

STATE BOARD OF ACCOUNTS
302 West Washington Street
Room E418
INDIANAPOLIS, INDIANA 46204-2769

AUDIT REPORT

OF

INDIANA UNIVERSITY

BLOOMINGTON, INDIANA

July 1, 2011 to June 30, 2012



FILED

03/22/2013

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SCHEDULE OF UNIVERSITY OFFICIALS

<u>Office</u>	<u>Official</u>	<u>Term</u>
University President	Michael A. McRobbie, Ph. D	07-01-11 to 06-30-13
University Vice President and Chief Financial Officer	Neal D. Theobald, Ph. D	07-01-12 to 12-31-12
Interim University Vice President, Chief Financial Officer and Treasurer	MaryFrances McCourt	01-01-13 to 06-30-13
Vice President for Research	Jorge José, Ph. D	07-01-11 to 06-30-13
Associate Vice President Research Administration	Steven A. Martin	07-01-11 to 06-30-13
Chair of the Board of Trustees	William R. Cast, M.D.	07-01-11 to 06-30-13



STATE OF INDIANA
AN EQUAL OPPORTUNITY EMPLOYER

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**REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON
COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS
PERFORMED IN ACCORDANCE WITH GOVERNMENT AUDITING STANDARDS**

TO: THE OFFICIALS OF INDIANA UNIVERSITY, BLOOMINGTON, INDIANA

We have audited the financial statements of Indiana University (University), as of and for the years ended June 30, 2012 and 2011, and have issued our report thereon dated October 18, 2012. Our report includes a reference to other auditors. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States. Other auditors audited the financial statements of the discretely presented component unit, as described in our report on the University's financial statements. This report does not include the results of the other auditors' testing of internal control over financial reporting or compliance and other matters that are reported on separately by those auditors.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the University's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under Government Auditing Standards.

This report is intended solely for the information and use of the University's audit committee, Board of Trustees, management, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. In accordance with Indiana Code 5-11-5-1, this report is a part of the public records of the State Board of Accounts and of the office examined.

October 18, 2012

STATE BOARD OF ACCOUNTS

State Board of Accounts



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**INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE WITH REQUIREMENTS THAT
COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON
INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133**

TO: THE OFFICIALS OF INDIANA UNIVERSITY, BLOOMINGTON INDIANA

Compliance

We have audited the compliance of Indiana University (University) with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement that could have a direct and material effect on each of the University's major federal programs for the year ended June 30, 2012. The University's major federal programs are identified in the Summary of Auditor's Results section of the accompanying Schedule of Findings and Questioned Costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the University's management. Our responsibility is to express an opinion on the University's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States; and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the University's compliance with those requirements.

In our opinion, the University complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012.

Internal Control Over Compliance

Management of the University is responsible for establishing and maintaining effective internal control over compliance with requirements of laws, regulations, contracts and grants applicable to federal programs. In planning and performing our audit, we considered the University's internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE WITH REQUIREMENTS THAT
COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON
INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133
(Continued)

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of the internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditures of Federal Awards

We have audited the financial statements of Indiana University as of and for the year ended June 30, 2012, and have issued our report thereon dated October 18, 2012 which contained an unqualified opinion on those financial statements. Our audit was performed for the purpose of forming an opinion on the financial statements taken as a whole. The accompanying Schedule of Expenditures of Federal Awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the financial statements as a whole.

This report is intended solely for the information and use of management, Board of Trustees, others within the entity, federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties. In accordance with Indiana Code 5-11-5-1, this report is a part of the public records of the State Board of Accounts and of the office examined.

STATE BOARD OF ACCOUNTS

State Board of Accounts

February 19, 2013

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FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Research and Development Cluster				
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE				
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE				
Direct				
Volunteers in Service to America	94.013		\$ 57,566	\$ -
Volunteers in Service to America Total			\$ 57,566	\$ -
Direct Total			\$ 57,566	\$ -
Pass Through				
Learn and Serve America_School and Community Based Programs	94.004	INDIANA DEPARTMENT OF EDUCATION	\$ 14,729	\$ -
Learn and Serve America_School and Community Based Programs Total			\$ 14,729	\$ -
Pass Through Total			\$ 14,729	\$ -
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE Total			\$ 72,295	\$ -
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE Total			\$ 72,295	\$ -
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION				
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION				
Direct				
Science	43.001		\$ 909,850	\$ 34,763
Science Total			\$ 909,850	\$ 34,763
	43. NNA07CN83A		\$ 22,257	\$ 22,374
	43. NNX08AI10G^S000011		\$ 166,761	\$ -
	43. NNX08AK36G^S000004		\$ 56,955	\$ -
	43. NNX09AT58G		\$ 148,998	\$ -
	43. NNX10AF04G^S000001		\$ (5,065)	\$ -
	43. NNX10AH13G		\$ (10,272)	\$ -
	43. NNX10AM03G^S000001		\$ 110,409	\$ -
	43.NNX08AN43G^S000004		\$ 86,347	\$ -
	43.NNX10AP59G^S000001		\$ 144,309	\$ -
			\$ 720,699	\$ 22,374
Direct Total			\$ 1,630,549	\$ 57,136
Pass Through				
Aeronautics	43.002	RENSSELAER POLYTECHNIC INSTITUTE	\$ 83,082	\$ -
Aeronautics Total			\$ 83,082	\$ -
Science				
	43.001	CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB	\$ 8,011	\$ -
		CHICAGO PUBLIC SCHOOLS	\$ 29,208	\$ -
		PURDUE UNIVERSITY	\$ 17,000	\$ -
		SETI INSTITUTE	\$ 283	\$ -
		UNIVERSITY OF CALIFORNIA, DAVIS	\$ 54,195	\$ -
		UNIVERSITY OF NOTRE DAME	\$ 15,323	\$ -
Science Total			\$ 124,020	\$ -
	43. 1273255^13	CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB	\$ 13,200	\$ -
	43. 1365365^1	CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB	\$ 1	\$ -
	43. 1367303^M4	CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB	\$ 110,238	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
	43. 1388379^M04	CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB	\$ 41,762	\$ -
	43. 1439958	CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB	\$ 30,454	\$ -
	43. 1440172	CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB	\$ 57,049	\$ -
	43. 1451883	CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB	\$ 7,324	\$ -
	43. 149606-1^Mod1	LOS ALAMOS NATIONAL LABORATORY	\$ 73,317	\$ -
	43. 4103-37854^A1	PURDUE UNIVERSITY	\$ -	\$ -
	43. 4103-40659	PURDUE UNIVERSITY	\$ -	\$ -
	43. 4103-41189	PURDUE UNIVERSITY	\$ -	\$ -
	43. 46210^A1	LOUISIANA STATE UNIVERSITY	\$ 16,687	\$ -
	43. 639^M3	PLANETARY SCIENCE INSTITUTE	\$ -	\$ -
	43. 676^M1	PLANETARY SCIENCE INSTITUTE	\$ 7,912	\$ -
	43. FY2011-048^M4	UNIVERSITY OF KANSAS	\$ 161,395	\$ -
	43. G02-13057C	SMITHSONIAN ASTROPHYSICAL OBSERVATORY	\$ 10,429	\$ -
	43. G00-11109A^A1	SMITHSONIAN ASTROPHYSICAL OBSERVATORY	\$ 11,667	\$ -
	43. G01-12057X	SMITHSONIAN ASTROPHYSICAL OBSERVATORY	\$ 15,815	\$ -
	43. G09-0053X	SMITHSONIAN ASTROPHYSICAL OBSERVATORY	\$ -	\$ -
	43. HST-AR-11753.03-A^A1	SPACE TELESCOPE SCIENCE INSTITUTE	\$ -	\$ -
	43. HST-GO-10788.01-A^A3	SPACE TELESCOPE SCIENCE INSTITUTE	\$ -	\$ -
	43. HST-GO-11340.02-A^A1	SPACE TELESCOPE SCIENCE INSTITUTE	\$ -	\$ -
	43. HST-GO-11495.12-A^A1	SPACE TELESCOPE SCIENCE INSTITUTE	\$ 11,667	\$ -
	43. HST-GO-12020.07-A	SPACE TELESCOPE SCIENCE INSTITUTE	\$ 1,694	\$ -
	43. HST-GO-12254-02-A	SPACE TELESCOPE SCIENCE INSTITUTE	\$ 22,512	\$ -
	43. NCC 9-58-452	NATIONAL SPACE BIOMEDICAL RESEARCH INSTITUTE	\$ (9,660)	\$ -
	43. NCC 9-58-452/MA01604^3	NATIONAL SPACE BIOMEDICAL RESEARCH INSTITUTE	\$ 144,774	\$ -
	43. NNX08Az06G	UNIVERSITY OF HAWAII	\$ 629	\$ -
			\$ 728,866	\$ -
Pass Through Total			\$ 935,968	\$ -
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION Total			\$ 2,566,517	\$ 57,136
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION Total			\$ 2,566,517	\$ 57,136
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION				
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION				
Direct				
National Historical Publications and Records Grants	89.003		\$ 74,949	\$ -
National Historical Publications and Records Grants Total			\$ 74,949	\$ -
Direct Total			\$ 74,949	\$ -
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION Total			\$ 74,949	\$ -
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION Total			\$ 74,949	\$ -
NATIONAL ENDOWMENT FOR THE HUMANITIES				
NATIONAL ENDOWMENT FOR THE HUMANITIES				
Direct				
Promotion of the Humanities_Division of Preservation and Access	45.149		\$ 252,194	\$ 2,126
Promotion of the Humanities_Division of Preservation and Access Total			\$ 252,194	\$ 2,126
Promotion of the Humanities_Office of Digital Humanities	45.169		\$ 174,107	\$ -
Promotion of the Humanities_Office of Digital Humanities Total			\$ 174,107	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Promotion of the Humanities_Research	45.161		\$ 173,654	\$ -
Promotion of the Humanities_Research Total			\$ 173,654	\$ -
Direct Total			\$ 599,955	\$ 2,126
Pass Through				
Promotion of the Humanities_Division of Preservation and Access	45.149	UNIVERSITY OF MARYLAND	\$ 11,748	\$ -
Promotion of the Humanities_Division of Preservation and Access Total			\$ 11,748	\$ -
Promotion of the Humanities_Office of Digital Humanities	45.169	AMERICAN INDIAN HIGHER EDUCATION CONSORTIUM	\$ 4,192	\$ -
Promotion of the Humanities_Office of Digital Humanities Total		BROWN UNIVERSITY	\$ 15,000	\$ -
			\$ 19,192	\$ -
Promotion of the Humanities_Research	45.161	MICHIGAN STATE UNIVERSITY	\$ 38,517	\$ -
Promotion of the Humanities_Research Total			\$ 38,517	\$ -
Pass Through Total			\$ 69,457	\$ -
NATIONAL ENDOWMENT FOR THE HUMANITIES Total			\$ 669,412	\$ 2,126
NATIONAL ENDOWMENT FOR THE HUMANITIES Total			\$ 669,412	\$ 2,126
NATIONAL SCIENCE FOUNDATION				
NATIONAL SCIENCE FOUNDATION				
Direct				
ARRA Trans-NSF Recovery Act Research Support	47.082		\$ 3,016,922	\$ 8,963
ARRA Trans-NSF Recovery Act Research Support Total			\$ 3,016,922	\$ 8,963
Biological Sciences	47.074		\$ 7,915,105	\$ 1,602,204
Biological Sciences Total			\$ 7,915,105	\$ 1,602,204
Computer and Information Science and Engineering	47.070		\$ 3,281,553	\$ 210,957
Computer and Information Science and Engineering Total			\$ 3,281,553	\$ 210,957
Education and Human Resources	47.076		\$ 1,685,647	\$ 56,784
Education and Human Resources Total			\$ 1,685,647	\$ 56,784
Engineering Grants	47.041		\$ 83,572	\$ -
Engineering Grants Total			\$ 83,572	\$ -
Geosciences	47.050		\$ 1,900,040	\$ 1,126
Geosciences Total			\$ 1,900,040	\$ 1,126
Mathematical and Physical Sciences	47.049		\$ 8,211,185	\$ 6,029
Mathematical and Physical Sciences Total			\$ 8,211,185	\$ 6,029
Office of Cyberinfrastructure	47.080		\$ 3,555,649	\$ 1,771,000
Office of Cyberinfrastructure Total			\$ 3,555,649	\$ 1,771,000
Polar Programs	47.078		\$ 287,146	\$ -
Polar Programs Total			\$ 287,146	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Social, Behavioral, and Economic Sciences	47.075		\$ 1,548,191	\$ 50,744
Social, Behavioral, and Economic Sciences Total			\$ 1,548,191	\$ 50,744
Direct Total			\$ 31,485,010	\$ 3,707,808
Pass Through				
ARRA Trans-NSF Recovery Act Research Support	47.082	RAYTHEON BBN TECHNOLOGIES CORPORATION	\$ 134,355	\$ -
ARRA Trans-NSF Recovery Act Research Support Total			\$ 134,355	\$ -
Biological Sciences	47.074	BRANDEIS UNIVERSITY	\$ (1,302)	\$ -
		COLORADO STATE UNIVERSITY	\$ 143,836	\$ -
		DUKE UNIVERSITY	\$ 90,993	\$ -
		OKLAHOMA STATE UNIVERSITY	\$ 52,064	\$ -
		UNIVERSITY OF NOTRE DAME	\$ 6,911	\$ -
		UNIVERSITY OF TEXAS AT AUSTIN	\$ 79,052	\$ -
Biological Sciences Total			\$ 371,554	\$ -
Computer and Information Science and Engineering	47.070	NORTHEASTERN UNIVERSITY	\$ 38,776	\$ -
		PURDUE UNIVERSITY	\$ 17,179	\$ -
		RAYTHEON BBN TECHNOLOGIES CORPORATION	\$ 37,093	\$ -
		UNIVERSITY OF ARIZONA	\$ 6,887	\$ -
		UNIVERSITY OF CHICAGO	\$ 23,722	\$ -
Computer and Information Science and Engineering Total			\$ 123,657	\$ -
Education and Human Resources	47.076	AMERICAN EDUCATIONAL RESEARCH ASSOCIATION	\$ 2,000	\$ -
		PURDUE UNIVERSITY	\$ 91,775	\$ -
		UNIVERSITY OF OREGON	\$ 52,196	\$ -
Education and Human Resources Total			\$ 145,971	\$ -
Engineering Grants	47.041	BALL STATE UNIVERSITY	\$ 55,730	\$ -
		UNIVERSITY OF MASSACHUSETTS	\$ 33,510	\$ -
		UNIVERSITY OF NOTRE DAME	\$ 4,104	\$ -
Engineering Grants Total			\$ 93,344	\$ -
Geosciences	47.050	UNIVERSITY OF GEORGIA	\$ 41,469	\$ -
Geosciences Total			\$ 41,469	\$ -
Mathematical and Physical Sciences	47.049	COLUMBIA UNIVERSITY	\$ 125,286	\$ -
		UNIVERSITY OF CHICAGO	\$ 487,713	\$ -
		UNIVERSITY OF NOTRE DAME	\$ 52,457	\$ -
		UNIVERSITY OF WISCONSIN	\$ 621,594	\$ -
Mathematical and Physical Sciences Total			\$ 1,287,050	\$ -
Office of Cyberinfrastructure	47.080	UNIVERSITY AT BUFFALO	\$ 126,086	\$ -
		UNIVERSITY OF CHICAGO	\$ 106,312	\$ -
		UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN	\$ 967,108	\$ -
		UNIVERSITY OF MICHIGAN	\$ 138,384	\$ -
		VIRGINIA POLYTECHNIC INSTITUTE & STATE UNIVERSITY	\$ 77,651	\$ -
Office of Cyberinfrastructure Total			\$ 1,415,541	\$ -
Polar Programs	47.078	UNIVERSITY OF KANSAS	\$ 188,973	\$ -
Polar Programs Total			\$ 188,973	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Social, Behavioral, and Economic Sciences	47.075	ASSOCIATION FOR INSTITUTIONAL RESEARCH	\$ -	\$ -
		DUKE UNIVERSITY	\$ 30,703	\$ -
		FLORIDA STATE UNIVERSITY	\$ 6,023	\$ -
		UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	\$ 14,662	\$ -
Social, Behavioral, and Economic Sciences Total			\$ 51,388	\$ -
Pass Through Total			\$ 3,853,302	\$ -
NATIONAL SCIENCE FOUNDATION Total			\$ 35,338,312	\$ 3,707,808
NATIONAL SCIENCE FOUNDATION Total			\$ 35,338,312	\$ 3,707,808
OFFICE OF THE DIRECTOR OF NATIONAL INTELLIGENCE OFFICE OF THE DIRECTOR OF NATIONAL INTELLIGENCE Pass Through	**	APPLIED RESEARCH ASSOCIATES INC	\$ 117,945	\$ -
		OBJECTVIDEO, INC.	\$ 8,618	\$ -
		RAYTHEON BBN TECHNOLOGIES CORPORATION	\$ 300,833	\$ -
		VIRGINIA POLYTECHNIC INSTITUTE & STATE UNIVERSITY	\$ 40,059	\$ -
			\$ 467,455	\$ -
Pass Through Total			\$ 467,455	\$ -
OFFICE OF THE DIRECTOR OF NATIONAL INTELLIGENCE Total			\$ 467,455	\$ -
OFFICE OF THE DIRECTOR OF NATIONAL INTELLIGENCE Total			\$ 467,455	\$ -
U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT Pass Through	98. GHS-A-00-08-0002-00621	SAVE THE CHILDREN FEDERATION, INC.	\$ -	\$ -
			\$ -	\$ -
Pass Through Total			\$ -	\$ -
U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT Total			\$ -	\$ -
U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT Total			\$ -	\$ -
U.S. DEPARTMENT OF AGRICULTURE NATURAL RESOURCES CONSERVATION SERVICE Direct Wetlands Reserve Program	10.072		\$ 28,346	\$ 11,540
Wetlands Reserve Program Total			\$ 28,346	\$ 11,540
Direct Total			\$ 28,346	\$ 11,540
NATURAL RESOURCES CONSERVATION SERVICE Total			\$ 28,346	\$ 11,540
U.S. DEPARTMENT OF AGRICULTURE Direct Agricultural Research_Basic and Applied Research	10.001		\$ 17,310	\$ -
Agricultural Research_Basic and Applied Research Total			\$ 17,310	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Conservation Reserve Program	10.069		\$ 28,793	\$ -
Conservation Reserve Program Total			\$ 28,793	\$ -
Grants for Agricultural Research_Competitive Research Grants	10.206		\$ 254,986	\$ 166,041
Grants for Agricultural Research_Competitive Research Grants Total			\$ 254,986	\$ 166,041
Direct Total			\$ 301,089	\$ 166,041
Pass Through				
Agriculture and Food Research Initiative (AFRI)	10.310	UNIVERSITY OF CALIFORNIA, DAVIS	\$ 4,947	\$ -
Agriculture and Food Research Initiative (AFRI) Total			\$ 4,947	\$ -
Biotechnology Risk Assessment Research	10.219	TEXAS AGRILIFE RESEARCH	\$ 30,144	\$ -
Biotechnology Risk Assessment Research Total			\$ 30,144	\$ -
Integrated Programs	10.303	PURDUE UNIVERSITY	\$ 12,407	\$ -
Integrated Programs Total			\$ 12,407	\$ -
	10 DECATUR CO.	DECATUR COUNTY SOIL & WATER CONSERVATION DISTRICT	\$ 6,426	\$ -
	10. A58-0-10SN-002	INDIANA DEPARTMENT OF EDUCATION	\$ 20,672	\$ -
			\$ 27,098	\$ -
Pass Through Total			\$ 74,596	\$ -
U.S. DEPARTMENT OF AGRICULTURE Total			\$ 375,685	\$ 166,041
U.S. DEPARTMENT OF AGRICULTURE FOREST SERVICE				
Direct				
Forest Legacy Program	10.676		\$ 12,610	\$ -
Forest Legacy Program Total			\$ 12,610	\$ -
Forestry Research	10.652		\$ 42	\$ -
Forestry Research Total			\$ 42	\$ -
	10. 10-CS-11021400-011		\$ 2,002	\$ -
	10.11-JV-11242306-067		\$ 32,256	\$ -
			\$ 34,258	\$ -
Direct Total			\$ 46,910	\$ -
Pass Through				
Urban and Community Forestry Program	10.675	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 3,129	\$ -
Urban and Community Forestry Program Total			\$ 3,129	\$ -
Pass Through Total			\$ 3,129	\$ -
U.S. DEPARTMENT OF AGRICULTURE FOREST SERVICE Total			\$ 50,039	\$ -
U.S. DEPARTMENT OF AGRICULTURE Total			\$ 454,070	\$ 177,581
U.S. DEPARTMENT OF COMMERCE				
ECONOMIC DEVELOPMENT ADMINISTRATION				

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Direct				
Economic Development_Technical Assistance	11.303		\$ -	\$ -
Economic Development_Technical Assistance Total			\$ -	\$ -
Direct Total			\$ -	\$ -
ECONOMIC DEVELOPMENT ADMINISTRATION Total			\$ -	\$ -
NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY				
Direct				
Measurement and Engineering Research and Standards	11.609		\$ 842,822	\$ -
Measurement and Engineering Research and Standards Total			\$ 842,822	\$ -
Direct Total			\$ 842,822	\$ -
NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY Total			\$ 842,822	\$ -
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION				
Pass Through				
Coastal Zone Management Administration Awards	11.419	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 57,162	\$ -
Coastal Zone Management Administration Awards Total			\$ 57,162	\$ -
	11. E16-2-CL1007	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 12,954	\$ -
	11. NFFKHC30-10-18275	HDR INC.	\$ 2,917	\$ -
			\$ 15,871	\$ -
Pass Through Total			\$ 73,033	\$ -
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION Total			\$ 73,033	\$ -
U.S. DEPARTMENT OF COMMERCE Total			\$ 915,855	\$ -
U.S. DEPARTMENT OF DEFENSE				
AIR FORCE OFFICE OF SCIENTIFIC RESEARCH				
Direct				
Air Force Defense Research Sciences Program	12.800		\$ 293,033	\$ -
Air Force Defense Research Sciences Program Total			\$ 293,033	\$ -
Direct Total			\$ 293,033	\$ -
Pass Through				
Air Force Defense Research Sciences Program	12.800	OREGON STATE UNIVERSITY	\$ 221	\$ -
		VIRGINIA POLYTECHNIC INSTITUTE & STATE UNIVERSITY	\$ 48,300	\$ -
Air Force Defense Research Sciences Program Total			\$ 48,521	\$ -
Pass Through Total			\$ 48,521	\$ -
AIR FORCE OFFICE OF SCIENTIFIC RESEARCH Total			\$ 341,554	\$ -
AIR FORCE RESEARCH LABORATORY				
Pass Through				
	12. S80087-01^M1	BALL AEROSPACE AND TECHNOLOGIES CORP	\$ 608,945	\$ -
			\$ 608,945	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Pass Through Total			\$ 608,945	\$ -
AIR FORCE RESEARCH LABORATORY Total			\$ 608,945	\$ -
DEFENSE ADVANCED RESEARCH PROJECTS AGENCY				
Direct				
Research and Technology Development	12.910		\$ 196,248	\$ 90,778
Research and Technology Development Total			\$ 196,248	\$ 90,778
Direct Total			\$ 196,248	\$ 90,778
Pass Through				
	12. 1190769^R2	SANDIA NATIONAL LABORATORY	\$ 67,856	\$ -
	12. GG11413-137379^A3	UNIVERSITY OF VIRGINIA	\$ 79,924	\$ -
			\$ 147,780	\$ -
Pass Through Total			\$ 147,780	\$ -
DEFENSE ADVANCED RESEARCH PROJECTS AGENCY Total			\$ 344,028	\$ 90,778
DEFENSE THREAT REDUCTION AGENCY				
Pass Through				
Basic Scientific Research - Combating Weapons of Mass Destruction	12.351	UNIVERSITY OF NOTRE DAME	\$ 8,331	\$ -
Basic Scientific Research - Combating Weapons of Mass Destruction Total			\$ 8,331	\$ -
Pass Through Total			\$ 8,331	\$ -
DEFENSE THREAT REDUCTION AGENCY Total			\$ 8,331	\$ -
INDIANA NATIONAL GUARD				
Direct				
	12. W912L9-10-C-0002		\$ 467,246	\$ -
			\$ 467,246	\$ -
Direct Total			\$ 467,246	\$ -
INDIANA NATIONAL GUARD Total			\$ 467,246	\$ -
NAVAL SURFACE WARFARE CENTER CRANE DIVISION				
Direct				
Basic and Applied Scientific Research	12.300		\$ 10,329	\$ -
Basic and Applied Scientific Research Total			\$ 10,329	\$ -
	12. N00164-08-C-JQ11^P00001		\$ -	\$ -
	12. N00164-08-C-GM03^P00005		\$ 898,496	\$ -
	12. N00164-09-C-GS42^3		\$ -	\$ -
	12. N00164-09-C-GS42^4		\$ 463,238	\$ 105,623
			\$ 1,361,734	\$ 105,623
Direct Total			\$ 1,372,063	\$ 105,623
NAVAL SURFACE WARFARE CENTER CRANE DIVISION Total			\$ 1,372,063	\$ 105,623

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
OFFICE OF NAVAL RESEARCH				
Direct				
Basic and Applied Scientific Research	12.300		\$ 476,163	\$ 194,026
Basic and Applied Scientific Research Total			\$ 476,163	\$ 194,026
Direct Total			\$ 476,163	\$ 194,026
Pass Through				
Basic and Applied Scientific Research	12.300	UNIVERSITY OF MIAMI	\$ 93,186	\$ -
Basic and Applied Scientific Research Total			\$ 93,186	\$ -
	12. N00014-11-M-0113	MO-SCI CORPORATION	\$ 16,475	\$ -
			\$ 16,475	\$ -
Pass Through Total			\$ 109,661	\$ -
OFFICE OF NAVAL RESEARCH	Total		\$ 585,824	\$ 194,026
U.S. ARMY				
Direct				
Basic Scientific Research	12.431		\$ 1,180,103	\$ 322,918
Basic Scientific Research Total			\$ 1,180,103	\$ 322,918
Military Medical Research and Development	12.420		\$ 1,997,367	\$ 1,468,437
Military Medical Research and Development Total			\$ 1,997,367	\$ 1,468,437
	12. W911NF-10-2-0075		\$ 378,212	\$ 146,351
			\$ 378,212	\$ 146,351
Direct Total			\$ 3,555,682	\$ 1,937,707
Pass Through				
Collaborative Research and Development	12.114	UNIVERSITY OF NOTRE DAME	\$ 55,592	\$ -
Collaborative Research and Development Total			\$ 55,592	\$ -
Military Medical Research and Development	12.420	CLEMSON UNIVERSITY	\$ 40,830	\$ -
		T.R.U.E. RESEARCH FOUNDATION	\$ (91,033)	\$ -
		THE SAMUELI INSTITUTE	\$ 118,634	\$ -
Military Medical Research and Development Total			\$ 68,431	\$ -
	12. 196846	MOI UNIVERSITY FACULTY OF HEALTH SCIENCES	\$ 36,274	\$ -
	12. W909MY08C0035	IPOWER, INC.	\$ (59,768)	\$ -
	12. W911NF-11-C-0207	TREMONT TECHNOLOGY LLED	\$ 10,934	\$ -
			\$ (12,560)	\$ -
Pass Through Total			\$ 111,463	\$ -
U.S. ARMY	Total		\$ 3,667,145	\$ 1,937,707
U.S. ARMY MEDICAL COMMAND				
Pass Through				
Military Medical Research and Development	12.420	CRAIG HOSPITAL (COLORADO)	\$ 35,872	\$ 9,780

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Military Medical Research and Development Total			\$ 35,872	\$ 9,780
Pass Through Total			\$ 35,872	\$ 9,780
U.S. ARMY MEDICAL COMMAND Total			\$ 35,872	\$ 9,780
U.S. ARMY MEDICAL RESEARCH AND MATERIEL COMMAND				
Direct				
Military Medical Research and Development	12.420		\$ 1,655,948	\$ 7,934
Military Medical Research and Development Total			\$ 1,655,948	\$ 7,934
Direct Total			\$ 1,655,948	\$ 7,934
Pass Through				
Military Medical Research and Development	12.420	THE GENEVA FOUNDATION	\$ 74,638	\$ -
Military Medical Research and Development Total			\$ 74,638	\$ -
Pass Through Total			\$ 74,638	\$ -
U.S. ARMY MEDICAL RESEARCH AND MATERIEL COMMAND Total			\$ 1,730,586	\$ 7,934
U.S. ARMY RESEARCH INSTITUTE				
Pass Through				
	12. 50402078051^M2	NORTHEASTERN UNIVERSITY	\$ -	\$ -
	12. 50402078051^M5	NORTHEASTERN UNIVERSITY	\$ 5,834	\$ -
Pass Through Total			\$ 5,834	\$ -
U.S. ARMY RESEARCH INSTITUTE Total			\$ 5,834	\$ -
U.S. ARMY RESEARCH LABORATORY				
Pass Through				
	12. W911NF-10-2-0071	UNIVERSITY OF MEMPHIS	\$ 171,288	\$ -
Pass Through Total			\$ 171,288	\$ -
U.S. ARMY RESEARCH LABORATORY Total			\$ 171,288	\$ -
U.S. ARMY RESEARCH OFFICE				
Direct				
Basic Scientific Research	12.431		\$ 27,827	\$ -
Basic Scientific Research Total			\$ 27,827	\$ -
Direct Total			\$ 27,827	\$ -
U.S. ARMY RESEARCH OFFICE Total			\$ 27,827	\$ -
U.S. DEPARTMENT OF DEFENSE				
Direct				
Military Medical Research and Development	12.420		\$ 1,468,603	\$ (14,121)
Military Medical Research and Development Total			\$ 1,468,603	\$ (14,121)

