name as a tribal organization and indicate whether it is controlled, sanctioned, or chartered by an Indian Tribe(s). It must authorize the entity to be the legal applicant and act on behalf of and include the Indian Tribe(s) in a CNCS application for the purpose of conducting the activities and providing the services described in the application. A current resolution will not suffice to meet this requirement unless the resolution applies for the entire period of performance of the award, i.e., current resolutions that are applicable to a previous AmeriCorps award at the time of the application submission, but expire during the prospective period of performance of a new award, do not meet this requirement.

In addition to being eligible to apply under this *Notice*, federally-recognized Indian Tribes will also be eligible to apply for operating or planning grants under a separate Notice of Federal Funding Opportunity for Indian Tribes to be released later in the Winter with an application deadline in the Spring. CNCS may request applicants apply under the Tribal competition, rather than under this *Notice*.

7.4 THRESHOLD ISSUES

Applications should reflect that they meet the following threshold requirements for the grant type for which they are applying.

- Professional Corps applicants and/or applicants determined to be a Professional Corps by CNCS must demonstrate that the community in which it will place AmeriCorps members serving as professionals has an inadequate number of said professionals.
- If the proposed service activities require specialized member qualifications and/or training (for example, tutoring programs: 45 CFR §2522.910-.940), the applicant must describe how the program will meet these requirements.
- All applicants must propose program designs that are either evidence-based or evidence-informed. Applicants assessed as lower than the Preliminary evidence tier (i.e., Pre-Preliminary) must provide adequate responses to the Evidence Quality review criteria in order to be considered for funding.

¹ Although National Direct applicants do not have to apply to Commissions, there are substantial requirements to coordinate with the Commissions for states in which national service programs will operate. See the *Coordination among State Commission & National Direct Applicants* section for information on these important requirements.

7.5 OTHER REQUIREMENTS

Under section 132A(b) of the NCSA, organizations that have been convicted of a federal crime may not receive assistance described in this *Notice*.

Note that under section 745 of Title VII, Division E of the Consolidated Appropriations

Act, 2016, if CNCS is aware that any corporation has any unpaid Federal tax liability which—

- has been assessed,
- for which all judicial and administrative remedies have been exhausted or have lapsed, and
- that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, that corporation is not eligible for an award under this Notice.² A similar restriction may be enacted with the appropriation which will fund awards under this *Notice*. However, this exclusion will not apply to a corporation which a federal agency has considered for suspension or debarment and has made a determination that suspension or debarment is not necessary to protect the interest of the federal government

Pursuant to the Lobbying Disclosure Act of 1995, an organization described in Section 501(c)(4) of the Internal Revenue Code of 1986, 26 U.S.C. 501(c)(4) that engages in lobbying activities is not eligible to apply for CNCS funding.

² However, this exclusion will not apply to a corporation which a federal agency has considered for suspension or debarment and has made a determination that suspension or debarment is not necessary to protect the interests of the federal government.

8 APPLICATION AND SUBMISSION INFORMATION

This *Notice* should be read together with the AmeriCorps Regulations, 45 CFR §§ 2520–2550, the Mandatory Supplemental Guidance, Application Instructions, and the National Performance Measure Instructions which are incorporated by reference. These documents can be found on the CNCS website. The full Regulations are available online at www.ecfr.gov.

Serve Indiana also recommends that applicants review their state service plan and Serve Indiana AmeriCorps handbook. The state service plan is available here: <http://www.in.gov/serveindiana/2355.htm> and the AmeriCorps handbook is available here: <http://www.in.gov/serveindiana/2396.htm>

8.1 ADDRESS TO REQUEST APPLICATION PACKAGE

Applicants should refer to [CNCS Funding Opportunities](#) to obtain the necessary information to apply. Applicants can also send an email to americorpsgrants@cns.gov or call (202) 606-7508 for a printed copy of the application materials. The TTY (Text Telephone) number is (800) 833-3722.

For Serve Indiana specific application information, applicants can contact Cassandra Gillenwater at ckelloggillenwater@serveindiana.gov or 317-233-0901. Mail may also be sent to Serve Indiana, ATTN: Cassandra Gillenwater, 10 N. Senate Ave, SE 206, Indianapolis, IN 46204.

8.2 CONTENT AND FORM OF APPLICATION SUBMISSION

Application Content: In CNCS’s web-based management system, applicants will enter the following components of a complete application:

- Standard Form 424 (SF-424) Face Sheet: This is automatically generated when applicants complete the data elements in the system
- Narratives
- Executive Summary
- Program Design
- Organizational Capability
- Cost Effectiveness and Budget Adequacy
- Evaluation Plan (if applicable)
- Logic Model
- Standard Form 424 Budget
- Performance Measures
- Authorization, Assurances, and Certification

8.3 PAGE LIMITS

There are two page limits that must be adhered to: Narrative and Logic Model.

Narrative

Applications must not exceed 10 pages for the Narratives or 12 pages for Rural Intermediaries and Multi-State applications with more than five operating sites. CNCS will use the number of locations in the “multi-state

operating sites” field in CNCS’s web-based management system to determine whether a multi-site application has more than five operating sites. The “multi-site operating sites” field can be found by clicking on the “operating sites” link in the system. Multi-state applicants that list five or fewer operating sites cannot exceed 10 pages for the Narrative.

In determining whether an application complies with page limits, CNCS will count the following for the narrative:

- The application’s Executive Summary, SF 424 Facesheet, and
- The Narrative portions contained in the Program Design, Organizational Capacity, and Cost Effectiveness and Budget Adequacy sections of the application.

CNCS will consider the number of pages only as they print out from the “Review” tab in CNCS’s web-based grant management system (where you will see the “View/Print your application” heading) when determining compliance for page limits. CNCS will not consider the results of any alternative printing methods in determining whether an application complies with the applicable page limits. CNCS strongly encourages applicants to print out the application from the “Review” tab prior to submission to check that the application does not exceed the page limit. The application page limit does not include the Budget, narrative portion of the Evaluation Plan, the Logic Model, performance measures, or the supplementary materials, if applicable.

Logic Model

The Logic Model may not exceed three pages when printed with the application from the “Review” tab in CNCS’s web-based management system.

Please note the length of a document in word processing software may be different than what will print out in the CNCS’s web-based system. Reviewers will not consider any submitted material that exceeds the page limits in the printed report, also, note that the system will not prevent an applicant from entering text that will exceed page limitations. This applies to both the application page limit and the Logic Model page limit.

8.4 DUN AND BRADSTREET UNIVERSITY NUMBERING SYSTEM (DUNS) NUMBER & SYSTEM FOR AWARD MANAGEMENT (SAM)

Applications must include a DUNS number **and** an Employer Identification Number. The DUNS number does not replace an Employer Identification Number. DUNS numbers may be obtained at no cost by calling the DUNS number request line at (866) 705-5711 or by applying online: [DUNS Request Service](#). CNCS recommends registering at least 30 days before the application due date.

After obtaining a DUNS number, all applicants **must** register with the SAM at www.sam.gov and maintain an active SAM registration until the application process is complete and, if a grant is awarded, throughout the life of the award. SAM registration must be renewed annually. CNCS suggests finalizing a new registration or renewing an existing one at least three weeks before the application deadline to allow time to resolve any issues that may arise. Applicants must use their SAM-registered legal name and address on all grant applications to CNCS.

Applicants who do not comply with these requirements may be ineligible to receive or maintain an award. See the SAM Quick Guide for Grantees at https://sam.gov/sam/transcript/Quick_Guide_for_Grants_Registrations.pdf

8.5 SUBMISSION DATES AND TIMES

8.5.1 National Direct Applicants:

Any National Direct applying to host members in Indiana should complete Serve Indiana's National Direct Consultation form survey. This link can be located

here: <https://www.surveymonkey.com/r/FY19Consult>. Following a submission, the National Direct applicant should expect an email from a Serve Indiana staff member confirming the survey. For questions or concerns, please contact Naejla Walton at nwalton@serveindiana.gov.