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
	12. W81XWH-08-1-0691		\$ 92,933	\$ -
			\$ 92,933	\$ -
Direct Total			\$ 1,561,536	\$ (14,121)
Pass Through				
Basic and Applied Scientific Research	12.300	JOHNS HOPKINS UNIVERSITY	\$ 90,008	\$ -
Basic and Applied Scientific Research Total			\$ 90,008	\$ -
Military Medical Research and Development	12.420	ALBERT EINSTEIN COLLEGE OF MEDICINE OF YESHIVA UNI	\$ 52,905	\$ -
		INDIANA UNIVERSITY HEALTH	\$ 246,673	\$ -
		UNIVERSITY OF UTAH	\$ 46,894	\$ -
		VANDERBILT UNIVERSITY	\$ -	\$ -
Military Medical Research and Development Total			\$ 346,472	\$ -
	12. 1008^W911NF-11-C-0025	INNOVATEK, INC.	\$ -	\$ -
	12. 10140/W911NF-12-C-0008	INNOVATEK, INC.	\$ 14,245	\$ -
	12. 1208502	SANDIA NATIONAL LABORATORY	\$ 53,120	\$ -
	12. 2011LEVERAGINGU6310401U^M1	INSTITUTE OF INTERNATIONAL EDUCATION	\$ 61,587	\$ -
	12. PO 006872	VERSAR, INC	\$ -	\$ -
	12. S11121	KANSAS STATE UNIVERSITY	\$ 16,954	\$ -
	12. VU-DSR # 20579-S1	VANDERBILT UNIVERSITY	\$ 41,167	\$ -
	12. W81XWH-08-1-0693	MEMORIAL HEALTH SYSTEMS INC	\$ 11,518	\$ -
			\$ 198,591	\$ -
Pass Through Total			\$ 635,071	\$ -
U.S. DEPARTMENT OF DEFENSE Total			\$ 2,196,607	\$ (14,121)
U.S. NAVY Direct				
	12. N41756-09-C-4569		\$ -	\$ -
	12. N41756-11-C-3931		\$ 176,190	\$ -
			\$ 176,190	\$ -
Direct Total			\$ 176,190	\$ -
U.S. NAVY Total			\$ 176,190	\$ -
U.S. DEPARTMENT OF DEFENSE Total			\$ 11,739,340	\$ 2,331,727
U.S. DEPARTMENT OF EDUCATION INSTITUTE OF EDUCATION SCIENCES Direct				
Education Research, Development and Dissemination	84.305		\$ 528,767	\$ -
Education Research, Development and Dissemination Total			\$ 528,767	\$ -
Direct Total			\$ 528,767	\$ -
Pass Through				
Education Research, Development and Dissemination	84.305	MICHIGAN STATE UNIVERSITY	\$ 144,531	\$ -
		UNIVERSITY OF RICHMOND	\$ 92,464	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Education Research, Development and Dissemination Total			\$ 236,995	\$ -
Pass Through Total			\$ 236,995	\$ -
INSTITUTE OF EDUCATION SCIENCES Total			\$ 765,762	\$ -
OFFICE OF EDUCATIONAL RESEARCH AND IMPROVEMENT				
Pass Through				
Twenty-First Century Community Learning Centers	84.287	ARCHDIOCESE OF INDIANAPOLIS	\$ (1,701)	\$ -
		BARTHOLOMEW CONSOLIDATED SCHOOL CORPORATION	\$ 3,645	\$ -
		CHRISTEL HOUSE ACADEMY	\$ 87	\$ -
		INDIANA DEPARTMENT OF EDUCATION	\$ 77,638	\$ -
		INDIANAPOLIS DEPARTMENT OF PARKS & RECREATION	\$ -	\$ -
Twenty-First Century Community Learning Centers Total			\$ 79,669	\$ -
Pass Through Total			\$ 79,669	\$ -
OFFICE OF EDUCATIONAL RESEARCH AND IMPROVEMENT Total			\$ 79,669	\$ -
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION				
Pass Through				
Safe and Drug-Free Schools and Communities_National Programs	84.184	RICHMOND COMMUNITY SCHOOLS	\$ 110,804	\$ -
Safe and Drug-Free Schools and Communities_National Programs Total			\$ 110,804	\$ -
Title I Grants to Local Educational Agencies	84.010	INDIANA DEPARTMENT OF EDUCATION	\$ 16,954	\$ -
Title I Grants to Local Educational Agencies Total			\$ 16,954	\$ -
Pass Through Total			\$ 127,758	\$ -
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION Total			\$ 127,758	\$ -
OFFICE OF INNOVATION AND IMPROVEMENT				
Direct				
Teacher Quality Partnership Grants	84.336		\$ 319,892	\$ -
Teacher Quality Partnership Grants Total			\$ 319,892	\$ -
Direct Total			\$ 319,892	\$ -
Pass Through				
Arts in Education	84.351	LOS ANGELES UNIFIED SCHOOL DISTRICT	\$ 40,207	\$ -
Arts in Education Total			\$ 40,207	\$ -
Fund for the Improvement of Education	84.215	CLARIAN HEALTH PARTNERS, INC.	\$ 3,741	\$ -
		INDIANA DEPARTMENT OF EDUCATION	\$ 22,414	\$ -
Fund for the Improvement of Education Total			\$ 26,155	\$ -
Magnet Schools Assistance	84.165	MSD LAWRENCE TOWNSHIP	\$ 16,332	\$ -
Magnet Schools Assistance Total			\$ 16,332	\$ -
Pass Through Total			\$ 82,694	\$ -
OFFICE OF INNOVATION AND IMPROVEMENT Total			\$ 402,586	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
OFFICE OF POSTSECONDARY EDUCATION				
Direct				
Graduate Assistance in Areas of National Need	84.200		\$ 6,989	\$ -
Graduate Assistance in Areas of National Need Total			\$ 6,989	\$ -
Overseas Programs - Doctoral Dissertation Research Abroad	84.022		\$ 60,906	\$ -
Overseas Programs - Doctoral Dissertation Research Abroad Total			\$ 60,906	\$ -
Transition Programs for Students with Intellectual Disabilities into Higher Education	84.407		\$ 244,673	\$ 9,915
Transition Programs for Students with Intellectual Disabilities into Higher Education Total			\$ 244,673	\$ 9,915
Direct Total			\$ 312,568	\$ 9,915
Pass Through				
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	84.015	HARVARD UNIVERSITY	\$ 1,017	\$ -
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program Total			\$ 1,017	\$ -
Pass Through Total			\$ 1,017	\$ -
OFFICE OF POSTSECONDARY EDUCATION Total			\$ 313,585	\$ 9,915
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES				
Pass Through				
National Institute on Disability and Rehabilitation Research	84.133	CAROLINAS HEALTHCARE SYSTEM RESEARCH FOUNDATION STATE UNIVERSITY NEW YORK	\$ 388,000	\$ 41,390
National Institute on Disability and Rehabilitation Research Total			\$ 14,978	\$ -
Pass Through Total			\$ 402,978	\$ 41,390
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES Total			\$ 402,978	\$ 41,390
U.S. DEPARTMENT OF EDUCATION				
Direct				
International Education_Technological Innovation and Cooperation for Foreign Information Access	84.337		\$ 64,024	\$ 45,000
International Education_Technological Innovation and Cooperation for Foreign Information Access Total			\$ 64,024	\$ 45,000
Overseas Programs - Faculty Research Abroad	84.019		\$ 4,682	\$ -
Overseas Programs - Faculty Research Abroad Total			\$ 4,682	\$ -
Direct Total			\$ 68,706	\$ 45,000
Pass Through				
International Education_Technological Innovation and Cooperation for Foreign Information Access	84.337	MICHIGAN STATE UNIVERSITY	\$ 7,625	\$ -
International Education_Technological Innovation and Cooperation for Foreign Information Access Total			\$ 7,625	\$ -
	84 ED-OII-11-Q-0023	GRANATO GROUP	\$ 48,811	\$ -
	84. A58-1-11DL-039	INDIANA DEPARTMENT OF EDUCATION	\$ 7,426	\$ -
	84. A58-2-12OT-0217	INDIANA DEPARTMENT OF EDUCATION	\$ 53,497	\$ -
	84. A58-2-12OT-0237	INDIANA DEPARTMENT OF EDUCATION	\$ 56,038	\$ -
	84. C010015	NEW YORK STATE EDUCATION DEPARTMENT	\$ 34,261	\$ -
	84. ED-04-CO0156^5	SYNERGY ENTERPRISES, INC.	\$ -	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
	84. IU Research Agreement	BARTHOLOMEW CONSOLIDATED SCHOOL CORPORATION	\$ 12,542	\$ -
	84. OII-SEI-CEEP 1042-002	SYNERGY ENTERPRISES, INC.	\$ 1,752	\$ -
			\$ 214,327	\$ -
Pass Through Total			\$ 221,952	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 290,658	\$ 45,000
U.S. DEPARTMENT OF EDUCATION Total			\$ 2,382,996	\$ 96,305
U.S. DEPARTMENT OF ENERGY				
U.S. DEPARTMENT OF ENERGY				
Direct				
Fossil Energy Research and Development	81.089		\$ 108,563	\$ -
Fossil Energy Research and Development Total			\$ 108,563	\$ -
Office of Science Financial Assistance Program	81.049		\$ 5,181,407	\$ 76,245
Office of Science Financial Assistance Program Total			\$ 5,181,407	\$ 76,245
Renewable Energy Research and Development	81.087		\$ 183,075	\$ 19,214
Renewable Energy Research and Development Total			\$ 183,075	\$ 19,214
Direct Total			\$ 5,473,045	\$ 95,459
Pass Through				
ARRA Advanced Research and Projects Agency - Energy Financial Assistance Program	81.135	FLODESIGN WIND TURBINE CORPORATION	\$ 109,333	\$ -
ARRA Advanced Research and Projects Agency - Energy Financial Assistance Program Total			\$ 109,333	\$ -
ARRA Conservation Research and Development	81.086	PURDUE UNIVERSITY	\$ 114,887	\$ -
ARRA Conservation Research and Development Total			\$ 114,887	\$ -
ARRA U.S. DEPARTMENT OF ENERGY	81. 595037^R1	FERMI NATIONAL ACCELERATOR LABORATORY	\$ 30,079	\$ -
ARRA U.S. DEPARTMENT OF ENERGY Total			\$ 30,079	\$ -
Fossil Energy Research and Development	81.089	COLORADO SCHOOL OF MINES	\$ 31,937	\$ -
Fossil Energy Research and Development Total			\$ 31,937	\$ -
Office of Science Financial Assistance Program	81.049	ADELPHI TECHNOLOGY INC.	\$ -	\$ -
		CRYOGENIC APPLICATIONS F, INC.	\$ 128,728	\$ -
		TULANE UNIVERSITY	\$ 116,928	\$ -
Office of Science Financial Assistance Program Total			\$ 245,656	\$ -
	81. 1113168	SANDIA NATIONAL LABORATORY	\$ 14,461	\$ -
	81. 116535-01	BATTELLE ENERGY ALLIANCE., LLC	\$ 1,982	\$ -
	81. 116535-02	BATTELLE ENERGY ALLIANCE., LLC	\$ 2,357	\$ -
	81. 1376665^1	CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB	\$ 47,783	\$ -
	81. 190508^A1	BROOKHAVEN NATIONAL LABORATORY	\$ 1,778	\$ -
	81. 1F-32504/DE-AC02-06CH11357	ARGONNE NATIONAL LABORATORY	\$ 41,140	\$ -
	81. 212432	BROOKHAVEN NATIONAL LABORATORY	\$ 13,089	\$ -
	81. 4000052291^8	UT-BATTELLE LLC	\$ 74,016	\$ -
	81. 4000059791^7	OAK RIDGE NATIONAL LABORATORY	\$ 18,900	\$ -
	81. 4000069723^M7	OAK RIDGE NATIONAL LABORATORY	\$ 82,126	\$ -
	81. 4000101343^M1	UT-BATTELLE LLC	\$ -	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
	81. 4000104086^M1	OAK RIDGE NATIONAL LABORATORY	\$ 61,245	\$ -
	81. 4501397578	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	\$ 6,203	\$ -
	81. 564432^R18	FERMI NATIONAL ACCELERATOR LABORATORY	\$ 522,957	\$ -
	81. 584141^6	FERMI NATIONAL ACCELERATOR LABORATORY	\$ 354,684	\$ -
	81. 605844	FERMI NATIONAL ACCELERATOR LABORATORY	\$ 13,100	\$ -
	81. 6890462^M1	LAWRENCE BERKELEY NATIONAL LABORATORY	\$ -	\$ -
	81. 77263^9	BROOKHAVEN NATIONAL LABORATORY	\$ 1,222	\$ -
	81. 95113^12	BROOKHAVEN NATIONAL LABORATORY	\$ 210,234	\$ -
	81. 95113^13	BROOKHAVEN NATIONAL LABORATORY	\$ 8,099	\$ -
	81. 9F-31721^2	ARGONNE NATIONAL LABORATORY	\$ 76,208	\$ -
	81. BROOKHAVEN NATL LAB	BROOKHAVEN NATIONAL LABORATORY	\$ 77,097	\$ -
	81. DE-AC02-07CH11358:SC-10-337	AMES RESEARCH LABORATORIES	\$ -	\$ -
	81. PO 594838^1	FERMI NATIONAL ACCELERATOR LABORATORY	\$ 12,888	\$ -
	81. PO 602917	FERMI NATIONAL ACCELERATOR LABORATORY	\$ 118,234	\$ -
	81. XFT-2-22431-01	NATIONAL RENEWABLE ENERGY LABORATORY	\$ 39,759	\$ -
			\$ 1,799,562	\$ -
Pass Through Total			\$ 2,331,454	\$ -
U.S. DEPARTMENT OF ENERGY Total			\$ 7,804,499	\$ 95,459
U.S. DEPARTMENT OF ENERGY Total			\$ 7,804,499	\$ 95,459
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				
ADMINISTRATION FOR CHILDREN AND FAMILIES				
Direct				
University Centers for Excellence in Developmental Disabilities Education, Research, and Service	93.632		\$ 2,758	\$ -
University Centers for Excellence in Developmental Disabilities Education, Research, and Service Total			\$ 2,758	\$ -
Direct Total			\$ 2,758	\$ -
Pass Through				
Adoption Opportunities	93.652	INDIANA DEPARTMENT OF CHILD SERVICES	\$ -	\$ -
Adoption Opportunities Total			\$ -	\$ -
ARRA - Child Care and Development Block Grant				
ARRA - Child Care and Development Block Grant Total	93.713	INDIANA ASSN EDUCATION YOUNG CHILDREN	\$ 10,000	\$ -
Pass Through Total			\$ 10,000	\$ -
ADMINISTRATION FOR CHILDREN AND FAMILIES Total			\$ 12,758	\$ -
AGENCY FOR HEALTHCARE RESEARCH AND QUALITY				
Direct				
ARRA Recovery Act - Comparative Effectiveness Research - AHRQ	93.715		\$ 3,835,580	\$ 2,499,159
ARRA Recovery Act - Comparative Effectiveness Research - AHRQ Total			\$ 3,835,580	\$ 2,499,159
Research on Healthcare Costs, Quality and Outcomes				
Research on Healthcare Costs, Quality and Outcomes Total	93.226		\$ 2,782,162	\$ 734,692
	93. 290-04-0015		\$ -	\$ -
	93. HHS290200600013 TO 5		\$ 303,192	\$ 173,392
	93. HHS290200600013 TO 5^1		\$ 57,306	\$ 24,578

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Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
	93. HNSA290200600013I TO#3		\$ -	\$ -
			\$ 360,498	\$ 197,970
Direct Total			\$ 6,978,240	\$ 3,431,821
Pass Through				
Research on Healthcare Costs, Quality and Outcomes	93.226	FLORIDA A & M UNIVERSITY	\$ 28,686	\$ -
		MAYO CLINIC, ROCHESTER	\$ (6,489)	\$ 966
		NATIONAL OPINION RESEARCH CENTER	\$ -	\$ -
		STANFORD UNIVERSITY	\$ 44,588	\$ -
Research on Healthcare Costs, Quality and Outcomes Total			\$ 66,785	\$ 966
	93. 103486^3: HNSA290200810010	BRIGHAM AND WOMEN'S HOSPITAL	\$ 85,925	\$ 35,202
			\$ 85,925	\$ 35,202
Pass Through Total			\$ 152,710	\$ 36,168
AGENCY FOR HEALTHCARE RESEARCH AND QUALITY Total			\$ 7,130,950	\$ 3,467,989
CENTERS FOR DISEASE CONTROL AND PREVENTION				
Direct				
Assistance Programs for Chronic Disease Prevention and Control	93.945		\$ 48,116	\$ 21,523
Assistance Programs for Chronic Disease Prevention and Control Total			\$ 48,116	\$ 21,523
Centers for Disease Control and Prevention_Investigations and Technical Assistance	93.283		\$ 120,825	\$ -
Centers for Disease Control and Prevention_Investigations and Technical Assistance Total			\$ 120,825	\$ -
Innovations in Applied Public Health Research	93.061		\$ 3,368	\$ -
Innovations in Applied Public Health Research Total			\$ 3,368	\$ -
Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure	93.065		\$ 642,845	\$ 37,347
Laboratory Leadership, Workforce Training and Management Development, Improving Public Health Laboratory Infrastructure Total			\$ 642,845	\$ 37,347
	93. 200-2008-24368^Mod 9 & 10		\$ -	\$ -
	93. 2002008M28091		\$ -	\$ -
	93. 200-201142027		\$ 1,001	\$ -
			\$ 1,001	\$ -
Direct Total			\$ 816,155	\$ 58,870
Pass Through				
Centers for Disease Control and Prevention_Investigations and Technical Assistance	93.283	UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION	\$ 70,252	\$ 21,009
		YMCA OF THE USA	\$ (2,773)	\$ -
Centers for Disease Control and Prevention_Investigations and Technical Assistance Total			\$ 67,479	\$ 21,009
Disabilities Prevention	93.184	REGENSTRIEF INSTITUTE, INC.	\$ 247,872	\$ -
Disabilities Prevention Total			\$ 247,872	\$ -
Global AIDS	93.067	RTI INTERNATIONAL	\$ 9,364	\$ -
Global AIDS Total			\$ 9,364	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Injury Prevention and Control Research and State and Community Based Programs	93.136	INDIANA STATE DEPARTMENT OF HEALTH WAYNE STATE UNIVERSITY	\$ 15,225	\$ -
Injury Prevention and Control Research and State and Community Based Programs Total			\$ 15,351	\$ -
Innovations in Applied Public Health Research	93.061	SAINT LOUIS UNIVERSITY	\$ 24,046	\$ 2,550
Innovations in Applied Public Health Research Total			\$ 24,046	\$ 2,550
Occupational Safety and Health Program	93.262	PURDUE UNIVERSITY	\$ 112	\$ -
Occupational Safety and Health Program Total			\$ 112	\$ -
	93. 7500100331/200-2010-37217	NORTHROP GRUMMAN INFORMATION TECHNOLOGY	\$ 142,244	\$ 128,007
			\$ 142,244	\$ 128,007
Pass Through Total			\$ 506,468	\$ 151,566
CENTERS FOR DISEASE CONTROL AND PREVENTION Total			\$ 1,322,623	\$ 210,436
HEALTH RESOURCES AND SERVICES ADMINISTRATION				
Direct				
Advanced Nursing Education Grant Program	93.247		\$ 4,374	\$ -
Advanced Nursing Education Grant Program Total			\$ 4,374	\$ -
Maternal and Child Health Federal Consolidated Programs	93.110		\$ 219,184	\$ -
Maternal and Child Health Federal Consolidated Programs Total			\$ 219,184	\$ -
Direct Total			\$ 223,558	\$ -
Pass Through				
Maternal and Child Health Services Block Grant to the States	93.994	FAMILY VOICES, INC PORTER COUNTY JUVENILE PROBATION DEPARTMENT	\$ 12,227	\$ -
			\$ -	\$ -
Maternal and Child Health Services Block Grant to the States Total			\$ 12,227	\$ -
State Rural Hospital Flexibility Program	93.241	INDIANA STATE DEPARTMENT OF HEALTH	\$ 123	\$ -
State Rural Hospital Flexibility Program Total			\$ 123	\$ -
Pass Through Total			\$ 12,350	\$ -
HEALTH RESOURCES AND SERVICES ADMINISTRATION Total			\$ 235,908	\$ -
NATIONAL CENTER FOR RESEARCH RESOURCES				
Pass Through				
	93. NET ESOLUTIONS CORP	NET ESOLUTIONS CORPORATION	\$ 49,450	\$ -
			\$ 49,450	\$ -
Pass Through Total			\$ 49,450	\$ -
NATIONAL CENTER FOR RESEARCH RESOURCES Total			\$ 49,450	\$ -
NATIONAL HEART, LUNG AND BLOOD INSTITUTE				
Pass Through				
	93. HHSN268200900031C	MOI UNIVERSITY FACULTY OF HEALTH SCIENCES	\$ 28,347	\$ -
	93. SARCA	AMERICAN LUNG ASSOCIATION OF INDIANA	\$ 8,667	\$ -
			\$ 37,014	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Pass Through Total			\$ 37,014	\$ -
NATIONAL HEART, LUNG AND BLOOD INSTITUTE Total			\$ 37,014	\$ -
NATIONAL INSTITUTE ALLERGY & INFECTIOUS DISEASES				
Pass Through				
	93. 108201/N01-A1-15416	REGENTS OF THE UNIVERSITY OF CALIFORNIA	\$ 246,035	\$ -
	93. HHSN266200500043C: Mod 14	UNIVERSITY OF MARYLAND	\$ (3,075)	\$ -
	93. HHSN272201000046C	UNIVERSITY OF MARYLAND	\$ 794,033	\$ -
			\$ 1,036,993	\$ -
Pass Through Total			\$ 1,036,993	\$ -
NATIONAL INSTITUTE ALLERGY & INFECTIOUS DISEASES Total			\$ 1,036,993	\$ -
NATIONAL INSTITUTE NEUROLOGICAL DISORDERS & STROKE				
Direct				
	93. N01-NS-3-2357		\$ 117,892	\$ -
			\$ 117,892	\$ -
Direct Total			\$ 117,892	\$ -
NATIONAL INSTITUTE NEUROLOGICAL DISORDERS & STROKE Total			\$ 117,892	\$ -
NATIONAL INSTITUTE OF CHILD HEALTH, HUMAN DEVL.				
Pass Through				
	93. 1R43HD065520-01A1	CORPORA SYSTEMS, INC.	\$ 26,332	\$ -
			\$ 26,332	\$ -
Pass Through Total			\$ 26,332	\$ -
NATIONAL INSTITUTE OF CHILD HEALTH, HUMAN DEVL. Total			\$ 26,332	\$ -
NATIONAL INSTITUTE OF DIABETES, DIGESTIVE & KIDNEY				
Direct				
	93. 5R21DK077329-02REV		\$ 15,515	\$ -
	93. 5U01DK071633-04		\$ (5,229)	\$ -
	93. HHSN267200700037C^3		\$ 62,963	\$ 340
			\$ 73,249	\$ 340
Direct Total			\$ 73,249	\$ 340
Pass Through				
	93. Janssen^A3	CORPORA SYSTEMS, INC.	\$ (5,490)	\$ -
			\$ (5,490)	\$ -
Pass Through Total			\$ (5,490)	\$ -
NATIONAL INSTITUTE OF DIABETES, DIGESTIVE & KIDNEY Total			\$ 67,759	\$ 340
NATIONAL INSTITUTE OF MENTAL HEALTH				
Direct				

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Mental Health Research Grants	93.242		\$ 5,306,503	\$ 744,260
Mental Health Research Grants Total			\$ 5,306,503	\$ 744,260
Direct Total			\$ 5,306,503	\$ 744,260
Pass Through				
Mental Health Research Grants	93.242	ACADEMIC EDGE	\$ 707	\$ -
		NEUROCOMP SYSTEMS INC	\$ 6,455	\$ -
		REGENTS OF THE UNIVERSITY OF CALIFORNIA	\$ 2,182	\$ -
		UNIVERSITY OF CINCINNATI	\$ 17,116	\$ -
		UNIVERSITY OF KANSAS	\$ 15,231	\$ -
		UNIVERSITY OF MARYLAND	\$ 22,323	\$ -
		UNIVERSITY OF MASSACHUSETTS	\$ 7,895	\$ -
Mental Health Research Grants Total			\$ 71,909	\$ -
	93. 0007474-1000036346/MH091460	HOWARD UNIVERSITY	\$ 7,507	\$ -
	93. 3134-001/N01 MH32002/opt.2	THE EMMES CORPORATION	\$ 93,080	\$ -
	93. N01-MH-32002: 3251-001	THE EMMES CORPORATION	\$ 28,597	\$ -
			\$ 129,184	\$ -
Pass Through Total			\$ 201,093	\$ -
NATIONAL INSTITUTE OF MENTAL HEALTH Total			\$ 5,507,596	\$ 744,260
NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM				
Direct				
Alcohol National Research Service Awards for Research Training	93. 5T32A007462-24Revised		\$ 16,814	\$ -
Alcohol National Research Service Awards for Research Training Total			\$ 16,814	\$ -
	93. HHSN267200700037C^4		\$ 453,327	\$ -
			\$ 453,327	\$ -
Direct Total			\$ 470,141	\$ -
NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM Total			\$ 470,141	\$ -
NATIONAL INSTITUTE ON DRUG ABUSE				
Direct				
	93. 5K05DA021696-05		\$ 102,278	\$ -
			\$ 102,278	\$ -
Direct Total			\$ 102,278	\$ -
Pass Through				
	93. AACAP	AMERICAN ACADEMY OF CHILD & ADOLESCENT PSYCHIATRY	\$ 9,616	\$ -
	93. K12DA000357	AMERICAN ACADEMY OF CHILD & ADOLESCENT PSYCHIATRY	\$ 175,336	\$ -
			\$ 184,952	\$ -
Pass Through Total			\$ 184,952	\$ -
NATIONAL INSTITUTE ON DRUG ABUSE Total			\$ 287,230	\$ -
NATIONAL INSTITUTES OF HEALTH				

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Direct				
Aging Research	93.866		\$ 9,919,781	\$ 2,039,005
Aging Research Total			\$ 9,919,781	\$ 2,039,005
Alcohol National Research Service Awards for Research Training	93.272		\$ 10,837	\$ -
Alcohol National Research Service Awards for Research Training Total			\$ 10,837	\$ -
Alcohol Research Programs	93.273		\$ 8,521,989	\$ 264,565
Alcohol Research Programs Total			\$ 8,521,989	\$ 264,565
Allergy, Immunology and Transplantation Research	93.855		\$ 14,734,975	\$ 2,658,572
Allergy, Immunology and Transplantation Research Total			\$ 14,734,975	\$ 2,658,572
ARRA Trans-NIH Recovery Act Research Support	93.701		\$ 9,219,104	\$ 1,706,090
ARRA Trans-NIH Recovery Act Research Support Total			\$ 9,219,104	\$ 1,706,090
Arthritis, Musculoskeletal and Skin Diseases Research	93.846		\$ 5,470,520	\$ 150,259
Arthritis, Musculoskeletal and Skin Diseases Research Total			\$ 5,470,520	\$ 150,259
Biomedical Research and Research Training	93.859		\$ 12,866,358	\$ 1,059,095
Biomedical Research and Research Training Total			\$ 12,866,358	\$ 1,059,095
Blood Diseases and Resources Research	93.839		\$ 3,442,039	\$ 37,167
Blood Diseases and Resources Research Total			\$ 3,442,039	\$ 37,167
Cancer Biology Research	93.396		\$ 5,494,937	\$ 525,639
Cancer Biology Research Total			\$ 5,494,937	\$ 525,639
Cancer Cause and Prevention Research	93.393		\$ 3,474,334	\$ 356,469
Cancer Cause and Prevention Research Total			\$ 3,474,334	\$ 356,469
Cancer Centers Support Grants	93.397		\$ 1,338,970	\$ 7,950
Cancer Centers Support Grants Total			\$ 1,338,970	\$ 7,950
Cancer Detection and Diagnosis Research	93.394		\$ 1,391,632	\$ 205,576
Cancer Detection and Diagnosis Research Total			\$ 1,391,632	\$ 205,576
Cancer Research Manpower	93.398		\$ 323,015	\$ -
Cancer Research Manpower Total			\$ 323,015	\$ -
Cancer Treatment Research	93.395		\$ 3,611,151	\$ 68,276
Cancer Treatment Research Total			\$ 3,611,151	\$ 68,276
Cardiovascular Diseases Research	93.837		\$ 10,945,273	\$ 537,225
Cardiovascular Diseases Research Total			\$ 10,945,273	\$ 537,225
Child Health and Human Development Extramural Research	93.865		\$ 8,174,268	\$ 2,544,185
Child Health and Human Development Extramural Research Total			\$ 8,174,268	\$ 2,544,185
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		\$ 16,094,664	\$ 1,117,581
Diabetes, Digestive, and Kidney Diseases Extramural Research Total			\$ 16,094,664	\$ 1,117,581
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286		\$ 467,017	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Discovery and Applied Research for Technological Innovations to Improve Human Health Total			\$ 467,017	\$ -
Drug Abuse and Addiction Research Programs	93.279		\$ 2,001,537	\$ 235,154
Drug Abuse and Addiction Research Programs Total			\$ 2,001,537	\$ 235,154
Environmental Health	93.113		\$ 1,454,424	\$ 31,145
Environmental Health Total			\$ 1,454,424	\$ 31,145
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		\$ 4,812,052	\$ 306,626
Extramural Research Programs in the Neurosciences and Neurological Disorders Total			\$ 4,812,052	\$ 306,626
International Research and Research Training	93.989		\$ 307,320	\$ 91,996
International Research and Research Training Total			\$ 307,320	\$ 91,996
Lung Diseases Research	93.838		\$ 4,231,488	\$ 341,467
Lung Diseases Research Total			\$ 4,231,488	\$ 341,467
Medical Library Assistance	93.879		\$ 453,615	\$ -
Medical Library Assistance Total			\$ 453,615	\$ -
Mental Health National Research Service Awards for Research Training	93.282		\$ 49,692	\$ -
Mental Health National Research Service Awards for Research Training Total			\$ 49,692	\$ -
Mental Health Research Career/Scientist Development Awards	93.281		\$ 176,970	\$ 8,284
Mental Health Research Career/Scientist Development Awards Total			\$ 176,970	\$ 8,284
National Center for Advancing Translational Sciences	93.350		\$ 512,910	\$ -
National Center for Advancing Translational Sciences Total			\$ 512,910	\$ -
National Center for Research Resources	93.389		\$ 8,375,424	\$ 1,666,152
National Center for Research Resources Total			\$ 8,375,424	\$ 1,666,152
Nursing Research	93.361		\$ 1,256,809	\$ 85,171
Nursing Research Total			\$ 1,256,809	\$ 85,171
Oral Diseases and Disorders Research	93.121		\$ 893,949	\$ 190,566
Oral Diseases and Disorders Research Total			\$ 893,949	\$ 190,566
Research Related to Deafness and Communication Disorders	93.173		\$ 2,813,644	\$ 146,187
Research Related to Deafness and Communication Disorders Total			\$ 2,813,644	\$ 146,187
Trans-NIH Research Support	93.310		\$ 2,658,349	\$ 271,505
Trans-NIH Research Support Total			\$ 2,658,349	\$ 271,505
Vision Research	93.867		\$ 5,085,989	\$ 140,369
Vision Research Total			\$ 5,085,989	\$ 140,369
Direct Total			\$ 150,585,036	\$16,792,276
Pass Through				
Aging Research	93.866	ALLEGIENT LLC	\$ 90,973	\$ -
		BOSTON UNIVERSITY	\$ 2,901	\$ -
		COLUMBIA UNIVERSITY	\$ 592	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Aging Research	93.866	CREATEABILITY CONCEPTS, INC.	\$ 40,317	\$ -
		CREIGHTON UNIVERSITY	\$ (2,022)	\$ -
		FRED HUTCHINSON CANCER RESEARCH CENTER	\$ 234,378	\$ 100,324
		MY HEALTH CARE MANAGER, INC	\$ 3,995	\$ -
		NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH & EDU	\$ 130,832	\$ -
		PENNSYLVANIA STATE UNIVERSITY	\$ 31,830	\$ -
		PURDUE UNIVERSITY	\$ 36,365	\$ -
		UNIVERSITY OF FLORIDA	\$ 8,290	\$ -
		UNIVERSITY OF MISSOURI	\$ 30,384	\$ -
		UNIVERSITY OF PENNSYLVANIA	\$ 74,514	\$ -
		UNIVERSITY OF VERMONT	\$ 8,189	\$ -
		UNIVERSITY OF WASHINGTON	\$ 16,804	\$ -
		VANDERBILT UNIVERSITY	\$ 20,077	\$ -
Aging Research Total			\$ 728,419	\$ 100,324
Alcohol Research Programs	93.273	BROWN UNIVERSITY	\$ 18,175	\$ -
		FOUNDATION FOR APPLIED MOLECULAR EVOLUTION, INC	\$ 111,525	\$ -
		KNOX COLLEGE	\$ 59,722	\$ -
		NEW YORK UNIVERSITY	\$ 72,255	\$ -
		RESEARCH FOUNDATION STATE UNIVERSITY NEW YORK	\$ 1,575,552	\$ -
		SEATTLE INSTITUTE FOR BIOMEDICAL AND CLINICAL RES	\$ 198,751	\$ -
		TEXAS A&M RESEARCH FOUNDATION	\$ 26,013	\$ -
		TEXAS A&M UNIVERSITY	\$ 80,378	\$ -
		UNIVERSITY OF CALIFORNIA, SAN DIEGO	\$ 34,793	\$ -
		UNIVERSITY OF WASHINGTON	\$ 37,056	\$ -
		VIRGINIA COMMONWEALTH UNIVERSITY	\$ 31,946	\$ -
Alcohol Research Programs Total			\$ 2,246,166	\$ -
Allergy, Immunology and Transplantation Research	93.855	CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI	\$ 61,898	\$ -
		DUQUESNE UNIVERSITY	\$ 15,987	\$ -
		INST FOR RESEARCH IN BIOTECHNOLOGY FDN (ARGENTINA)	\$ 27,774	\$ -
		MIRIAM HOSPITAL	\$ 8,600	\$ -
		OHIO STATE UNIVERSITY RESEARCH FOUNDATION	\$ 8,125	\$ -
		SAINT LOUIS UNIVERSITY	\$ 3,507	\$ -
		UNIVERSITY OF CINCINNATI	\$ 14,981	\$ -
		UNIVERSITY OF MICHIGAN	\$ 24,633	\$ -
		UNIVERSITY OF NOTRE DAME	\$ 15,904	\$ -
		UNIVERSITY SOUTH FLORIDA	\$ 86,389	\$ -
Allergy, Immunology and Transplantation Research Total			\$ 267,798	\$ -
ARRA Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	WASHINGTON UNIVERSITY	\$ 6,915	\$ -
ARRA Extramural Research Programs in the Neurosciences and Neurological Disorders Total			\$ 6,915	\$ -
ARRA Trans-NIH Recovery Act Research Support	93.701	ALBERT EINSTEIN COLLEGE OF MEDICINE OF YESHIVA UNI	\$ 154,893	\$ -
		BARNES-JEWISH HOSPITAL	\$ 39,084	\$ -
		BAYLOR COLLEGE OF MEDICINE	\$ 89,665	\$ -
		BOSTON UNIVERSITY	\$ 8,315	\$ -
		CHILDREN'S HOSPITAL OF PHILADELPHIA	\$ 1,738	\$ -
		DARTMOUTH COLLEGE	\$ 11,930	\$ -
		HARVARD UNIVERSITY	\$ 173,682	\$ -
		NATIONAL CHILDHOOD CANCER FOUNDATION	\$ 203	\$ -
		NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH & EDU	\$ 358,357	\$ -
		NORTHWESTERN UNIVERSITY	\$ 335,778	\$ -

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Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
ARRA Trans-NIH Recovery Act Research Support	93.701	OHIO STATE UNIVERSITY RESEARCH FOUNDATION	\$ (125)	\$ -
		PURDUE UNIVERSITY	\$ 143,488	\$ -
		REHABILITATION INSTITUTE RESEARCH CORPORATION	\$ 92,175	\$ -
		RUSH UNIVERSITY MEDICAL CENTER	\$ 82,603	\$ -
		SEATTLE INSTITUTE OF CARDIAC RESEARCH	\$ -	\$ -
		UNIVERSITY OF ALABAMA BIRMINGHAM	\$ 57,349	\$ 9,798
		UNIVERSITY OF CALIFORNIA, SAN FRANCISCO	\$ -	\$ -
		UNIVERSITY OF CINCINNATI	\$ 299,213	\$ -
		UNIVERSITY OF FLORIDA	\$ 413,211	\$ -
		UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION	\$ 14,544	\$ -
		UNIVERSITY OF MIAMI	\$ 14,347	\$ -
		UNIVERSITY OF NEW MEXICO	\$ (2,039)	\$ -
		UNIVERSITY OF PENNSYLVANIA	\$ 58,433	\$ -
		ARRA Trans-NIH Recovery Act Research Support Total		
Arthritis, Musculoskeletal and Skin Diseases Research	93.846	CHILDREN'S HOSPITAL BOSTON	\$ 39,069	\$ -
		HEBREW REHABILITATION CENTER	\$ -	\$ -
		MEDICAL COLLEGE OF WISCONSIN	\$ 14,200	\$ -
		TEXAS BIOMEDICAL RESEARCH INSTITUTE	\$ 17,890	\$ -
		THOMAS JEFFERSON UNIVERSITY	\$ 9,354	\$ -
		UNIVERSITY OF MICHIGAN	\$ 30,323	\$ -
		UNIVERSITY OF NORTH CAROLINA	\$ 105,331	\$ -
Arthritis, Musculoskeletal and Skin Diseases Research Total			\$ 333,034	\$ -
Biomedical Research and Research Training	93.859	UNIVERSITY OF CALIFORNIA, DAVIS	\$ 67,035	\$ -
		UNIVERSITY OF CALIFORNIA, RIVERSIDE	\$ 21,524	\$ -
		UNIVERSITY OF CALIFORNIA, SAN DIEGO	\$ 224,505	\$ -
		UNIVERSITY OF CALIFORNIA, SAN FRANCISCO	\$ 78,530	\$ -
		UNIVERSITY OF CALIFORNIA, SANTA CRUZ	\$ 64,188	\$ -
		UNIVERSITY OF LOUISVILLE	\$ 42,711	\$ -
		UNIVERSITY OF NOTRE DAME	\$ 41,122	\$ -
Biomedical Research and Research Training Total			\$ 48,770	\$ -
Blood Diseases and Resources Research	93.839	CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI	\$ 17,159	\$ -
		RIMEDION INC	\$ (2,413)	\$ 800
		UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL	\$ 95	\$ -
Blood Diseases and Resources Research Total			\$ 14,841	\$ 800
Cancer Cause and Prevention Research	93.393	ALERE	\$ 21,332	\$ -
		GABRIEL GROUP	\$ 85,642	\$ -
		GEORGETOWN UNIVERSITY	\$ 10,230	\$ -
		HARVARD PILGRIM HEALTH CARE	\$ 60,721	\$ -
		PURDUE UNIVERSITY	\$ 5,503	\$ -
		SAINT LOUIS UNIVERSITY	\$ 66,126	\$ -
		UNIVERSITY OF COLORADO	\$ 41,838	\$ -
Cancer Cause and Prevention Research Total			\$ (2,299)	\$ -
Cancer Centers Support Grants	93.397	OHIO STATE UNIVERSITY	\$ 210,586	\$ -
		OHIO STATE UNIVERSITY RESEARCH FOUNDATION	\$ -	\$ -
		UNIVERSITY OF TEXAS HEALTH SCIENCE CTR-SAN ANTONIO	\$ 398,421	\$ -

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Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Cancer Centers Support Grants Total			\$ 609,007	\$ -
Cancer Control	93.399	PURDUE UNIVERSITY	\$ 156,514	\$ -
Cancer Control Total			\$ 156,514	\$ -
Cancer Detection and Diagnosis Research	93.394	BROWN UNIVERSITY	\$ 4,050	\$ -
		FRONTIER SCIENCE AND TECHNOLOGY RESEARCH FDN., INC	\$ 37,347	\$ -
		MIRIAM HOSPITAL	\$ 26,429	\$ -
		PURDUE UNIVERSITY	\$ 7,766	\$ -
		UNIVERSITY OF GEORGIA	\$ 95,327	\$ -
		UNIVERSITY OF ILLINOIS	\$ 239	\$ -
		UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN	\$ 79,110	\$ -
Cancer Detection and Diagnosis Research Total			\$ 250,268	\$ -
Cancer Treatment Research	93.395	MAYO CLINIC, ROCHESTER	\$ (446)	\$ -
		NATIONAL CHILDHOOD CANCER FOUNDATION	\$ 101,850	\$ -
		NORTHWESTERN UNIVERSITY	\$ 30,819	\$ -
		ST. JUDE CHILDREN'S RESEARCH HOSPITAL	\$ 4,139	\$ -
		TULANE UNIVERSITY HEALTH SCIENCES CENTER	\$ 48,048	\$ -
		UNIVERSITY OF ARKANSAS MEDICAL CENTER	\$ 8,098	\$ -
		UNIVERSITY OF CALIFORNIA, DAVIS	\$ 14,285	\$ -
		UNIVERSITY OF FLORIDA	\$ 49,554	\$ -
Cancer Treatment Research Total			\$ 256,347	\$ -
Cardiovascular Diseases Research	93.837	ADVANCED CIRCULATORY SYSTEMS, INC.	\$ -	\$ -
		CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI	\$ 173,143	\$ -
		MOUNT SINAI SCHOOL OF MEDICINE	\$ 8,762	\$ -
		PURDUE UNIVERSITY	\$ 184,788	\$ -
		UNIVERSITY OF CALIFORNIA, LOS ANGELES	\$ 373,861	\$ -
		UNIVERSITY OF HAWAII	\$ 50,198	\$ -
		UNIVERSITY OF MICHIGAN	\$ 6,774	\$ -
		UNIVERSITY OF PENNSYLVANIA	\$ 43,132	\$ -
		VANDERBILT UNIVERSITY	\$ 69,459	\$ -
		YALE UNIVERSITY	\$ 265,895	\$ -
Cardiovascular Diseases Research Total			\$ 1,176,012	\$ -
Child Health and Human Development Extramural Research	93.865	ASSN OF MEDICAL SCH PEDIATRIC DEPT CHAIR	\$ 101,957	\$ -
		DARTMOUTH COLLEGE	\$ 81,886	\$ -
		DUKE UNIVERSITY	\$ 2,214	\$ -
		MICHIGAN PUBLIC HEALTH INSTITUTE	\$ 56,405	\$ -
		MOI UNIVERSITY FACULTY OF HEALTH SCIENCES	\$ 4,679	\$ -
		PENNSYLVANIA STATE UNIVERSITY	\$ 898	\$ -
		PURDUE UNIVERSITY	\$ 35,052	\$ -
		RTI INTERNATIONAL	\$ 154,593	\$ -
		UNIVERSITY OF ALABAMA BIRMINGHAM	\$ 73,794	\$ -
		UNIVERSITY OF CHICAGO	\$ 59,149	\$ -
		UNIVERSITY OF NORTH CAROLINA	\$ -	\$ -
Child Health and Human Development Extramural Research Total			\$ 570,627	\$ -
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	3DT HOLDINGS LLC	\$ 25,000	\$ -
		BIOCHEM ANALYSIS CORP	\$ 150,912	\$ -
		CHILDREN'S HOSPITAL OF PHILADELPHIA	\$ 19,403	\$ -
		GEORGE WASHINGTON UNIVERSITY	\$ 10,262	\$ -

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Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	INDIANA UNIVERSITY HEALTH	\$ 334,379	\$ -
		INPHOTON, LLC	\$ 156,851	\$ -
		JOSLIN DIABETES CENTER	\$ 21,436	\$ -
		MAYO CLINIC, ROCHESTER	\$ 45,306	\$ -
		MEDICAL COLLEGE OF GEORGIA	\$ (18,838)	\$ -
		MEDICAL UNIVERSITY OF SOUTH CAROLINA	\$ 137,571	\$ -
		NORTHWESTERN UNIVERSITY	\$ 516,103	\$ -
		PHARMACOPHOTONICS, LLC	\$ (11,025)	\$ -
		PURDUE UNIVERSITY	\$ 93,910	\$ -
		ROSE-HULMAN INSTITUTE OF TECHNOLOGY	\$ 49,195	\$ -
		UNIVERSITY HEALTH NETWORK (CANADA)	\$ 251,664	\$ -
		UNIVERSITY OF CHICAGO	\$ -	\$ -
		UNIVERSITY OF MICHIGAN	\$ 46,840	\$ -
		UNIVERSITY OF PENNSYLVANIA	\$ 41,553	\$ -
		UNIVERSITY OF PITTSBURGH	\$ 150,665	\$ -
		UNIVERSITY OF WASHINGTON	\$ 102,078	\$ -
		VANDERBILT UNIVERSITY	\$ 24,356	\$ -
		VITACYTE LLC	\$ 14,595	\$ -
Diabetes, Digestive, and Kidney Diseases Extramural Research Total			\$ 2,162,216	\$ -
Discovery and Applied Research for Technological Innovations to Improve Human Health	93.286	AEON IMAGING, LLC	\$ 974	\$ -
		PURDUE UNIVERSITY	\$ 45,769	\$ -
		UNIVERSITY OF MIAMI	\$ 20,987	\$ -
Discovery and Applied Research for Technological Innovations to Improve Human Health Total			\$ 67,730	\$ -
Drug Abuse and Addiction Research Programs	93.279	AMERICAN ACADEMY OF CHILD & ADOLESCENT PSYCHIATRY	\$ (10,045)	\$ -
		ARIZONA STATE UNIVERSITY	\$ 396,375	\$ -
		LOYOLA UNIVERSITY	\$ 211,373	\$ -
		NORTHEASTERN UNIVERSITY	\$ 96,382	\$ -
		UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION	\$ 166,361	\$ -
		UNIVERSITY OF WASHINGTON	\$ 10,367	\$ -
Drug Abuse and Addiction Research Programs Total			\$ 870,813	\$ -
Environmental Health	93.113	MICHIGAN STATE UNIVERSITY	\$ 55,979	\$ -
		PURDUE UNIVERSITY	\$ 56,759	\$ -
Environmental Health Total			\$ 112,738	\$ -
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	BETH ISRAEL DEACONESS MEDICAL CENTER	\$ (4,987)	\$ -
		CLEMSON UNIVERSITY	\$ 122,116	\$ -
		PURDUE UNIVERSITY	\$ 6,213	\$ -
		TUFTS UNIVERSITY	\$ 5,205	\$ -
		UNIVERSITY OF ALABAMA BIRMINGHAM	\$ 43,342	\$ -
		UNIVERSITY OF CALIFORNIA, IRVINE	\$ 12,472	\$ -
		UNIVERSITY OF CALIFORNIA, LOS ANGELES	\$ 81,548	\$ -
		UNIVERSITY OF CALIFORNIA, SAN FRANCISCO	\$ 81,046	\$ -
		UNIVERSITY OF CINCINNATI	\$ -	\$ -
		UNIVERSITY OF IOWA	\$ 5,310	\$ -
		UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER HOUSTON	\$ 11,702	\$ -
		UNIVERSITY OF TEXAS SOUTHWESTERN	\$ 557,242	\$ -
		UNIVERSITY OF VIRGINIA	\$ 39,697	\$ -
		WAKE FOREST UNIVERSITY	\$ 8,660	\$ -
		WASHINGTON UNIVERSITY	\$ 3,891	\$ -
YALE UNIVERSITY	\$ 26,132	\$ -		

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Extramural Research Programs in the Neurosciences and Neurological Disorders Total			\$ 999,589	\$ -
Human Genome Research	93.172	HARVARD UNIVERSITY	\$ 663,529	\$ -
Human Genome Research Total			\$ 663,529	\$ -
Immunization Cooperative Agreements	93.268	PURDUE UNIVERSITY	\$ 20,375	\$ -
Immunization Cooperative Agreements Total			\$ 20,375	\$ -
Lung Diseases Research	93.838	CHILDREN'S HOSPITAL MEDICAL CENTER OF CINCINNATI	\$ 35,438	\$ -
		CHILDREN'S HOSPITAL OF PHILADELPHIA	\$ 2,472	\$ -
		DUKE UNIVERSITY	\$ 124,928	\$ -
		JOHNS HOPKINS UNIVERSITY	\$ 36,989	\$ -
		NATIONAL JEWISH HEALTH	\$ 7,681	\$ -
		SEATTLE CHILDREN'S RESEARCH INSTITUTE	\$ 35,921	\$ -
		UNIVERSITY OF ARIZONA	\$ 29,198	\$ -
		UNIVERSITY OF COLORADO	\$ 479,730	\$ -
		UNIVERSITY OF PENNSYLVANIA	\$ 280,941	\$ -
Lung Diseases Research Total			\$ 1,033,298	\$ -
Medical Library Assistance	93.879	BUCK INSTITUTE FOR AGE RESEARCH	\$ 56,397	\$ -
Medical Library Assistance Total			\$ 56,397	\$ -
Mental Health Research Grants	93.242	NEW YORK UNIVERSITY	\$ 61,578	\$ -
		OREGON HEALTH & SCIENCE UNIVERSITY	\$ 18,566	\$ -
		REGENTS OF THE UNIVERSITY OF CALIFORNIA	\$ 91,880	\$ -
		RUTGERS UNIVERSITY	\$ 7,867	\$ -
		SOCIAL & SCIENTIFIC SYSTEMS, INC.	\$ -	\$ -
		UNIVERSITY OF CALIFORNIA, SAN DIEGO	\$ (12,268)	\$ -
		UNIVERSITY OF CALIFORNIA, SAN FRANCISCO	\$ -	\$ -
		UNIVERSITY OF CINCINNATI	\$ -	\$ -
		UNIVERSITY OF MINNESOTA	\$ 28,026	\$ -
		UNIVERSITY OF SOUTHERN CALIFORNIA	\$ 54,355	\$ -
		VANDERBILT UNIVERSITY	\$ 138,619	\$ -
		WAKE FOREST UNIVERSITY	\$ 16,619	\$ -
		WASHINGTON UNIVERSITY	\$ 14,623	\$ -
		YALE UNIVERSITY	\$ 53,702	\$ -
Mental Health Research Grants Total			\$ 473,567	\$ -
National Center for Research Resources	93.389	DUKE UNIVERSITY	\$ (27,792)	\$ -
		LOGICAL SEMANTICS, INC	\$ -	\$ -
		PROSOLIA INC	\$ 133,925	\$ -
		RIMEDION INC	\$ 26,508	\$ -
		UNIVERSITY OF CALIFORNIA, RIVERSIDE	\$ 60,629	\$ -
		VANDERBILT UNIVERSITY	\$ 16,330	\$ -
National Center for Research Resources Total			\$ 209,600	\$ -
National Center on Sleep Disorders Research	93.233	NORTHWESTERN UNIVERSITY	\$ 45,401	\$ -
National Center on Sleep Disorders Research Total			\$ 45,401	\$ -
Nursing Research	93.361	KENT STATE UNIVERSITY	\$ 31,430	\$ -
		OREGON HEALTH & SCIENCE UNIVERSITY	\$ -	\$ -
		UNIVERSITY OF ROCHESTER	\$ 16,486	\$ -
Nursing Research Total			\$ 47,916	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Oral Diseases and Disorders Research	93.121	UNIVERSITY OF FLORIDA	\$ 12,249	\$ -
		UNIVERSITY OF IOWA	\$ 48,177	\$ -
		UNIVERSITY OF MICHIGAN	\$ 47,842	\$ -
Oral Diseases and Disorders Research Total			\$ 108,268	\$ -
Research and Training in Complementary and Alternative Medicine	93.213	PURDUE UNIVERSITY	\$ 7,192	\$ -
Research and Training in Complementary and Alternative Medicine Total			\$ 7,192	\$ -
Research Related to Deafness and Communication Disorders	93.173	COMMUNICATION DISORDERS TECHNOLOGY	\$ 5,401	\$ -
		HOUSE EAR INSTITUTE	\$ 161,905	\$ -
		NEW YORK EYE AND EAR INFIRMARY	\$ 60,902	\$ -
Research Related to Deafness and Communication Disorders Total			\$ 228,208	\$ -
Trans-NIH Recovery Act Research Support	93.701	ACADEMIC EDGE	\$ 48,984	\$ -
Trans-NIH Recovery Act Research Support Total			\$ 48,984	\$ -
Vision Research	93.867	AEON IMAGING, LLC	\$ 193,458	\$ -
		APEX THERAPEUTICS	\$ (2,094)	\$ -
		EMORY UNIVERSITY	\$ 13,970	\$ -
		JAEB CENTER FOR HEALTH RESEARCH INC	\$ 10,175	\$ -
		UNIVERSITY OF CALIFORNIA, DAVIS	\$ 252,766	\$ -
		UNIVERSITY OF ROCHESTER	\$ 136,430	\$ -
		UNIVERSITY OF UTAH	\$ 66,439	\$ -
Vision Research Total			\$ 671,144	\$ -
	93. 6823225^M8	LAWRENCE BERKELEY NATIONAL LABORATORY	\$ 187,910	\$ -
			\$ 187,910	\$ -
Pass Through Total			\$ 17,855,145	\$ 110,923
NATIONAL INSTITUTES OF HEALTH Total			\$ 168,440,181	\$16,903,199
NATIONAL LIBRARY OF MEDICINE				
Direct				
	93. HHSN276201000796P		\$ 14,111	\$ -
	93. HHSN276201200177P		\$ 642	\$ -
			\$ 14,753	\$ -
Direct Total			\$ 14,753	\$ -
Pass Through				
	93. HHSN276200800006c	REGENSTRIEF INSTITUTE, INC.	\$ 192,657	\$ -
			\$ 192,657	\$ -
Pass Through Total			\$ 192,657	\$ -
NATIONAL LIBRARY OF MEDICINE Total			\$ 207,410	\$ -
OFFICE OF THE SECRETARY				
Pass Through				
ARRA - State Grants to Promote Health Information Technology	93.719	INDIANA HEALTH INFORMATION TECHNOLOGY, INC.	\$ 273,966	\$ -
ARRA - State Grants to Promote Health Information Technology Total			\$ 273,966	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
ARRA - Strategic Health IT Advanced Research Projects (SHARP)	93.728	HARVARD UNIVERSITY	\$ 338,745	\$ 339,927
ARRA - Strategic Health IT Advanced Research Projects (SHARP) Total			\$ 338,745	\$ 339,927
ARRA Health Information Technology Regional Extension Centers Program	93.718	PURDUE UNIVERSITY	\$ 15,188	\$ -
ARRA Health Information Technology Regional Extension Centers Program Total			\$ 15,188	\$ -
Policy Research and Evaluation Grants	93.239	BOWLING GREEN STATE UNIVERSITY	\$ (3,951)	\$ -
Policy Research and Evaluation Grants Total			\$ (3,951)	\$ -
Pregnancy Assistance Fund Program	93.500	INDIANA STATE DEPARTMENT OF HEALTH	\$ 353,916	\$ 79,005
Pregnancy Assistance Fund Program Total			\$ 353,916	\$ 79,005
State Partnership Grant Program to Improve Minority Health	93.296	INDIANA STATE DEPARTMENT OF HEALTH	\$ (231)	\$ -
State Partnership Grant Program to Improve Minority Health Total			\$ (231)	\$ -
Pass Through Total			\$ 977,633	\$ 418,932
OFFICE OF THE SECRETARY Total			\$ 977,633	\$ 418,932
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION				
Direct				
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243		\$ 325,467	\$ 194,142
Substance Abuse and Mental Health Services_Projects of Regional and National Significance Total			\$ 325,467	\$ 194,142
Direct Total			\$ 325,467	\$ 194,142
Pass Through				
Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED)	93.104	COMMUNITY MENTAL HEALTH CENTER, INC.	\$ 100,162	\$ -
Comprehensive Community Mental Health Services for Children with Serious Emotional Disturbances (SED)			\$ 100,162	\$ -
	93. POM1390238	MARION COUNTY SUPERIOR COURT	\$ -	\$ -
			\$ -	\$ -
Pass Through Total			\$ 100,162	\$ -
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION Total			\$ 425,629	\$ 194,142
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				
Direct				
	93. DHHS/HHSP23320074102EC		\$ -	\$ -
	93. HHSP23320074102EC^7^Opt2		\$ -	\$ -
			\$ -	\$ -
Direct Total			\$ -	\$ -
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Total			\$ -	\$ -
U.S. FOOD AND DRUG ADMINISTRATION				
Pass Through				
	93. IU_FDA_001	HEALTHCORE, INC.	\$ 6,086	\$ -
			\$ 6,086	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Pass Through Total			\$ 6,086	\$ -
U.S. FOOD AND DRUG ADMINISTRATION Total			\$ 6,086	\$ -
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Total			\$ 186,359,585	\$ 21,939,299
U.S. DEPARTMENT OF HOMELAND SECURITY				
U.S. DEPARTMENT OF HOMELAND SECURITY				
Pass Through				
	97.001	WESTERN KENTUCKY UNIVERSITY	\$ 510	\$ -
	97.806	DARTMOUTH COLLEGE	\$ 177,650	\$ -
	97. IL EMER MGMT	ILLINOIS EMERGENCY MANAGEMENT AGENCY	\$ 76	\$ -
			\$ 178,236	\$ -
Pass Through Total			\$ 178,236	\$ -
U.S. DEPARTMENT OF HOMELAND SECURITY Total			\$ 178,236	\$ -
U.S. DEPARTMENT OF HOMELAND SECURITY Total			\$ 178,236	\$ -
U.S. DEPARTMENT OF JUSTICE				
BUREAU OF JUSTICE ASSISTANCE				
Pass Through				
Project Safe Neighborhoods	16.609	INDIANA CRIMINAL JUSTICE INSTITUTE	\$ 65,711	\$ -
Project Safe Neighborhoods Total			\$ 65,711	\$ -
Pass Through Total			\$ 65,711	\$ -
BUREAU OF JUSTICE ASSISTANCE Total			\$ 65,711	\$ -
NATIONAL INSTITUTE OF JUSTICE				
Direct				
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560		\$ 349,990	\$ 45,869
National Institute of Justice Research, Evaluation, and Development Project Grants Total			\$ 349,990	\$ 45,869
Direct Total			\$ 349,990	\$ 45,869
Pass Through				
National Institute of Justice Research, Evaluation, and Development Project Grants	16.560	UNIVERSITY OF SOUTH CAROLINA	\$ 92,053	\$ -
National Institute of Justice Research, Evaluation, and Development Project Grants Total			\$ 92,053	\$ -
	16. D3-11-6080	INDIANA CRIMINAL JUSTICE INSTITUTE	\$ 183,698	\$ -
			\$ 183,698	\$ -
Pass Through Total			\$ 275,751	\$ -
NATIONAL INSTITUTE OF JUSTICE Total			\$ 625,741	\$ 45,869
OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION				
Pass Through				
Juvenile Accountability Block Grants	16.523	INDIANA CRIMINAL JUSTICE INSTITUTE	\$ 28,555	\$ -
Juvenile Accountability Block Grants Total			\$ 28,555	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Pass Through Total			\$ 28,555	\$ -
OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION Total			\$ 28,555	\$ -
U.S. DEPARTMENT OF JUSTICE Pass Through				
	16. D3-11-5259	INDIANA CRIMINAL JUSTICE INSTITUTE	\$ (1,836)	\$ -
	16. D3-9-2476^4	INDIANA CRIMINAL JUSTICE INSTITUTE	\$ 37,795	\$ -
			\$ 35,959	\$ -
Pass Through Total			\$ 35,959	\$ -
U.S. DEPARTMENT OF JUSTICE Total			\$ 35,959	\$ -
U.S. DEPARTMENT OF JUSTICE Total			\$ 755,966	\$ 45,869
U.S. DEPARTMENT OF LABOR EMPLOYMENT TRAINING ADMINISTRATION Pass Through				
ARRA Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors	17.275	INDIANA DEPARTMENT OF WORKFORCE DEVELOPMENT	\$ 18,400	\$ -
ARRA Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors Total			\$ 18,400	\$ -
H-1B Job Training Grants	17.268	IVY TECH COMMUNITY COLLEGE OF INDIANA	\$ 50,000	\$ -
H-1B Job Training Grants Total			\$ 50,000	\$ -
Pass Through Total			\$ 68,400	\$ -
EMPLOYMENT TRAINING ADMINISTRATION Total			\$ 68,400	\$ -
U.S. DEPARTMENT OF LABOR Total			\$ 68,400	\$ -
U.S. DEPARTMENT OF STATE U.S. DEPARTMENT OF STATE Pass Through				
	19. 22566	UNIVERSITY OF DELAWARE	\$ -	\$ -
	19. 22568	UNIVERSITY OF DELAWARE	\$ (191)	\$ -
			\$ (191)	\$ -
Pass Through Total			\$ (191)	\$ -
U.S. DEPARTMENT OF STATE Total			\$ (191)	\$ -
U.S. DEPARTMENT OF STATE Total			\$ (191)	\$ -
U.S. DEPARTMENT OF THE INTERIOR NATIONAL PARK SERVICE Pass Through				
Historic Preservation Fund Grants-In-Aid	15.904	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 2,381	\$ 968
Historic Preservation Fund Grants-In-Aid Total			\$ 2,381	\$ 968
Pass Through Total			\$ 2,381	\$ 968

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
NATIONAL PARK SERVICE Total			\$ 2,381	\$ 968
U.S. DEPARTMENT OF THE INTERIOR				
Pass Through				
SECURE Water Act – Research Agreements	15.560	UNIVERSITY OF CENTRAL FLORIDA	\$ 9,249	\$ -
SECURE Water Act – Research Agreements Total			\$ 9,249	\$ -
Pass Through Total			\$ 9,249	\$ -
U.S. DEPARTMENT OF THE INTERIOR Total			\$ 9,249	\$ -
U.S. FISH AND WILDLIFE SERVICE				
Direct				
Research Grants (Generic)	15.650		\$ 50,120	\$ -
Research Grants (Generic) Total			\$ 50,120	\$ -
Visitor Facility Enhancements - Refuges and Wildlife	15.654		\$ 2,424	\$ -
Visitor Facility Enhancements - Refuges and Wildlife Total			\$ 2,424	\$ -
Direct Total			\$ 52,544	\$ -
Pass Through				
State Wildlife Grants	15.634	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 71,935	\$ 71,935
State Wildlife Grants Total			\$ 71,935	\$ 71,935
Pass Through Total			\$ 71,935	\$ 71,935
U.S. FISH AND WILDLIFE SERVICE Total			\$ 124,479	\$ 71,935
U.S. GEOLOGICAL SURVEY				
Direct				
U.S. Geological Survey_ Research and Data Collection	15.808		\$ 42,798	\$ -
U.S. Geological Survey_ Research and Data Collection Total			\$ 42,798	\$ -
Direct Total			\$ 42,798	\$ -
Pass Through				
Assistance to State Water Resources Research Institutes	15.805	PURDUE UNIVERSITY	\$ (944)	\$ -
Assistance to State Water Resources Research Institutes Total			\$ (944)	\$ -
U.S. Geological Survey_ Research and Data Collection	15.808	GEOHAZARDS INTERNATIONAL	\$ 4,114	\$ -
U.S. Geological Survey_ Research and Data Collection Total			\$ 4,114	\$ -
Pass Through Total			\$ 3,170	\$ -
U.S. GEOLOGICAL SURVEY Total			\$ 45,968	\$ -
U.S. DEPARTMENT OF THE INTERIOR Total			\$ 182,077	\$ 72,902
U.S. DEPARTMENT OF TRANSPORTATION				
FEDERAL HIGHWAY ADMINISTRATION				
Pass Through				

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Highway Planning and Construction	20.205	INDIANA DEPARTMENT OF TRANSPORTATION	\$ 1,674	\$ -
Highway Planning and Construction Total			\$ 1,674	\$ -
Highway Research and Development Program	20.200	DELPHI	\$ 3,534	\$ -
		PURDUE UNIVERSITY	\$ 110,067	\$ 47,860
Highway Research and Development Program Total			\$ 113,601	\$ 47,860
Pass Through Total			\$ 115,275	\$ 47,860
FEDERAL HIGHWAY ADMINISTRATION Total			\$ 115,275	\$ 47,860
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION				
Pass Through				
State Traffic Safety Information System Improvement Grants	20.610	INDIANA CRIMINAL JUSTICE INSTITUTE	\$ 375,175	\$ -
State Traffic Safety Information System Improvement Grants Total			\$ 375,175	\$ -
Pass Through Total			\$ 375,175	\$ -
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION Total			\$ 375,175	\$ -
U.S. DEPARTMENT OF TRANSPORTATION Total			\$ 490,450	\$ 47,860
U.S. ENVIRONMENTAL PROTECTION AGENCY				
OFFICE OF RESEARCH AND DEVELOPMENT				
Direct				
Science To Achieve Results (STAR) Fellowship Program	66.514		\$ 4,123	\$ -
Science To Achieve Results (STAR) Fellowship Program Total			\$ 4,123	\$ -
Science To Achieve Results (STAR) Research Program	66.509		\$ 255,630	\$ 5,985
Science To Achieve Results (STAR) Research Program Total			\$ 255,630	\$ 5,985
Direct Total			\$ 259,753	\$ 5,985
Pass Through				
Science To Achieve Results (STAR) Research Program	66.509	UNIVERSITY OF HOUSTON	\$ 301,810	\$ -
Science To Achieve Results (STAR) Research Program Total			\$ 301,810	\$ -
Pass Through Total			\$ 301,810	\$ -
OFFICE OF RESEARCH AND DEVELOPMENT Total			\$ 561,563	\$ 5,985
OFFICE OF WATER				
Direct				
Great Lakes Program	66.469		\$ 779,163	\$ 13,347
Great Lakes Program Total			\$ 779,163	\$ 13,347
Direct Total			\$ 779,163	\$ 13,347
Pass Through				
Great Lakes Program	66.469	CHICAGO PARK DISTRICT	\$ 102,831	\$ -
		UNIVERSITY OF IOWA	\$ 98,271	\$ -
Great Lakes Program Total			\$ 201,102	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Nonpoint Source Implementation Grants	66.460	INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT	\$ 159,826	\$ 54,675
Nonpoint Source Implementation Grants Total			\$ 159,826	\$ 54,675
Pass Through Total			\$ 360,928	\$ 54,675
OFFICE OF WATER Total			\$ 1,140,091	\$ 68,022
U.S. ENVIRONMENTAL PROTECTION AGENCY Total			\$ 1,701,654	\$ 74,008
U.S. SMALL BUSINESS ADMINISTRATION U.S. SMALL BUSINESS ADMINISTRATION Pass Through	59. IEDC	INDIANA ECONOMIC DEVELOPMENT CORPORATION	\$ 7,594	\$ -
			\$ 7,594	\$ -
Pass Through Total			\$ 7,594	\$ -
U.S. SMALL BUSINESS ADMINISTRATION Total			\$ 7,594	\$ -
U.S. SMALL BUSINESS ADMINISTRATION Total			\$ 7,594	\$ -
Research and Development Cluster Total			\$ 252,229,472	\$28,648,079
Medicaid Cluster U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES CENTERS FOR MEDICARE AND MEDICAID SERVICES Pass Through Medical Assistance Program	93.778	INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION	\$ -	\$ -
Medical Assistance Program Total			\$ -	\$ -
Pass Through Total			\$ -	\$ -
CENTERS FOR MEDICARE AND MEDICAID SERVICES Total			\$ -	\$ -
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Total			\$ -	\$ -
Medicaid Cluster Total			\$ -	\$ -
Highway Safety Cluster U.S. DEPARTMENT OF TRANSPORTATION NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION Pass Through Alcohol Impaired Driving Countermeasures Incentive Grants I	20.601	INDIANA CRIMINAL JUSTICE INSTITUTE	\$ 139,800	\$ -
Alcohol Impaired Driving Countermeasures Incentive Grants I Total			\$ 139,800	\$ -
State and Community Highway Safety	20.600	INDIANA CRIMINAL JUSTICE INSTITUTE	\$ 250,011	\$ 24,000
State and Community Highway Safety Total			\$ 250,011	\$ 24,000
Pass Through Total			\$ 389,811	\$ 24,000
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION Total			\$ 389,811	\$ 24,000
U.S. DEPARTMENT OF TRANSPORTATION Total			\$ 389,811	\$ 24,000

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Highway Safety Cluster Total			\$ 389,811	\$ 24,000
Head Start Cluster				
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES ADMINISTRATION FOR CHILDREN AND FAMILIES				
Pass Through				
Head Start	93.600	INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION	\$ 14,421	\$ -
Head Start Total			\$ 14,421	\$ -
Pass Through Total			\$ 14,421	\$ -
ADMINISTRATION FOR CHILDREN AND FAMILIES Total			\$ 14,421	\$ -
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Total			\$ 14,421	\$ -
Head Start Cluster Total			\$ 14,421	\$ -
Federal Transit Cluster				
U.S. DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION				
Direct				
Federal Transit_Capital Investment Grants	20.500		\$ 512,698	\$ -
Federal Transit_Capital Investment Grants Total			\$ 512,698	\$ -
Direct Total			\$ 512,698	\$ -
FEDERAL TRANSIT ADMINISTRATION Total			\$ 512,698	\$ -
U.S. DEPARTMENT OF TRANSPORTATION Total			\$ 512,698	\$ -
Federal Transit Cluster Total			\$ 512,698	\$ -
Education for Homeless Children and Youth Cluster				
U.S. DEPARTMENT OF EDUCATION OFFICE OF ELEMENTARY AND SECONDARY EDUCATION				
Pass Through				
Education for Homeless Children and Youth	84.196	INDIANAPOLIS PUBLIC SCHOOLS	\$ 1,808	\$ -
Education for Homeless Children and Youth Total			\$ 1,808	\$ -
Pass Through Total			\$ 1,808	\$ -
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION Total			\$ 1,808	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 1,808	\$ -
Education for Homeless Children and Youth Cluster Total			\$ 1,808	\$ -
Early Intervention Services (IDEA) Cluster				
U.S. DEPARTMENT OF EDUCATION OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES				
Pass Through				
Special Education-Grants for Infants and Families	84.181	INDIANA DIV. OF DISABILITY & REHABILITATIVE SERVICE	\$ 23,166	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Special Education-Grants for Infants and Families	84.181	INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION	\$ 85,283	\$ (2,451)
Special Education-Grants for Infants and Families Total			\$ 108,449	\$ (2,451)
Pass Through Total			\$ 108,449	\$ (2,451)
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES Total			\$ 108,449	\$ (2,451)
U.S. DEPARTMENT OF EDUCATION Total			\$ 108,449	\$ (2,451)
Early Intervention Services (IDEA) Cluster Total			\$ 108,449	\$ (2,451)
CDBG - State-Administered CDBG Cluster				
U.S. DEPARTMENT OF HOUSING & URBAN DEVELOPMENT				
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT				
Pass Through				
Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii	14.228	INDIANA OFFICE COMMUNITY & RURAL AFFAIRS	\$ 328,107	\$ 23,000
Community Development Block Grants/State's program and Non-Entitlement Grants in Hawaii Total			\$ 328,107	\$ 23,000
Pass Through Total			\$ 328,107	\$ 23,000
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT Total			\$ 328,107	\$ 23,000
U.S. DEPARTMENT OF HOUSING & URBAN DEVELOPMENT Total			\$ 328,107	\$ 23,000
CDBG - State-Administered CDBG Cluster Total			\$ 328,107	\$ 23,000
CCDF Cluster				
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				
ADMINISTRATION FOR CHILDREN AND FAMILIES				
Pass Through				
ARRA Child Care Mandatory and Matching Funds of the Child Care and Development Fund	93.596	INDIANA ASSOC FOR CHILD CARE RESOURCE & REFERRAL	\$ (337)	\$ -
ARRA Child Care Mandatory and Matching Funds of the Child Care and Development Fund Total			\$ (337)	\$ -
Child Care and Development Block Grant	93.575	INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION	\$ 69,327	\$ 55,510
Child Care and Development Block Grant Total			\$ 69,327	\$ 55,510
Child Care Mandatory and Matching Funds of the Child Care and Development Fund	93.596	INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION	\$ 30,347	\$ -
Child Care Mandatory and Matching Funds of the Child Care and Development Fund Total			\$ 30,347	\$ -
Pass Through Total			\$ 99,337	\$ 55,510
ADMINISTRATION FOR CHILDREN AND FAMILIES Total			\$ 99,337	\$ 55,510
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Total			\$ 99,337	\$ 55,510
CCDF Cluster Total			\$ 99,337	\$ 55,510
Aging Cluster				
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				
ADMINISTRATION FOR COMMUNITY LIVING				
Pass Through				
Nutrition Services Incentive Program	93.053	INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION	\$ 53,287	\$ -
Nutrition Services Incentive Program Total			\$ 53,287	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Special Programs for the Aging_Title III, Part C_Nutrition Services	93.045	INDIANA DIVISION DISABILITY, AGING & REHAB SERV	\$ 22,629	\$ -
		INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION	\$ 52,715	\$ -
Special Programs for the Aging_Title III, Part C_Nutrition Services Total			\$ 75,344	\$ -
Pass Through Total			\$ 128,631	\$ -
ADMINISTRATION FOR COMMUNITY LIVING Total			\$ 128,631	\$ -
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Total			\$ 128,631	\$ -
Aging Cluster Total			\$ 128,631	\$ -
WIA Cluster				
U.S. DEPARTMENT OF LABOR				
EMPLOYMENT TRAINING ADMINISTRATION				
Pass Through				
ARRA WIA Youth Activities	17.259	INDIANAPOLIS PRIVATE INDUSTRY COUNCIL	\$ (1,636)	\$ -
ARRA WIA Youth Activities Total			\$ (1,636)	\$ -
Pass Through Total			\$ (1,636)	\$ -
EMPLOYMENT TRAINING ADMINISTRATION Total			\$ (1,636)	\$ -
U.S. DEPARTMENT OF LABOR Total			\$ (1,636)	\$ -
WIA Cluster Total			\$ (1,636)	\$ -
Vocational Rehabilitation Cluster				
U.S. DEPARTMENT OF EDUCATION				
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES				
Pass Through				
Rehabilitation Services_Vocational Rehabilitation Grants to States	84.126	INDIANA VOCATIONAL REHABILITATION SERVICES	\$ 234,922	\$ -
Rehabilitation Services_Vocational Rehabilitation Grants to States Total			\$ 234,922	\$ -
Pass Through Total			\$ 234,922	\$ -
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES Total			\$ 234,922	\$ -
U.S. DEPARTMENT OF EDUCATION				
Pass Through				
ARRA Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act	84.390	INDIANA VOCATIONAL REHABILITATION SERVICES	\$ 113,697	\$ -
ARRA Rehabilitation Services-Vocational Rehabilitation Grants to States, Recovery Act Total			\$ 113,697	\$ -
Pass Through Total			\$ 113,697	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 113,697	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 348,619	\$ -
Vocational Rehabilitation Cluster Total			\$ 348,619	\$ -
TRIO Cluster				

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
U.S. DEPARTMENT OF EDUCATION OFFICE OF POSTSECONDARY EDUCATION Direct				
TRIO_McNair Post-Baccalaureate Achievement	84.217		\$ 380,480	\$ -
TRIO_McNair Post-Baccalaureate Achievement Total			\$ 380,480	\$ -
TRIO_Student Support Services	84.042		\$ 751,799	\$ -
TRIO_Student Support Services Total			\$ 751,799	\$ -
TRIO_Upward Bound	84.047		\$ 520,152	\$ -
TRIO_Upward Bound Total			\$ 520,152	\$ -
Direct Total			\$ 1,652,431	\$ -
OFFICE OF POSTSECONDARY EDUCATION Total			\$ 1,652,431	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 1,652,431	\$ -
TRIO Cluster Total			\$ 1,652,431	\$ -
Title I, Part A Cluster U.S. DEPARTMENT OF EDUCATION OFFICE OF ELEMENTARY AND SECONDARY EDUCATION Pass Through				
Title I Grants to Local Educational Agencies	84.010	BD OF SCHOOL COMMISSIONERS OF CITY OF INDIANAPOLIS	\$ 65	\$ -
		INDIANAPOLIS PUBLIC SCHOOLS	\$ 41,952	\$ -
Title I Grants to Local Educational Agencies Total			\$ 42,017	\$ -
Pass Through Total			\$ 42,017	\$ -
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION Total			\$ 42,017	\$ -
U.S. DEPARTMENT OF EDUCATION Pass Through				
ARRA Title I Grants to Local Educational Agencies, Recovery Act	84.389	BD OF SCHOOL COMMISSIONERS OF CITY OF INDIANAPOLIS	\$ 9,639	\$ -
ARRA Title I Grants to Local Educational Agencies, Recovery Act Total			\$ 9,639	\$ -
Pass Through Total			\$ 9,639	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 9,639	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 51,656	\$ -
Title I, Part A Cluster Total			\$ 51,656	\$ -
Teacher Incentive Fund Cluster U.S. DEPARTMENT OF EDUCATION U.S. DEPARTMENT OF EDUCATION Pass Through				
Teacher Incentive Fund	84.374	SCHOOL BOARD OF MIAMI-DADE COUNTY, FLORIDA	\$ 81,333	\$ -
Teacher Incentive Fund Total			\$ 81,333	\$ -
Pass Through Total			\$ 81,333	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
U.S. DEPARTMENT OF EDUCATION Total			\$ 81,333	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 81,333	\$ -
Teacher Incentive Fund Cluster Total			\$ 81,333	\$ -
Student Financial Assistance Cluster				
U.S. DEPARTMENT OF EDUCATION				
OFFICE OF STUDENT FINANCIAL ASSISTANCE PROGRAMS				
Direct				
Federal Direct Student Loans	84.268		\$ 602,128,752	\$ -
Federal Direct Student Loans Total			\$ 602,128,752	\$ -
Federal Pell Grant Program	84.063		\$ 110,428,616	\$ -
Federal Pell Grant Program Total			\$ 110,428,616	\$ -
Federal Supplemental Educational Opportunity Grants	84.007		\$ 2,906,525	\$ -
Federal Supplemental Educational Opportunity Grants Total			\$ 2,906,525	\$ -
Federal Work-Study Program	84.033		\$ 4,151,843	\$ -
Federal Work-Study Program Total			\$ 4,151,843	\$ -
Direct Total			\$ 719,615,736	\$ -
OFFICE OF STUDENT FINANCIAL ASSISTANCE PROGRAMS Total			\$ 719,615,736	\$ -
U.S. DEPARTMENT OF EDUCATION				
Direct				
Academic Competitiveness Grants	84.375		\$ 9,802	\$ -
Academic Competitiveness Grants Total			\$ 9,802	\$ -
National Science and Mathematics Access to Retain Talent Grants (SMART)	84.376		\$ 15,900	\$ -
National Science and Mathematics Access to Retain Talent Grants (SMART) Total			\$ 15,900	\$ -
Perkins Loans	84.038		\$ 7,199,937	\$ -
Perkins Loans Total			\$ 7,199,937	\$ -
Teacher Education Assistance for College and Higher Education Grants (TEACH)	84.379		\$ 4,000	\$ -
Teacher Education Assistance for College and Higher Education Grants (TEACH) Total			\$ 4,000	\$ -
Direct Total			\$ 7,229,638	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 7,229,638	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 726,845,374	\$ -
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				
HEALTH RESOURCES AND SERVICES ADMINISTRATION				
Direct				
ARRA - Nurse Faculty Loan Program	93.408		\$ 93,925	\$ -
ARRA - Nurse Faculty Loan Program Total			\$ 93,925	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
ARRA - Scholarships for Disadvantaged Students	93.407		\$ 4,089	\$ -
ARRA - Scholarships for Disadvantaged Students Total			\$ 4,089	\$ -
Health Professions Student Loans	93.342		\$ 2,712,362	\$ -
Health Professions Student Loans Total			\$ 2,712,362	\$ -
Nurse Faculty Loan Program	93.264		\$ 7,202	\$ -
Nurse Faculty Loan Program Total			\$ 7,202	\$ -
Nursing Student Loans	93.364		\$ 300,343	\$ -
Nursing Student Loans Total			\$ 300,343	\$ -
Scholarships for Health Professions Students from Disadvantaged Backgrounds (SDS)	93.925		\$ 395,447	\$ -
Scholarships for Health Professions Students from Disadvantaged Backgrounds (SDS) Total			\$ 395,447	\$ -
Direct Total			\$ 3,513,368	\$ -
HEALTH RESOURCES AND SERVICES ADMINISTRATION Total			\$ 3,513,368	\$ -
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Total			\$ 3,513,368	\$ -
Student Financial Assistance Cluster Total			\$ 730,358,742	\$ -
State Fiscal Stabilization Cluster				
U.S. DEPARTMENT OF EDUCATION				
U.S. DEPARTMENT OF EDUCATION				
Pass Through				
ARRA State Fiscal Stabilization Fund	84.394	STATE OF INDIANA	\$ 14,658,919	\$ -
ARRA State Fiscal Stabilization Fund Total			\$ 14,658,919	\$ -
Pass Through Total			\$ 14,658,919	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 14,658,919	\$ -
U.S. DEPARTMENT OF EDUCATION Total			\$ 14,658,919	\$ -
State Fiscal Stabilization Cluster Total			\$ 14,658,919	\$ -
Special Education Cluster (IDEA) Cluster				
U.S. DEPARTMENT OF EDUCATION				
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES				
Pass Through				
Special Education_Grants to States	84.027	INDIANA DEPARTMENT OF EDUCATION	\$ 722,074	\$ 19,953
Special Education_Grants to States Total			\$ 722,074	\$ 19,953
Pass Through Total			\$ 722,074	\$ 19,953
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES Total			\$ 722,074	\$ 19,953
U.S. DEPARTMENT OF EDUCATION Total			\$ 722,074	\$ 19,953
Special Education Cluster (IDEA) Cluster Total			\$ 722,074	\$ 19,953