Serve Indiana has one NOFO (and application instructions) posted for the 2020-20 funding opportunities. This means the NOFO and application instructions include due dates and information for ALL types of applicants. This includes competitive, new, and formula applicants. It is the responsibility of the applicant to understand the type of application they must apply for and when. Below due dates and types of applications are explained.

8.5.2 Fall Submission Dates: competitive & Formula Re-Compete, Competitive Continuation, and New Applicants

- **Notice of intent to apply: October 4th, 2019**
 - All organizations who plan to submit an application are encouraged to submit an email by 5pm (EST) on October 4th, 2019 to ckelloggillenwater@serveindiana.gov with the subject line reading "Notice of Intent to Apply for (Organization Name)". In the body of the email, please indicate the following information:
 - Name, organization, contact information, proposed number of AmeriCorps members, proposed priority area
- **Application due date: October 28th, 2019**
 - All required documents should be submitted in eGrants by 5:00pm (EST) on October 28th, 2019. Any additional documents should be emailed as instructed in the NOFO by this date and time as well.
- **Clarifications Communicated: Mid to late November**
 - Prior to the December 12th Commission decision meeting, Serve Indiana staff will communicate clarifications to applicants. These clarifications will help finalize the application, clarifying specific items that must be updated in order for a decision to be made. These clarifications will be sent out via email to the main grant contact in eGrants and will include a due date.
- **Competitive letters sent to programs: December 13th, 2019**
 - Serve Indiana will send letters to all fall applicants on or before December 13th updating them with whether or not they have moved on to the competitive or formula slate. There may be additional clarifications communicated at this time. The letter will include a due date for resubmission in eGrants and next steps.
- **CNCS Decision on Competitive Funding: May 1st, 2020**
 - Once Serve Indiana submits applications to CNCS on behalf of approved competitive applicants (January 8th, 2020), CNCS will conduct a review process of these applications. Competitive applicants should anticipate clarifications from CNCS as well. When Serve Indiana is notified of the final competitive funding decisions, they will notify the applicant within 2-3 business days via email.

8.5.3 Spring Submission Dates: Formula continuations Applicants only

- **Application due date: March 13th, 2020**

- All required documents should be submitted in eGrants by 5:00pm (EST) on March 13th, 2020. Any additional documents should be emailed as instructed in the NOFO by this date and time as well.
- **Clarifications Communicated: Late March/Early April**
 - Prior to the December 12th Commission decision meeting, Serve Indiana staff will communicate clarifications to applicants. These clarifications will help finalize the application, clarifying specific items that must be updated in order for a decision to be made. These clarifications will be sent out via email to the main grant contact in eGrants and will include a due date.
- **CNCS Funding decisions sent to programs: June 26th-July 31**
 - Serve Indiana will send letters to all formula applications on April 17th following the April 16th Commission funding meeting. This letter will be emailed and will include final funding decisions. There may be additional clarifications communicated at this time. The letter will include a due date for resubmission in eGrants and next steps. Preliminary formula funding decisions will be made Mid-April. CNCS final competitive and formula decisions will be mid May/end of July.

8.6 ADDITIONAL DOCUMENTS DEADLINE

Any required additional documents are due on the application deadline. See *Submission of Additional Documents* section for other guidance.

8.7 LATE APPLICATIONS

All applications received after the submission deadline published in the Notice are presumed to be non-compliant. In order to overcome this presumption, the applicant must:

- provide a written explanation or justification of the extenuating circumstance(s) that caused the delay, including:
 - the timing and specific cause(s) of the delay
 - the ticket number if a request for assistance was submitted to the National Service Hotline
 - any information provided to the applicant by the National Service Hotline
 - any other documentation or evidence that supports the justification
 - ensure that CNCS receives the justification and any other evidence that substantiates the claimed extenuating circumstance(s) via email to ckelloggillenwater@serveindiana.gov no later than one business day after the application deadline stated in the Notice.

Communication with Serve Indiana/CNCS staff, including an applicant's program officer, is not a substitute for the letter. Applicants are required to continue working in CNCS's web-based application system and with the National Service Hotline to submit the application. Serve Indiana and CNCS will determine whether or not to accept a late application on a case-by-case basis. Applicants that do not submit a justification or any other evidence to overcome the presumption of non-compliance within the published timeframe will not be reviewed or selected for award.

Please note: Serve Indiana nor CNCS will not consider an advance request to submit a late application.

Applicants are encouraged to continue working on their application until it is submitted. If you are experiencing technical difficulties, please carefully review and follow the guidance listed above, and submit your application as soon as possible.

9 INTERGOVERNMENTAL REVIEW

This *Notice* is not subject to Executive Order 12372, "Intergovernmental Review of Federal Programs."

10 FUNDING RESTRICTIONS

10.1 MEMBER LIVING ALLOWANCE

A living allowance is not considered a salary or a wage. Programs are not required to provide a living allowance for members serving in less than full-time terms of service. If a program chooses to provide a living allowance to a less than full-time member, it must comply with the maximum limits in the table below. For Cost Reimbursement grants, the amount must be included in the proposed budget as either CNCS or grantee share. Exceptions are noted below.

While Fixed Amount grant applicants are not required to submit detailed budgets, they are still required to provide a living allowance to members that complies with the minimum and maximum requirements. Exceptions are noted below. Fixed Amount grant applicants are not required to indicate that amount in the application and should request those positions as “without living allowance” in the budget.

Table: Minimum and Maximum Living Allowance

Service Term	Minimum # of Hours	Minimum Living Allowance	Maximum Total Living Allowance
Full-time	1,700	\$14,279	\$28,558
Three Quarter-time	1,200	n/a	\$20,159
Half-time	900	n/a	\$15,119
Reduced Half-time	675	n/a	\$11,339
Quarter-time	450	n/a	\$7,559
Minimum-time	300	n/a	\$5,040

Exceptions to the Living Allowance Requirements

1. Programs existing prior to September 21, 1993 are not required to offer a living allowance. If an applicant chooses to offer a living allowance, it is exempt from the minimum requirement, but not the maximum requirement.

2. EAP Grantees are not required to provide a living allowance, but if a living allowance is provided, it must comply with the maximum requirements set forth in the Living Allowance Table above.

3. Professional Corps Grantees must provide members a living allowance or salary, which must meet the minimum, but may exceed the maximum living allowance set in the Living Allowance Table above. Professional Corps member salaries and benefits including child care are paid entirely by the organizations with which the members serve, and are not included in the budget request to CNCS (federal or matching share.)

10.2 MAXIMUM COST PER MEMBER SERVICE YEAR (MSY)

The CNCS cost per MSY is determined by dividing the CNCS share of budgeted grant costs by the number of MSYs requested. It does not include child care or the value of the education award a member may earn. The maximum amount an applicant may request from CNCS per MSY is determined on an annual basis.

New and re-competing Commission sub-grantees/applicants will be held to the maximum cost per MSY for their grant type.

Table: Maximum Cost per MSY

Grant Program	Maximum
Individual Competitive State/Territory Program (cost reimbursement)	\$15,479*
Multi-state (cost reimbursement)	\$15,479*
Professional Corps Fixed Amount Applicants/Grantees	\$1,000**
Education Award Program Fixed Amount Grant	\$800
Full-cost Fixed Amount Grant	\$15,479
State/Territory Commission Formula Prime	\$18,000
Individual State/Territory Formula Program	\$20,000
State/Territory Commission Average (of all its sub-grants)	\$15,479

*Cost reimbursement programs operating in rural communities (as defined in the Mandatory Supplemental Guidance) and cost reimbursement programs that recruit opportunity youth as AmeriCorps members may request up to \$15,647 cost per MSY for the AmeriCorps members who will be serving in rural areas or who are opportunity youth.