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Other Programs				
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE				
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE				
Pass Through				
AmeriCorps	94.006	INDIANA OFFICE FAITH BASED & COMMUNITY INITIATIVES	\$ 53,906	\$ -
AmeriCorps Total			\$ 53,906	\$ -
Learn and Serve America_Higher Education	94.005	HARRISBURG UNIVERSITY OF SCIENCE AND TECHNOLOGY	\$ 34,213	\$ 22,373
Learn and Serve America_Higher Education Total			\$ 34,213	\$ 22,373
Program Development and Innovation Grants	94.007	NORTH CAROLINA CAMPUS COMPACT	\$ -	\$ -
Program Development and Innovation Grants Total			\$ -	\$ -
	94. F20-2-11-AF-0009	INDIANA OFFICE FAITH BASED & COMMUNITY INITIATIVES	\$ 97,588	\$ -
			\$ 97,588	\$ -
Pass Through Total			\$ 185,707	\$ 22,373
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE Total			\$ 185,707	\$ 22,373
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE Total			\$ 185,707	\$ 22,373
INSTITUTE OF MUSEUM AND LIBRARY SERVICES				
INSTITUTE OF MUSEUM AND LIBRARY SERVICES				
Direct				
Museums for America	45.301		\$ 15,081	\$ -
Museums for America Total			\$ 15,081	\$ -
Direct Total			\$ 15,081	\$ -
Pass Through				
Grants to States	45.310	INDIANA STATE LIBRARY	\$ 39,870	\$ 6,962
Grants to States Total			\$ 39,870	\$ 6,962
National Leadership Grants	45.312	UNIVERSITY OF CALIFORNIA, LOS ANGELES	\$ (306)	\$ -
National Leadership Grants Total			\$ (306)	\$ -
Pass Through Total			\$ 39,564	\$ 6,962
INSTITUTE OF MUSEUM AND LIBRARY SERVICES Total			\$ 54,645	\$ 6,962
INSTITUTE OF MUSEUM AND LIBRARY SERVICES Total			\$ 54,645	\$ 6,962
LIBRARY OF CONGRESS				
LIBRARY OF CONGRESS				
Direct	42. GA06C0066		\$ 448,485	\$ -
			\$ 448,485	\$ -
Direct Total			\$ 448,485	\$ -
LIBRARY OF CONGRESS Total			\$ 448,485	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
LIBRARY OF CONGRESS Total			\$ 448,485	\$ -
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION				
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION				
Direct				
	43. NNG11LD21P		\$ 16,200	\$ -
	43. NNJ11HA44C^NNJ11HA47D^M17		\$ 216,505	\$ -
	43. NNJ11HA44C^NNJ11HB80D^A1		\$ -	\$ -
	43. NNJ11HA44C^NNJ11HB82D^M11		\$ 157,949	\$ -
	43. NNM11AC51P^M1		\$ 4,050	\$ -
	43. NNM11AD04P		\$ 8,100	\$ -
	43. NNX08AV45H^S000003		\$ 3,093	\$ -
	43. NNX09AL44H^S000001		\$ 1,552	\$ -
			\$ 407,449	\$ -
Direct Total			\$ 407,449	\$ -
Pass Through				
	43. 0000075135^M3	UNIVERSITY OF COLORADO	\$ -	\$ -
	43. 1000047578^A1	UNIVERSITY OF COLORADO	\$ 16,200	\$ -
	43. 112802	SPACE EXPLORATION TECHNOLOGIES CORP	\$ 32,400	\$ -
	43. 116407	SPACE EXPLORATION TECHNOLOGIES CORP	\$ 32,400	\$ -
	43. 11VL00001^A2	BALL AEROSPACE AND TECHNOLOGIES CORP	\$ -	\$ -
	43. 132019	SPACE EXPLORATION TECHNOLOGIES CORP	\$ 8,100	\$ -
	43. 144945	SPACE EXPLORATION TECHNOLOGIES CORP	\$ 40,500	\$ -
	43. 1449698^A1	CALIFORNIA INSTITUTE TECHNOLOGY JET PROPULSION LAB	\$ 8,775	\$ -
	43. 20976	ADVANCED SCIENTIFIC CONCEPTS, INC.	\$ 16,200	\$ -
	43. 2713818	NORTHROP GRUMMAN INFORMATION TECHNOLOGY	\$ 4,342	\$ -
	43. 30939	ZIN TECHNOLOGIES, INC.	\$ 24,300	\$ -
	43. 4103-40658	PURDUE UNIVERSITY	\$ -	\$ -
	43. 4103-40661^A1	PURDUE UNIVERSITY	\$ -	\$ -
	43. 4103-40703	PURDUE UNIVERSITY	\$ -	\$ -
	43. 4103-40704	PURDUE UNIVERSITY	\$ -	\$ -
	43. 4103-40705	PURDUE UNIVERSITY	\$ -	\$ -
	43. 4103-40706	PURDUE UNIVERSITY	\$ -	\$ -
	43. 4103-40707	PURDUE UNIVERSITY	\$ -	\$ -
	43. 4103-41593	PURDUE UNIVERSITY	\$ -	\$ -
	43. 4103-41706	PURDUE UNIVERSITY	\$ -	\$ -
	43. 4103-45721	PURDUE UNIVERSITY	\$ (2,000)	\$ -
	43. 4103-45762	PURDUE UNIVERSITY	\$ 28,000	\$ -
	43. 4103-45762^A1	PURDUE UNIVERSITY	\$ 6,095	\$ -
	43. 4103-45763	PURDUE UNIVERSITY	\$ 2,471	\$ -
	43. 4203857008E	HONEYWELL, INCORPORATED	\$ 16,200	\$ -
	43. 76254	UNIVERSITY OF COLORADO	\$ 24,300	\$ -
	43. PO 542504^CO 04	BOEING COMPANY	\$ 24,300	\$ -
	43. PO124189	SPACE EXPLORATION TECHNOLOGIES CORP	\$ 40,500	\$ -
			\$ 323,083	\$ -
Pass Through Total			\$ 323,083	\$ -
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION Total			\$ 730,532	\$ -
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION Total			\$ 730,532	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION				
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION				
Direct				
National Historical Publications and Records Grants	89.003		\$ 27,180	\$ -
National Historical Publications and Records Grants Total			\$ 27,180	\$ -
Direct Total			\$ 27,180	\$ -
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION Total			\$ 27,180	\$ -
NATIONAL ARCHIVES AND RECORDS ADMINISTRATION Total			\$ 27,180	\$ -
NATIONAL ENDOWMENT FOR THE HUMANITIES				
NATIONAL ENDOWMENT FOR THE HUMANITIES				
Direct				
Promotion of the Humanities_Division of Preservation and Access	45.149		\$ 58,485	\$ -
Promotion of the Humanities_Division of Preservation and Access Total			\$ 58,485	\$ -
Promotion of the Humanities_Professional Development	45.163		\$ 186,328	\$ -
Promotion of the Humanities_Professional Development Total			\$ 186,328	\$ -
Promotion of the Humanities_Research	45.161		\$ 50,874	\$ -
Promotion of the Humanities_Research Total			\$ 50,874	\$ -
Direct Total			\$ 295,687	\$ -
NATIONAL ENDOWMENT FOR THE HUMANITIES Total			\$ 295,687	\$ -
NATIONAL ENDOWMENT FOR THE HUMANITIES Total			\$ 295,687	\$ -
NATIONAL FOUNDATION ON THE ARTS AND HUMANITIES				
INSTITUTE OF MUSEUM AND LIBRARY SERVICES				
Direct				
Laura Bush 21st Century Librarian Program	45.313		\$ 109,296	\$ -
Laura Bush 21st Century Librarian Program Total			\$ 109,296	\$ -
National Leadership Grants	45.312		\$ 191,055	\$ 2,517
National Leadership Grants Total			\$ 191,055	\$ 2,517
Direct Total			\$ 300,351	\$ 2,517
INSTITUTE OF MUSEUM AND LIBRARY SERVICES Total			\$ 300,351	\$ 2,517
NATIONAL ENDOWMENT FOR THE ARTS				
Direct				
Promotion of the Arts_Grants to Organizations and Individuals	45.024		\$ 90,845	\$ -
Promotion of the Arts_Grants to Organizations and Individuals Total			\$ 90,845	\$ -
Direct Total			\$ 90,845	\$ -
Pass Through				
Promotion of the Arts_Partnership Agreements	45.025	INDIANA ARTS COMMISSION	\$ 55,544	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Promotion of the Arts_Partnership Agreements Total			\$ 55,544	\$ -
Pass Through Total			\$ 55,544	\$ -
NATIONAL ENDOWMENT FOR THE ARTS Total			\$ 146,389	\$ -
NATIONAL FOUNDATION ON THE ARTS AND HUMANITIES Total			\$ 446,740	\$ 2,517
NATIONAL SCIENCE FOUNDATION				
NATIONAL SCIENCE FOUNDATION				
Direct				
ARRA Trans-NSF Recovery Act Research Support	47.082		\$ 94,672	\$ -
ARRA Trans-NSF Recovery Act Research Support Total			\$ 94,672	\$ -
Biological Sciences	47.074		\$ 36,874	\$ -
Biological Sciences Total			\$ 36,874	\$ -
Computer and Information Science and Engineering	47.070		\$ 212,770	\$ 6,779
Computer and Information Science and Engineering Total			\$ 212,770	\$ 6,779
Education and Human Resources	47.076		\$ 2,611,108	\$ 6,118
Education and Human Resources Total			\$ 2,611,108	\$ 6,118
Engineering Grants	47.041		\$ 76,362	\$ 4,088
Engineering Grants Total			\$ 76,362	\$ 4,088
International Science and Engineering (OISE)	47.079		\$ 32,043	\$ -
International Science and Engineering (OISE) Total			\$ 32,043	\$ -
Mathematical and Physical Sciences	47.049		\$ 79,098	\$ -
Mathematical and Physical Sciences Total			\$ 79,098	\$ -
Office of Cyberinfrastructure	47.080		\$ 1,465,367	\$ -
Office of Cyberinfrastructure Total			\$ 1,465,367	\$ -
Polar Programs	47.078		\$ 14,660	\$ -
Polar Programs Total			\$ 14,660	\$ -
Social, Behavioral, and Economic Sciences	47.075		\$ 18,890	\$ -
Social, Behavioral, and Economic Sciences Total			\$ 18,890	\$ -
Direct Total			\$ 4,641,844	\$ 16,985
Pass Through				
ARRA Trans-NSF Recovery Act Research Support	47.082	RAYTHEON BBN TECHNOLOGIES CORPORATION	\$ 258,461	\$ -
ARRA Trans-NSF Recovery Act Research Support Total			\$ 258,461	\$ -
Computer and Information Science and Engineering	47.070	RAYTHEON BBN TECHNOLOGIES CORPORATION	\$ 420,946	\$ -
Computer and Information Science and Engineering Total			\$ 420,946	\$ -
Education and Human Resources	47.076	IVY TECH COMMUNITY COLLEGE OF INDIANA	\$ -	\$ -
		NATIONAL SCIENCE FOUNDATION	\$ 8,080	\$ -
		PURDUE UNIVERSITY	\$ 400,854	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Education and Human Resources	47.076	UNIVERSITY OF MISSOURI, COLUMBIA	\$ 5,400	\$ -
Education and Human Resources Total			\$ 414,334	\$ -
Mathematical and Physical Sciences	47.049	UNIVERSITY OF NOTRE DAME	\$ 700	\$ -
Mathematical and Physical Sciences Total			\$ 700	\$ -
Social, Behavioral, and Economic Sciences	47.075	ILLINOIS INSTITUTE OF TECHNOLOGY	\$ 16,534	\$ -
Social, Behavioral, and Economic Sciences Total			\$ 16,534	\$ -
	47. IODP-MI-10-01	INTEGRATED OCEAN DRILLING PROGRAM MANAGEMENT INTL	\$ (9,160)	\$ -
	47. IODP-MI-10-01: OCE 0432224	INTEGRATED OCEAN DRILLING PROGRAM MANAGEMENT INTL	\$ 77,665	\$ -
			\$ 68,505	\$ -
Pass Through Total			\$ 1,179,480	\$ -
NATIONAL SCIENCE FOUNDATION Total			\$ 5,821,324	\$ 16,985
NATIONAL SCIENCE FOUNDATION Total			\$ 5,821,324	\$ 16,985
OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION Direct	*		\$ 27,232	\$ -
			\$ 27,232	\$ -
Direct Total			\$ 27,232	\$ -
OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION Total			\$ 27,232	\$ -
OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION Total			\$ 27,232	\$ -
U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT Direct				
Cooperative Development Program (CDP)	98.002		\$ 15,802,445	\$13,969,448
Cooperative Development Program (CDP) Total			\$ 15,802,445	\$13,969,448
Foreign Assistance to American Schools and Hospitals Abroad (ASHA)	98.006		\$ 988,014	\$ -
Foreign Assistance to American Schools and Hospitals Abroad (ASHA) Total			\$ 988,014	\$ -
Global Development Alliance	98.011		\$ 1,635,620	\$ 1,590,608
Global Development Alliance Total			\$ 1,635,620	\$ 1,590,608
USAID Foreign Assistance for Programs Overseas	98.001		\$ 409,026	\$ -
USAID Foreign Assistance for Programs Overseas Total			\$ 409,026	\$ -
	98. AID-517-A-00-09-00100-00		\$ 42,368	\$ -
			\$ 42,368	\$ -
Direct Total			\$ 18,877,473	\$15,560,056
Pass Through USAID Development Partnerships for University Cooperation and Development	98.012	AMERICAN COUNCIL ON EDUCATION	\$ 257,571	\$ 111,436

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
USAID Development Partnerships for University Cooperation and Development	98.012	HIGHER EDUCATION FOR DEVELOPMENT	\$ 354,482	\$ 42,000
USAID Development Partnerships for University Cooperation and Development Total			\$ 612,053	\$ 153,436
USAID Foreign Assistance for Programs Overseas	98.001	ACADEMY FOR EDUCATIONAL DEVELOPMENT	\$ 35,911	\$ -
USAID Foreign Assistance for Programs Overseas Total			\$ 35,911	\$ -
	98. 11-006514^A02	UNIVERSITY OF MASSACHUSETTS	\$ 381,508	\$ -
	98. AID-497-C-12-00001-IU-01	CHEMONICS INTERNATIONAL	\$ 26,666	\$ -
			\$ 408,174	\$ -
Pass Through Total			\$ 1,056,138	\$ 153,436
U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT Total			\$ 19,933,611	\$15,713,492
U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT Total			\$ 19,933,611	\$15,713,492
U.S. DEPARTMENT OF AGRICULTURE				
U.S. DEPARTMENT OF AGRICULTURE				
Pass Through				
Child and Adult Care Food Program	10.558	INDIANA DEPARTMENT OF EDUCATION	\$ 91,224	\$ -
Child and Adult Care Food Program Total			\$ 91,224	\$ -
Cooperative Forestry Assistance	10.664	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ -	\$ -
Cooperative Forestry Assistance Total			\$ -	\$ -
Pass Through Total			\$ 91,224	\$ -
U.S. DEPARTMENT OF AGRICULTURE Total			\$ 91,224	\$ -
U.S. DEPARTMENT OF AGRICULTURE FOREST SERVICE				
Direct				
	10. 08-CS-11011800-024^1		\$ 3,486	\$ -
	10. 08-CS-11132466-202^M005		\$ 59,755	\$ -
	10. 09-PA-11091200-010^M2		\$ 4,841	\$ -
	10. 10-CS-11091200-010		\$ 9,340	\$ -
	10. 11-CS-11911200-010		\$ 6,965	\$ -
	10. PNW 06-JV-11261976-307^M3		\$ 1,588	\$ -
			\$ 85,975	\$ -
Direct Total			\$ 85,975	\$ -
U.S. DEPARTMENT OF AGRICULTURE FOREST SERVICE Total			\$ 85,975	\$ -
U.S. DEPARTMENT OF AGRICULTURE Total			\$ 177,199	\$ -
U.S. DEPARTMENT OF COMMERCE				
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION				
Direct				
ARRA NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION	11. DG133010CN0079		\$ (9,416)	\$ -
ARRA NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION Total			\$ (9,416)	\$ -
	11. DG1330-10-CN-0079^A0003		\$ 504,700	\$ -
	11. RA133R11SU0609		\$ 1,678	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
			\$ 506,378	\$ -
Direct Total			\$ 496,962	\$ -
Pass Through				
Coastal Zone Management Administration Awards	11.419	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 32,478	\$ -
Coastal Zone Management Administration Awards Total			\$ 32,478	\$ -
Pass Through Total			\$ 32,478	\$ -
NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION Total			\$ 529,440	\$ -
NATIONAL TELECOM AND INFORMATION ADMINISTRATION				
Direct				
Public Telecommunications Facilities Planning and Construction	11.55		\$ 3,963	\$ -
Public Telecommunications Facilities Planning and Construction Total			\$ 3,963	\$ -
Direct Total			\$ 3,963	\$ -
NATIONAL TELECOM AND INFORMATION ADMINISTRATION Total			\$ 3,963	\$ -
U.S. DEPARTMENT OF COMMERCE				
Direct				
11 US DEPT OF COMMERCE			\$ 1,200	\$ -
Direct Total			\$ 1,200	\$ -
Pass Through				
Economic Development_Technical Assistance	11.303	PURDUE UNIVERSITY	\$ 36,268	\$ 8,500
Economic Development_Technical Assistance Total			\$ 36,268	\$ 8,500
Special Projects				
Special Projects Total	11.553	PUBLIC BROADCASTING SERVICE	\$ 158	\$ -
Pass Through Total			\$ 36,426	\$ 8,500
U.S. DEPARTMENT OF COMMERCE Total			\$ 37,626	\$ 8,500
U.S. DEPARTMENT OF COMMERCE Total			\$ 571,029	\$ 8,500
U.S. DEPARTMENT OF DEFENSE				
AIR FORCE RESEARCH LABORATORY				
Direct				
12. AIR FORCE RSCH LAB/IPA/C.REIGEL			\$ 4,530	\$ -
Direct Total			\$ 4,530	\$ -
AIR FORCE RESEARCH LABORATORY Total			\$ 4,530	\$ -
DEFENSE THREAT REDUCTION AGENCY				
Direct				

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
	12. HDTRA-1-10-A-0003		\$ 4,050	\$ -
			\$ 4,050	\$ -
Direct Total			\$ 4,050	\$ -
DEFENSE THREAT REDUCTION AGENCY Total			\$ 4,050	\$ -
INDIANA NATIONAL GUARD				
Direct				
	12. INDIANA NATIONAL GUARD		\$ 14,280	\$ -
	12. W912L9-11-P-0097^P00003		\$ 30,492	\$ -
			\$ 44,772	\$ -
Direct Total			\$ 44,772	\$ -
INDIANA NATIONAL GUARD Total			\$ 44,772	\$ -
NATIONAL SECURITY AGENCY				
Direct				
Language Grant Program	12.900		\$ 318,593	\$ -
Language Grant Program Total			\$ 318,593	\$ -
Direct Total			\$ 318,593	\$ -
NATIONAL SECURITY AGENCY Total			\$ 318,593	\$ -
NAVAL SURFACE WARFARE CENTER CRANE DIVISION				
Direct				
	12. N00164-10-D-S002^0001		\$ 921	\$ -
	12. N00164-11-D-GM25		\$ 3,480	\$ -
	12. N40083-10-M-2317^P2		\$ -	\$ -
			\$ 4,401	\$ -
Direct Total			\$ 4,401	\$ -
NAVAL SURFACE WARFARE CENTER CRANE DIVISION Total			\$ 4,401	\$ -
U.S. ARMY				
Direct				
	12. PO 0037032		\$ 22,388	\$ -
	12. W912L9-11-P-0038-P00001		\$ 10,667	\$ -
			\$ 33,055	\$ -
Direct Total			\$ 33,055	\$ -
Pass Through				
Collaborative Research and Development	12.114	MASON & HANGER CORPORATION	\$ 3,128	\$ -
Collaborative Research and Development Total			\$ 3,128	\$ -
Pass Through Total			\$ 3,128	\$ -
U.S. ARMY Total			\$ 36,183	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
U.S. ARMY CORPS OF ENGINEERS				
Direct				
	12. W912P9-11-P-1111		\$ 5,543	\$ -
			\$ 5,543	\$ -
Direct Total			\$ 5,543	\$ -
U.S. ARMY CORPS OF ENGINEERS Total			\$ 5,543	\$ -
U.S. ARMY MEDICAL RESEARCH AND MATERIEL COMMAND				
Pass Through				
Military Medical Research and Development	12.420	MAINE INSTITUTE FOR HUMAN GENETICS & HEALTH	\$ 10,598	\$ -
Military Medical Research and Development Total			\$ 10,598	\$ -
Pass Through Total			\$ 10,598	\$ -
U.S. ARMY MEDICAL RESEARCH AND MATERIEL COMMAND Total			\$ 10,598	\$ -
U.S. DEPARTMENT OF DEFENSE				
Pass Through				
Language Grant Program	12.900	INSTITUTE OF INTERNATIONAL EDUCATION	\$ 277,385	\$ -
Language Grant Program Total			\$ 277,385	\$ -
Military Medical Research and Development	12.420	CORNELL UNIVERSITY	\$ 1,760	\$ -
		GREENVILLE HOSPITAL SYSTEM	\$ 6,426	\$ -
		SARCOMA ALLIANCE FOR RESEARCH THROUGH COLLABORATION	\$ 156	\$ -
Military Medical Research and Development Total			\$ 8,342	\$ -
The Language Flagship Fellowships	12.553	INSTITUTE OF INTERNATIONAL EDUCATION	\$ 565,901	\$ -
The Language Flagship Fellowships Total			\$ 565,901	\$ -
The Language Flagship Grants to Institutions of Higher Education	12.550	INSTITUTE OF INTERNATIONAL EDUCATION	\$ 354,214	\$ -
The Language Flagship Grants to Institutions of Higher Education Total			\$ 354,214	\$ -
	12. 2009-ROTC-U634007-1-IU^M4	INSTITUTE OF INTERNATIONAL EDUCATION	\$ 140,258	\$ -
	12. PP1-220268	LOCKHEED MARTIN CORPORATION	\$ 8,100	\$ -
			\$ 148,358	\$ -
Pass Through Total			\$ 1,354,200	\$ -
U.S. DEPARTMENT OF DEFENSE Total			\$ 1,354,200	\$ -
U.S. NAVY				
Direct				
	12. HDQMWR-08-C-0036^P00015		\$ 506,379	\$ -
			\$ 506,379	\$ -
Direct Total			\$ 506,379	\$ -
U.S. NAVY Total			\$ 506,379	\$ -
U.S. DEPARTMENT OF DEFENSE Total			\$ 2,289,249	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
U.S. DEPARTMENT OF EDUCATION				
INSTITUTE OF EDUCATION SCIENCES				
Pass Through				
Research in Special Education	84.324	UNIVERSITY OF KANSAS	\$ 144,600	\$ -
Research in Special Education Total			\$ 144,600	\$ -
Pass Through Total			\$ 144,600	\$ -
INSTITUTE OF EDUCATION SCIENCES Total			\$ 144,600	\$ -
OFFICE OF EDUCATIONAL RESEARCH AND IMPROVEMENT				
Pass Through				
Twenty-First Century Community Learning Centers	84.287	KENTUCKY DEPARTMENT OF EDUCATION	\$ 322,341	\$ -
		METROPOLITAN SCHOOL DISTRICT WASHINGTON TOWNSHIP	\$ 6,102	\$ -
Twenty-First Century Community Learning Centers Total			\$ 328,443	\$ -
Pass Through Total			\$ 328,443	\$ -
OFFICE OF EDUCATIONAL RESEARCH AND IMPROVEMENT Total			\$ 328,443	\$ -
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION				
Direct				
Civil Rights Training and Advisory Services	84.004		\$ 456,993	\$ -
Civil Rights Training and Advisory Services Total			\$ 456,993	\$ -
Safe and Drug-Free Schools and Communities_National Programs	84.184		\$ 531,312	\$ -
Safe and Drug-Free Schools and Communities_National Programs Total			\$ 531,312	\$ -
Direct Total			\$ 988,305	\$ -
Pass Through				
Improving Teacher Quality State Grants	84.367	INDIANA COMMISSION FOR HIGHER EDUCATION	\$ 385,631	\$ 30,699
Improving Teacher Quality State Grants Total			\$ 385,631	\$ 30,699
Safe and Drug-Free Schools and Communities_National Programs	84.184	MONROE COUNTY COMMUNITY SCHOOL CORPORATION	\$ 12,900	\$ -
Safe and Drug-Free Schools and Communities_National Programs Total			\$ 12,900	\$ -
Pass Through Total			\$ 398,531	\$ 30,699
OFFICE OF ELEMENTARY AND SECONDARY EDUCATION Total			\$ 1,386,836	\$ 30,699
OFFICE OF INNOVATION AND IMPROVEMENT				
Direct				
Fund for the Improvement of Education	84.215		\$ 481,958	\$ 124,387
Fund for the Improvement of Education Total			\$ 481,958	\$ 124,387
Direct Total			\$ 481,958	\$ 124,387
Pass Through				
Fund for the Improvement of Education	84.215	DORCAS PLACE ADULT AND FAMILY LEARNING CENTER, INC	\$ 33,129	\$ -
		HAMILTON-BOONE-MADISON SPECIAL SERVICES CO-OP	\$ (477)	\$ -
		LEE COUNTY BOARD OF EDUCATION	\$ 24,080	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Fund for the Improvement of Education	84.215	MARY RIGG NEIGHBORHOOD CENTER	\$ 43,261	\$ -
		MONROE COUNTY COMMUNITY SCHOOL CORPORATION	\$ 17,598	\$ -
		RICHMOND COMMUNITY SCHOOLS	\$ 14,664	\$ -
Fund for the Improvement of Education Total			\$ 132,255	\$ -
Pass Through Total			\$ 132,255	\$ -
OFFICE OF INNOVATION AND IMPROVEMENT Total			\$ 614,213	\$ 124,387
OFFICE OF POSTSECONDARY EDUCATION				
Direct				
Centers for International Business Education	84.220		\$ 194,669	\$ -
Centers for International Business Education Total			\$ 194,669	\$ -
Child Care Access Means Parents in School	84.335		\$ 51,753	\$ -
Child Care Access Means Parents in School Total			\$ 51,753	\$ -
Fund for the Improvement of Postsecondary Education	84.116		\$ 326,044	\$ 44,055
Fund for the Improvement of Postsecondary Education Total			\$ 326,044	\$ 44,055
Graduate Assistance in Areas of National Need	84.200		\$ 191,354	\$ -
Graduate Assistance in Areas of National Need Total			\$ 191,354	\$ -
Javits Fellowships	84.170		\$ 43,975	\$ -
Javits Fellowships Total			\$ 43,975	\$ -
Language Resource Centers	84.229		\$ 183,953	\$ -
Language Resource Centers Total			\$ 183,953	\$ -
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	84.015		\$ 2,761,632	\$ -
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program Total			\$ 2,761,632	\$ -
Direct Total			\$ 3,753,380	\$ 44,055
Pass Through				
Fund for the Improvement of Postsecondary Education	84.116	RESEARCH FOUNDATION CITY UNIVERSITY OF NEW YORK	\$ 10,655	\$ -
Fund for the Improvement of Postsecondary Education Total			\$ 10,655	\$ -
Gaining Early Awareness and Readiness for Undergraduate Programs	84.334	OFFICE OF TWENTY-FIRST CENTURY SCHOLARS	\$ 246,117	\$ -
		STATE STUDENT ASSISTANCE COMMISSION OF INDIANA	\$ 608,372	\$ -
Gaining Early Awareness and Readiness for Undergraduate Programs Total			\$ 854,489	\$ -
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	84.015	DUKE UNIVERSITY	\$ 22,500	\$ -
		GEORGETOWN UNIVERSITY	\$ 34,357	\$ -
		HARVARD UNIVERSITY	\$ 12,193	\$ -
		OHIO STATE UNIVERSITY	\$ 15,000	\$ -
		PORTLAND STATE UNIVERSITY	\$ 2,800	\$ -
		PRINCETON UNIVERSITY	\$ 5,510	\$ -
		UNIVERSITY OF CALIFORNIA, BERKELEY	\$ 1,827	\$ -
		UNIVERSITY OF CALIFORNIA, LOS ANGELES	\$ 1,175	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program	84.015	UNIVERSITY OF ILLINOIS UNIVERSITY OF MICHIGAN UNIVERSITY OF PENNSYLVANIA	\$ 230,986 \$ 3,129 \$ 1,789	\$ - \$ - \$ -
National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program Total			\$ 331,266	\$ -
Pass Through Total			\$ 1,196,410	\$ -
OFFICE OF POSTSECONDARY EDUCATION Total			\$ 4,949,790	\$ 44,055
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES				
Direct				
Special Education - Personnel Development to Improve Services and Results for Children with Disabilities	84.325		\$ 372,713	\$ -
Special Education - Personnel Development to Improve Services and Results for Children with Disabilities Total			\$ 372,713	\$ -
Direct Total			\$ 372,713	\$ -
Pass Through				
National Institute on Disability and Rehabilitation Research	84.133	UNIVERSITY OF ILLINOIS AT CHICAGO	\$ 35,426	\$ -
National Institute on Disability and Rehabilitation Research Total			\$ 35,426	\$ -
Pass Through Total			\$ 35,426	\$ -
OFFICE OF SPECIAL EDUCATION AND REHABILITATIVE SERVICES Total			\$ 408,139	\$ -
OFFICE OF VOCATIONAL AND ADULT EDUCATION				
Pass Through				
Career and Technical Education -- Basic Grants to States	84.048	BALL STATE UNIVERSITY INDIANA DEPARTMENT OF WORKFORCE DEVELOPMENT	\$ 356 \$ 47,843	\$ - \$ -
Career and Technical Education -- Basic Grants to States Total			\$ 48,199	\$ -
Pass Through Total			\$ 48,199	\$ -
OFFICE OF VOCATIONAL AND ADULT EDUCATION Total			\$ 48,199	\$ -
U.S. DEPARTMENT OF EDUCATION				
Direct				
Bilingual Education_Professional Development	84.195		\$ 471,708	\$ -
Bilingual Education_Professional Development Total			\$ 471,708	\$ -
English Language Acquisition State Grants	84.365		\$ 158,069	\$ -
English Language Acquisition State Grants Total			\$ 158,069	\$ -
Direct Total			\$ 629,777	\$ -
Pass Through				
Civic Education - We the People and the Cooperative Education Exchange Program	84.304	CENTER FOR CIVIC EDUCATION	\$ 190,721	\$ -
Civic Education - We the People and the Cooperative Education Exchange Program Total			\$ 190,721	\$ -
Improving Teacher Quality State Grants	84. 41186	INDIANA COMMISSION FOR HIGHER EDUCATION	\$ 36,676	\$ 1,348
Improving Teacher Quality State Grants Total			\$ 36,676	\$ 1,348
Javits Gifted and Talented Students Education	84.206	PURDUE UNIVERSITY	\$ (11,907)	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Javits Gifted and Talented Students Education Total			\$ (11,907)	\$ -
National Writing Project	84.928	NATIONAL WRITING PROJECT CORPORATION	\$ 42,351	\$ -
National Writing Project Total			\$ 42,351	\$ -
Reading First State Grants	84.357	INDIANA DEPARTMENT OF EDUCATION	\$ -	\$ -
Reading First State Grants Total			\$ -	\$ -
	84. 03-IN05^A8	NATIONAL WRITING PROJECT CORPORATION	\$ 28,656	\$ -
	84. 11138588-00	INDIANAPOLIS PUBLIC SCHOOLS	\$ 9,374	\$ -
	84. 41189	INDIANA COMMISSION FOR HIGHER EDUCATION	\$ 7,219	\$ -
	84. 94-IN02	NATIONAL WRITING PROJECT CORPORATION	\$ 25,498	\$ -
	84. A107-12-2102	STATE STUDENT ASSISTANCE COMMISSION OF INDIANA	\$ 232,168	\$ -
	84. A58-1-11DL-055^A1	INDIANA DEPARTMENT OF EDUCATION	\$ 10,835	\$ -
	84. Sub# 5104	COFFEY CONSULTING, LLC	\$ (584)	\$ -
	84.000	INDIANAPOLIS PUBLIC SCHOOLS	\$ 4,601	\$ -
			\$ 317,767	\$ -
Pass Through Total			\$ 575,608	\$ 1,348
U.S. DEPARTMENT OF EDUCATION Total			\$ 1,205,385	\$ 1,348
U.S. DEPARTMENT OF EDUCATION Total			\$ 9,085,605	\$ 200,489
U.S. DEPARTMENT OF ENERGY				
U.S. DEPARTMENT OF ENERGY				
Direct				
Advanced Research and Projects Agency - Energy Financial Assistance Program	81.135		\$ 4,404	\$ -
Advanced Research and Projects Agency - Energy Financial Assistance Program Total			\$ 4,404	\$ -
Direct Total			\$ 4,404	\$ -
Pass Through				
ARRA Fossil Energy Research and Development	81.089	ARIZONA GEOLOGICAL SURVEY	\$ 147,020	\$ -
ARRA Fossil Energy Research and Development Total			\$ 147,020	\$ -
ARRA Geologic Sequestration Site Characterization	81.132	UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN	\$ 52,184	\$ -
ARRA Geologic Sequestration Site Characterization Total			\$ 52,184	\$ -
Fossil Energy Research and Development	81.089	NEW MEXICO INST OF MINING AND TECHNOLOGY	\$ 78,773	\$ -
		UNIVERSITY OF ILLINOIS AT URBANA-CHAMPAIGN	\$ 33,125	\$ -
Fossil Energy Research and Development Total			\$ 111,898	\$ -
Renewable Energy Research and Development	81.087	WEST VIRGINIA UNIVERSITY	\$ 33,246	\$ -
Renewable Energy Research and Development Total			\$ 33,246	\$ -
	81. 10-P0459^001	THOMAS JEFFERSON NATIONAL ACCELERATOR FACILITY	\$ 23,109	\$ -
	81. 1211490^3	SANDIA NATIONAL LABORATORY	\$ 43,368	\$ -
	81. 179477/DE-AC05-76RL01830	BATTELLE PACIFIC NORTHWEST LABORATORIES	\$ 77,001	\$ -
	81. 202208^M18	BATTELLE MEMORIAL INSTITUTE	\$ 97,662	\$ 44,806
	81. 228858^M3	BATTELLE MEMORIAL INSTITUTE	\$ 65,051	\$ -
	81. 605004	FERMI NATIONAL ACCELERATOR LABORATORY	\$ 51,616	\$ -
	81. JSA-10-C0507^M003	THOMAS JEFFERSON NATIONAL ACCELERATOR FACILITY	\$ 190,531	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
			\$ 548,338	\$ 44,806
Pass Through Total			\$ 892,686	\$ 44,806
U.S. DEPARTMENT OF ENERGY Total			\$ 897,090	\$ 44,806
U.S. DEPARTMENT OF ENERGY Total			\$ 897,090	\$ 44,806
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES ADMINISTRATION FOR CHILDREN AND FAMILIES Direct				
University Centers for Excellence in Developmental Disabilities Education, Research, and Service	93.632		\$ 532,445	\$ -
University Centers for Excellence in Developmental Disabilities Education, Research, and Service Total			\$ 532,445	\$ -
Direct Total			\$ 532,445	\$ -
Pass Through				
Community-Based Child Abuse Prevention Grants	93.590	CHILDREN'S BUREAU OF INDIANAPOLIS CHILDREN'S BUREAU, INC.	\$ -	\$ -
Community-Based Child Abuse Prevention Grants Total			\$ 27,750	\$ -
			\$ 27,750	\$ -
Developmental Disabilities Basic Support and Advocacy Grants				
Developmental Disabilities Basic Support and Advocacy Grants	93.630	INDIANA GOVERNOR'S PLANNING COUNCIL PEOPLE WITH DISABILITIES	\$ 46,702	\$ -
Developmental Disabilities Basic Support and Advocacy Grants Total			\$ 46,702	\$ -
			\$ 46,702	\$ -
Social Services Block Grant				
Social Services Block Grant	93.667	INDIANA DIVISION DISABILITY, AGING & REHABILITATION SERVICE	\$ (7,994)	\$ -
Social Services Block Grant Total			\$ 707,822	\$ -
			\$ 699,828	\$ -
University Centers for Excellence in Developmental Disabilities Education, Research, and Service				
University Centers for Excellence in Developmental Disabilities Education, Research, and Service	93.632	GEMINUS CORPORATION	\$ 17,068	\$ -
University Centers for Excellence in Developmental Disabilities Education, Research, and Service Total			\$ 17,068	\$ -
			\$ 17,068	\$ -
Pass Through Total			\$ 791,348	\$ -
ADMINISTRATION FOR CHILDREN AND FAMILIES Total			\$ 1,323,793	\$ -
ADMINISTRATION FOR COMMUNITY LIVING				
Pass Through				
ARRA Special Programs for the Aging_Title IV_and Title II_Discretionary Projects	93.048	CENTRAL INDIANA COUNCIL ON AGING	\$ 47,209	\$ -
ARRA Special Programs for the Aging_Title IV_and Title II_Discretionary Projects Total			\$ 47,209	\$ -
			\$ 47,209	\$ -
National Family Caregiver Support, Title III, Part E				
National Family Caregiver Support, Title III, Part E	93.052	INDIANA DIVISION DISABILITY, AGING & REHABILITATION SERVICE	\$ 1,694	\$ -
National Family Caregiver Support, Title III, Part E Total			\$ 1,694	\$ -
			\$ 1,694	\$ -
Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services				
Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services	93.043	INDIANA DIVISION DISABILITY, AGING & REHABILITATION SERVICE	\$ (359)	\$ -
Special Programs for the Aging_Title III, Part D_Disease Prevention and Health Promotion Services Total			\$ (359)	\$ -
			\$ (359)	\$ -
Special Programs for the Aging_Title IV_and Title II_Discretionary Projects				
Special Programs for the Aging_Title IV_and Title II_Discretionary Projects	93.048	CENTRAL INDIANA COUNCIL ON AGING	\$ 13,504	\$ -
Special Programs for the Aging_Title IV_and Title II_Discretionary Projects Total			\$ 13,504	\$ -
			\$ 13,504	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Pass Through Total			\$ 62,048	\$ -
ADMINISTRATION FOR COMMUNITY LIVING Total			\$ 62,048	\$ -
ADMINISTRATION ON AGING				
Pass Through				
Special Programs for the Aging_Title IV_and Title II_Discretionary Projects	93.048	INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION	\$ 24	\$ -
Special Programs for the Aging_Title IV_and Title II_Discretionary Projects Total			\$ 24	\$ -
Pass Through Total			\$ 24	\$ -
ADMINISTRATION ON AGING Total			\$ 24	\$ -
AGENCY FOR HEALTHCARE RESEARCH AND QUALITY				
Direct				
National Research Service Awards_Health Services Research Training	93.225		\$ 185,425	\$ -
National Research Service Awards_Health Services Research Training Total			\$ 185,425	\$ -
Direct Total			\$ 185,425	\$ -
Pass Through				
Research on Healthcare Costs, Quality and Outcomes	93.226	HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY	\$ 27,971	\$ -
		PALO ALTO MEDICAL FOUNDATION	\$ 1,934	\$ -
		WISHARD HEALTH SERVICES	\$ 2,260	\$ -
Research on Healthcare Costs, Quality and Outcomes Total			\$ 32,165	\$ -
	93. DEClDE ID:62-EHC	OUTCOME SCIENCES, INC.	\$ 16,780	\$ -
			\$ 16,780	\$ -
Pass Through Total			\$ 48,945	\$ -
AGENCY FOR HEALTHCARE RESEARCH AND QUALITY Total			\$ 234,370	\$ -
CENTERS FOR DISEASE CONTROL AND PREVENTION				
Direct				
Centers for Disease Control and Prevention_Investigations and Technical Assistance	93.283		\$ 38,084	\$ -
Centers for Disease Control and Prevention_Investigations and Technical Assistance Total			\$ 38,084	\$ -
Disabilities Prevention	93.184		\$ 16,015	\$ -
Disabilities Prevention Total			\$ 16,015	\$ -
HIV Prevention Activities_Non-Governmental Organization Based	93.939		\$ 61,947	\$ 1,766
HIV Prevention Activities_Non-Governmental Organization Based Total			\$ 61,947	\$ 1,766
Public Health Conference Support	93.339		\$ 22,270	\$ -
Public Health Conference Support Total			\$ 22,270	\$ -
Direct Total			\$ 138,316	\$ 1,766
Pass Through				
HIV Demonstration, Research, Public and Professional Education Projects	93.941	HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY	\$ 1,801	\$ -
HIV Demonstration, Research, Public and Professional Education Projects Total			\$ 1,801	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Injury Prevention and Control Research and State and Community Based Programs	93.136	PURDUE UNIVERSITY	\$ 384	\$ -
Injury Prevention and Control Research and State and Community Based Programs Total			\$ 384	\$ -
Preventive Health and Health Services Block Grant	93.991	INDIANA STATE DEPARTMENT OF HEALTH	\$ 26,624	\$ -
Preventive Health and Health Services Block Grant Total			\$ 26,624	\$ -
Public Health Emergency Preparedness	93.069	INDIANA STATE DEPARTMENT OF HEALTH	\$ 2,470	\$ -
Public Health Emergency Preparedness Total			\$ 2,470	\$ -
	93. 236423 Phase I and Phase II	BATTELLE MEMORIAL INSTITUTE	\$ 22,907	\$ -
	93. 236423^4	BATTELLE MEMORIAL INSTITUTE	\$ 674	\$ -
			\$ 23,581	\$ -
Pass Through Total			\$ 54,860	\$ -
CENTERS FOR DISEASE CONTROL AND PREVENTION Total			\$ 193,176	\$ 1,766
CENTERS FOR MEDICARE AND MEDICAID SERVICES				
Pass Through				
Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities	93.768	INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION	\$ 387,399	\$ -
Medicaid Infrastructure Grants To Support the Competitive Employment of People with Disabilities Total			\$ 387,399	\$ -
Pass Through Total			\$ 387,399	\$ -
CENTERS FOR MEDICARE AND MEDICAID SERVICES Total			\$ 387,399	\$ -
HEALTH RESOURCES AND SERVICES ADMINISTRATION				
Direct				
Advanced Nursing Education Grant Program	93.247		\$ 533,173	\$ -
Advanced Nursing Education Grant Program Total			\$ 533,173	\$ -
Advanced Nursing Education Traineeships	93.358		\$ 85,096	\$ -
Advanced Nursing Education Traineeships Total			\$ 85,096	\$ -
Area Health Education Centers Infrastructure Development Awards	93.824		\$ 1,221,351	\$ 993,874
Area Health Education Centers Infrastructure Development Awards Total			\$ 1,221,351	\$ 993,874
Area Health Education Centers Point of Service Maintenance and Enhancement Awards	93.107		\$ 258,256	\$ 190,126
Area Health Education Centers Point of Service Maintenance and Enhancement Awards Total			\$ 258,256	\$ 190,126
Emergency Medical Services for Children	93.127		\$ 145,751	\$ -
Emergency Medical Services for Children Total			\$ 145,751	\$ -
Geriatric Academic Career Awards	93.250		\$ 206,544	\$ 155,541
Geriatric Academic Career Awards Total			\$ 206,544	\$ 155,541
Grants for Training in Primary Care Medicine and Dentistry	93.884		\$ 438,543	\$ -
Grants for Training in Primary Care Medicine and Dentistry Total			\$ 438,543	\$ -
Maternal and Child Health Federal Consolidated Programs	93.110		\$ 1,165,104	\$ -
Maternal and Child Health Federal Consolidated Programs Total			\$ 1,165,104	\$ -
Prevention and Public Health Fund (PPHF ACA) Public Health Training Centers Program	93.516		\$ 135,273	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Prevention and Public Health Fund (PPHF ACA) Public Health Training Centers Program Total			\$ 135,273	\$ -
Direct Total			\$ 4,189,091	\$ 1,339,542
Pass Through				
Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program	93.505	INDIANA STATE DEPARTMENT OF HEALTH	\$ 61,070	\$ -
Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program Total			\$ 61,070	\$ -
Grants to States for Operation of Offices of Rural Health	93.913	INDIANA STATE DEPARTMENT OF HEALTH	\$ 54,500	\$ -
Grants to States for Operation of Offices of Rural Health Total			\$ 54,500	\$ -
HIV Emergency Relief Project Grants	93.914	HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY	\$ (981)	\$ -
		MARION COUNTY HEALTH DEPARTMENT	\$ 226,591	\$ -
HIV Emergency Relief Project Grants Total			\$ 225,610	\$ -
Maternal and Child Health Federal Consolidated Programs	93.110	INDIANA STATE DEPARTMENT OF HEALTH	\$ 476,982	\$ 4,032
		INDIANA UNIVERSITY HEALTH	\$ 9,997	\$ -
Maternal and Child Health Federal Consolidated Programs Total			\$ 486,979	\$ 4,032
Maternal and Child Health Services Block Grant to the States	93.994	INDIANA STATE DEPARTMENT OF HEALTH	\$ 1,153,904	\$ 111,214
Maternal and Child Health Services Block Grant to the States Total			\$ 1,153,904	\$ 111,214
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program	93.912	MARQUETTE GENERAL HOSPITAL	\$ 31,748	\$ -
Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program Total			\$ 31,748	\$ -
State Rural Hospital Flexibility Program	93.241	INDIANA STATE DEPARTMENT OF HEALTH	\$ 36,305	\$ -
State Rural Hospital Flexibility Program Total			\$ 36,305	\$ -
Pass Through Total			\$ 2,050,116	\$ 115,246
HEALTH RESOURCES AND SERVICES ADMINISTRATION Total			\$ 6,239,207	\$ 1,454,788
NATIONAL CANCER INSTITUTE				
Pass Through				
ARRA NATIONAL CANCER INSTITUTE	93. TCGA/N02-00-2010-00062	ANALYTICAL BIOLOGICAL SERVICES, ING.	\$ 5,639	\$ -
	93. Tissue Quality Contract	ANALYTICAL BIOLOGICAL SERVICES, ING.	\$ 5,455	\$ -
ARRA NATIONAL CANCER INSTITUTE Total			\$ 11,094	\$ -
	93. 11XS052/HHSN261200800001E^2	SAIC-FREDERICK, INC.	\$ 26,421	\$ -
	93. PO 1568 G NA643/2U01CA12194	THE EMMES CORPORATION	\$ 1,406	\$ -
			\$ 27,827	\$ -
Pass Through Total			\$ 38,921	\$ -
NATIONAL CANCER INSTITUTE Total			\$ 38,921	\$ -
NATIONAL HEART, LUNG AND BLOOD INSTITUTE				
Direct				
	93. HHSN268200748204^3		\$ 451,240	\$ -
	93. HHSN268200748204C^3		\$ 240,098	\$ -
			\$ 691,338	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Direct Total			\$ 691,338	\$ -
Pass Through				
	93. 69254-1027	NATIONAL CHILDHOOD CANCER FOUNDATION	\$ 761	\$ -
			\$ 761	\$ -
Pass Through Total			\$ 761	\$ -
NATIONAL HEART, LUNG AND BLOOD INSTITUTE Total			\$ 692,099	\$ -
NATIONAL INSTITUTE ARTHRITIS MUSCULOSKELETAL SKIN				
Pass Through				
	93. HHSN268200700015C	MONTEFIORE MEDICAL CENTER	\$ 13,786	\$ -
			\$ 13,786	\$ -
Pass Through Total			\$ 13,786	\$ -
NATIONAL INSTITUTE ARTHRITIS MUSCULOSKELETAL SKIN Total			\$ 13,786	\$ -
NATIONAL INSTITUTE OF CHILD HEALTH, HUMAN DEVELOPMENT				
Direct				
	93. HHSN275201100347M		\$ 2,200	\$ -
			\$ 2,200	\$ -
Direct Total			\$ 2,200	\$ -
NATIONAL INSTITUTE OF CHILD HEALTH, HUMAN DEVELOPMENT Total			\$ 2,200	\$ -
NATIONAL INSTITUTE OF DIABETES, DIGESTIVE & KIDNEY				
Pass Through				
	93. Trial Net Site 16	UNIVERSITY SOUTH FLORIDA	\$ 74,586	\$ -
	93. U01 DK065176	DUKE UNIVERSITY	\$ 12,403	\$ -
			\$ 86,989	\$ -
Pass Through Total			\$ 86,989	\$ -
NATIONAL INSTITUTE OF DIABETES, DIGESTIVE & KIDNEY Total			\$ 86,989	\$ -
NATIONAL INSTITUTES OF HEALTH				
Direct				
Aging Research				
Aging Research Total	93.866		\$ 46,800	\$ -
			\$ 46,800	\$ -
Alcohol Research Programs	93.273		\$ 35,282	\$ -
Alcohol Research Programs Total			\$ 35,282	\$ -
Allergy, Immunology and Transplantation Research	93.855		\$ 141,363	\$ -
Allergy, Immunology and Transplantation Research Total			\$ 141,363	\$ -
ARRA Trans-NIH Recovery Act Research Support	93.701		\$ 2,727,436	\$ 9,736
ARRA Trans-NIH Recovery Act Research Support Total			\$ 2,727,436	\$ 9,736