**CNCS requires Professional Corps programs to cover the operating expenses associated with the AmeriCorps program through non CNCS. CNCS will only consider operating funds of up to \$1,000 per MSY if an applicant is able to demonstrate significant organizational financial need based on the materials reviewed by the Office of Grant Management.

CNCS reserves the right to determine whether an applicant is a Professional Corps and whether the legal applicant has sufficiently demonstrated that they cannot effectively operate an AmeriCorps program without receiving CNCS operating funds. Given the high demand for AmeriCorps funding there may be specific circumstances where CNCS determines that either a legal applicant is not a Professional Corps program or is unlikely to demonstrate a need for operational funds due to other funding resources. Where CNCS's due diligence review of a Professional Corps application shows that the applicant has a historically high level of support from non-CNCS sources, and as a result has levels of unrestricted funding that is in excess of the requested level of CNCS funding, CNCS may choose to not provide additional operational funding.

10.3 SEGAL AMERICORPS EDUCATION AWARD

AmeriCorps members who successfully complete a term of service will be eligible for an Education Award from the National Service Trust. The amount of the Education Award is linked to the value of the Pell Grant. A member has up to seven years after his or her term of service to use the Education Award. CNCS will provide the updated Education Award amounts at the time of grant award.

10.4 COST SHARING OR MATCHING

Fixed Amount Grants

There is no match requirement for Fixed Amount grants. CNCS does not provide all the funds necessary to operate the program; therefore organizations should raise the additional revenue required to operate the program.

Cost Reimbursement Grants

A first-time successful applicant is required to match at 24 percent for the first three-year funding period. Starting with year four, the match requirement gradually increases every year to 50 percent by year ten, according to the minimum overall share chart found in 45 CFR §2521.60 and below.

AmeriCorps Funding Year	1, 2, 3	4	5	6	7	8	9	10+
Grantee Share Requirements	24%	26%	30%	34%	38%	42%	46%	50%

Section 121(e)(5) of NCSA (42 U.S.C. 12571(e)) requires programs that use other federal funds as match for an AmeriCorps grant to report the amount and source of these funds to CNCS on a Federal Financial Report. Grantees must track and be prepared to report on that match separately each year and at closeout.

The living allowance or salary provided to AmeriCorps members in Professional Corps programs (see Mandatory Supplemental Guidance) does not count toward the matching requirement.

Alternative Match

Under certain circumstances, applicants may qualify to meet alternative matching requirements that increase over the years to 35 percent instead of 50 percent as specified in the regulations at 45 CFR §2521.60(b). To qualify, applicants must demonstrate that the proposed program is either located in a rural county or in a severely economically distressed community as defined in the Application Instructions. Applicants who plan to request an alternative match schedule must submit a request at the time the application is submitted. For applicants in Indiana, this request must be emailed to info@serveindiana.gov at that time of submission. Serve Indiana will then submit on behalf of their state applicants and National Directs submit their requests directly to ACAAlternateMatchRequests@cns.gov.

10.5 INDIRECT COSTS

Application budgets may include indirect costs. Based on qualifying factors, applicants will either use a federally approved indirect cost rate, a 5/10 percent fixed option, a 10 percent *de minimis* rate of modified total direct costs, or may claim certain costs directly as outlined in 2 CFR § 200.413. States, local governments and Indian

Tribes may use approved indirect cost allocation plans. All methods must be applied consistently across federal awards. Applicants that have a federal negotiated indirect cost rate or that will be using the 10 percent *de minimis* rate must enter that information in the Organization section in the CNCS's web-based management system. However, under section 121(d) of the NCSA and CNCS's regulations at 45 CFR 2521.95 and 2540.110, no more than five percent of award funds may be used to recover indirect costs on AmeriCorps grants.

11 OTHER SUBMISSION REQUIREMENTS

11.1 COORDINATION AMONG STATE COMMISSIONS AND NATIONAL DIRECT APPLICANTS

CNCS expects Commissions and National Direct applicants to consult and coordinate activities at the local level, as specified in Section 131 of the NCSA (42 U.S.C. § 12583). This consultation is designed to ensure the most effective use of national service resources and lead to enhanced coordination. The list of Commissions can be found here: <http://www.nationalservice.gov/about/contact-us/state-service-commissions>.

To ensure coordination:

National Direct applicants, except federally-recognized Indian Tribes, must:

Before application submission:

- Consult with the Commission of each state and/or territory in which the organization plans to operate and describe this consultation in their applications. Contact the Commissions for details about the consultation process. Provide information that connects the proposed activities to the current State/Territory Service plan and/or State logic model.
- Any National Direct applying to host members in Indiana should complete Serve Indiana's National Direct Consultation form survey. This link can be located here: <https://www.surveymonkey.com/r/FY19Consult>. Following a submission, the National Direct applicant should expect an email from a Serve Indiana staff member confirming the survey. For questions or concerns, please contact Naejla Walton at NWalton@serveindiana.gov

After Award:

- Provide the Commission with contact information for National Direct programs in the state and/or territory and update the information on an annual basis.
- Participate in the Commissions' annual needs assessment and training plan development activities, and in the development of its state/territory service plan, as well as appropriate training and other events.
- Include the Commission on the National Direct's mailing/email distribution lists and invite it to appropriate training and other events.

State/Territory Commissions must:

Before application submission:

- Consult in a timely manner with the National Direct applicants, except Indian Tribes, that contact them prior to application submission (see above)

After Award:

- Consider the schedules and needs of National Direct applicants, including Indian Tribes, operating in their states when planning annual events and technical assistance activities.
- Include National Direct programs, including Indian Tribes, in their annual needs assessment and training plan development activities, and in the development of their state/territory service plan.
- Add staff of multi-state programs in their state/territory to their mailing/email distribution lists and invite them to appropriate training and other events.

CNCS will solicit Commission input on National Direct applicants, except Indian Tribes, proposing to operate in their state/territory. Via the CNCS's web-based management system, Commissions have the opportunity to select "support," "do not support," or "neutral," and provide comments. Participation by Commissions in providing this input is strongly encouraged. CNCS reviews and considers Commission input on multi-state applicants proposing to operate in their state.

CNCS will assess an applicant's strategic considerations. Applicants must check the relevant boxes in the Program Information tab in CNCS's web-based management system in order to be considered for CNCS's assessment of the strategic considerations (see *Selection for Funding* section.) Applicants should only check the boxes if the strategic consideration is a significant and if intentional part of their program design and implementation strategies are described in the application.

11.2 ELECTRONIC APPLICATION SUBMISSION IN CNCS'S WEB-BASED MANAGEMENT SYSTEM

Applicants must submit applications electronically via CNCS's web-based system. CNCS recommends that applicants create an account and begin the application at least three weeks before the deadline. Applicants should draft the application as a word processing document, then copy and paste the text into the appropriate field no later than ten days before the deadline.

The applicant's authorized representative must be the person who submits the application. The authorized representative must be using CNCS's web-based management system under his or her own account in order to sign and submit the application. A copy of the governing body's authorization for this official representative to sign must be on file in the applicant's office.

Contact the National Service Hotline at (800) 942-2677 or via [Questions](#) if a problem arises when creating an account or preparing or submitting the application. Be prepared to provide the application ID, organization's name, and the Notice to which the organization is applying. If the issue cannot be resolved by the deadline, applicants must continue working with the National Service Hotline to submit their application via CNCS's web-based management system.

If extenuating circumstances make it impossible for an applicant to submit in CNCS's web-based management system, applicants may send a paper copy of the application via overnight carrier to the following address:

Corporation for National and Community Service
ATTN: Office of Grants Policy and Operations/AmeriCorps Application
250 E Street, SW, Suite 300
Washington, DC 20525

Please use a non-U.S. Postal Service carrier to avoid security-related delays. All deadlines and requirements in this *Notice* also apply to paper applications. Paper applications must include a cover letter detailing the circumstances

that make it impossible to submit via CNCS's web-based management system. CNCS does not accept applications submitted via fax or email.

Before taking any above steps, Indiana applicants are encouraged to contact Serve Indiana to ensure compliance.

11.3 SUBMISSION OF ADDITIONAL DOCUMENTS

Applicants are required to submit the following additional documents by the application submission deadline:

All applicants

- Evaluation briefs, reports, studies. Please refer to the *Evidence Base* section and Mandatory Supplemental Guidance for detailed instructions by evidence tier.

Re-competing applications

- Evaluation report, if required. Please see the *Evidence Tiers* definitions in the Mandatory Supplemental Guidance and Section E. Evaluation Plan for further information.
- Labor union concurrence (if applicable)
- Federal debt delinquency (if applicable)

Rural Intermediaries (New and re-competing)

- Letters of support from the consortium members

Entities applying on behalf of a Federally Recognized Tribe (New and re-competing)

- Sanctioning resolution adopted by the tribal council of each Indian Tribe. (See the *Eligible Applicants* section.)

New and Re-competing applications

- New and re-competing applicants requesting over \$75,000 and All New to CNCS applicants regardless of funding level are required to submit a Financial Management Survey (FMS) and supporting documents located at: [http://www.nationalservice.gov/resources/financial-management-select Financial Management Survey under the Application Certification Forms Section](http://www.nationalservice.gov/resources/financial-management-select-Financial-Management-Survey-under-the-Application-Certification-Forms-Section).

Failure to submit the required additional documents by the deadline will have a negative effect on the assessment of your application and/or on the determination of the application's eligibility to advance for review.

Submission:

Additional Documents must be emailed to ckelloggillenwater@serveindiana.gov with the labels outlined below. Emails should include the following information:

- Subject line: [Legal Applicant Name]– [Application ID number]
- Body of the email should identify:
- The legal applicant name and its point of contact information
- The application ID number
- A list of documents that should be attached to the email
- Attachments to emails should include

- Individually saved files that are clearly labeled
- Each file should also include a header or title within the body of each additional document to include the legal applicant name and application ID number.
- Do not submit other items not requested in this *Notice* or Application Instructions. Serve Indiana/CNCS will not review or return them.

12 APPLICATION REVIEW INFORMATION

Criteria

Each applicant must describe a project that will deploy AmeriCorps members effectively to solve a significant community problem. CNCS urges applicants to submit high quality applications that carefully follow the guidance in this *Notice* and in the Application Instructions. The quality of an application will be an important factor in determining whether an organization will receive funding.

All applicants (new, re-compete, and continuations) should review this section. Continuation applicants should be mindful of their specific requirements in the “continuation” section. Continuations should not make edits to their narratives unless otherwise communicated by Serve Indiana and these directions.

Categories/Subcategories	Percentage
Executive Summary	0
Program Design	50
Theory of Change and Logic Model	24
Evidence Tier	12
Evidence Quality	8
Notice Priority	0
Member Experience	6
Organizational Capacity	25
Organizational Background and Staffing	9
Compliance and Accountability	8
Culture That Values Learning	4
Member Supervision	4
Cost Effectiveness and Budget Adequacy	25

12.1 EXECUTIVE SUMMARY (REQUIRED - 0 PERCENT)

Please fill in the blanks of these sentences to complete the Executive Summary. **Do not deviate from the template below.**

The [Name of the organization] proposes to have [Number of] AmeriCorps members who will [service activities the members will be doing] in [the locations the AmeriCorps members will serve]. At the end of the first program year, the AmeriCorps members will be responsible for [anticipated outcome of project]. In addition, the AmeriCorps members will leverage [number of leveraged volunteers, if applicable] who will be engaged in [what the leveraged volunteers will be doing.]

This program will focus on the CNCS focus area(s) of [Focus Area(s)].* The CNCS investment of \$[amount of request] will be matched with \$[amount of projected match], \$[amount of local, state, and federal funds] in public funding and \$[amount of non-governmental funds] in private funding.

*If the program is not operating in a CNCS focus area, omit this sentence.

Fixed-Amount grant applicants (EAP, Full-time Fixed, No Cost Slots) should list their Other Revenue (see Mandatory Supplemental Guidance) because they are not required to provide a specific amount of match, but still raise significant additional resources to operate the program. CNCS will post all Executive Summaries of awarded grant applications on www.nationalservice.gov in the interest of transparency and Open Government.

12.2 PROGRAM DESIGN (50 PERCENT)

Reviewers will consider the quality of the application's response to the criteria below. Do not assume all sub-criteria are of equal value.

12.2.1 Theory of Change and Logic Model (24 points)

The Theory of Change shall address:

- The proposed intervention is responsive to the identified community problem.
- The applicant's proposed intervention is clearly articulated including the design, dosage, target population, and roles of AmeriCorps members and (if applicable) leveraged volunteers.
- The applicant's intervention is likely to lead to the outcomes identified in the applicant's theory of change.
- The expected outcomes articulated in the application narrative and logic model represent meaningful progress in addressing the community problem identified by the applicant.
- The rationale for utilizing AmeriCorps members to deliver the intervention(s) is reasonable.
- The service role of AmeriCorps members will produce significant contributions to existing efforts to address the stated problem.

The Logic Model shall depict:

- A summary of the community problem.
- The inputs or resources that are necessary to deliver the intervention, including but not limited to:
- Locations or sites in which members will provide services
- Number of AmeriCorps members who will deliver the intervention
- The core activities that define the intervention or program model that members will implement or deliver, including:

- The duration of the intervention (e.g., the total number of weeks, sessions or months of the intervention)
- The dosage of the intervention (e.g., the number of hours per session or sessions per week)
- The target population for the intervention (e.g., disconnected youth, third graders at a certain reading proficiency level)
- The measurable outputs that result from delivering the intervention (i.e. number of beneficiaries served, types and number of activities conducted.) If applicable, identify which National Performance Measures will be used as output indicators
- Outcomes that demonstrate changes in knowledge/skill, attitude, behavior, or condition that occur as a result of the intervention. If applicable, identify which National Performance Measures will be used as outcome indicators.

Note: The logic model is a visual representation of the applicant’s theory of change. Programs may include short, medium or long-term outcomes in the logic model. Applicants are not required to measure all components of their theory of change. The applicant’s performance measures should be consistent with the program’s theory of change and should represent significant program activities.

In the application narrative, applicants should discuss their rationale for setting output and outcome targets for their performance measures.

Rationales and justifications should be informed by the organization’s performance data (e.g., program data observed over time that suggests targets are reasonable), relevant research (e.g. targets documented by organizations running similar programs with similar populations), or prior program evaluation findings.

Serve Indiana encourages new applicants to submit only one performance measures with one output and one outcome. Additional performance measures are allowed but not encouraged for new programs or new program models.

Applicants with multiple interventions should complete one Logic Model chart which incorporates each intervention. Logic model content that exceeds three pages will not be reviewed.

12.2.2 Evidence Base (20 points)

The assessment of an applicant’s evidence base has two parts. First, the applicant will be assigned to an evidence tier (see the Mandatory Supplemental Guidance.) Second, the quality of the applicant’s evidence and the degree to which it supports the proposed program design will be assessed and scored.

Evidence Tier (12 points):

An evidence tier will be assessed for each applicant for the purpose of applying the strategic characteristics (moderate/strong evidence) and understanding the relative strength of each applicant’s evidence base and the likelihood that the proposed intervention will lead to outcomes identified in the logic model.