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Biomedical Research and Research Training	93.859		\$ 909,319	\$ -
Biomedical Research and Research Training Total			\$ 909,319	\$ -
Cancer Research Manpower	93.398		\$ 176,886	\$ -
Cancer Research Manpower Total			\$ 176,886	\$ -
Cancer Treatment Research	93.395		\$ 12,000	\$ -
Cancer Treatment Research Total			\$ 12,000	\$ -
Cardiovascular Diseases Research	93.837		\$ 1,167	\$ -
Cardiovascular Diseases Research Total			\$ 1,167	\$ -
Child Health and Human Development Extramural Research	93.865		\$ 526,315	\$ -
Child Health and Human Development Extramural Research Total			\$ 526,315	\$ -
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847		\$ 207,970	\$ -
Diabetes, Digestive, and Kidney Diseases Extramural Research Total			\$ 207,970	\$ -
Drug Abuse and Addiction Research Programs	93.279		\$ 170,793	\$ -
Drug Abuse and Addiction Research Programs Total			\$ 170,793	\$ -
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853		\$ 17,259	\$ -
Extramural Research Programs in the Neurosciences and Neurological Disorders Total			\$ 17,259	\$ -
Human Genome Research	93.172		\$ 18,500	\$ -
Human Genome Research Total			\$ 18,500	\$ -
International Research and Research Training	93.989		\$ 401,442	\$ 255,227
International Research and Research Training Total			\$ 401,442	\$ 255,227
Lung Diseases Research	93.838		\$ 5,194	\$ -
Lung Diseases Research Total			\$ 5,194	\$ -
Medical Library Assistance	93.879		\$ 489,218	\$ -
Medical Library Assistance Total			\$ 489,218	\$ -
Mental Health Research Career/Scientist Development Awards	93.281		\$ 165,191	\$ -
Mental Health Research Career/Scientist Development Awards Total			\$ 165,191	\$ -
National Center for Research Resources	93.389		\$ 86,241	\$ -
National Center for Research Resources Total			\$ 86,241	\$ -
Nursing Research	93.361		\$ 57,177	\$ -
Nursing Research Total			\$ 57,177	\$ -
Research Related to Deafness and Communication Disorders	93.173		\$ 645,767	\$ -
Research Related to Deafness and Communication Disorders Total			\$ 645,767	\$ -
Vision Research	93.867		\$ 9,476	\$ -
Vision Research Total			\$ 9,476	\$ -
Direct Total			\$ 6,850,796	\$ 264,963

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Pass Through				
Aging Research	93.866	UNIVERSITY OF CALIFORNIA, SAN DIEGO	\$ 147,643	\$ -
		UNIVERSITY OF WASHINGTON	\$ 19,753	\$ -
		WASHINGTON UNIVERSITY	\$ 52,127	\$ -
Aging Research Total			\$ 219,523	\$ -
ARRA Trans-NIH Recovery Act Research Support	93.701	DUKE UNIVERSITY	\$ 6,964	\$ -
		NATIONAL CHILDHOOD CANCER FOUNDATION	\$ (66)	\$ -
		UNIVERSITY OF CALIFORNIA, SAN DIEGO	\$ -	\$ -
ARRA Trans-NIH Recovery Act Research Support Total			\$ 6,898	\$ -
Arthritis, Musculoskeletal and Skin Diseases Research	93.846	BOSTON UNIVERSITY	\$ 12,096	\$ -
		UNIVERSITY OF MINNESOTA	\$ 1,008	\$ -
Arthritis, Musculoskeletal and Skin Diseases Research Total			\$ 13,104	\$ -
Cancer Control	93.399	CTRC RESEARCH FOUNDATION	\$ -	\$ -
Cancer Control Total			\$ -	\$ -
Cancer Treatment Research	93.395	AMERICAN COLLEGE OF RADIOLOGY	\$ 35,988	\$ -
		DUKE CLINICAL RESEARCH INSTITUTE	\$ 3,410	\$ -
		DUKE UNIVERSITY	\$ 530	\$ -
		GOG CORPORATION	\$ 80,690	\$ 16,539
		NATIONAL CHILDHOOD CANCER FOUNDATION	\$ 328,528	\$ -
		THE EMMES CORPORATION	\$ (15,157)	\$ -
Cancer Treatment Research Total			\$ 433,989	\$ 16,539
Cardiovascular Diseases Research	93.837	BRIGHAM AND WOMEN'S HOSPITAL	\$ 56	\$ -
		VPDIAGNOSTICS INC	\$ 24,964	\$ -
Cardiovascular Diseases Research Total			\$ 25,020	\$ -
Child Health and Human Development Extramural Research	93.865	JAEB CENTER FOR HEALTH RESEARCH INC	\$ 177,537	\$ -
Child Health and Human Development Extramural Research Total			\$ 177,537	\$ -
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	GEORGE WASHINGTON UNIVERSITY	\$ (826)	\$ -
		UNIVERSITY OF WISCONSIN	\$ 17,003	\$ -
Diabetes, Digestive, and Kidney Diseases Extramural Research Total			\$ 16,177	\$ -
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	CORNELL UNIVERSITY	\$ 19,625	\$ -
		JOHNS HOPKINS UNIVERSITY	\$ 26,012	\$ -
		MASSACHUSETTS GENERAL HOSPITAL	\$ 75,038	\$ -
		UNIVERSITY OF ALABAMA BIRMINGHAM	\$ 961	\$ -
		UNIVERSITY OF IOWA	\$ 51,681	\$ -
		WASHINGTON UNIVERSITY	\$ 6,639	\$ -
		YALE SCHOOL OF MEDICINE	\$ 6,431	\$ -
Extramural Research Programs in the Neurosciences and Neurological Disorders Total			\$ 186,387	\$ -
Human Genome Research	93.172	UNIVERSITY OF ROCHESTER	\$ (2)	\$ -
Human Genome Research Total			\$ (2)	\$ -
International Research and Research Training	93.989	VANDERBILT UNIVERSITY	\$ (220)	\$ -
International Research and Research Training Total			\$ (220)	\$ -
Oral Diseases and Disorders Research	93.121	UNIVERSITY OF MICHIGAN	\$ 620	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Oral Diseases and Disorders Research Total			\$ 620	\$ -
Research and Training in Complementary and Alternative Medicine	93.213	MASSACHUSETTS GENERAL HOSPITAL	\$ 2,831	\$ -
Research and Training in Complementary and Alternative Medicine Total			\$ 2,831	\$ -
Trans-NIH Recovery Act Research Support	93.701	DUKE UNIVERSITY	\$ -	\$ -
Trans-NIH Recovery Act Research Support Total			\$ -	\$ -
Vision Research	93.867	JAEB CENTER FOR HEALTH RESEARCH INC	\$ -	\$ -
Vision Research Total			\$ -	\$ -
Pass Through Total			\$ 1,081,864	\$ 16,539
NATIONAL INSTITUTES OF HEALTH Total			\$ 7,932,660	\$ 281,502
NATIONAL LIBRARY OF MEDICINE				
Direct	93.467-MZ-601745		\$ -	\$ -
			\$ -	\$ -
Direct Total			\$ -	\$ -
Pass Through				
Medical Library Assistance	93.N01-LM-6-3503	UNIVERSITY OF ILLINOIS AT CHICAGO	\$ (447)	\$ -
Medical Library Assistance Total			\$ (447)	\$ -
Pass Through Total			\$ (447)	\$ -
NATIONAL LIBRARY OF MEDICINE Total			\$ (447)	\$ -
OFFICE OF THE SECRETARY				
Direct				
ARRA - Health Information Technology Professionals in Health Care	93.721		\$ 475,688	\$ 32,880
ARRA - Health Information Technology Professionals in Health Care Total			\$ 475,688	\$ 32,880
Direct Total			\$ 475,688	\$ 32,880
Pass Through				
Advancing System Improvements to Support Targets for Healthy People 2010	93.088	INDIANA STATE DEPARTMENT OF HEALTH	\$ -	\$ -
Advancing System Improvements to Support Targets for Healthy People 2010 Total			\$ -	\$ -
Pregnancy Assistance Fund Program	93.500	INDIANA STATE DEPARTMENT OF HEALTH	\$ 65,701	\$ -
Pregnancy Assistance Fund Program Total			\$ 65,701	\$ -
Pass Through Total			\$ 65,701	\$ -
OFFICE OF THE SECRETARY Total			\$ 541,389	\$ 32,880
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION				
Direct				
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243		\$ 58	\$ -
Substance Abuse and Mental Health Services_Projects of Regional and National Significance Total			\$ 58	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Direct Total			\$ 58	\$ -
Pass Through				
Substance Abuse and Mental Health Services_Projects of Regional and National Significance	93.243	ADULT & CHILD MENTAL HEALTH CENTER, INC	\$ 53,379	\$ -
		HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY	\$ 4,350	\$ -
		INDIANA DIVISION OF MENTAL HEALTH AND ADDICTION	\$ 242,174	\$ -
Substance Abuse and Mental Health Services_Projects of Regional and National Significance Total			\$ 299,903	\$ -
	93. 8728-003/SS2832007000061	WESTAT	\$ 1,957	\$ -
	93. A55-2-53-12-SE-0203	INDIANA DIVISION OF MENTAL HEALTH AND ADDICTION	\$ 333,514	\$ -
			\$ 335,471	\$ -
Pass Through Total			\$ 635,374	\$ -
SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES ADMINISTRATION Total			\$ 635,432	\$ -
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				
Pass Through				
	93. 1004/97-11-27-0437	CHILDREN'S BUREAU, INC.	\$ 4,625	\$ -
	93. A345-0-89-10-0V-0203	INDIANA DIVISION DISABILITY, AGING & REHABILITATION SERVICE	\$ 103,904	\$ -
			\$ 108,529	\$ -
Pass Through Total			\$ 108,529	\$ -
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Total			\$ 108,529	\$ -
U.S. FOOD AND DRUG ADMINISTRATION				
Pass Through				
Food and Drug Administration_Research	93.103	PENNSYLVANIA STATE UNIVERSITY	\$ 3,704	\$ -
		UNIVERSITY OF KANSAS MEDICAL CENTER RESEARCH INSTITUTE	\$ -	\$ -
Food and Drug Administration_Research Total			\$ 3,704	\$ -
	93. IND ALCOHOL TOBACCO COMMISSION	INDIANA ALCOHOL & TOBACCO COMMISSION	\$ 51,139	\$ -
			\$ 51,139	\$ -
Pass Through Total			\$ 54,843	\$ -
U.S. FOOD AND DRUG ADMINISTRATION Total			\$ 54,843	\$ -
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES Total			\$ 18,546,418	\$ 1,770,936
U.S. DEPARTMENT OF HOMELAND SECURITY				
U.S. DEPARTMENT OF HOMELAND SECURITY				
Direct				
	97. HSFE01-12-P-4104		\$ 1,808	\$ -
	97. HSFEEM-10-MP-6350283		\$ -	\$ -
	97. HSFEEM-10-MP-6350288		\$ 2	\$ -
	97. HSFEEM-11-MP-6350275		\$ 2,999	\$ -
	97. HSFEEM-11-MP-6350287		\$ 371	\$ -
	97. HSFEEM-12-MP-6350214		\$ 3,000	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
	97. HSFEEM-12-MP-6350265		\$ 2,994	\$ -
			\$ 11,174	\$ -
Direct Total			\$ 11,174	\$ -
Pass Through				
Centers for Homeland Security	97.061	PURDUE UNIVERSITY	\$ -	\$ -
Centers for Homeland Security Total			\$ -	\$ -
Emergency Management Performance Grants	97.042	INDIANA DEPARTMENT OF HOMELAND SECURITY	\$ 82,302	\$ 41,000
Emergency Management Performance Grants Total			\$ 82,302	\$ 41,000
Hazard Mitigation Grant	97.039	BENTON COUNTY EMERGENCY MANAGEMENT	\$ -	\$ -
		DAVIESS COUNTY EMERGENCY MANAGEMENT	\$ -	\$ -
		INDIANA DEPARTMENT OF HOMELAND SECURITY	\$ 130,524	\$ -
		SOUTHERN ILLINOIS UNIVERSITY AT CARBONDALE	\$ 3,988	\$ -
		UNIVERSITY OF WISCONSIN	\$ 30,794	\$ -
Hazard Mitigation Grant Total			\$ 165,306	\$ -
Homeland Security Grant Program	97.067	INDIANA DEPARTMENT OF HOMELAND SECURITY	\$ 20,868	\$ -
Homeland Security Grant Program Total			\$ 20,868	\$ -
Metropolitan Medical Response System	97.071	HEALTH AND HOSPITAL CORPORATION OF MARION COUNTY	\$ 55,000	\$ -
Metropolitan Medical Response System Total			\$ 55,000	\$ -
Non-Profit Security Program	97.008	INDIANA DEPARTMENT OF HOMELAND SECURITY	\$ 111,481	\$ 57,992
Non-Profit Security Program Total			\$ 111,481	\$ 57,992
Pre-Disaster Mitigation	97.047	CITY OF DES MOINES	\$ 40,283	\$ 15,190
Pre-Disaster Mitigation Total			\$ 40,283	\$ 15,190
State Homeland Security Program (SHSP)	97.073	INDIANA DEPARTMENT OF HOMELAND SECURITY	\$ (1,982)	\$ -
State Homeland Security Program (SHSP) Total			\$ (1,982)	\$ -
	97. E6-11-0001 Work Order #10	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 12,533	\$ -
	97. E6-11-0001 Work Order #5	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 17,656	\$ -
	97. E6-11-0001 Work Order #6	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 37,158	\$ -
	97. E6-11-0001 Work Order #7	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 18,471	\$ -
	97. E6-11-0001 Work Order #8	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 31,672	\$ -
	97. E6-11-0001 Work Order #9	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 15,604	\$ -
	97. E6-11-0001 Work Order #4	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 47,186	\$ -
	97. E6-11-0001: WO 1	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 43,793	\$ -
	97. E6-11-0001: WO 2	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 15,959	\$ -
	97. E6-11-0001: WO 3	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 24,206	\$ -
	97. FEMA-CDS-1009-015	ZIMMERMAN ASSOCIATES, INC.	\$ 196,988	\$ 42,050
	97. FEMA-CDS-2009-015	ZIMMERMAN ASSOCIATES, INC.	\$ 86,369	\$ 10,000
	97. FEMA-CDS-2009-015^TO3	ZIMMERMAN ASSOCIATES, INC.	\$ 159,425	\$ 60,975
	97. FEMA-CDS-2009-015^TO4^Mod 1	ZIMMERMAN ASSOCIATES, INC.	\$ 43,691	\$ 37,816
	97. FMA006.001.001 / RQ10-02664	PERFORMTECH	\$ 63,743	\$ 27,082
	97. HSFEHQ-09-D-0369	URS CORPORATION	\$ 4,293	\$ -
	97. HSFEHQ-09-D0369-U011 W02	URS CORPORATION	\$ 5,888	\$ -
	97. HSFEHQ-09-D-0369-U011: WO 4	URS CORPORATION	\$ -	\$ -
	97. IL EMER MGMT	ILLINOIS EMERGENCY MANAGEMENT AGENCY	\$ 37,226	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
	97. RICHLAND COUNTY	TOWNSHIP OF RICHLAND COUNTY ILLINOIS	\$ 50,991	\$ 7,500
			\$ 912,852	\$ 185,423
Pass Through Total			\$ 1,386,110	\$ 299,606
U.S. DEPARTMENT OF HOMELAND SECURITY Total			\$ 1,397,284	\$ 299,606
U.S. DEPARTMENT OF HOMELAND SECURITY Total			\$ 1,397,284	\$ 299,606
U.S. DEPARTMENT OF JUSTICE BUREAU OF PRISONS Direct				
	16. DJBP041800000070		\$ 1,837	\$ -
	16. DJBP0418F540001		\$ 500	\$ -
	16. DJBP0418F540002		\$ 205	\$ -
			\$ 2,542	\$ -
Direct Total			\$ 2,542	\$ -
BUREAU OF PRISONS Total			\$ 2,542	\$ -
OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION Pass Through				
Juvenile Accountability Block Grants	16.523	MARION COUNTY SUPERIOR COURT	\$ -	\$ -
Juvenile Accountability Block Grants Total			\$ -	\$ -
Pass Through Total			\$ -	\$ -
OFFICE OF JUVENILE JUSTICE AND DELINQUENCY PREVENTION Total			\$ -	\$ -
OFFICE OF VICTIMS OF CRIME Pass Through				
Crime Victim Assistance	16.575	INDIANA CRIMINAL JUSTICE INSTITUTE	\$ 131,556	\$ 83,801
Crime Victim Assistance Total			\$ 131,556	\$ 83,801
Pass Through Total			\$ 131,556	\$ 83,801
OFFICE OF VICTIMS OF CRIME Total			\$ 131,556	\$ 83,801
VIOLENCE AGAINST WOMEN OFFICE Pass Through				
Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program	16.590	DOMESTIC VIOLENCE NETWORK	\$ 12,095	\$ -
Grants to Encourage Arrest Policies and Enforcement of Protection Orders Program Total			\$ 12,095	\$ -
Pass Through Total			\$ 12,095	\$ -
VIOLENCE AGAINST WOMEN OFFICE Total			\$ 12,095	\$ -
U.S. DEPARTMENT OF JUSTICE Total			\$ 146,193	\$ 83,801
U.S. DEPARTMENT OF LABOR EMPLOYMENT TRAINING ADMINISTRATION Pass Through				

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
ARRA Senior Community Service Employment Program	17.235	INDIANA FAMILY AND SOCIAL SERVICES ADMINISTRATION	\$ 18,327	\$ -
ARRA Senior Community Service Employment Program Total			\$ 18,327	\$ -
H-1B Job Training Grants	17.268	INDIANAPOLIS PRIVATE INDUSTRY COUNCIL	\$ 338,605	\$ -
H-1B Job Training Grants Total			\$ 338,605	\$ -
Pass Through Total			\$ 356,932	\$ -
EMPLOYMENT TRAINING ADMINISTRATION Total			\$ 356,932	\$ -
OFFICE OF TRADE ADJUSTMENT ASSISTANCE Pass Through				
Trade Adjustment Assistance	17.245	STATE OF INDIANA	\$ 95,366	\$ -
Trade Adjustment Assistance Total			\$ 95,366	\$ -
Pass Through Total			\$ 95,366	\$ -
OFFICE OF TRADE ADJUSTMENT ASSISTANCE Total			\$ 95,366	\$ -
U.S. DEPARTMENT OF LABOR Total			\$ 452,298	\$ -
U.S. DEPARTMENT OF STATE BUREAU OF DEMOCRACY, HUMAN RIGHTS AND LABOR Pass Through				
International Programs to Support Democracy, Human Rights and Labor	19.345	INTERNATIONAL INST OF HIGHER STUDIES IN CRIM JUST	\$ 56,837	\$ -
International Programs to Support Democracy, Human Rights and Labor Total			\$ 56,837	\$ -
Pass Through Total			\$ 56,837	\$ -
BUREAU OF DEMOCRACY, HUMAN RIGHTS AND LABOR Total			\$ 56,837	\$ -
BUREAU OF INTELLIGENCE AND RESEARCH Direct				
Program for Study of Eastern Europe and the Independent States of the Former Soviet Union	19.300		\$ 374,226	\$ -
Program for Study of Eastern Europe and the Independent States of the Former Soviet Union Total			\$ 374,226	\$ -
Direct Total			\$ 374,226	\$ -
Pass Through				
Program for Study of Eastern Europe and the Independent States of the Former Soviet Union	19.300	AMERICAN COUNCIL OF LEARNED SOCIETIES	\$ 33,477	\$ -
Program for Study of Eastern Europe and the Independent States of the Former Soviet Union Total			\$ 33,477	\$ -
Pass Through Total			\$ 33,477	\$ -
BUREAU OF INTELLIGENCE AND RESEARCH Total			\$ 407,703	\$ -
BUREAU OF NEAR EASTERN AFFAIRS Direct				
Investing in People in The Middle East and North Africa	19.021		\$ 66,507	\$ -
Investing in People in The Middle East and North Africa Total			\$ 66,507	\$ -
Direct Total			\$ 66,507	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
BUREAU OF NEAR EASTERN AFFAIRS Total			\$ 66,507	\$ -
U.S. DEPARTMENT OF STATE				
Direct				
Public Diplomacy Programs for Afghanistan and Pakistan	19.501		\$ 931,341	\$ 238,268
Public Diplomacy Programs for Afghanistan and Pakistan Total			\$ 931,341	\$ 238,268
	19. STU-150-10-GR-059-A03		\$ 249,054	\$ -
			\$ 249,054	\$ -
Direct Total			\$ 1,180,395	\$ 238,268
U.S. DEPARTMENT OF STATE Total			\$ 1,180,395	\$ 238,268
U.S. DEPARTMENT OF STATE Total			\$ 1,711,442	\$ 238,268
U.S. DEPARTMENT OF THE INTERIOR				
NATIONAL PARK SERVICE				
Direct				
Outdoor Recreation_Acquisition, Development and Planning	15.916		\$ 1,018,015	\$ 13,165
Outdoor Recreation_Acquisition, Development and Planning Total			\$ 1,018,015	\$ 13,165
	15. C2420100173^002		\$ 150,037	\$ -
	15. H6000082000^J6300100405^M3		\$ 36,304	\$ -
	15. P11PX706194		\$ 250,502	\$ -
	15. P11PX75235		\$ 19,526	\$ -
	15. P12PC20294		\$ 42,476	\$ -
			\$ 498,845	\$ -
Direct Total			\$ 1,516,860	\$ 13,165
Pass Through				
National Register of Historic Places	15.914	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 18,121	\$ -
National Register of Historic Places Total			\$ 18,121	\$ -
	15. W-0583 001	COMMONWEALTH CULTURAL RESOURCES GROUP INC	\$ 375	\$ -
			\$ 375	\$ -
Pass Through Total			\$ 18,496	\$ -
NATIONAL PARK SERVICE Total			\$ 1,535,356	\$ 13,165
OFFICE OF SURFACE MINING				
Direct				
Science and Technology Projects Related to Coal Mining and Reclamation	15.255		\$ 25,557	\$ -
Science and Technology Projects Related to Coal Mining and Reclamation Total			\$ 25,557	\$ -
Direct Total			\$ 25,557	\$ -
Pass Through				
	15. E23-10-008149	INDIANA DEPARTMENT OF NATURAL RESOURCES	\$ 196,384	\$ -
			\$ 196,384	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Pass Through Total			\$ 196,384	\$ -
OFFICE OF SURFACE MINING Total			\$ 221,941	\$ -
U.S. FISH AND WILDLIFE SERVICE				
Direct				
Fish and Wildlife Management Assistance	15.608		\$ 13,161	\$ -
Fish and Wildlife Management Assistance Total			\$ 13,161	\$ -
	15. F11PX03024		\$ 6,387	\$ -
			\$ 6,387	\$ -
Direct Total			\$ 19,548	\$ -
U.S. FISH AND WILDLIFE SERVICE Total			\$ 19,548	\$ -
U.S. GEOLOGICAL SURVEY				
Direct				
Energy Cooperatives to Support the National Coal Resources Data System (NCRDS)	15.819		\$ 65,088	\$ -
Energy Cooperatives to Support the National Coal Resources Data System (NCRDS) Total			\$ 65,088	\$ -
National Cooperative Geologic Mapping Program	15.810		\$ 182,583	\$ -
National Cooperative Geologic Mapping Program Total			\$ 182,583	\$ -
National Geological and Geophysical Data Preservation Program	15.814		\$ 25,771	\$ -
National Geological and Geophysical Data Preservation Program Total			\$ 25,771	\$ -
U.S. Geological Survey_ Research and Data Collection	15.808		\$ 50,670	\$ -
U.S. Geological Survey_ Research and Data Collection Total			\$ 50,670	\$ -
Direct Total			\$ 324,112	\$ -
Pass Through				
National Land Remote Sensing_Education Outreach and Research	15.815	PURDUE UNIVERSITY	\$ 4,416	\$ -
National Land Remote Sensing_Education Outreach and Research Total			\$ 4,416	\$ -
Pass Through Total			\$ 4,416	\$ -
U.S. GEOLOGICAL SURVEY Total			\$ 328,528	\$ -
U.S. DEPARTMENT OF THE INTERIOR Total			\$ 2,105,373	\$ 13,165
U.S. DEPARTMENT OF TRANSPORTATION				
FEDERAL HIGHWAY ADMINISTRATION				
Pass Through				
Highway Research and Development Program	20.200	NATIONAL ACADEMY OF SCIENCES	\$ 423,097	\$ -
Highway Research and Development Program Total			\$ 423,097	\$ -
	20. A249-09-320596	CLAIRE BENNETT ASSOCIATES, INC.	\$ 16,083	\$ -
			\$ 16,083	\$ -
Pass Through Total			\$ 439,180	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
FEDERAL HIGHWAY ADMINISTRATION Total			\$ 439,180	\$ -
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION				
Direct				
	20. DTNH22-07-C-00044^0023		\$ 438,753	\$ -
			\$ 438,753	\$ -
Direct Total			\$ 438,753	\$ -
Pass Through				
	20. D3-12-006567	INDIANA CRIMINAL JUSTICE INSTITUTE	\$ 616,023	\$ 14,678
			\$ 616,023	\$ 14,678
Pass Through Total			\$ 616,023	\$ 14,678
NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION Total			\$ 1,054,776	\$ 14,678
U.S. DEPARTMENT OF TRANSPORTATION				
Direct				
	20. DTNH22-08-C-00067^M6		\$ 265,162	\$ -
			\$ 265,162	\$ -
Direct Total			\$ 265,162	\$ -
U.S. DEPARTMENT OF TRANSPORTATION Total			\$ 265,162	\$ -
U.S. DEPARTMENT OF TRANSPORTATION Total			\$ 1,759,118	\$ 14,678
U.S. ENVIRONMENTAL PROTECTION AGENCY				
OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE				
Pass Through				
Environmental Justice Small Grant Program	66.604	IMPROVING KIDS ENVIRONMENT	\$ 12,909	\$ -
Environmental Justice Small Grant Program Total			\$ 12,909	\$ -
Pass Through Total			\$ 12,909	\$ -
OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE Total			\$ 12,909	\$ -
OFFICE OF RESEARCH AND DEVELOPMENT				
Direct				
Science To Achieve Results (STAR) Fellowship Program	66.514		\$ 80,407	\$ -
Science To Achieve Results (STAR) Fellowship Program Total			\$ 80,407	\$ -
Direct Total			\$ 80,407	\$ -
OFFICE OF RESEARCH AND DEVELOPMENT Total			\$ 80,407	\$ -
OFFICE OF WATER				
Direct				
Great Lakes Program	66.469		\$ 28,039	\$ -
Great Lakes Program Total			\$ 28,039	\$ -
Direct Total			\$ 28,039	\$ -

FY2012 Schedule of Expenditures of Federal Awards for Indiana University

Cluster / Federal Agency Highest Level / Federal Agency Sublevel / Direct-Pass Through / Program Title	CFDA Grant Number	Pass Through Entity	Values	
			Total of Federal Expenditures	Subrecipient
Pass Through				
Nonpoint Source Implementation Grants	66.460	INDIANA DEPARTMENT OF ENVIRONMENTAL MANAGEMENT	\$ 50,798	\$ -
Nonpoint Source Implementation Grants Total			\$ 50,798	\$ -
Pass Through Total			\$ 50,798	\$ -
OFFICE OF WATER Total			\$ 78,837	\$ -
U.S. ENVIRONMENTAL PROTECTION AGENCY Total			\$ 172,153	\$ -
Other Programs Total			\$ 67,281,594	\$18,436,578
			\$ 1,068,966,466	\$47,204,670

INDIANA UNIVERSITY
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

Note 1. Scope of Review

All federal awards expended by the University have been included in the Schedule of Expenditures of Federal Awards.