In 2020, the evidence tiers of successful AmeriCorps State and National applicants that were competing were as follows: Strong 9%, Moderate 5%, Preliminary 40%, and Pre-Preliminary 46%. As these figures indicate, CNCS values and funds programs at all points along the evidence continuum and expects programs to progress along the evidence continuum over time. Thus, do not be deterred from applying for funding due to your current evidence level.

Applicants who have evaluation reports of the same intervention described in the application (see Mandatory Supplemental Guidance for a definition of “same intervention”) may submit up to 2 of those reports, plus (if

applicable) the evaluation report from their last three-year grant cycle, to qualify for the Preliminary, Moderate, or Strong evidence tier. In order to qualify for consideration, the intervention evaluated in the submitted report(s) must match the intervention proposed by the applicant in the following areas, all of which must be clearly described in the Program Design and Logic Model sections of the application:

- Characteristics of the beneficiary population
- Characteristics of the population delivering the intervention
- Dosage (frequency, duration) and design of the intervention, including all key components and activities
- The context in which the intervention is delivered
- Outcomes of the intervention

Submitted reports that do not sufficiently match the intervention proposed by the applicant in all of these areas will not be considered applicable and will not be reviewed or receive any points. Submission of additional documents that are not consistent with the guidance and requirements described in the *Notice* (e.g., advocacy pieces, policy briefs, other narratives that are not research studies or program evaluations) will not be reviewed.

In the Evidence Tier section of the application narrative, applicants must (1) summarize the study design and key findings of any outcome or impact evaluation report(s) submitted and (2) describe any other evidence that supports their program, including past performance measure data and/or other research studies that inform their program design. Applicants who submit evaluation reports for consideration must also describe in the Evidence Base section of the application narrative how the intervention described in the submitted reports is the same as the intervention described in the application (see Mandatory Supplemental Guidance).

Applicants should provide citations for the studies they describe, if applicable; however, reviewers will not review any documents external to the application other than evaluation report(s) submitted in accordance with the Notice instructions.

Applicants must meet all requirements of an evidence tier in order to be considered for that tier.

If the evaluation reports submitted by the applicant do not meet the definitions in the Mandatory Supplemental Guidance, the applicant may be considered for a lower evidence tier.

Evidence Quality (8 points)

After the applicant's evidence tier has been assessed, the quality of the applicant's evidence and the extent to which it supports the proposed program design will be assessed and scored.

For applicants who are assessed as being in the Preliminary, Moderate, or Strong evidence tiers, reviewers will score the submitted evaluation reports using the following standards:

The submitted reports are of satisfactory methodological quality and rigor for the type of evaluation conducted (e.g., adequate sample size and statistical power, internal and/or external validity, appropriate use of control or comparison groups, etc.);

The submitted reports describe evaluations that were conducted relatively recently, preferably within the last six years;

The submitted reports show a meaningful and significant positive effect on program beneficiaries in at least one key outcome of interest.

For applicants who are assessed as being in the Pre-Preliminary evidence tier, reviewers will score the narrative provided in the Evidence Base section of the application using the following standards:

- The applicant uses relevant evidence, including past performance measure data and/or cited research studies, to inform their proposed program design;
- The described evidence is relatively recent, preferably from the last six years;
- The evidence described by the applicant indicates a meaningful positive effect on program beneficiaries in at least one key outcome of interest.

Applicants assessed in the Pre-Preliminary evidence tier who do not provide adequate responses to the Evidence Quality standards will not meet the threshold requirements for this *Notice* and will not be considered for funding.

All applicants, including new grantees, are required to provide additional information in the Evaluation Summary or Plan field of the application (See Section E. Evaluation Plan); however, information provided in the Evaluation Summary or Plan field will not be scored and will not be reviewed until after funding decisions have been made.

12.2.3 Notice Priority (0 points)

The applicant proposed program fits within one or more of the 2020 AmeriCorps funding priorities as outlined in the *Funding Priorities* section and more fully described in the Mandatory Supplemental Guidance and the proposed program meets all of the requirements detailed in the *Funding Priorities* section and in the Mandatory Supplemental Guidance.

12.2.4 Member Experience (6 points)

- AmeriCorps members will gain skills as a result of their training and service that can be utilized and will be valued by future employers after their service term is completed.
- The program will recruit AmeriCorps members from the geographic or demographic communities in which the programs operate.
- The applicant will *foster an inclusive service culture where different backgrounds, talents, and capabilities are welcomed and leveraged for learning and effective service delivery.*

12.3 ORGANIZATIONAL CAPABILITY (25 PERCENT)

Reviewers will consider the quality of the application's response to the following criteria below. Do not assume all sub-criteria are of equal value.

12.3.1 Organizational Background and Staffing (9 points)

The organization details the roles, responsibilities, and structure of the staff that will be implementing the AmeriCorps program as well as providing oversight and monitoring for the program.

12.3.2 Compliance and Accountability (8 points)

- The organization has a monitoring and oversight plan to prevent and detect non-compliance and enforce compliance with AmeriCorps rules and regulations including those related to prohibited and unallowable activities and criminal history checks at the grantee, sub-grantee (if applicable), and service site locations.
- The CNCS-required evaluation report meets CNCS requirements (if applicable),
- The CNCS-required evaluation report is of satisfactory quality (if applicable).

12.3.3 Culture that Values Learning (4 points)

The applicant's board, management, and staff collect and use information, including performance data, for learning and decision making.

12.3.4 Member Supervision (4 points)

- AmeriCorps members will receive sufficient guidance and support from their supervisor to provide effective service.
- AmeriCorps supervisors will be adequately trained/prepared to follow AmeriCorps and program regulations, priorities, and expectations.

12.4 COST EFFECTIVENESS AND BUDGET ADEQUACY (25 PERCENT)

Reviewers will assess the quality of the application's budget to the following criteria below. Do not assume all sub-criteria are of equal value.

This criteria will be assessed based on the budget submitted and the items listed below. ***No narrative should be entered in the narrative box except for "See budget"***

12.4.1 Cost Effectiveness and Budget Adequacy (25 points)

- Budget includes all required Serve Indiana line items
 - Applicants are encouraged to review 2020-2021 budget instructions to complete their budget. A template is available to applicants on the Serve Indiana website, linked below. Once application instructions are available, additional budget instructions will be made available to grantees. This is available on Serve Indiana's website here: <http://www.in.gov/serveindiana/2718.htm>
 - All operational budgets are required to include the following information: State approved mileage rates, travel costs to Serve Indiana trainings (minimum 3 per program year in Indianapolis), OnCorps expenses (\$45/month *12 months), sufficient member training costs for all required Serve Indiana trainings as noted in the handbook, criminal history check costs, FICA/Healthcare costs for all full time members, accurate source of funds for match.
 - Budgets should not include unemployment insurance costs for members as this is not required for the State of Indiana
- Budget is submitted without mathematical errors and proposed costs are allowable, reasonable, and allocable to the award.
- Budget is submitted with adequate information to assess how each line item is calculated.
- Budget is in compliance with the budget instructions.
- Match is submitted with adequate information to support the amount written in the budget.
- The budgeted match is equal to or more than the required match for the given program year.
- The cost per MSY is equal to or less than the maximum cost per MSY.
 - Proposed budgets that contain MSY costs that exceed the maximum cost per MSY and/or less than required match will be considered unresponsive to the application criteria.

Applicants must complete the budget and ensure the following information is in the budget screens:

- Current indirect rate cost if used to claim indirect/administrative costs.
- Identify the non-CNCS funding and resources necessary to support the project, including for Fixed Amount applicants.

- Indicate the amount of non-CNCS resource commitments, type of commitments (in-kind and/or cash), the sources of these commitments, and if the commitments are proposed or secured. This should be written in the “source of funds” section of the budget.