Note 2. Basis of Presentation

Circular A-133 requires an annual audit of any entity expending a total amount of federal awards equal to or in excess of \$500,000 in any fiscal year unless by constitution or statute a less frequent audit is required. In accordance with the Indiana Code (IC 5-11-1 et seq.), audits of universities shall be conducted annually.

The accompanying Schedule of Expenditures of Federal Awards (the Schedule) has been prepared in a format that presents summary financial information of the federal funds awarded to Indiana University directly from federal agencies as well as amounts received as subgrantee of other organizations. For purposes of the Schedule, federal assistance includes all federal assistance and procurement relationships entered into directly between Indiana University and the federal government and subawards from nonfederal organizations made under federally sponsored agreements. Because the Schedule presents only a selected portion of the activities of Indiana University, it is not intended to and does not present either the financial position, change in net assets, or change in cash flows of Indiana University.

The accounting principles followed by Indiana University and used in preparing the accompanying schedule are as follows:

Awards Other Than Student Financial Assistance

Deductions (expenditures) for direct costs are recognized as incurred using the accrual method of accounting and the cost accounting principles contained in the U.S. Office of Management and Budget (OMB) Circular A-21, Cost Principles for Educational Institutions. Under those cost principles, certain types of expenditures are not allowable or are limited as to reimbursement. Moreover, expenditures include a portion of costs associated with general university activities (indirect costs) which are allocated to federal awards under negotiated formulas commonly referred to as indirect cost rates.

Student Financial Assistance

Expenditures for non-loan awards made to students are recognized and reported in the Schedule of Expenditures of Federal Awards.

Student loan programs are funded by the federal government under various programs; e.g., Perkins Student Loan, Health Professions Student Loan and Nursing Student Loan Programs. Activity related to these loan programs includes federal capital contributions, loan repayments, interest earned on loans, cancellation of loans, and administrative and collection costs. The Schedule of Expenditures of Federal Awards reflects only current year loans to students.

INDIANA UNIVERSITY
SCHEDULE OF FINDINGS AND QUESTIONED COSTS

Section I – Summary of Auditor's Results

Financial Statements:

Type of auditor's report issued:	Unqualified
Internal control over financial reporting:	
Material weaknesses identified?	no
Significant deficiencies identified?	none reported
Noncompliance material to financial statements noted?	no

Federal Awards:

Internal control over major programs:	
Material weaknesses identified?	no
Significant deficiencies identified?	none reported
Type of auditor's report issued on compliance for major programs:	Unqualified
Any audit findings disclosed that are required to be reported in accordance with section 510(a) of OMB Circular A-133?	no

Identification of Major Programs:

CFDA Number	Name of Federal Program
SFA Cluster	Student Financial Aid
State Fiscal Stabilization - Education Fund Cluster	State Fiscal Stabilization – Education Fund
47.076	Education and Human Resources
84.015	National Resource Centers Program for Foreign Language and Area Studies or Foreign Language and International Studies Program and Foreign Language and Area Studies Fellowship Program
98.002	Cooperative Development Program (CDP)

Dollar threshold used to distinguish between Type A and Type B programs: \$3,000,000

Auditee qualified as low-risk auditee?	no
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Section II – Financial Statement Findings

No matters are reportable.

Section III – Federal Award Findings and Questioned Costs:

No matters are reportable.



INDIANA UNIVERSITY

OFFICE OF THE VICE PRESIDENT
AND CHIEF FINANCIAL OFFICER

SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS Year ending June 30, 2011

FINDING 2011 – 1, SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REPORTING

Original SBA Audit Report B40250
Auditee Contact Person: Joan Hagen
Title of Contact Person: Associate Vice-President and University Controller
Phone Number (812) 856-2548

FINDING 2011 – 2, ACCURACY OF REPORTING

Original SBA Audit Report B40250
Auditee Contact Person: Linda S. Hunt
Title of Contact Person: Assistant Vice President, Office of the Vice President for Capital Planning and
Facilities
Phone Number (812) 855-5878

Status of Findings:

The University received a Final Determination Letter from the U.S. Department of Health and Human Services on September 21, 2012; Audit Control Number A-05-12-19853. The Final Determination Letter did not require additional action on the part of Indiana University for either finding. As such, these findings are considered to be closed.

INDIANA UNIVERSITY
EXIT CONFERENCE

The contents of this report were discussed on February 19, 2013. Our report disclosed no material items that warrant comment at this time.

Those attending were:

University Representatives:

MaryFrances McCourt, Interim Vice President, CFO and Treasurer
Kipley S. Drew, Associate General Counsel
Timothy H. Burris, Financial Compliance Manager
Steven A. Martin, Associate Vice President, Research Administration
James P. Becker, Executive Director, Grants Administration
Jim Engel, Director of Grants Administration and Finance
James C. Kennedy, Director of University Financial Aid and Associate Vice President
for University Services and Systems
Jennifer Stephens, Director of Financial Aid Systems and Compliance
Christine Swafford, Director Internal Audit
Marie Jackson, Senior Auditor, Internal Audit
Linda S. Hunt, Assistant Vice President of Capital Planning and Facilities
Joan Hagen, University Controller
John Talbott, Assistant Vice President of Research Administration
Jennifer George, Director of Student Loan Administration

State Board of Accounts Representatives:

Jeffrey Arthur, College and University Audit Supervisor
Mary M. Holmes, AIC
Brandon P. Knight, Field Examiner II



Ψ INDIANA UNIVERSITY

2011-2012 Financial Report

MICHAEL A. McROBBIE

15-YEAR IU HIGHLIGHTS 1997-2012



- As vice president for information technology, initiated the development of IU's original Information Technology Strategic Plan, launched in 1998 to enable IU to become a leader in the use and application of IT and today considered a model for educational institutions across the nation.
- Played an important role in establishing IU's School of Informatics and Computing, the first such school in the nation, as well as in securing two of the largest grants in IU history—totaling more than \$80 million—to establish technology-related laboratories and initiatives at the university.
- Directed the development of I-Light, the first higher education-owned optical fiber network, which provides high-speed broadband Internet capability to colleges and universities across Indiana.
- In 2004, named to Computerworld magazine's list of the "Premier 100 IT leaders," which honors the top information technology strategists in the U.S.
- As vice president for research, was instrumental in securing a \$53 million grant from the Lilly Endowment for the Indiana Metabolomics and Cytomics Initiative (METACyt)—the largest grant ever obtained at IU Bloomington.
- As IU Bloomington provost, jointly oversaw the development of the IU Life Sciences Strategic Plan, designed to move the university into the ranks of the nation's top research centers in the life sciences.
- As university provost and as president, oversaw the creation of a 50-year master plan to guide future expansions of IU's core campuses in Bloomington and Indianapolis.
- As president, dedicated several new facilities for teaching and learning and on-campus housing, including, among others, Simon Hall, the first science-only building constructed at IU Bloomington in more than 40 years, the IU Cinema, the Union Street Center student housing complex, new residence halls at IU Southeast, the Cook Hall basketball practice facility and the Glick Eye Institute.
- Accompanied Elinor Ostrom to Stockholm, Sweden, where she accepted the 2009 Nobel Prize in Economic Sciences.
- In 2010, unveiled IU's "Principles of Excellence," a guide to IU achieving its vision of becoming one of the great research universities of the 21st century and the preeminent institution of higher education in Indiana.

(Highlights continued inside back cover.)

FINANCIAL REPORT 2011-12

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Message from the President



Michael A. McRobbie
President, Indiana University

The Honorable
Mitchell E. Daniels, Jr.
Governor, State of Indiana
State House, Room 206
200 West Washington Street
Indianapolis, IN 46204

Dear Governor Daniels:

On behalf of the Trustees of Indiana University, I am pleased to present to you IU's 2011-12 Financial Report.

Like public research universities across the nation, Indiana University has faced myriad challenges in recent years, including the nationwide economic downturn, growing concerns over college costs and student debt, the emergence of greater global competition in higher education, rapidly evolving technologies,

and changing demographics. In the face of these and other challenges, Indiana University's faculty, staff, and students continue to engage in remarkable work that is improving our state, nation, and world, and transforming lives.

We continue to steadfastly embrace the enduring value of a rigorous college education, and we are taking steps that will ensure that Indiana University offers the kinds of educational opportunities that one would expect of a university that aspires to be one of the finest universities of the 21st century.

KEEPING AN IU EDUCATION AFFORDABLE

As a public university, we also have an obligation to ensure that an IU education remains accessible and affordable for qualified Hoosier students. The 2013 edition of the "Fiske Guide to Colleges," one of the country's leading college guides, recognized our commitment to keeping an IU education affordable by naming IU Bloomington a "best buy" school. IU Bloomington was one of only 20 public universities in the U.S. and Canada to receive the "best buy" designation.

Recent data from the U.S. Department of Education's National Center for Education Statistics shows that IU, state, and federal student financial aid programs reduce the net cost of attending Indiana University to about half of the "sticker price" of tuition, room and board, books, and miscellaneous expenses. The data also shows that the net cost for the Bloomington campus is *the lowest* of all Big Ten institutions—and that IU East has *the lowest* net cost of *any* public campus in Indiana.

We are not content, however, to rest on these laurels.

I recently announced a new on-time completion award that will help to

further lower the cost of attending IU, while providing additional incentive for students to graduate in four years. Under this initiative, students who have completed four semesters in good academic standing and who are on-track to graduate in four years will receive an award that offsets any increase in tuition and fees that may occur in their junior and senior years.

This initiative comes on the heels of the university's decision, implemented this summer, to lower tuition for all undergraduates attending summer semester classes by 25 percent for Indiana resident students and an equivalent dollar amount for nonresident students. This program, which will continue next summer, saved IU students nearly \$12 million and resulted in an increase in summer attendance this year over the previous year.

Moreover, IU has more than doubled the amount of institutional aid to students in the past five years. This aid consists not of loans, but of grants and scholarships that do not need to be paid back. As a result, more than half of the undergraduates at IU Bloomington have *no* student loan debt—and almost half graduate with no student loan debt.

RECORD ENROLLMENTS

Indiana University continues to attract record numbers of highly qualified students from around the state, the nation, and the world. This fall, we enrolled a record of more than 110,000 students across the state. That number includes our largest freshman class ever in Bloomington, which also is one of the most diverse and academically accomplished classes ever to enter the university. We are also seeing gains in both the diversity and academic qualifications of our new students at other campuses.

As a result of the breadth and quality of our academic programs, IU, which graduates significantly more students

than any other higher education institution in the state, continues to play a vital role in Indiana by educating and training students for successful careers.

A LEADING PUBLIC RESEARCH UNIVERSITY

Our outstanding faculty members continue to successfully compete with top researchers across the nation and around the world for research funding that is becoming increasingly scarce.

Indiana University received \$533 million in grants and awards for research and other sponsored programs in fiscal year 2012. This amount represents the second-highest annual total ever at IU, and is only the third time in the university's history that we have passed the half-billion-dollar mark in externally funded research and related program awards in a single year.

That we have been able to sustain our research excellence when research universities are facing unprecedented financial challenges and dwindling funding opportunities makes this achievement even more impressive. The breadth, depth, and quality of our research clearly signal IU's continuing status as one of the leading public research universities in the world.

TRANSFORMING INDIANA UNIVERSITY FOR THE 21ST CENTURY

In 2010, I appointed some of the most distinguished and insightful faculty and academic administrators on the Bloomington and IUPUI campuses to the New Academic Directions Committee. The committee examined many of the academic programs that are the heart of the university and recommend ways to bring IU's structure into the 21st century. Since the completion of the New Academic Directions report, we have seen transformation of unprecedented scale and speed at IU. By the end of this year, we will expect to have seen seven schools transformed, established, merged or closed

in less than 18 months. This is more change than in the previous 40 years at IU.

On the IUPUI campus, for example, we are establishing the world's first School of Philanthropy. The school will build on the strengths of the world-renowned Center on Philanthropy. The establishment of the new School of Philanthropy will greatly expand the education and opportunities for IU students who want to pursue careers in the huge and diverse philanthropic and not-for-profit sector.

Spurred by the rapid and increasing pace of evolution in the world of informatics, computing, and libraries, the connections between programs that study information and those that study computation have become closer and more important than ever. In the coming year, Indiana University's School of Library and Information Science and its School of Informatics will merge. This merger will allow the school to compete with the best schools in the nation in these areas.

We also recently announced the establishment of IU Online, a major strategic investment in online education that will accelerate the development and delivery of quality online courses and programs at IU's campuses statewide, address Indiana's economic and professional development needs, and extend the university's global reach.

IMPROVING HOOSIER HEALTH

Indiana's poor performance by just about any of the major measures of public health—smoking, diabetes, obesity and cancer—has been well documented. With the recent establishment of two new schools of public health, Indiana University is making major contributions to the formulation of

thoughtful and effective public health policies, the education of public health professionals, and to targeted applied research that will have a demonstrable effect on the health and quality of life of Indiana's citizens.

On the IUPUI campus, we recently established the Richard M. Fairbanks School of Public Health. This school is building on the strengths of the Department of Public Health, which was formerly part of the IU School of Medicine. In Bloomington, the School of Health, Physical Education and Recreation has been transformed into the IU School of Public Health—Bloomington. The new schools will be able to compete for federal and foundation funding that is open only to schools of public health, increasing the flow of funds to Indiana to support the health of all Hoosiers.

The new schools of public health are only the most recent additions to the extensive collections of schools that train the professionals, do the research, and provide clinical care in nearly every area of health care. These include the IU schools of medicine, dentistry, nursing, optometry, social work, health and rehabilitation sciences, and physical education. These schools are the largest—sometimes the *only*—places in Indiana that train professionals in these areas, and a large percentage of the professionals in these areas practicing in Indiana have been trained in these schools.



Together with our close partner, IU Health—the largest healthcare system in Indiana and one of the largest in the nation—we collectively have the most extensive impact in the state on the health and well-being of Hoosiers. We were recently pleased to note that *U.S. News & World Report* ranked IU Health as one of the top 16 health systems in the country—the magazine’s highest distinction, reserved for the top medical centers in the nation such as the Mayo Clinic and Mass General. Eleven IU Health clinical programs, staffed largely by physicians trained at the IU School of Medicine, also were named among the best in the nation by the magazine.



In Indianapolis, IU and IU Health are establishing a major new joint facility in the neurosciences. This superb new joint facility will provide outpatient rehab facilities, an imaging center, and walk-in care facilities, as well as state-of-the-art facilities in which our researchers and clinicians will conduct a broad range of neuroscience research in fields such as neurotrauma, dementias, addiction, epilepsy, and pain. This complex will also house one of the largest concentrations of researchers and clinicians in the neurosciences in the United States.

A LEADING INTERNATIONAL UNIVERSITY

By any measure, Indiana University is one of America’s leading international universities, and we continue to increase our international engagement. We now rank eighth in the country in the overall number of students who are studying abroad. Study and service abroad are essential components of a 21st Century education meant to prepare students to live and work in a flat world. We also rank 11th nationally, up from 14th last year, in the number of international students enrolled.

IU also has extraordinary resources and strengths in global and international studies, including:

- more than 70 foreign languages taught, (more than any other university);
- 11 international area studies programs that receive funding through the U.S. Department of Education’s Title VI Program (again, more than any other university);
- a great breadth and depth of international research and scholarship; and
- a high level and wide variety of international engagement.

We are leveraging this outstanding reputation in scholarship and research on countries, cultures, and regions around the globe by establishing a School of Global and International Studies. By bringing together into one school the core of IU’s extraordinary resources, the university stands poised to join the most outstanding programs in the world in these truly vital areas. The new school will expand the opportunities for international education for all students, and offer Hoosier students even more opportunities for the global education so necessary to their future success.

CONCLUSION

The importance of Indiana’s public institutions of higher education to the long-term economic vitality of the state is immense. We are incubators for jobs and economic growth. Our researchers make discoveries that save and improve the lives of Indiana’s citizens. We help to retain Indiana’s own top students, keeping them in the state as our next generation of leaders. Our professors also attract top students from across the United States, many of whom stay in Indiana to become business, civic, and political leaders.

As this financial report illustrates, Indiana University continues to regard the funding it receives as a public trust. We are deeply grateful for the support we receive from state appropriations, donor contributions, grants, contracts, and student fees, and are committed to achieving the best return on all of those investments.

We continue to work diligently to make Indiana University more efficient, more affordable, more accessible, and to preserve and enhance its quality. The actions we have taken in the last year illustrate our dedication to preserving and strengthening the academic core of the university as we fulfill IU’s fundamental missions of education and research. We look forward to continuing to work with the State of Indiana and our many external partners to preserve high-quality higher education as an asset that can efficiently serve our state and our students for years to come.

Yours sincerely,

Michael A. McRobbie
President

Message from the Senior Vice President and Chief Financial Officer



Neil Theobald
Senior Vice President and Chief Financial
Officer, Indiana University

Dear President McRobbie and the Trustees of Indiana University:

I am pleased to present to you the consolidated financial report for Indiana University for the fiscal year ended June 30, 2012.

As has been the case in recent years, the economic conditions under which the university operated during the most recent fiscal year were challenging. While there have been some signs of a gradual strengthening of the national economy in recent months—as evidenced by increased consumer spending and an improving housing market—job growth remains sluggish and unemployment relatively high. In Indiana, the jobless rate remains above 8 percent, slightly higher than the national average.

In the face of these significant challenges, however, Indiana University continued to strengthen its already solid financial foundation during the recently completed fiscal year. A combination of aggressive expense management, strong investment performance, and the continued

generosity of our alumni and friends allowed the university to grow its net assets—a critical indicator of current financial health—by more than 8 percent.

This was possible because the University continues to expand its expense reduction efforts. Overall, total operating expenses for the university increased by just 2 percent from the previous fiscal year, despite rising employee health care costs, and an ambitious academic agenda that has seen the university create a number of new schools and launch several new initiatives over the past 18 months. IU has managed to hold the line on overall spending by achieving cost reductions in a number of areas. Among them:

- Consolidating purchasing systems – \$12 million annual savings
- Providing early retirement incentives taken by approximately 500 employees – \$6 million
- Changing the vesting provision in retirement plan – \$3.5 million
- Closing the School of Continuing Studies – \$1.8 million

As a result of this work and other efforts to keep our costs in line, IU's creditworthiness has never been better. In fact, IU is one of only eight public universities to earn the highest credit rating—Aaa—offered by Moody's Investors Service. As an "investment grade" institution, we have lowered the cost of servicing our debt significantly in recent years, saving the state \$40 million.

Faculty and staff across the university continue to do more with less and we are constantly looking for ways to lower our costs while better serving our employees. For example, we continue to increase the health care services offered to many of our employees, retirees, graduate students, and their families through enhanced delivery of clinical services

in the Bloomington area under a program that started early this year. IU intends to extend the use of clinics to other communities where we have campuses. In addition, we are encouraging our employees to take a greater role in their health care decisions through increased preventative and wellness services and by offering a high-deductible insurance plan with a health savings account component that is generously funded by IU.

The combination of world-class academics and moderate price (IU's average net cost of attendance is the lowest in the Big Ten) has brought outside recognition to the university in recent years. Kiplinger's Personal Finance magazine in 2011 rated IU-Bloomington 28th in quality for the cost of education from among more than 500 public institutions, and this year the prestigious Fiske Guide to Colleges named IU-Bloomington as a "best buy" for its combination of moderate cost to students and academic quality.

Still, we are not content with our recent progress or our current performance.

The university understands that student debt levels are a significant problem, and we are aggressively addressing this issue through a two-pronged IU Student Debt Reduction Plan. First, IU is requiring each undergraduate who is applying for a student loan to complete a financial literacy course that is designed to help students understand the implications of student debt and how to manage and control it. Second, IU is funding a Completion Scholarship that seeks to encourage IU students to attend full-time, if possible, and complete 50% of a degree within two years.

Shortening the time to degree will go a long way toward lowering student debt levels, as a large percentage of

borrowing goes to cover non-tuition costs of attending college each year. The Completion Scholarship will reward IU juniors and seniors who are on pace to graduate in four years by effectively freezing tuition and fees for their final two years of study. By graduating on time, students lower their overall debt load and are positioned to enter the workforce earlier, allowing them to begin repaying their debt at the earliest possible time. This focus on 4-year degree completion is consistent with the goals of state policymakers and the Indiana Commission on Higher Education.

I am proud of our steadfast efforts to become more efficient in our operations and to provide financial relief to our students over the past year. Just as importantly, as President McRobbie detailed in his letter introducing this report, we have not lost sight of our core mission: to provide an affordable world-class college education, with a special emphasis on serving the educational needs of talented Indiana high school graduates.

We currently serve more than 110,000 on eight campuses across Indiana, and the academic profile of our student body continues to improve. For example, the median grade point average of this year's freshman class was 3.7, and 87 percent of those students from Indiana who entered IU this fall earned the state's Academic Honors Diploma. In addition, international enrollment increased for the

eighth consecutive year this fall and the university continues to make gains to improve the domestic diversity of its student body.

We owe all of those students a rigorous education that is designed to meet the needs of the 21st century global workplace, and to do so at a reasonable cost. In his State of the University address in October, President McRobbie acknowledged the university's responsibility to accomplish these goals despite the changing and challenging environment facing all of us in higher education today.

We have chosen to meet these challenges directly by challenging one another to be more innovative in how we create and deliver our academic offerings, and by remaining focused on the financial realities facing our students and their families in these uncertain economic times.

I think the results detailed in this report send a clear message that we are on solid financial ground as we continue our never-ending journey to better serve our students and the state of Indiana. I encourage you to closely examine the report and welcome your questions and ideas.

Thanks to all of you for your continued support and leadership of Indiana University.

Sincerely,



Neil Theobald
Senior Vice President and
Chief Financial Officer





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INDEPENDENT AUDITOR'S REPORT

TO: THE OFFICIALS OF INDIANA UNIVERSITY, BLOOMINGTON, INDIANA

We have audited the accompanying basic financial statements of Indiana University (University), a component unit of the State of Indiana, as of and for the years ended June 30, 2012 and 2011. These financial statements are the responsibility of the University's management. Our responsibility is to express an opinion on these financial statements based on our audits. We did not audit the financial statements of the component unit of the University as discussed in Note 1, which represents 100% of the assets and revenues of the discretely presented component unit. Those statements were audited by other auditors whose report thereon has been furnished to us and our opinion, insofar as it relates to this unit, is based upon the report of the other auditor.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, based on our audits and the report of the other auditors, the financial statements referred to above present fairly, in all material respects, the financial position of Indiana University, as of June 30, 2012 and 2011, and the respective changes in its financial position and its cash flows for the years then ended in conformity with accounting principles generally accepted in the United States of America.

In accordance with Government Auditing Standards, we have also issued our report dated October 18, 2012, on our consideration of the University's internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing and not to provide an opinion on internal control over financial reporting or compliance. That report is an integral part of an audit performed in accordance with Government Auditing Standards and should be considered in assessing the results of our audit.

INDEPENDENT AUDITOR'S REPORT
(Continued)

Accounting principles generally accepted in the United States of America require that the Management Discussion and Analysis (MD&A), the Schedule of Funding Progress for IU Replacement Retirement Plan, the Schedule of Funding Progress for Other Postemployment Benefits Plans, and the Schedule of Funding Progress for Public Employees' Retirement Fund be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

Our audits were conducted for the purpose of forming opinions on the financial statements taken as a whole. The Introductory Section, Trustee and Administrative Officers of Indiana University, Additional Information, and Acknowledgements are presented for purposes of additional analysis and are not a required part of the basic financial statements. The Introductory Section, Trustee and Administrative Officers of Indiana University, Additional Information, and Acknowledgements have not been subjected to the auditing procedures applied in the audits of the basic financial statements and, accordingly, we do not express an opinion or provide any assurance on it.

October 18, 2012

STATE BOARD OF ACCOUNTS

State Board of Accounts

Management's Discussion and Analysis

The following discussion and analysis provides an overview of the financial position and activities of Indiana University (university) for the fiscal years ended June 30, 2012, and 2011, along with comparative financial information for fiscal year ended June 30, 2010. This discussion has been prepared by management and should be read in conjunction with the audited financial statements and footnotes contained in this report.

The university's financial report includes three financial statements prepared in accordance with Government Accounting Standards Board (GASB) principles. The objective of the Management's Discussion and Analysis is to assist readers in understanding those statements.

The Statement of Net Assets presents the university's financial position by reporting all assets, liabilities and net assets at the end of the fiscal years audited. Net assets, the difference between total assets and total liabilities, are one indicator of the current financial condition of the university.

The Statement of Revenues, Expenses, and Changes in Net Assets presents the total revenues earned and expenses incurred by the university during the fiscal year. Changes in net assets are an indication of improvement or decline in the university's overall financial condition.

The Statement of Cash Flows provides additional material about the university's financial results by presenting detailed information about the cash activity of the university during the year. The statement reports the major sources and uses of cash and is useful in the assessment of the university's ability to generate future net cash flow, the ability to meet obligations as they come due, and the needs for external financing.

STATEMENT OF NET ASSETS

A comparison of the university's assets, liabilities and



net assets at June 30, 2012, 2011, and 2010, is summarized as follows:

Condensed Statement of Net Assets (in thousands of dollars)			
	June 30, 2012	June 30, 2011	June 30, 2010
Current assets	\$ 888,419	\$ 961,001	\$ 971,819
Capital assets	2,533,362	2,422,233	2,316,762
Other assets	1,337,428	1,173,342	991,626
Total assets	4,759,209	4,556,576	4,280,207
Current liabilities	462,063	554,715	525,609
Noncurrent liabilities	1,096,472	1,042,860	1,077,731
Total liabilities	1,558,535	1,597,575	1,603,340
Invested in capital assets, net of related debt	1,694,440	1,621,228	1,555,422
Restricted net assets	179,797	190,939	175,197
Unrestricted net assets	1,326,437	1,146,834	946,248
Total net assets	\$ 3,200,674	\$ 2,959,001	\$ 2,676,867

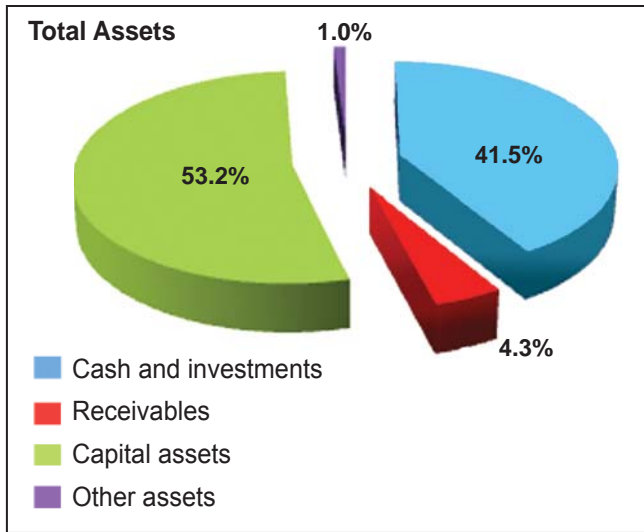
Assets

Current assets include those that are used to support current operations and consist primarily of cash and cash equivalents, net receivables, and short-term investments. Cash balances support cash flow requirements for future employee benefit and retirement costs, commitments to support the academic mission, self-liquidity requirements, and other operational needs.

Noncurrent assets primarily consist of endowments, other noncurrent investments, and capital assets, net of accumulated depreciation. Noncurrent receivables primarily represent student loan balances scheduled for collection beyond the current year reported.

The following table and chart represent the composition of total assets:

Total Assets (in thousands of dollars)		
Cash and investments	\$ 1,976,012	41.5%
Receivables	200,669	4.3%
Capital assets	2,533,362	53.2%
Other assets	49,166	1.0%
Total assets	\$ 4,759,209	100.0%



Total assets of \$4,759,209,000 at June 30, 2012, represent an increase of \$202,633,000, or 4.5% over June 30, 2011. The overall growth in assets is attributable to increases in investments and capital assets.

The discontinuation of the securities lending program in January 2012 contributed to a decrease in current assets of \$72,583,000. Securities lending assets were \$118,177,000 at June 30, 2011. This decrease is partially offset by an increase of \$47,199,000 in invested bond proceeds held for construction projects and resulting from new debt issued during the year.

The market value of the university's noncurrent investments increased \$168,144,000, to \$1,269,390,000 at June 30, 2012, due to operating investment gains and continued portfolio rebalancing to optimize yield. Capital assets, net of depreciation, which include land, art and museum objects, infrastructure, equipment and buildings, increased \$111,129,000, or 4.6%, over June 30, 2011, primarily due to additions, net of depreciation expense, of \$114,522,000 in buildings and construction in progress. Construction in progress consists of multi-year projects, typically buildings and major improvements.

Liabilities

Current liabilities are those that are expected to become due and are payable over the course of the next fiscal year. Current liabilities consist primarily of vendor payables, accrued compensation and compensated absences, and deferred revenue. The decrease in current liabilities of \$92,652,000 is primarily due to the discontinuation of the securities lending program, with a reduction equal to the decrease in securities lending reported as current assets in the prior year. The current portion of deferred revenue increased \$21,205,000 and is comprised of receipts for which recognition of the related income is deferred to the

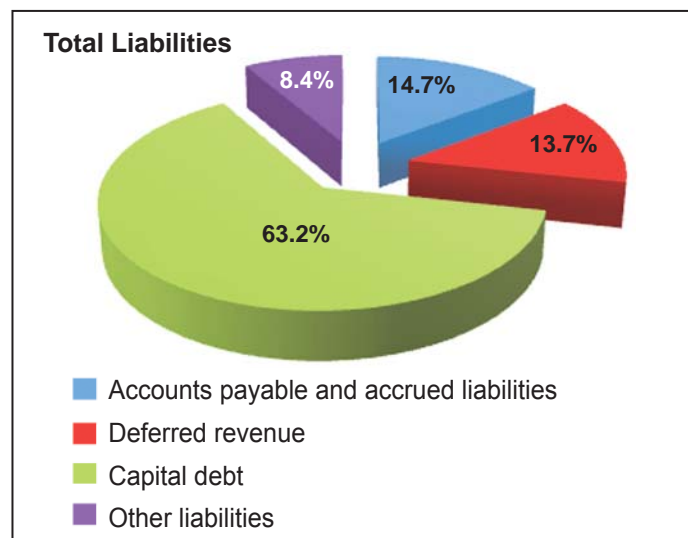
following fiscal year – primarily summer session student fees and funds received in advance of expenditures on sponsored projects. Capital grants received in advance of related construction expenditures cause significant fluctuations in deferred revenue balances.

The university's capital debt outstanding of \$984,456,000 at June 30, 2012, and \$899,340,000 at June 30, 2011, represents 63.2% and 56.3% of total liabilities at June 30, 2012 and 2011, respectively. A discussion of the university's capital financing activities appears in Note 8, Bonds and Notes Payable, Note 9, Lease Obligations, and in the Debt and Financing activity section below.

Noncurrent deferred revenue represents funds received in advance of expenditures on sponsored projects for which related spending is expected to begin past the end of the following fiscal year. The reduction of \$22,389,000 in this deferred revenue balance occurred as expenditures for construction grants were incurred during the fiscal year. Assets held in custody for others are advances from the federal government for the purpose of making loans to students.