12.5 EVALUATION PLAN (REQUIRED FOR RE-COMPETING GRANTEES - 0 PERCENT)

If the applicant is competing for the first time, please provide a data collection plan in the “Evaluation Summary or Plan” field that includes the following:

- A description of the applicant’s data collection system and how it is sufficient to collect high quality performance measurement data during the first three years of the grant. If the applicant does not yet have a data collection system, describe the plan and timeline for developing a high quality system.
- A description of how the applicant will use performance data (including CNCS performance measures and other process and outcome measures if applicable) to improve its program in the first three years of funding.

First-time applicants should be aware that CNCS may require submission of data collection instruments if a grant is approved for funding. For more information about how to develop a high-quality data collection plan, visit the CNCS Knowledge Network.

If the applicant is re-competing for AmeriCorps funds for the first time (see definition of “re-competing” below) the program must submit its evaluation plan in the “Evaluation Summary or Plan” section of the Narratives field in CNCS’s web-based management system.

If the applicant is re-competing for a subsequent time, the program must submit its evaluation report as an attachment (see the *Submission of Additional Documents* section for more information), and must also submit an evaluation plan for the next three-year period in the “Evaluation Summary or Plan” field in the system. Evaluations plans submitted outside of the system will not be reviewed.

Evaluation plans must include as much information as possible for each of the following (see Frequently Asked Questions: Evaluation, available at <http://www.nationalservice.gov/resources/evaluation/cnsc-evaluation-policies>):

- A short description of the theory of change - why the proposed intervention is expected to produce the proposed results
- Outcome(s) of interest - clear and measurable outcomes that are aligned with the theory of change and will be assessed during the evaluation
- Research questions to be addressed by the study - concrete research questions (or hypotheses) that are clearly connected to the outcomes
- Proposed research design for the evaluation including a rationale for the design selected, an assessment of its strengths and limitations, and a description of the main components;
- Description of the data sources, sampling methods, measurement tools, and data collection procedures that will be used in the evaluation
- Analysis plan that clearly describes the methodology/ies that will be used to analyze the collected data
- A timeline for the evaluation that describes how the evaluation will cover at least one year of CNCS-funded activity and will be completed within the three-year timeframe of the grant
- Qualifications needed for the evaluator
- The proposed budget

Information provided in the Evaluation Summary or Plan field will not be scored and will not be reviewed until after funding decisions have been made.

For more information about evaluation plans visit the CNCS Knowledge Network's Evaluation Resources: <http://www.nationalservice.gov/resources/evaluation/planning-evaluation>.

The evaluation requirements differ depending on the amount of the grant, as described in 45 CFR §2522.700-710:

- If the applicant is a State/Territory sub-grantee and/or National Direct Grantee (other than an Education Award Program grantee), and its average annual CNCS program grant is \$500,000 or more, it must arrange for an external impact evaluation of the program (see Mandatory Supplemental Guidance), and it must submit the evaluation with any subsequent application to CNCS for competitive funds as required in 45 CFR §2522.730.
- If the applicant is a State/Territory sub-grantee and/or National Direct Grantee whose average annual CNCS program grant is less than \$500,000, or an Education Award Program Grantee, it must conduct an internal or an external evaluation of the program, and it must submit the evaluation with any subsequent application to CNCS for competitive funds as required in 45 CFR §2522.730.

For purposes of compliance with evaluation plan and report requirements, an application will be considered a re-competing application if it satisfies the CNCS definition of "same project" (see Mandatory Supplemental Guidance) and has been funded competitively for at least three years. If the applicant has received competitive funding for at least three of the last five years, it will be required to submit an evaluation plan. If the project satisfied the definition of same project and the applicant has completed, including at least six years of competitive funding for the same project, the applicant will be required to submit an evaluation report as well as an evaluation plan for the upcoming 3 year grant. If the applicant does not satisfy the definition of re-competing, it will not be required to submit an evaluation plan or completed evaluation report.

The "Evaluation Summary or Plan" field of the Narrative does not count toward the page limit of the application; however, it does have a set character limit of 10,000 characters.

State/Territory sub-grantees and/or National Direct Grantees that are re-competing for funds may be eligible to apply for approval of an alternative evaluation approach. Grantees requesting approval of an alternative evaluation approach should submit a request for approval of an alternative evaluation approach along with their evaluation plan in the Evaluation Summary or Plan field in the system. The request should clearly explain: (a) the evaluation constraints faced by the program, (b) why the proposed approach is the most rigorous option feasible, and (c) how the proposed alternative approach will help the grantee build their evidence base. Evaluation plans should include, at a minimum, the required elements listed in this *Notice*. The evaluation plan must be consistent with the information submitted in the competitive funding application and in the request for approval of an alternative evaluation approach. CNCS guidance on alternative evaluation approaches can be found on the CNCS website.

The "Evaluation Summary or Plan" field of the Narrative does not count toward the page limit of the application; however, it does have a set character limit of 10,000 characters. If the request for the alternative evaluation approach plus the Evaluation Plan itself will exceed the character limit of the Evaluation Summary or Plan field in the system, the applicant should do the following:

- Enter the Evaluation Plan in the Evaluation Summary or Plan field in the system.
- Include a note in the Evaluation Summary or Plan field stating that the applicant is requesting an alternative evaluation approach.

- Include the alternative evaluation approach at the END of the application narrative with the heading “REQUEST FOR ALTERNATIVE EVALUATION APPROACH.” This section of the application narrative will not count against the page limit.

12.6 AMENDMENT JUSTIFICATION (0 PERCENT)

Enter N/A. This field will be used if the applicant is awarded a grant and needs to amend it.

12.7 CLARIFICATION INFORMATION (0 PERCENT)

Enter N/A. This field will be used to enter information that requires clarification in the post-review period.

12.8 CONTINUATION CHANGES (0 PERCENT)

New or re-compete applicant should enter N/A in this narrative.

This field is used to enter changes in the application narratives for continuation applicants (both formula and competitive) as noted in the “continuation” section.

13 REVIEW AND SELECTION PROCESS

CNCS will make determination about applicants utilizing the data provided within the application and supplemental materials. These determinations may be different than what the applicant self-determined upon submission of its application.

13.1 COMPLIANCE AND ELIGIBILITY REVIEW

Serve Indiana and CNCS staff will conduct a Compliance Review to determine if an application meets the compliance requirements published in this *Notice* and advances to the next stage of the review process. Applications determined non-compliant will not be considered for funding. An application is compliant if the applicant:

- Is an eligible organization
- Is eligible to submit directly to CNCS
- Submits an application by the submission deadline

Reviewing for eligibility is intended to ensure that only those applications that are eligible for award are further reviewed. However, a determination of eligibility can take place at any point during the application review and selection process. Applicants that are determined to be non-compliant and ineligible will not receive an award.

13.2 REVIEW

Each application will be assessed by several Serve Indiana and CNCS staff. Serve Indiana staff, CNCS staff, and Serve Indiana external reviewers will evaluate the application using the application review criteria, consider the comprehensiveness and feasibility of the application, and assess the priorities and strategic considerations detailed in the *Notice*. External CNCS will also review and assess the evidence base criteria in the *Notice* for competitive submissions. All reviewers will be screened for conflicts of interest.

13.3 POST REVIEW QUALITY CONTROL

Serve Indiana will conduct pre award risk assessments on all new applicants before submission to CNCS for review. This will include an assessment of the organizations ability to manage and administer CNCS/federal funding. Pre award risk assessments will be scheduled by Serve Indiana once applications are received. The results of this assessment will be included in the staff recommendation to the Serve Indiana commission when funding decisions are made.