The following table and chart represent the composition of total liabilities:

Total Liabilities (in thousands of dollars)		
Accounts payable and accrued liabilities	\$ 228,958	14.7%
Deferred revenue	213,015	13.7%
Capital debt	984,456	63.2%
Other liabilities	132,106	8.4%
Total liabilities	\$ 1,558,535	100.0%



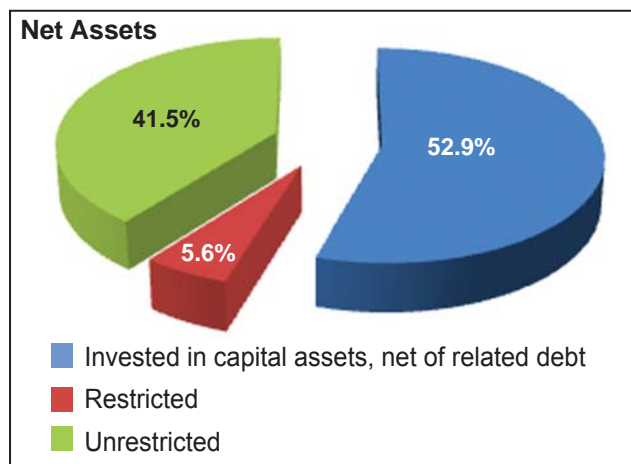
Net Assets

Net assets represent the residual interest in the university's assets after liabilities are deducted. Net assets are classified into three major categories:

- Invested in capital assets, net of related debt represents the university's investment in capital assets, such as equipment, buildings, land, infrastructure and improvements, net of accumulated depreciation and related debt.
- Restricted net assets include amounts that have been restricted by external parties and are divided into two sub-categories:
 - Restricted non-expendable net assets must be held inviolate and in perpetuity. These funds represent the university's permanent endowment funds received for the purpose of creating present and future income.
 - Restricted expendable net assets are available for expenditure by the university, but must be spent according to restrictions imposed by third parties.
- Unrestricted net assets include amounts institutionally designated or committed to support specific purposes.

The following table and chart represent the composition of net assets:

Total Net Assets <i>(in thousands of dollars)</i>		
Invested in capital assets, net of related debt	\$ 1,694,440	52.9%
Restricted	179,797	5.6%
Unrestricted	1,326,437	41.5%
Total net assets	\$ 3,200,674	100.0%



The \$73,212,000 increase invested in capital assets, net of related debt, at June 30, 2012, reflects the university's continued investment in the future through development of its long-range capital plans. The university's facilities are essential elements in sustaining and enhancing the education and research missions of the university.

Although unrestricted net assets are not subject to third-party restrictions, these funds are subject to internal designations and commitment for academic and research initiatives, capital projects, and unrestricted quasi and term endowment spending plans. The majority of the university's overall increase in net assets of \$241,673,000 during fiscal year 2012 is comprised of the increase in unrestricted net assets.

STATEMENT OF REVENUES, EXPENSES, AND CHANGES IN NET ASSETS

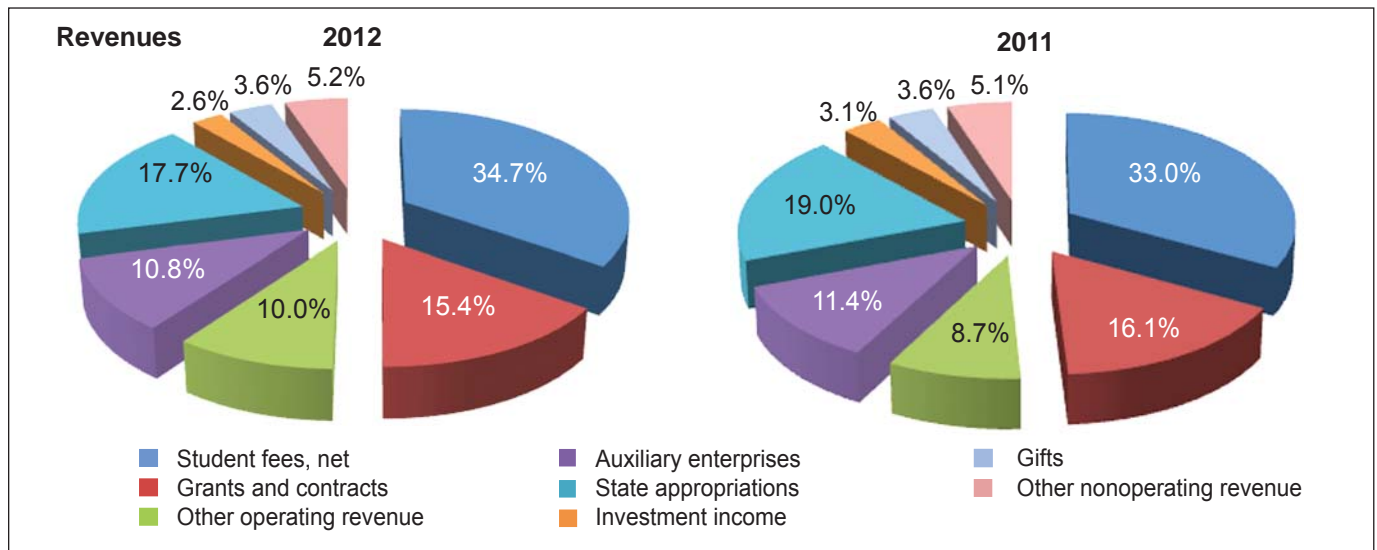
Revenues and expenses are classified as either operating or nonoperating. Trends in the relationship between operating revenues and expenses are important indicators of financial conditions. Generally, operating revenues are received for providing goods and services and include tuition and fees, grants and contracts, sales and services, and auxiliary revenue. Nonoperating revenues include state appropriations, gifts, and investment income. Operating expenses are those incurred to carry out the normal operations of the university. As a public university, Indiana University is required by GASB standards to report certain revenue sources that are an integral part of operations as nonoperating revenues.



A summarized comparison of the university's revenues, expenses, and changes in nets assets is presented below:

Condensed Statement of Revenues, Expenses, and Changes in Net Assets			
<i>(in thousands of dollars)</i>			
	<i>Fiscal Year Ended</i>		
	<i>June 30, 2012</i>	<i>June 30, 2011</i>	<i>June 30, 2010</i>
Operating revenues	\$ 2,065,918	\$ 2,003,416	\$ 1,933,283
Operating expenses	(2,639,127)	(2,579,131)	(2,493,131)
Total operating loss	(573,209)	(575,715)	(559,848)
Nonoperating revenues	811,550	864,410	830,682
Nonoperating expenses	(31,100)	(33,155)	(32,401)
Income before other revenues, expenses, gains and losses	207,241	255,540	238,433
Other revenues	34,432	26,594	20,873
Increase in net assets	241,673	282,134	259,306
Net assets, beginning of year	2,959,001	2,676,867	2,417,561
Net assets, end of year	\$ 3,200,674	\$ 2,959,001	\$ 2,676,867

The following charts represent revenues by major source for fiscal years 2012 and 2011:



Total operating revenues increased by \$62,502,000, or 3.1%, from \$2,003,416,000 in fiscal year 2011 to \$2,065,918,000 in fiscal year 2012. The largest single source of operating revenue for the university is student tuition and fees. Tuition and fees, net of scholarship allowances, increased \$55,696,000 over the prior fiscal year. The university implemented a repair and rehabilitation fee beginning in 2012 to address the university's obligation to protect the state's investment in the buildings and infrastructure on IU's campuses. The revenue from this new fee is reflected in the increase in student fees. As the American Recovery and Reinvestment Act (ARRA) projects were completed,

ARRA funding declined by \$13,784,000 compared to the prior year. This decline contributed to the overall decrease of \$19,434,000 in federal grant and contract revenue. The cyclical nature of state and local grants and contracts contributed to an overall increase of \$4,807,000 in those revenues. Other revenue increased \$36,879,000 in fiscal year 2012. An increase of \$18,042,000 in medical practice plan revenue was a major component of this increase, corresponding to a \$16,071,000 decrease in auxiliary revenue, along with a \$16,759,000 decrease in auxiliary expenses. This shift is the result of the transition of clinical activities to Indiana University Health Physicians (IUHP). The restructuring is part of a plan to

achieve efficiencies and more appropriately align activities between IU and IUHP.

Total operating expenses were \$2,639,127,000 in fiscal year 2012, an increase of \$59,996,000, or 2.3% over the prior year. Scholarship allowances applied to student accounts increased \$9,128,000, or 4.8%, over fiscal year 2011. Federal financial aid received in 2012 decreased by \$12,417,000, contributing to a decrease of \$1,734,000, or 1.1%, compared to fiscal year 2011. A component of the federal Pell Grant program was eliminated due to lack of funding. The federal Academic Competitiveness and National Science and Mathematics Access to Retain Talent Grant programs, enacted in 2006, were not reauthorized, and funding was eliminated for 2012. Compensation and benefits represent the largest single university expense, at 66.1% of total operating expenses. The increase in total compensation and benefits was only 0.8%, or \$13,567,000 in fiscal year 2012 compared to 2011. The university implemented the Early Retirement Incentive Plan in late fiscal year 2011 for eligible academic and staff employees. Voluntary separations under the plan during fiscal year 2012 were instrumental in achieving specific institutional objectives, including reducing compensation costs and redirecting positions to focus on higher priorities. In addition, there was a shift in staff salaries associated with the transition to IUHP. Total travel expenses, 20% of which arises from grants and contracts, increased \$7,230,000. Supplies and general expenses increased by \$34,962,000, including an additional outlay of \$17,837,000 in repairs and renovations, expendable equipment, and contractual services.

Nonoperating revenues, net of expenses, declined \$50,805,000, or 6.1% in fiscal year 2012 compared to 2011. State appropriations declined 6.3% over the prior year, with a reduction of \$9,108,000 in operating appropriations received. Appropriations for fee replacement declined \$21,111,000, commensurate with a decline in

the university's debt service schedules. Fee replacement appropriations are made for the purpose of reimbursing a portion of debt service for certain academic facilities. Gifts for scholarships and fellowships, general program support, and specific gifts to schools and departments comprise the majority of gift income. Total investment income declined from \$89,644,000 in fiscal year 2011 to \$74,637,000 in fiscal year 2012, a decrease of \$15,007,000, primarily driven by unrealized losses on endowment investments, compared to unrealized gains in the prior year.

Capital appropriations increased \$2,173,000, and consist of federal fiscal stabilization funds authorized by the American Recovery and Reinvestment Act and awarded to the university through the state for repair and rehabilitation. Capital gifts and grants increased \$5,210,000 between fiscal years 2011 and 2012. Capital grant income is recognized as related construction expenditures are incurred. In fiscal year 2012, \$12,609,000 was spent on construction costs for the Jacobs School of Music Studio Building which is funded by a grant from the Lilly Endowment.

STATEMENT OF CASH FLOWS

The Statement of Cash Flows provides additional information about the university's financial results by reporting the major sources and uses of cash during the fiscal year. The statement assists in evaluating the university's ability to generate future net cash flows to meet its obligations as they become due and aids in determining the need for external financing. The statement is divided into four sections based on major activity: operating, noncapital financing, capital and related financing, and investing. A fifth section reconciles the operating income or loss on the Statement of Revenues, Expenses, and Changes in Net Assets to the net cash used in operations.

A summarized comparison of the university's changes in cash and cash equivalents is presented below:

Comparative Statement of Cash Flows			
<i>(in thousands of dollars)</i>			
	<i>Fiscal Year Ended</i>		
	<i>June 30, 2012</i>	<i>June 30, 2011</i>	<i>June 30, 2010</i>
Net cash provided (used) by:			
Operating activities	\$ (446,436)	\$ (417,254)	\$ (369,350)
Noncapital financing activities	750,452	763,296	729,931
Capital and related financing activities	(166,036)	(303,733)	(140,467)
Investing activities	(72,943)	(133,492)	(101,713)
Net increase (decrease) in cash and cash equivalents	65,037	(91,183)	118,401
Beginning cash and cash equivalents	580,110	671,293	552,892
Ending cash and cash equivalents	\$ 645,147	\$ 580,110	\$ 671,293

Cash received from operations consists primarily of student fees, grants and contracts, and auxiliary enterprise receipts. The university's liquidity increased in fiscal year 2012, with an increase in cash and cash equivalents of \$65,037,000. Payments to employees represent the largest use of cash for operations. Significant sources of cash provided by noncapital financing activities are used to fund operating activities, including state appropriations, federal Pell grants, and private noncapital gifts. Fluctuations in capital and related financing activities reflect decisions made relative to the university's capital and financing plans. Cash flows from investing activities include shifts between cash equivalents and longer term investments.

CAPITAL ASSET ACTIVITY

Indiana University President Michael McRobbie has set forth goals to "ensure that the university has the new and renovated physical facilities and infrastructure essential to achieve the Principles of Excellence, while recognizing the importance of historical stewardship, an environment that reflects IU's values, and the imperative to meet future needs in accordance with long-term master plans."

Each of the university's seven campuses is in the process of assembling its own master plan to guide the physical vision of the campuses. The master plans address traffic flow, new buildings, green space, land use, rehabilitation of buildings, and repurposing of existing buildings.

The university expended a total of \$83,183,000 on facility renovations and \$13,900,000 on facility repair and replacements during fiscal year 2012.

On the Bloomington campus, major renovations were completed to upgrade the Briscoe Quad student residence center from a traditional residence center to a modern facility where each floor will offer a variety of room styles ranging from a single with private bath to a four-person suite with a bath and living room. A community lounge and a laundry room will also be incorporated into the new layout on each floor. Upgrades to the fire safety and electrical systems are included in the project to improve building safety and security. The current phase of renovations was completed at a cost of \$21,495,000.

The expansion of the Sports Complex Parking Garage, adding 1,300 parking spaces on the IUPUI campus, is part of the expansion and repurposing of the south side of the campus under the IUPUI master plan. The project was completed at a cost of \$16,590,000.

The Music Studio Building is an 85,000 square foot project under construction on the Bloomington campus, with



Neurosciences Research Building, IUPUI Indianapolis

an estimated project cost of \$40,000,000, and an estimated completion date of July 2013. The project is funded by a grant from the Lilly Endowment. The facility is expected to provide technologically and acoustically superior teaching and practice facilities to rival any music school or conservatory in the world, while also marking the entrance to the university's music and performing arts district. The new Studio Building will provide studio space with excellent acoustics and state-of-the-art wiring and technology, as well as more and larger practice and rehearsal rooms. In all, the structure will contain 84 studios for faculty and assistant instructors as well as 15 classrooms, administrative offices, graduate student spaces, mechanical support space, and a faculty lounge.

DEBT AND FINANCING ACTIVITY

Institutional borrowing capacity is a valuable resource that is actively managed in support of the institutional mission. Bonds, notes, and capital lease obligations totaled \$984,456,000 and \$899,340,000 at June 30, 2012 and June 30, 2011, respectively.

On July 26, 2011, the university issued Student Fee Bonds, Series U with a par amount of \$94,460,000. The purpose of the issue was to provide financing for the construction of the Neurosciences Research Building on the Indianapolis campus and for certain land acquisitions on the South Bend campus. The proceeds of the bonds also partially refunded Indiana University Student Fee Bonds, Series N, Series O, and Series P. The refunding portion of the transaction generated future debt service savings of \$6,646,000, which equated to a net present value savings of \$5,663,000.

On January 25, 2012, the university issued Consolidated Revenue Bonds, Series 2012A with a par amount of \$94,490,000. The purpose of the issue was to provide financing for the construction of the Rose Residence Hall (previously referred to as the New Third and Union Residence Hall Complex) and the Forest Dining Hall Expansion and Renovation on the Bloomington campus, the Science and Engineering Lab Building on the Indianapolis campus, and the Residence Hall Expansion on the Southeast campus. The proceeds of the bonds also partially refunded Facility Revenue Bonds, Series 2004 and Student Residence System Bonds, Series 2004B. The refunding portion of the transaction generated future debt service savings of \$2,499,000, which equated to a net present value savings of \$2,391,000.

On February 9, 2012, the university issued Certificates of Participation, Series 2012A with a par amount of \$23,750,000. The purpose of the issue was to provide financing for the construction of the Baseball and Softball Complex on the Bloomington campus. The proceeds of the bonds also refunded Certificates of Participation, Series 2003A. The refunding portion of the transaction generated future debt service savings of \$1,080,000, which equated to a net present value savings of \$896,000.

On October 26, 2012, the university will issue Indiana University Tax Exempt Student Fee Bonds, Series V-1 and Taxable Student Fee Bonds, Series V-2 in the total par amount of \$107,750,000, of which \$95,875,000 will be refunding bonds and \$11,875,000 will be new money bonds. The purpose of the issue is to provide financing for qualified energy savings projects on the Indianapolis and South Bend campuses. The proceeds of the bonds will also be used to refund all or a portion of Student Fee Bonds, Series P, Q, and R, and Qualified Energy Savings Notes, Series 2005, 2007 and 2008. The refunding portion of the transaction will produce a net present value savings of \$7,961,000.

The University's ratings on debt obligations were last reviewed and affirmed in October 2012. On October 10, 2012, Moody's Investors Service (Moody's) reaffirmed its underlying rating of 'Aaa' with a stable outlook on student fee bonds, student residence system bonds, facility revenue bonds, consolidated revenue bonds, and certificates of participation. On October 12, 2012, Standard & Poor's Ratings Services (S&P) reaffirmed its underlying rating of 'AA+' with a stable outlook on student fee bonds, student residence system bonds, facility revenue bonds, consolidated revenue bonds, and certificates of participation.

ECONOMIC OUTLOOK

While remaining an important source of revenue, the State of Indiana currently provides less than 20% of Indiana University's total financial resources during a fiscal year. While effects of the national recession were severe and ongoing, fiscal year 2012 provided substantial financial improvement for the state, marking the second straight year of positive results.

State revenues for fiscal year 2012 were 6.4% above fiscal year 2011, slightly exceeding the state revenue forecast. State reserves were dramatically increased from \$1.18 billion or 8.9% of operating revenue to \$2.16 billion, or 15.1% of operating revenue.

Unemployment, still at recession levels, remains a major concern. During fiscal year 2012, Indiana's unemployment rate dropped from a high of 8.7% in January to 7.9% in May and June. Unfortunately, in recent months the Indiana unemployment rate has edged upward from its low in April and May and was 8.3% in August 2012.

Looking ahead to fiscal year 2013, total revenues were forecast to increase by a modest 2.5%. However, since fiscal year 2012 actual revenues were \$50 million above forecast, revenues will need to increase by only 2.2% to achieve the level of revenue forecast for the year. In addition to concerns about continued high unemployment, both the U.S. and international economies face many uncertainties and threats to continued economic expansion.

Student enrollment for the university is projected to remain strong during the 2012-13 academic year. Overall, the financial position of the university is favorable and management will continue to monitor state and national economic conditions as part of its critical financial decision-making process.



Rose Residence Hall, IU Bloomington

Statement of Net Assets

<i>(in thousands of dollars)</i>	<i>June 30, 2012</i>	<i>June 30, 2011</i>
ASSETS		
Current assets		
Cash and cash equivalents	\$ 645,147	\$ 580,110
Accounts receivable, net	116,646	121,106
Current portion of notes and pledges receivable	15,985	13,176
Inventories	11,389	12,020
Short-term investments	61,475	83,036
Securities lending assets	-	118,177
Other assets	37,777	33,376
Total current assets	888,419	961,001
Noncurrent assets		
Accounts receivable	10,640	12,327
Notes and pledges receivable	57,398	59,769
Investments	1,269,390	1,101,246
Capital assets, net	2,533,362	2,422,233
Total noncurrent assets	3,870,790	3,595,575
Total assets	4,759,209	4,556,576
LIABILITIES		
Current liabilities		
Accounts payable and accrued liabilities	228,958	229,753
Deferred revenue	177,913	156,708
Current portion of capital lease obligations	1,538	1,269
Current portion of long-term debt	53,654	48,808
Securities lending liabilities	-	118,177
Total current liabilities	462,063	554,715
Noncurrent liabilities		
Capital lease obligations	1,853	2,069
Notes payable	43,449	29,274
Assets held in custody for others	76,383	75,792
Deferred revenue	35,102	57,492
Bonds payable	883,962	817,920
Other long-term liabilities	55,723	60,313
Total noncurrent liabilities	1,096,472	1,042,860
Total liabilities	1,558,535	1,597,575
NET ASSETS		
Invested in capital assets, net of related debt	1,694,440	1,621,228
Restricted for:		
Nonexpendable - endowments	26,842	20,429
Expendable		
Scholarships, research, instruction and other	116,307	124,382
Loans	15,979	15,998
Capital projects	398	9,059
Debt service	20,271	21,071
Unrestricted	1,326,437	1,146,834
Total net assets	3,200,674	2,959,001
Total liabilities and net assets	\$ 4,759,209	\$ 4,556,576

See accompanying notes to the financial statements.

**Indiana University Foundation
Statement of Financial Position
As of June 30, 2012**

	Unrestricted		Temporarily Restricted		Permanently Restricted		Total
	Foundation	Agency	Foundation	University	Foundation	University	
Assets:							
Cash and cash equivalents	\$ -	\$ 11,519,534	\$ 195,973	\$ 190,902,769	\$ -	\$ 1,793,729	\$ 204,412,005
Collateral under securities lending agreement	1,436,404	7,187,380	150,072	12,230,874	712,842	31,879,597	53,597,169
Receivables and other assets	6,901,205	8,693,461	183,907	16,797,136	859,554	47,389,348	80,824,611
Promises to give, net	52,143	-	2,892,397	75,288,515	858,789	73,731,004	152,822,848
Investments	107,202,029	193,855,646	7,925,039	344,104,595	19,149,828	886,436,565	1,558,673,702
Property, plant and equipment, net	55,204,091	-	-	-	-	-	55,204,091
Total assets	\$ 170,795,872	\$ 221,256,021	\$ 11,347,388	\$ 639,323,889	\$ 21,581,013	\$ 1,041,230,243	\$ 2,105,534,426
Liabilities and net assets:							
Liabilities:							
Accounts payable and other	\$ 4,550,869	\$ 9,225,591	\$ 207,168	\$ 16,236,764	\$ 1,054,026	\$ 41,174,754	\$ 72,449,172
Payable under securities lending agreement	1,436,404	7,187,380	150,072	12,230,874	712,842	31,879,597	53,597,169
Debt	3,922,574	-	-	-	-	58,083	3,980,657
Accrued trust obligation to life beneficiaries	412,428	-	3,242,179	6,103,460	-	23,812,123	33,570,190
Due to (from)	125,016,119	(7,013,523)	109,167	(89,390,196)	(704,431)	(28,017,136)	-
Interfund financing	(1,900,000)	-	-	1,900,000	-	-	-
Assets held for the University	-	198,080,430	-	-	-	-	198,080,430
Assets held for University affiliates	-	13,776,143	-	-	-	-	13,776,143
Total liabilities	133,438,394	221,256,021	3,708,586	(52,919,098)	1,062,437	68,907,421	375,453,761
Net assets	37,357,478	-	7,638,802	692,242,987	20,518,576	972,322,822	1,730,080,665
Total liabilities and net assets	\$ 170,795,872	\$ 221,256,021	\$ 11,347,388	\$ 639,323,889	\$ 21,581,013	\$ 1,041,230,243	\$ 2,105,534,426

The accompanying notes are an integral part of these financial statements.



Statement of Revenues, Expenses, and Changes in Net Assets

<i>(in thousands of dollars)</i>	<i>Fiscal Year Ended</i>	
	<i>June 30, 2012</i>	<i>June 30, 2011</i>
OPERATING REVENUES		
Student fees	\$ 1,210,085	\$ 1,145,260
Less scholarship allowance	(198,207)	(189,079)
Federal grants and contracts	325,208	344,642
State and local grants and contracts	21,881	17,074
Nongovernmental grants and contracts	101,957	103,439
Sales and services of educational units	62,975	60,869
Other revenue	227,540	190,661
Auxiliary enterprises (net of scholarship allowance of \$22,411 in 2012 and \$21,151 in 2011)	314,479	330,550
Total operating revenues	2,065,918	2,003,416
OPERATING EXPENSES		
Compensation and benefits	1,744,609	1,731,042
Student financial aid	163,565	165,299
Energy and utilities	71,561	68,534
Travel	47,449	40,219
Supplies and general expense	478,461	443,499
Depreciation and amortization expense	133,482	130,538
Total operating expenses	2,639,127	2,579,131
Total operating loss	(573,209)	(575,715)
NONOPERATING REVENUES (EXPENSES)		
State appropriations	515,421	549,917
Grants, contracts, and other	116,257	120,035
Investment income	74,637	89,644
Gifts	105,235	104,814
Interest expense	(31,100)	(33,155)
Net nonoperating revenues	780,450	831,255
Income before other revenues, expenses, gains, or losses	207,241	255,540
Capital appropriations	14,157	11,984
Capital gifts and grants	19,775	14,565
Additions to permanent endowments	500	45
Total other revenues	34,432	26,594
Increase in net assets	241,673	282,134
Net assets, beginning of year	2,959,001	2,676,867
Net assets, end of year	\$ 3,200,674	\$ 2,959,001

See accompanying notes to the financial statements.

**Indiana University Foundation
Statement of Activities
For the year ended June 30, 2012**

	Unrestricted	Temporarily Restricted		Permanently Restricted		Total
		Foundation	University	Foundation	University	
Revenue and support:						
Contributions, net	\$ 1,276,253	\$ 261,121	\$ 76,837,849	\$ 16,014	\$ 44,989,575	\$ 123,380,812
Investment income including net gains (losses), net of outside investment management fees	2,676,067	(47,123)	45,747,907	(1,572,177)	(63,165,955)	(16,361,281)
Management/administrative fees	14,568,862	(32,559)	(12,111,201)	-	(48,642)	2,376,460
Grants	-	-	547,140	-	-	547,140
Other income	7,867,374	-	5,023,000	236	759,605	13,650,215
Development service fees from the University	4,923,219	-	-	-	-	4,923,219
Net assets released from restriction	107,379,296	(294,791)	(107,647,713)	(456,392)	1,019,600	-
Total revenue and support	138,691,071	(113,352)	8,396,982	(2,012,319)	(16,445,817)	128,516,565
Expenditures:						
Program expenditures	112,273,885	-	-	-	-	112,273,885
Management and general	10,157,932	6,835	1,163,860	720	149,566	11,478,913
Fundraising	13,824,596	-	-	-	-	13,824,596
Change in value of split interest agreement obligation to life beneficiaries	-	-	-	-	-	-
Total expenditures	320,415	9,712	274,825	103,186	1,758,333	2,466,471
	136,576,828	16,547	1,438,685	103,906	1,907,899	140,043,865
Change in net assets:						
Unrestricted	2,114,243	-	-	-	-	2,114,243
Temporarily restricted	-	(129,899)	6,958,297	-	-	6,828,398
Permanently restricted	-	-	-	(2,116,225)	(18,353,716)	(20,469,941)
Total change in net assets	2,114,243	(129,899)	6,958,297	(2,116,225)	(18,353,716)	(11,527,300)
Beginning net assets	35,243,235	7,768,701	685,284,690	22,634,801	990,676,538	1,741,607,965
Ending net assets	\$ 37,357,478	\$ 7,638,802	\$ 692,242,987	\$ 20,518,576	\$ 972,322,822	\$ 1,730,080,665

The accompanying notes are an integral part of these financial statements.



Statement of Cash Flows

<i>(in thousands of dollars)</i>	<i>Fiscal Year Ended</i>	
	<i>June 30, 2012</i>	<i>June 30, 2011</i>
CASH FLOWS FROM OPERATING ACTIVITIES		
Student fees	\$ 1,004,520	\$ 965,295
Grants and contracts	444,877	485,029
Sales and services of educational activities	62,548	60,755
Auxiliary enterprise charges	314,593	324,455
Other operating receipts	246,365	171,533
Payments to employees	(1,756,363)	(1,710,221)
Payments to suppliers	(604,822)	(559,301)
Student financial aid	(158,942)	(164,140)
Student loans collected	7,226	12,008
Student loans issued	(6,438)	(2,667)
Net cash used in operating activities	(446,436)	(417,254)
CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES		
State appropriations	525,118	540,221
Nonoperating grants and contracts	116,257	120,035
Gifts and grants received for other than capital purposes	106,865	103,806
Direct lending receipts	615,458	615,100
Direct lending payments	(613,246)	(615,866)
Net cash provided by noncapital financing activities	750,452	763,296
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES		
Capital appropriations	14,157	11,984
Capital grants and gifts received	20,015	9,618
Purchase of capital assets	(244,954)	(232,859)
Proceeds from issuance of capital debt, including refunding activity	134,816	16,610
Principal payments on capital debt, including refunding activity	(46,697)	(58,722)
Principal paid on capital leases	(1,664)	(1,265)
Interest paid on capital debt and leases	(41,709)	(49,099)
Net cash used in capital and related financing activities	(166,036)	(303,733)
CASH FLOWS FROM INVESTING ACTIVITIES		
Proceeds from sales and maturities of investments	3,150,406	3,642,358
Investment income	33,968	30,674
Purchase of Investments	(3,257,317)	(3,806,524)
Net cash used in investing activities	(72,943)	(133,492)
Net increase (decrease) in cash and cash equivalents	65,037	(91,183)
Cash and cash equivalents, beginning of year	580,110	671,293
Cash and cash equivalents, end of year	\$ 645,147	\$ 580,110

See accompanying notes to the financial statements.

Statement of Cash Flows

(continued from previous page)

(in thousands of dollars)	Fiscal Year Ended	
	June 30, 2012	June 30, 2011
RECONCILIATION OF OPERATING LOSS TO NET CASH USED IN OPERATING ACTIVITIES:		
Operating loss	\$ (573,209)	\$ (575,715)
Adjustments to reconcile operating loss to net cash used in operating activities:		
Depreciation and amortization expense	133,482	130,538
Loss on disposal of capital assets	2,568	3,675
Changes in assets and liabilities:		
Accounts receivable	(6,642)	2,185
Inventories	631	1,001
Other assets	(4,402)	891
Notes receivable	(438)	4,427
Accounts payable and accrued liabilities	3,848	5,808
Deferred revenue	(1,185)	7
Assets held in custody for others	591	1,457
Other noncurrent liabilities	(1,680)	8,472
Net cash used in operating activities	\$ (446,436)	\$ (417,254)

See accompanying notes to the financial statements.

Indiana University Notes to the Financial Statements

June 30, 2012 and June 30, 2011

Note 1—Organization and Summary of Significant Accounting Policies

ORGANIZATION

Indiana University (university) is a state-supported institution that is fiscally responsible for operations and has students enrolled on seven campuses. Campuses are located in Bloomington, Indianapolis (IUPUI), Richmond (East), Kokomo, Gary (Northwest), South Bend, and New Albany (Southeast). The financial statements include the individual schools, colleges, and departments as part of the comprehensive reporting entity. The university was established by state legislative act, under Indiana Code Section IC 20-12-23, in 1838, changing the name of its predecessor, Indiana College, to Indiana University. The university's governing body, the Trustees of Indiana University (trustees), is comprised of nine members charged by the Indiana General Assembly with policy and decision-making authority to carry out the programs and missions of the university. Six of the members are appointed by the Governor of Indiana, and three are elected by university alumni. The university is classified as exempt from federal income tax under Section 501(a) of the Internal Revenue Code, as an organization described in Section 501(c)(3), and also under Section 115(a). Certain revenues of the university may be subject to federal income tax as unrelated business income under Internal Revenue Code Sections 511 to 514.

BASIS OF PRESENTATION

As a component unit of the state, the university presents its financial statements in accordance with Governmental Accounting Standards Board (GASB) Statement No. 35, *Basic Financial Statements— and Management's Discussion and Analysis— for Public Colleges and Universities*, within the financial reporting guidelines established by GASB Statement No. 34, *Basic Financial Statements— and Management's Discussion and Analysis— for State and Local Governments*, and with accounting principles generally accepted in the United States of America, as prescribed by the GASB. The university reports on a consolidated basis, with a comprehensive, entity-wide presentation of the university's assets, liabilities, net assets, revenues, expenses, changes in net assets, and cash flows.

REPORTING ENTITY

The financial reporting entity consists of the primary government, organizations for which the primary government is financially accountable, and other organizations for which the nature and significance of their relationship

with the primary government are such that exclusion would cause the reporting entity's financial statements to be misleading or incomplete, as required by GASB Statement No. 14, *The Financial Reporting Entity*. As additionally required by GASB Statement No. 39, *Determining Whether Certain Organizations Are Component Units*, organizations that raise and hold economic resources for the direct benefit of the university are included in the reporting entity. The university evaluates potential component units for inclusion in the reporting entity based on these criteria.

DISCRETE COMPONENT UNIT

The Indiana University Foundation, Inc. (IU Foundation) is organized as a not-for-profit corporation under the laws of the State of Indiana for the exclusive purpose of supporting the university by receiving, holding, investing, and administering property and making expenditures to or for the benefit of the university. The IU Foundation is considered a component unit of the university according to the criteria in GASB No. 39, *Determining Whether Certain Organizations Are Component Units*, and the university's financial statements include discrete presentation of the IU Foundation by displaying the IU Foundation's audited financial statements in their original formats on separate pages.

The IU Foundation is a not-for-profit organization that reports under FASB standards, including FASB Statement No. 117, *Financial Statements of Not-for-Profit Organizations*. As such, certain revenue recognition criteria and presentation features differ from GASB revenue recognition criteria and presentation features. No modifications have been made to the IU Foundation's financial information in the university's financial reporting to adjust for these differences. The IU Foundation distributed \$107,057,000 and \$102,174,000 to the university during fiscal years 2012 and 2011, respectively. Complete financial statements for the IU Foundation can be obtained from: Indiana University Foundation, Attn: Controller, PO Box 500, Bloomington, IN 47402.

BLENDED COMPONENT UNIT

In September 2008, the Trustees of Indiana University directed, by resolution, that the Indiana University Building Corporation (IUBC) be formed to serve specific purposes on behalf of the university and designated that certain of the university's administrative officers, by virtue of their titles, serve as directors and officers of IUBC. The sole purpose of IUBC is to assist the university in the financing and development of university facilities by owning and leasing such facilities to the university on a lease purchase basis.

BASIS OF ACCOUNTING

The accompanying financial statements have been prepared by the university operating as a special-purpose government entity engaged in business-type activities. Accordingly, these financial statements have been presented using the economic resources measurement focus and the accrual basis of accounting. Revenues are recognized when earned and expenses are recorded when an obligation has been incurred. Eliminations have been made to minimize the “double-counting” of internal activities.

The university applies all applicable GASB pronouncements. In addition, the university has elected to apply only those Financial Accounting Standards Board (FASB) Statements and Interpretations, Accounting Principles Board (APB) Opinions, and Accounting Research Bulletins (ARB) issued on or before November 30, 1989, except for those that conflict with or contradict GASB pronouncements.

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Actual results could differ from those estimates.

CASH EQUIVALENTS

The university considers all highly liquid investments with maturities of three months or less to be cash equivalents. The university invests operating cash in investments with varying maturities.

INVESTMENTS

Investments are carried at fair value, as quoted by the major securities markets. Realized and unrealized gains and losses are reported as a component of investment income in the Statement of Revenues, Expenses, and Changes in Net Assets.

ACCOUNTS RECEIVABLE

Accounts receivable consist primarily of amounts due from students, grants and contracts, and auxiliary enterprises and are recorded net of estimated uncollectible amounts.

NOTES RECEIVABLE

Notes receivable consist primarily of student loan repayments due to the university.

CAPITAL ASSETS

Capital assets are recorded at cost at the date of acquisition or fair market value at the date of contribution in the

case of gifts. The university capitalizes equipment with a cost of \$5,000 or more and a useful life in excess of one year. Capital assets also include land improvements and infrastructure costing in excess of \$75,000. Buildings and building renovations that increase the useful life of the building and with cost greater than or equal to the lesser of \$75,000 or twenty percent of the acquisition cost of the existing building are capitalized. Intangible assets with a cost of \$500,000 or more are subject to capitalization. Art and museum objects purchased by or donated to the university are capitalized. Depreciation expense is computed using the straight-line method over the estimated useful lives of the respective assets, generally five to twenty years for equipment, ten years for library books, ten to forty years for infrastructure and land improvements, and fifteen to forty years for buildings and building components. Useful lives for capital assets are established using a combination of the American Hospital Association guidelines, Internal Revenue Service guidelines, and documented university experience. Land and capitalized art and museum collections are not depreciated.

DEFERRED REVENUE

Deferred revenue is recorded for amounts received for student tuition and fees and for certain auxiliary goods and services prior to year end, but which relate to the subsequent fiscal year. Amounts received from contract and grant sponsors that have not yet been earned are also recorded as deferred revenue.

COMPENSATED ABSENCES

Liabilities for compensated absences are recorded for vacation leave based on actual earned amounts for eligible employees who qualify for termination payments. Liabilities for sick leave are recorded for employees who are eligible for and have earned termination payments for accumulated sick days upon termination or retirement.

NET ASSETS

The university’s net assets are classified for financial reporting in the following net asset categories:

- *Invested in capital assets, net of related debt:* This component of net assets includes capital assets, net of accumulated depreciation and outstanding principal debt balances related to the acquisition, construction, or improvement of those assets.
- *Restricted net assets — nonexpendable:* Nonexpendable restricted net assets are subject to externally imposed stipulations that the principal is to be maintained in perpetuity and invested for the purpose of producing present and future income, which may be either expended or added to principal. Such assets include permanent endowment funds.

- *Restricted net assets—expendable*: Restricted expendable net assets are resources the university is legally obligated to spend in accordance with externally imposed restrictions.
- *Unrestricted net assets*: Unrestricted net assets are not subject to externally imposed restrictions and are primarily used for meeting expenses for academic and general operations of the university.