After the reviewers complete their assessment, CNCS staff will review the results for fairness and consistency. Some applications may be selected for a Quality Control assessment. This additional level of review may be used to assess applications for which there are significant panel anomalies. In addition, the Commission input on National Direct applications will be reviewed and assessed.

Serve Indiana staff will assess their re-competing sub-grantees' past performance and submit those assessments to CNCS. CNCS will assess its re-competing direct grantees related to past performance. This assessment is in addition to the evaluation of the applicant's eligibility for funding or the quality of its application on the basis of the Selection Criteria. Results from this assessment will inform funding decisions. In evaluating programmatic performance, CNCS will consider the following for applicants that are current formula and competitive grantees:

- Grant progress reports – attainment of Performance Measures

- Enrollment and retention
- Compliance with 30 day enrollment and exit requirements in the AmeriCorps portal
- Site visit or other monitoring findings (if applicable)
- OIG findings (if applicable)
- Significant opportunities and/or risks of the grantee related to national service
- Commission Rank

13.4 RISK ASSESSMENT EVALUATION

CNCS staff will evaluate the risks to the program posed by each applicant, including conducting due diligence to ensure an applicant's ability to manage federal funds and the applicant's past performance (if applicable.) This evaluation is in addition to the evaluation of the applicant's eligibility for funding and the quality of its application on the basis of the Selection Criteria. Results from this evaluation will inform funding decisions. If CNCS determines that an award will be made, special conditions that correspond to the degree of risk assessed may be applied to the award. In evaluating risks, CNCS may consider the following, but not limited to:

- Financial capability and capacity to manage Federal funds
- Quality of financial management systems and ability to meet the administrative standards prescribed in applicable OMB Guidance
- History of performance as reflected in the applicant's record in managing previous CNCS awards, cooperative agreements, or procurement awards, including:
 - Timeliness of compliance with applicable reporting requirements,
 - If applicable, meeting matching requirements, and
 - If applicable, the extent to which any previously awarded amounts will be expended prior to future awards
- Information available through any OMB-designated repositories of government-wide eligibility qualification or financial integrity information, such as—
 - Federal Awardee Performance and Integrity Information System (FAPIIS),
 - U.S. Treasury Bureau of Fiscal Services
 - Dun and Bradstreet,
 - "Do Not Pay," and /or
 - System for Award Management
- Reports and findings from single audits performed under Uniform Administrative Guidance and findings of any other available audits
- IRS Tax Form 990
- An applicant organization's annual report
- Publicly available information including information from an applicant organization's website
- Any other information listed in 45 CFR § 2522.140
- The applicant's ability to effectively implement statutory, regulatory, or other requirements.
- Responses to the Financial Management Survey and supporting documentation.
- Past Performance

Commission staff should review the same risks for the applicants they submit.

Commission staff will assess their re-competing sub-grantees' past performance and submit those assessments to CNCS. CNCS will assess its re-competing direct grantees related to past performance. This assessment is in addition to the evaluation of the applicant's eligibility for funding or the quality of its application on the basis of

the Selection Criteria. Results from this assessment will inform funding decisions. In evaluating programmatic performance, CNCS will consider the following for applicants that are current formula and competitive grantees:

- Grant progress reports – attainment of Performance Measures
- Enrollment and retention
- Compliance with 5 day enrollment and 30 day exit requirements in the AmeriCorps portal
- Site visit or other monitoring findings (if applicable)
- OIG findings (if applicable)
- Significant opportunities and/or risks of the grantee related to national service
- Commission Rank

Additionally, CNCS may use the results of the review of the risk assessment evaluation in determining which applications to fund. If CNCS concludes that the reasons for applicants having poor risk assessment evaluations are not likely to be mitigated, those applications may not be selected for funding.

13.5 CONSIDERATION OF INTEGRITY AND PERFORMANCE SYSTEM INFORMATION

Prior to making any award that exceeds \$150,000, CNCS is required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM and FAPIIS (see 41 U.S.C. 2313). Additionally CNCS may expand upon these requirements and use its discretion to review and consider information about any applicant receiving an award, including those under \$150,000.

Any applicant, at its option, may review information in the designated integrity and performance systems accessible through FAPIIS and comment on any information about itself that a Federal awarding agency previously entered and is currently in the designated integrity and performance system accessible through FAPIIS;

CNCS will consider any comments by any applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing its review of risk posed by the applicant under the Risk Assessment Evaluation section of this *Notice*.

13.6 APPLICANT CLARIFICATION

Some applicants may receive requests to provide clarifying information and/or make changes to their application including changes to the budget. These requests may come from Serve Indiana directly or through Serve Indiana from CNCS. This information is used by Serve Indian and CNCS staff in making funding recommendations. Applications may be recommended for funding even if they are not asked clarification questions. A request for clarification does not guarantee a grant award. Failure to respond to requests for clarification adequately and in a timely manner may result in the removal of applications from consideration.

13.7 SELECTION FOR FUNDING

The assessment of applications involves a wide range of factors and considerations. CNCS and Serve Indiana staff and Serve Indiana external reviewers will apply their experience and expertise in evaluating and recommending applications. CNCS will engage external reviewers to provide insight and input with respect to the evidence base of eligible applications. In the end, the review and selection process will produce a diversified set of high-quality programs that reflect the priorities and strategic consideration described in this *Notice*.

Specifically, the review and selection process will:

- Identify how well eligible applications are aligned with application review criteria and/or represent relative risk and/or opportunity.
- Yield a diversified portfolio based on the following strategic considerations:
 - Meaningful representation of
 - Geographic diversity
 - Rural communities (see definition in Mandatory Supplemental Guidance)
 - Single and multi-state programs
 - Faith- based organizations
 - CNCS *Notice* Priorities representation
 - Focus area representation
 - Moderate and Strong evidence levels
 - Program models that demonstrate a new approach to solving a community problem based on thorough review of existing research and evaluation about existing approaches to the problem

Based on the evaluation of these strategic considerations, applications may be selected for funding over applications with a greater degree of alignment with the review criteria. In selecting applicants to receive awards under this *Notice*, the Chief Executive Officer will endeavor to include a diverse portfolio of applications based on staff evaluations, recommendations, priorities, and strategic considerations.

CNCS and Serve Indiana reserves the right to adjust or make changes to the review process, in the event that unforeseen challenges or exigent circumstances make it impossible, impractical or inefficient to execute the review process as planned. Any such adjustments or changes will not affect the selection criteria that will be used to assess applications submitted to CNCS in response to this Notice.

14 FEEDBACK TO APPLICANTS

Following grant awards, each applicant will receive summary comments pertaining to their application. Feedback will be based on the review of the original application and will not reflect any information that may have been provided in response to applicant clarification, if any. All compliant applications will receive feedback from the external review of the evidence base portion of their application.

15 TRANSPARENCY IN GRANT-MAKING

CNCS is committed to transparency in grant-making. The following information for new and re-competing applications will be published on [CNCS Results of Grant Competitions](#) within 90 business days after all grants are awarded:

- A list of all compliant applications submitted
- Executive Summaries of all compliant applications submitted by the applicants
- Data extracted from the SF-424 Face Sheet
- A blank template of the external review worksheet
- A list of all external reviewers that completed the review process
- A summary of external reviewer comments for successful applications.

Submitted program narratives for successful applications will be available upon request.

16 FEDERAL AWARD ADMINISTRATION INFORMATION

16.1 FEDERAL AWARD NOTICES

CNCS will make awards following the grant selection announcement. Please see the Important Dates section at the beginning of this NOFO for the date, contingent on timely full year appropriations. Applicants will be notified of funding decisions via email. This notification is not an authorization to begin grant activities. The Notice of Grant Award signed by the Grant Officer is the only document authorizing awardees to commence grant activities. Unsuccessful applicants will also receive a notification that their application was not approved for funding.

An awardee may not obligate or expend federal funds until the start of the Project Period identified on the Notice of Grant Award.

16.2 ADMINISTRATIVE AND NATIONAL POLICY REQUIREMENTS

Uniform Guidance

All awards under this Notice are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance), now consolidated in 2 CFR Parts 200 and 2205.

Requests for Improper Payment Information

CNCS may, from time to time, request documentation from recipients in order to monitor the award or to comply with other legal requirements, such as the Improper Payments Information Act of 2002, as amended. Failure to make timely responses to these requests may result in award funds being placed on temporary manual hold, reimbursement only, or other remedies as appropriate. In addition, CNCS may, in appropriate circumstances, consider in its funding decisions any previous failures to make timely responses.

CNCS Terms and Conditions

All awards made under this *Notice* will be subject to the FY 2020 CNCS General Terms and Conditions, and the 2020 AmeriCorps Program Specific Terms and Conditions. These Terms and Conditions contain detailed, mandatory compliance and reporting requirements. Current versions of the CNCS General and Specific Terms and

Conditions for each of its programs is available at <https://www.nationalservice.gov/resources/terms-and-conditions-cnccs-grants>.

National Service Criminal History Check Requirements

- The National Service Criminal History Check (NSCHC) is a screening procedure established by law to protect the beneficiaries of national service. The law requires recipients to conduct and document NSCHCs on any person (including award-funded staff, national service participant, or volunteer) receiving a salary, living allowance, stipend, or education award through a program receiving CNCS funds. This includes staff that receive part of their salary through a sub-grant. An individual is ineligible to serve in a position that receives such CNCS funding if the individual is registered, or required to be registered, as a sex offender or has been convicted of murder. The cost of conducting NSCHCs is an allowable expense under the award. Please add \$55 to cover the cost of state check and/or FBI check for criminal history checks for each covered position in the CNCS share of the budget. If funds are not budgeted, an explanation for how the costs will be covered must be noted in the budget.

Unless CNCS has provided a recipient with a written exemption or written approval of an alternative search procedure, recipients must perform the following checks—

Special Rule for Persons Serving Vulnerable Populations-Serve Indiana recognizes all covered positions as having access to vulnerable populations.

Award-funded staff, national service participants, and volunteers *with recurring access to vulnerable populations* (i.e., children age 17 or younger, individuals age 60 or older, or individuals with disabilities) must undergo NSCHCs that include:

- A nationwide name-based check of the [NSOPW](#); and
- Both
- A name- or fingerprint-based search of the statewide criminal history registry in the person's state of residence and in the state where the person will serve/work; and
- A fingerprint-based FBI criminal history check.

See 45 CFR § 2540.200–§ 2540.207 and [CNCS Criminal History Check Resources](#) for complete information and FAQs.

As of December 31, 2019, many pre-approved Alternative Search Procedures (ASPs) and any existing, individual ASP/Exemption requests will expire and will no longer be available for use.

16.2.1 Use of Material

To ensure that materials generated with CNCS funding are available to the public and readily accessible to grantees and non-grantees, CNCS reserves a royalty-free, nonexclusive, and irrevocable right to obtain, use, modify, reproduce, publish, or disseminate publications and materials produced under the award, including data, and to authorize others to do so (2 CFR §200.315 (b))

16.2.2 Reporting

Recipients are required to submit a variety of reports which are due at specific times during the life cycle of an award. All reports must be accurate, complete, and submitted on time.

Cost reimbursement grantees are required to provide mid-year, end of year, and final progress reports, semi-annual financial reports, and an internal or external evaluation report as required by the AmeriCorps regulations 45 CFR §§2522.500-2522.540 and §§2522.700-2522.740. A final financial report is due at the end of the grant.

Fixed Amount grantees are required to provide mid-year, end of year, and final progress reports and an internal or external evaluation report. All grantees, including Fixed Amount grantees, submit quarterly financial reports to the U.S. Department of Health and Human Services Payment Management System. The Department of Workforce Development and Serve Indiana submits these financial reports on the programs behalf.

Award recipients will be required to report at www.FSRS.gov on all sub-awards over \$25,000 and may be required to report on executive compensation for your organization and for your sub-grantees. Recipients and sub-recipients must have the necessary systems in place to collect and report this information. See [2 CFR Part 170](#) for more information and to determine how these requirements apply.

Once the grant is awarded, recipients will be expected to have data collection and data management policies and practices that provide reasonable assurance that they are providing CNCS with high quality programmatic and financial data. At a minimum, grantees should have policies and practices which address the following five aspects of data quality:

- The grantee measures what it intends to measure;
- The grantee collects data in a consistent manner;
- The grantee takes steps to correct data errors;
- The grantee ensures that the data reported is complete; and
- The grantee actively reviews data prior to submission.

Progress Report Data

In addition to semi-annual reporting of progress toward the grant's approved performance measure outputs and outcomes, additional demographic and narrative data must be reported annually (as applicable), including the number of applicants for AmeriCorps positions and the number of volunteers recruited and/or supported by AmeriCorps members (both episodic and ongoing volunteers.) The full list of required and optional demographic indicators for AmeriCorps programs can be found on the AmeriCorps Grantee Progress Report webpage.

Failure to submit accurate, complete, and timely required reports may affect the recipient's ability to secure future CNCS funding.

Close to the beginning of the program year, Serve Indiana will communicate 2020-20 due dates for the above items via their AmeriCorps calendar. It is encouraged that all applicants review the 2020-19 calendar to understand expectations of funded programs. It is available on the Serve Indiana website here:

<http://www.in.gov/serveindiana/2396.htm>

17 CONTINUATION FUNDING INFORMATION AND REQUIREMENTS

Organizations that have current AmeriCorps awards that do not end in FY18 must submit an application in order to be eligible to receive funding for the following year. Please see the Application Instructions. Requests by existing continuation applicants for increases in the level of funding or number of positions will be assessed using the criteria under this *Notice*.

18 FEDERAL AWARDING AGENCY CONTACT(S)

This *Notice* is available at <http://www.nationalservice.gov/build-your-capacity/grants/funding-opportunities>. The TTY number is 800-833-3722. For further information or for a printed copy of this *Notice*, call (202) 606-7508. For questions regarding multi-state or Indian Tribes applications submitted directly to CNCS, please call (202) 606-7508 or send an email to americorpsgrants@cns.gov. Questions about single state applications should be addressed to the respective Commission. A list of all Commissions can be found here: <http://www.nationalservice.gov/about/contact-us/state-service-commissions>. CNCS' mailing address is:

- Corporation for National and Community Service
 - ATTN: Office of Grants Policy and Operations/ASN Application
 - 250 E St SW
 - Washington, DC 20525
- Serve Indiana's contact information for this NOFO is:
 - Cassandra Gillenwater, Director of Grants
 - ckellogggillenwater@serveindiana.gov
 - 317-233-0901
 - 10 N. Senate Ave, SE 206, Indianapolis, IN 46204
 - Serve Indiana's notice is available on their website here:
 - <http://www.in.gov/serveindiana/2718.htm>

19 OTHER INFORMATION

19.1 TECHNICAL ASSISTANCE

In addition to consulting the Application Instructions, Mandatory Supplemental Guidance, Performance Measure Instructions, and AmeriCorps regulations as directed in this *Notice*, applicants are strongly encouraged to attend one of several technical assistance opportunities provided by Serve Indiana to applicants. These include webinars, office hours, templates, and one on one technical assistance. It is strongly encouraged that all new and re-competive applicants meet with Serve Indiana at least once prior to their submission. All technical assistance dates and information can be found on the Serve Indiana website here: <https://www.in.gov/serveindiana/2718.htm>

19.2 REALLOCATION OF FUNDING

CNCS reserves the right to reallocate funding in the event of disaster or other compelling need for service.