When an expense is incurred for which both restricted and unrestricted resources are available, the university's policy is to apply the most appropriate fund source based on the relevant facts and circumstances.

REVENUES

University revenues are classified as either operating or nonoperating as follows:

- *Operating revenues*: Operating revenues result from exchange transactions, such as student tuition and fees (net of scholarship discounts and allowances), government and other grants and contracts, and sales and services of auxiliary enterprises (net of scholarship discounts and allowances).
- *Nonoperating revenues*: Nonoperating revenues include those derived from nonexchange transactions such as gifts and certain federal and state grants. Other nonoperating revenues include significant revenue sources that are relied upon for operations, such as state appropriations and investment income.

SCHOLARSHIP DISCOUNTS AND ALLOWANCES

Student tuition and fees and other student revenues are reported gross with the related scholarship discounts and allowances directly below in the Statement of Revenues, Expenses, and Changes in Net Assets. Scholarship discounts and allowances are calculated as the difference between the stated charges for goods and services provided by the university and the amounts paid by students and/or third parties making payments on behalf of students.

NEW ACCOUNTING PRONOUNCEMENTS

In 2011, GASB issued Statement No. 64, *Derivative Instruments: Application of Hedge Accounting Termination Provisions, an amendment of GASB Statement No. 53*. This statement amends paragraphs 28d and 82 of GASB Statement No. 53, *Accounting and Financial Reporting for Derivative Instruments*, to set forth criteria that establish when an effective hedging relationship continues after the

replacement of a swap counterparty or a swap counterparty's credit support provider in respect of a hedging derivative instrument and when hedge accounting should continue to be applied. The requirements of this statement are effective for periods beginning after June 15, 2011. Since the university's investment portfolio in the current fiscal year does not include any hedging derivative instruments, the provisions of this statement have no impact on current financial statements.

RECLASSIFICATIONS

Certain reclassifications have been made to prior year statements for comparative purposes and do not constitute a restatement of prior periods.

Note 2—Deposits and Investments

DEPOSITS

The combined bank balances of the university's demand deposits were \$120,507,000 and \$71,123,000 at June 30, 2012 and 2011, respectively. The university had balances in excess of Federal Deposit Insurance Corporation limits in the amount of \$44,013,000 and \$4,994,000 at June 30, 2012 and 2011, respectively. The balance in excess of FDIC limits is subject to custodial credit risk. The custodial credit risk for deposits is the risk that, in the event of the failure of a depository financial institution, a government will not be able to recover deposits or will not be able to recover collateral securities that are in the possession of an outside party. The university does not have a formal deposit policy for custodial credit risk.

INVESTMENTS

The trustees have acknowledged responsibility as a fiduciary body for the invested assets of the university. Indiana Code 30-4-3-3 requires the trustees to "exercise the judgment and care required by Indiana Code 30-4-3.5", the *Indiana Uniform Prudent Investor Act*. That act requires the trustees to act "as a prudent investor would, by considering the purposes, terms, distribution requirements, and other circumstances of the trust. In satisfying this standard, the trustee shall exercise reasonable care, skill, and caution." The trustees have the responsibility to assure the assets are prudently invested in a manner consistent with the university's investment policy. The trustees have delegated the day-to-day responsibilities for overseeing the investment program to the Office of the Treasurer.

At June 30, 2012 and 2011, the university had investments and deposits, including endowment funds, as shown as follows:

(dollar amounts presented in thousands)

Investment Type	Fair Value	
	June 30, 2012	June 30, 2011
Corporate bonds	\$ 469,713	\$ 379,826
Money market funds	440,312	570,718
External investment pools	204,238	201,442
Government bonds	172,901	133,040
Government mortgage-backed securities	157,230	191,625
Asset-backed securities	141,065	91,646
Commercial mortgage-backed	52,955	63,133
Nongovernment backed C.M.O.s	46,316	23,836
Government agencies	34,154	41,448
Index-linked government bonds	26,524	1,258
Short-term bills and notes	13,818	57,798
Municipal/provincial bonds	12,928	12,091
Guaranteed fixed income	5,708	9,632
Commercial paper	4,799	6,598
Government-issued commercial mortgage-backed	2,961	1,237
Venture capital	2,750	4,090
Mutual funds	2,238	1,667
Real estate	1,105	2,260
All other	184,298	(28,953)
Total	\$1,976,013	\$1,764,392

Certain reclassifications have been made to prior year statements for comparative purposes and do not constitute a restatement of prior periods.

The large variance in all other investments compared to prior year is the result of initiatives to decrease bank fees and de-risk the portfolio. Significant cash balances were held both as bank deposits to offset fees and in the form of securities that are classified as pending trades.

INVESTMENT CUSTODIAL RISK

The custodial credit risk for investments is the risk that, in the event of the failure of the counterparty to a transaction, a government will not be able to recover the value of investment or collateral securities that are in the possession of an outside party. The university manages custodial credit risk through the types of investments that are allowed by investment policy. The university's investments are not exposed to custodial credit risk and reflect either investment securities registered in the name of the university, investment securities loaned for collateral received, or other types of investments not exposed to custodial credit risk.

INTEREST RATE RISK

Interest rate risk is the risk that changes in interest rates will adversely affect the fair value of an investment. The university's policy for controlling its exposure to fair value losses arising from increasing interest rates is to constrain average portfolio duration within ranges of a target portfolio duration set for each portfolio of operating fund investments. The portfolios may seek to enhance returns by attempting to time movements of interest rates within the allowable ranges.



The university had investments with the following maturities at June 30, 2012:

(dollar amounts presented in thousands)

Investment Type	Fair Value	Investment Maturities (in years)			
	June 30, 2012	Less than 1	1–5	6–10	More than 10
<i>Investments with maturity date</i>					
Corporate bonds	\$ 469,713	\$ 44,406	\$ 239,730	\$ 127,528	\$ 58,049
Government bonds	172,901	10,980	64,405	60,456	37,060
Government mortgage-backed securities	157,230	2,913	2,295	27,195	124,827
Asset-backed securities	141,065	807	95,554	25,322	19,382
Commercial mortgage-backed	52,955	–	355	1,442	51,158
Non-government backed C.M.O.s	46,316	–	18	3,796	42,502
Government agencies	34,154	9,432	17,539	2,284	4,899
Index-linked government bonds	26,524	–	393	20,593	5,538
Short-term bills and notes	13,818	13,818	–	–	–
Municipal/provincial bonds	12,928	1,053	4,741	842	6,292
Guaranteed fixed income	5,708	4,616	1,092	–	–
Commercial paper	4,799	4,799	–	–	–
Government-issued commercial mortgage-backed	2,961	–	–	2,811	150
Other fixed income	13,633	2,900	2,948	6,299	1,486
Total investments with maturity date	1,154,705	95,724	429,070	278,568	351,343
<i>Investments with undetermined maturity date</i>					
Money market funds	440,312	440,312	–	–	–
External investment pools	204,238	204,238	–	–	–
Venture capital	2,750	2,750	–	–	–
Mutual funds	2,238	2,238	–	–	–
Real estate	1,105	1,105	–	–	–
All other	170,665	170,665	–	–	–
Total investments with undetermined maturity date	821,308	821,308	–	–	–
Total	\$ 1,976,013	\$ 917,032	\$ 429,070	\$ 278,568	\$ 351,343

The university had investments with the following maturities at June 30, 2011:

(dollar amounts presented in thousands)

Investment Type	Fair Value		Investment Maturities (in years)		
	June 30, 2011	Less than 1	1–5	6–10	More than 10
<i>Investments with maturity date</i>					
Corporate bonds	\$ 379,826	\$ 35,128	\$ 202,041	\$ 102,560	\$ 40,097
Government mortgage-backed securities	191,625	43,600	1,192	24,628	122,205
Government bonds	133,040	4,938	40,996	54,746	32,360
Asset-backed securities	91,646	1,669	67,095	11,174	11,708
Commercial mortgage-backed	63,133	–	–	1,539	61,594
Short-term bills and notes	57,798	57,798	–	–	–
Government agencies	41,448	14,237	20,286	5,192	1,733
Non-government backed C.M.O.s	23,836	–	1,258	3,936	18,642
Municipal/provincial bonds	12,091	371	4,312	1,281	6,127
Guaranteed fixed income	9,632	4,185	5,447	–	–
Commercial paper	6,598	6,598	–	–	–
Index-linked government bonds	1,258	–	–	–	1,258
Government-issued commercial mortgage-backed	1,237	736	–	501	–
Other fixed income	5,586	2,242	3,044	958	(658)
Total investments with maturity date	1,018,754	171,502	345,671	206,515	295,066
<i>Investments with undetermined maturity date</i>					
Money market funds	570,718	570,718	–	–	–
External investment pools	201,442	201,442	–	–	–
Venture capital	4,090	4,090	–	–	–
Real estate	2,260	2,260	–	–	–
Mutual funds	1,667	1,667	–	–	–
All other	(34,539)	(34,539)	–	–	–
Total investments with undetermined maturity date	745,638	745,638	–	–	–
Total	\$ 1,764,392	\$ 917,140	\$ 345,671	\$ 206,515	\$ 295,066

Certain reclassifications have been made to prior year statements for comparative purposes and do not constitute a restatement of prior periods.

CREDIT RISK

Credit risk is the risk that an issuer or other counterparty to an investment will not fulfill its obligations. The weighted average credit quality of each portfolio of university operating funds investments must be at least 'AA-/Aa3' for

Defensive Managers; 'A/A2' for Core Plus Managers, or as specified in each manager's guidelines.

At June 30, 2012 and 2011, university investments had debt securities with associated credit ratings as shown below:

(dollar amounts presented in thousands)

Credit Quality Rating	Fair Value June 30, 2012	Percentage of Total Pool	Fair Value June 30, 2011	Percentage of Total Pool
AAA	\$ 271,937	13.76%	\$ 343,755	19.48%
AA	447,172	22.63%	140,345	7.95%
A	162,315	8.21%	163,559	9.27%
BBB	202,314	10.24%	114,146	6.47%
BB	81,791	4.14%	62,705	3.55%
B	41,044	2.08%	20,198	1.14%
CCC	12,127	0.61%	8,319	0.47%
CC	3,971	0.20%	625	0.04%
D	2,164	0.11%	1,970	0.11%
Not Rated	751,178	38.02%	908,770	51.52%
Total	\$ 1,976,013	100.00%	\$ 1,764,392	100.00%

CONCENTRATION OF CREDIT RISK

Concentration of credit risk is the risk of loss attributed to the magnitude of a government's investment in a single issuer. The university's investment policy requires that investments are to be diversified to the extent that the securities of any single issuer shall be limited to 3.5% of the market value in a particular manager's portfolio. U.S. Government and U.S. governmental agency securities are exempt from this policy requirement.

FOREIGN CURRENCY RISK

Foreign currency risk is the risk that changes in exchange rates will adversely affect the fair value of a government's investments and deposits. The university's policy for controlling exposure to foreign currency risk is to constrain investments in non-U.S. dollar denominated debt to 25% of an individual manager's portfolio, or as specified in each manager's guidelines. Minimal foreign currency exposure could occur if one of the university's investment managers purchases non-U.S. dollar holdings and does not hedge the currency. As of June 30, 2012, and June 30, 2011, the university's investments were not exposed to foreign currency risk.

ENDOWMENTS

Endowment funds are managed pursuant to an Investment Agency Agreement between the Trustees of Indiana University (trustees) and the IU Foundation, which delegates investment management responsibilities to the IU Foundation. Indiana Code 30-2-12, *Uniform Management of Institutional Funds*, sets forth the provisions governing

the investment of endowment assets and the expenditure of endowment fund appreciation. The code requires that the trustees and their agents act in good faith and with the care a prudent person acting in a like position would use under similar circumstances, with respect to the investment of endowment assets. The code also sets forth provisions governing the expenditure of endowment fund appreciation, under which the trustees may authorize expenditure, consistent with donor intent. The trustees may, at their discretion, direct all or a portion of the university's endowment funds to other investments, exclusive of the IU Foundation's investment funds. The spending policy of the trustees is to distribute 4.917% of the twelve quarter rolling average of pooled fund values. This rate will be reduced at the rate of 0.083% per year over the next five years resulting in a 4.5% distribution rate in fiscal year 2016-2017. Funds held by endowments, managed by the IU Foundation, are used to acquire pooled shares.

Endowment funds have a perpetual investment horizon, and as appropriate, may be invested in asset classes with longer term risk/return characteristics, including, but not limited to stocks, bonds, real estate, private placements, and alternative investments. The Indiana University Endowments (endowments) are managed pursuant to an Investment Agency Agreement between the trustees and the IU Foundation dated November 14, 2005, which delegated investment management responsibilities to the IU Foundation, subject to the university's management agreement with the IU Foundation. Endowment assets may be invested in pooled funds or in direct investments, or a combination of the two. Assets will typically be diversified among high

quality stocks and bonds. Additional asset classes, such as absolute return, private equity, and real asset investments, may be included when it is reasonable to expect these investments will either increase return or reduce risk, or both. Participation in the pooled investments is achieved by owning units of the Pooled Long-Term Fund and considered an external investment pool to the university. At June 30, 2012, all endowments held with the IU Foundation were invested in pooled funds.

INTEREST RATE RISK

The IU Foundation's investment policy stipulates that the Pooled Short-Term Fund be invested in securities that typically mature within one year and each investment grade fixed income investment manager maintain duration within +/-20% of the effective duration of the appropriate benchmark.

CREDIT RISK

The IU Foundation's investment policy stipulates that the Pooled Short-Term Fund commercial paper be rated 'A1/P1' and that the average quality of the fixed income securities be maintained at 'A' or better, except for high-yield. For high-yield securities, the weighted average credit quality of the portfolio should be 'B' or better at all times.

CONCENTRATION OF CREDIT RISK

The IU Foundation's investment policy stipulates that the Pooled Short-Term Fund, with the exception of U.S. Treasuries and Agencies, or accounts collateralized by Treasuries or Agencies, will limit commercial paper, Certificates of Deposit, Bankers' Acceptances, and Repurchase Agreements to \$10,000,000 per issuer and money market funds and short term bond funds to \$50,000,000 per fund. The Pooled Long-Term portfolio is diversified based on manager selection, investment style, and asset type to avoid any disproportionate risk related to any one industry or security.

DERIVATIVES

A derivative is a unique and often complex financial arrangement between the university and another party. The value of a derivative or the cash it provides is based on changes in market prices, such as interest rates or commodity prices, in a separate transaction or agreement.

Derivatives are entered into for at least four reasons:

- As an investment
- As a hedge to reduce a specific financial risk
- To lower borrowing costs
- To manage cash flows

The university holds derivative instruments, such as futures, forwards, options, and swaps in its portfolio

for investment purposes only. The fair value of derivatives held by the university was (\$113,000) and \$1,456,000 at June 30, 2012 and June 30, 2011, respectively. The notional market value was (\$65,418,000) and \$47,430,000 at June 30, 2012 and June 30, 2011, respectively. The change in fair value was \$544,000 and \$62,000 in fiscal years 2012 and 2011, respectively.

CREDIT RISK, INTEREST RATE RISK AND FOREIGN CURRENCY RISK

Derivative transactions involve, to varying degrees, credit risk, interest rate risk, and foreign currency risk. Credit risk is the possibility that a loss may occur because a party to a transaction fails to perform according to terms. Interest rate risk is the possibility that a change in interest rates will cause the value of a financial instrument to decrease or become more costly to settle. Foreign currency risk is the possibility that changes in exchange rates will adversely affect the cash flows or fair value of a transaction. The credit risk, interest rate risk, and foreign currency risk associated with derivatives, the prices of which are constantly fluctuating, are regulated by imposing strict limits as to the types, amounts, and degree of risk that investment managers may undertake.

Note 3—Securities Lending

State statutes and policy of the Trustees of Indiana University permit the university to lend securities to broker-dealers and other entities (borrowers) for collateral that will be returned for the same securities in the future. The university participated in such a securities lending program for a number of years but chose to discontinue the program as of January 1, 2012. The university's custodial bank managed the securities lending program and received cash, U.S. government securities, or irrevocable letters of credit as collateral. Noncash collateral could not be pledged or sold unless the borrower defaulted. Cash collateral was invested in a short-term investment pool. Cash collateral could also be invested separately in "term loans," in which case the investment term matched the loan term. Maintenance margins for same currency U.S. equity and fixed income securities and international fixed income securities were 101.5%. Maintenance margins for different or cross currency U.S. and international equity and fixed income securities were 104.5%. Security loans could be terminated on demand by either the university or the borrowers. Cash received as securities lending collateral was \$0 and \$118,177,000 at June 30, 2012 and 2011, respectively, and was recorded as an asset and corresponding liability on the university's Statement of Net Assets. The university had securities involved in loans with fair value of \$0 and \$115,778,000 at June 30, 2012 and 2011, respectively. Credit risk was calculated as the aggregate of the lender's exposure to individual borrowers, or on individual loans. Although the securities being lent were collateralized, the university bore the risk of the cash collateral being impaired.

Note 4—Accounts Receivable

Accounts receivable consisted of the following at June 30, 2012 and 2011:

(dollar amounts presented in thousands)

	June 30, 2012	June 30, 2011
Student accounts	\$ 36,193	\$ 35,066
Auxiliary enterprises and other operating activities	57,870	51,883
State appropriations	–	9,697
Federal, state, and other grants and contracts	21,771	21,760
Capital appropriations and gifts	327	1,599
Other	10,447	10,580
Current accounts receivable, gross	126,608	130,585
Less allowance for uncollectible accounts	(9,962)	(9,479)
Current accounts receivable, net	116,646	121,106
Auxiliary enterprises and other operating activities	10,640	12,327
Noncurrent accounts receivable	\$ 10,640	\$ 12,327

Note 5—Capital Assets

Fiscal year ended June 30, 2012

(dollar amounts presented in thousands)

	Balance June 30, 2011	Additions	Transfers	Retirements	Balance June 30, 2012
Assets not being depreciated:					
Land	\$ 54,439	\$ 2,646	\$ –	\$ –	\$ 57,085
Art & museum objects	79,059	283	–	–	79,342
Construction in progress	154,340	103,087	(109,345)	1,771	146,311
Total capital assets not being depreciated	287,838	106,016	(109,345)	1,771	282,738
Other capital assets:					
Infrastructure	160,075	3,669	541	–	164,285
Intangibles	2,690	–	–	–	2,690
Land improvements	34,309	3,221	–	–	37,530
Equipment	395,455	27,054	6,271	20,056	408,724
Library books	218,308	24,936	–	19,309	223,935
Buildings	3,061,556	84,557	102,533	4,832	3,243,814
Total other capital assets	3,872,393	143,437	109,345	44,197	4,080,978
Less accumulated depreciation for:					
Infrastructure	126,384	3,658	–	–	130,042
Intangibles	336	673	–	–	1,009
Land improvements	11,266	1,972	–	–	13,238
Equipment	274,166	31,917	–	18,171	287,912
Library books	104,079	22,140	–	19,309	106,910
Buildings	1,221,767	73,122	–	3,646	1,291,243
Total accumulated depreciation, other capital assets	1,737,998	133,482	–	41,126	1,830,354
Capital assets, net	\$ 2,422,233	\$ 115,971	\$ –	\$ 4,842	\$ 2,533,362

Fiscal year ended June 30, 2011

(dollar amounts presented in thousands)

	<i>Balance June 30, 2010</i>	<i>Additions</i>	<i>Transfers</i>	<i>Retirements</i>	<i>Balance June 30, 2011</i>
Assets not being depreciated:					
Land	\$ 53,183	\$ 1,256	\$ -	\$ -	\$ 54,439
Art & museum objects	74,215	4,844	-	-	79,059
Construction in progress	168,155	100,254	(113,846)	223	154,340
Total capital assets not being depreciated	295,553	106,354	(113,846)	223	287,838
Other capital assets:					
Infrastructure	155,243	4,601	231	-	160,075
Intangibles	-	2,690	-	-	2,690
Land improvements	30,268	3,074	967	-	34,309
Equipment	398,800	28,805	5,139	37,289	395,455
Library books	211,716	23,405	-	16,813	218,308
Buildings	2,893,943	71,443	107,509	11,339	3,061,556
Total other capital assets	3,689,970	134,018	113,846	65,441	3,872,393
Less accumulated depreciation for:					
Infrastructure	122,369	4,015	-	-	126,384
Intangibles	-	336	-	-	336
Land improvements	9,469	1,797	-	-	11,266
Equipment	275,665	33,412	-	34,911	274,166
Library books	99,381	21,511	-	16,813	104,079
Buildings	1,161,877	69,466	-	9,576	1,221,767
Total accumulated depreciation, other capital assets	1,668,761	130,537	-	61,300	1,737,998
Capital assets, net	\$ 2,316,762	\$ 109,835	\$ -	\$ 4,364	\$ 2,422,233

Note 6—Accounts Payable and Accrued Liabilities

Accounts payable and accrued liabilities consisted of the following at June 30, 2012 and 2011:

(dollar amounts presented in thousands)

	<i>June 30, 2012</i>	<i>June 30, 2011</i>
Accrued payroll	\$ 27,140	\$ 40,663
Accrual for compensated absences	44,471	41,585
Interest payable	12,973	17,617
Vendor and other payables	144,374	129,888
Total accounts payable and accrued liabilities	\$ 228,958	\$ 229,753

Note 7—Other Liabilities

Noncurrent liability activity for the fiscal years ended June 30, 2012 and 2011 is summarized as follows:

Fiscal year ended June 30, 2012

(dollar amounts presented in thousands)

	<i>Balance</i> June 30, 2011	<i>Additions</i>	<i>Reductions</i>	<i>Balance</i> June 30, 2012	<i>Current</i>
Bonds, notes, and capital leases payable	\$ 899,340	\$ 153,781	\$ 68,665	\$ 984,456	\$ 55,193
Other liabilities:					
Deferred revenue	214,200	–	1,185	213,015	177,913
Assets held in custody for others	76,308	476	–	76,784	401
Compensated absences	65,789	16,035	17,682	64,142	44,471
Other	36,110	3,201	3,258	36,053	–
Total other liabilities	392,407	19,712	22,125	389,994	222,785
Total noncurrent liabilities	\$ 1,291,747	\$ 173,493	\$ 90,790	\$ 1,374,450	\$ 277,978

Fiscal year ended June 30, 2011

(dollar amounts presented in thousands)

	<i>Balance</i> June 30, 2010	<i>Additions</i>	<i>Reductions</i>	<i>Balance</i> June 30, 2011	<i>Current</i>
Bonds, notes, and capital leases payable	\$ 943,970	\$ 17,490	\$ 62,120	\$ 899,340	\$ 50,077
Other liabilities:					
Deferred revenue	214,193	7	–	214,200	156,708
Assets held in custody for others	74,884	1,424	–	76,308	516
Compensated absences	64,023	21,111	19,345	65,789	41,586
Other	37,135	5,655	6,680	36,110	–
Total other liabilities	390,235	28,197	26,025	392,407	198,810
Total noncurrent liabilities	\$1,334,205	\$ 45,687	\$ 88,145	\$ 1,291,747	\$ 248,887

Note 8 — Bonds and Notes Payable

The university is authorized by acts of the Indiana General Assembly to issue bonds, notes, and other forms of indebtedness for the purpose of financing construction of facilities that include academic and administrative facilities, research facilities on the Bloomington and Indianapolis campuses, athletic facilities, parking facilities, student housing, student union buildings, and energy savings projects. The outstanding bond and note indebtedness at June 30, 2012 and 2011, was \$981,065,000 and \$896,002,000, respectively. This indebtedness included principal outstanding at June 30, 2012 and 2011, for bonds issued under Indiana Code (IC) 21-34-6 (Student Fee debt) of \$463,056,000 and \$464,428,000, respectively, and for bonds issued under IC 21-35-3 (Revenue debt)

of \$428,840,000 and \$375,630,000, respectively. The Student Fee Bonds had an additional accreted value of outstanding capital appreciation bonds associated with them of \$17,017,000 and \$24,142,000, at June 30, 2012 and 2011, respectively. The outstanding bond series include serial, term, and capital appreciation bonds with maturities extending to June 1, 2038.

On a biennial basis, the Indiana General Assembly authorizes a specific state appropriation to the university for the purpose of reimbursing a portion of the debt service payments on bonds issued under IC 21-34-6 for certain academic facilities. Such academic facilities include classrooms, libraries, laboratories, utility infrastructure, and other academic facilities as designated by the Indiana

General Assembly. These specific state appropriations are referred to as “fee replacement” appropriations, and are received from the State of Indiana on a semi-annual basis. This appropriation is renewed and modified on a biennial basis because the Constitution of the State of Indiana prohibits a sitting General Assembly from binding subsequent General Assemblies as to the continuation of any appropriated funds. The State of Indiana has fully funded all fee replacement obligations established by prior General Assemblies since the State began authorizing fee replacement appropriations 40 years ago. The outstanding principal balances which are eligible for fee replacement appropriations, as of June 30, 2012 and 2011, are \$411,423,000 and \$409,737,000, respectively. As of June 30, 2012, debt service payments to maturity total \$1,394,601,000, of which \$562,814,000 is from bonds eligible for fee replacement appropriations.

In addition to serial and term bonds, the university has issued capital appreciation bonds (CABs). A CAB is a long-term municipal security, on which the investment return on an initial principal amount is reinvested at a stated compounded rate until maturity, at which time the investor receives a single payment representing both the initial principal amount and the total investment return. A CAB pays no current interest, but accretes in value from the date of issuance to the date of maturity. At maturity,

the original par amount plus all of the accreted interest is payable. Total debt service payments to maturity, as of June 30, 2012, include \$28,690,000 of CAB payments, of which \$6,150,000 is eligible for fee replacement appropriations. Total debt service payments to maturity, as of June 30, 2011, include \$42,625,000 of CAB payments, of which \$16,325,000 is eligible for fee replacement appropriations.

Consolidated Revenue Bonds (CRB) are unsecured obligations of the university that carry a promise of repayment that will come first from net income generated from certain designated housing facilities, parking facilities and other auxiliary facilities along with certain research revenues and athletic revenues, and second, from other legally available funds of the university.

The Indiana University Building Corporation (IUBC) is an affiliated single-purpose Indiana not-for-profit corporation that was formed by the Trustees of Indiana University in 2008. The sole purpose of this entity is to assist the university in the financing and development of university facilities by owning and leasing such facilities to the university on a lease purchase basis. Certificates of Participation in lease payments between the university as lessee and IUBC as lessor are included in the outstanding indebtedness table under IC 21-33-3-5 and are classified as notes payable.

As of June 30, 2012 and 2011, outstanding indebtedness from bonds and notes is summarized as follows:

(dollar amounts presented in thousands)

<i>Bonding Authority</i>	<i>Interest Rates</i>	<i>Final Maturity Year Ended</i>	<i>Principal Outstanding At June 30, 2012</i>	<i>Principal Outstanding At June 30, 2011</i>
Indiana Code 21-34-6 (Bonds: Student Fee Debt)	2.00 to 6.40%	2033	\$ 463,056	\$ 464,428
Indiana Code 21-35-3 (Bonds: Revenue Debt)	2.00 to 5.64%	2038	428,840	375,630
Indiana Code 21-34-10-7 (Notes: Energy Savings Debt)	3.64 to 4.49%	2018	2,649	3,153
Indiana Code 21-33-3-5 (Notes: Certificates of Participation)	2.00 to 5.95%	2037	43,270	28,015
Subtotal bonds and notes payable			937,815	871,226
Add unamortized bond premium			52,786	28,605
Less deferred charges			(9,536)	(3,829)
Total bonds and notes payable			\$ 981,065	\$ 896,002

As of June 30, 2012, the university does not have any variable rate bonds or notes outstanding. The principal and interest requirements to maturity for bonds and notes are as follows:

(dollar amounts presented in thousands)

Fiscal Year Ended June 30	Bond Principal	Note Principal	Total Principal	Bond Interest	Note Interest	Total Interest	Total Debt Service Payments
2013	\$ 47,690	\$ 2,470	\$ 50,160	\$ 46,444	\$ 1,825	\$ 48,269	\$ 98,429
2014	50,300	2,528	52,828	44,447	1,760	46,207	99,035
2015	53,639	2,596	56,235	40,496	1,689	42,185	98,420
2016	54,097	2,442	56,539	38,175	1,615	39,790	96,329
2017	56,384	2,509	58,893	35,726	1,540	37,266	96,159
2018 – 2022	255,791	11,794	267,585	127,565	6,300	133,865	401,450
2023 – 2027	211,475	10,030	221,505	70,562	3,897	74,459	295,964
2028 – 2032	117,470	7,265	124,735	26,309	1,562	27,871	152,606
2033 – 2037	42,740	4,285	47,025	6,306	453	6,759	53,784
2038	2,310	–	2,310	115	–	115	2,425
Total	\$ 891,896	\$ 45,919	\$ 937,815	\$ 436,145	\$ 20,641	\$ 456,786	\$ 1,394,601

In prior years, the university has defeased several bond issues either with cash or by issuing new debt. United States Treasury obligations or federal agency securities have been purchased in amounts sufficient to pay principal and interest payments when due, through the maturity or call dates of the defeased bonds. These securities have been deposited in irrevocable trusts as required to defease the bonds. The defeased bonds and the related trusts balances are not reflected on the university's books. As of June 30, 2012, the previously defeased bonds that remain outstanding are Student Fee Bonds, Series O with principal outstanding of \$41,710,000 and a call date of August 1, 2013; Student Fee Bonds, Series P with principal outstanding of \$14,725,000 and a call date of August 1, 2014; Facility Revenue Bonds, Series 2004 with principal outstanding of \$9,705,000 and a call date of November 15, 2014; Student Resident System Bonds, Series 2004B with principal outstanding of \$16,400,000 and a call date of November 1, 2014; and Certificates of Participation, Series 2003A with principal outstanding of \$6,830,000 to final maturity of June 30, 2013.

In February 2009, the United States Congress enacted the American Recovery and Reinvestment Act of 2009 (ARRA). The ARRA allows certain tax advantages to state and local governmental entities when such entities issue qualifying taxable obligations, referred to as Build America Bonds (BABs). Issuers of BABs are eligible to receive subsidy payments from the U.S. Treasury equal to 35 percent of the corresponding interest payable on the related BABs. The BABs provisions in ARRA expired as of January 1, 2011. The obligation of the U.S. Treasury to make subsidy payments on BABs will remain in effect through the final maturity date of BABs that are issued prior to the expiration of

the program. Bond and note interest shown above has not been reduced by any federal interest subsidy due on taxable BABs. The total federal interest subsidy scheduled to be received over the life of the BABs debt outstanding as of June 30, 2012, is \$34,993,000.

On July 26, 2011, the university issued Student Fee Bonds, Series U with a par amount of \$94,460,000. The purpose of the issue was to provide financing for the construction of the Neurosciences Research Building on the Indianapolis campus and for certain land acquisitions on the South Bend campus. The proceeds of the bonds also partially refunded Indiana University Student Fee Bonds, Series N, Series O, and Series P. The true interest cost for the bonds is 2.96%. The refunding portion of the transaction generated future debt service savings of \$6,646,000, which equated to a net present value savings of \$5,663,000.

On January 25, 2012, the university issued Consolidated Revenue Bonds, Series 2012A with a par amount of \$94,490,000. The purpose of the issue was to provide financing for the construction of the Rose Residence Hall (previously referred to as the New Third and Union Residence Hall Complex) and the Forest Hall Dining Renovation and Expansion on the Bloomington campus, the Science and Engineering Lab Building on the Indianapolis campus, and the Residence Hall Expansion on the Southeast campus. The proceeds of the bonds also partially refunded Facility Revenue Bonds, Series 2004 and Student Residence System Bonds, Series 2004B. The true interest cost for the bonds is 3.27%. The refunding portion of the transaction generated future debt service savings of \$2,499,000, which equated to a net present value savings of \$2,391,000.

On February 9, 2012, the university issued Certificates of Participation, Series 2012A with a par amount of \$23,750,000. The purpose of the issue was to provide financing for the construction of the Baseball and Softball Complex on the Bloomington campus. The proceeds of the bonds also refunded Certificates of Participation, Series 2003A. The true interest cost for the certificates is 3.26%. The refunding portion of the transaction generated future debt service savings of \$1,080,000, which equated to a net present value savings of \$896,000.

Note 9—Lease Obligations

The university leases certain facilities. The majority of the facility leases include renewal options and some provide for escalation of rent based on changes in operating costs. Some leases are in substance lease-purchases and, as such, are recorded as capital lease obligations.

Scheduled lease payments for the years ending June 30 are as follows:

(dollar amounts presented in thousands)

	Capital	Operating
2013	\$ 1,685	\$ 13,382
2014	1,179	8,198
2015	510	6,951
2016	230	6,278
2017	48	3,185
2018-2022	–	3,436
2023-2027	–	1,162
2028	–	38
Total future minimum payments	3,652	\$ 42,630
Less: interest	(261)	
Total principal payments outstanding	\$ 3,391	

Note 10—Federal Obligations Under Student Loan Programs

Campus based student loans are funded by new allocations received from the federal government, as well as principal and interest collected from previous student loan recipients. The federal government advanced \$214,000 and \$705,000 for health professions and nursing loan programs for fiscal years ended June 30, 2012 and 2011, respectively.

Liabilities at June 30, 2012 and 2011, for loan programs were as follows:

(dollar amounts presented in thousands)

	June 30, 2012	June 30, 2011
Current portion of assets held in custody for others	\$ 401	\$ 516
Noncurrent liabilities:		
Federal share of interest	40,286	38,896
Perkins loans	17,942	18,935
Health professions loans	16,204	16,617
Nursing loans	1,951	1,344
Total noncurrent portion of assets held in custody for others	76,383	75,792
Total assets held in custody for others	\$ 76,784	\$ 76,308

Note 11—Risk Management

The university is exposed to various risks of loss, including torts, theft, damage or destruction of assets, errors or omissions, job-related illnesses or injuries to employees, and health care claims on behalf of employees and their dependents. The university manages these risks through a combination of risk retention and commercial insurance, including coverage from internally maintained funds as well as from a wholly-owned captive insurance company, Old Crescent Insurance Company (OCIC). The university is self-funded for damage to buildings and building contents for the first \$100,000 per occurrence with an additional \$400,000 per occurrence covered by OCIC, with commercial excess property coverage above this amount. The university is self-funded for comprehensive general liability and automobile liability for the first \$100,000 per occurrence with an additional \$900,000 per occurrence covered by OCIC and with supplementary commercial liability umbrella policies. The university has a malpractice and professional liability policy in the amount of \$250,000 for each claim and \$750,000 annually in aggregate provided by OCIC. The university is self-funded for the first \$750,000 of any worker's compensation claim. Excess commercial coverage for up to \$1,000,000 is in place for employer liability claims. Worker's compensation claims above \$750,000 are subject to statutory limits.

The university has four health care plans for full-time appointed employees, one of which is also available to retirees not eligible for Medicare. All of the employee plans are self-funded. The university records a liability for incurred but unpaid claims for university-sponsored, self-funded health care plans. This liability is estimated to be no more than 15% of the paid self-funded claims during the fiscal year, and totals \$26,990,000 and \$26,435,000 at June 30,

2012 and 2011, respectively. In addition, a potential claims fluctuation liability of \$9,876,000 has been recorded at June 30, 2012 and 2011.

Separate funds have been established to account for the liability of incurred but unpaid health care claims, as well as any unusual catastrophic claims fluctuation experience. All organizational units of the university are charged fees based on estimates of the amounts necessary to pay health care coverage costs, including premiums and claims.

Note 12—Retirement Plans

The university provided retirement plan coverage to 18,441 and 18,645 active employees, as of June 30, 2012 and 2011, respectively, in addition to contributing to the Federal Insurance Contributions Act (FICA) as required by law.

INDIANA PUBLIC EMPLOYEES' RETIREMENT FUND

The university contributes to the Indiana Public Employees' Retirement Fund (PERF), a defined benefit pension plan with an annuity savings account provision. Indiana Public Retirement System (INPRS) administers the multiple-employer public employee retirement plans, which provide retirement benefits to plan members and beneficiaries. All support, technical, and service employees with at least a 50% full-time equivalent (FTE) appointment participate in the PERF plan. There were 6,352 and 6,678 active university employees covered by this retirement plan as of June 30, 2012 and 2011, respectively. State statutes authorize the university to contribute to the plan and govern most requirements of the system. The PERF retirement benefit consists of the pension and an annuity savings account, both of which are funded by employer contributions. The annuity savings account consists of contributions set by state statute at three percent of compensation plus the earnings credited to members' accounts. The university has elected to make the contributions on behalf of the members. PERF issues a publicly available financial report that includes financial statements and required supplementary information for the plan as a whole and for its participants. This report may be obtained by writing the Public Employees Retirement Fund, One North Capitol, Suite 001, Indianapolis, IN 46204, by calling 1-888-526-1687, or by reviewing the Annual Report online at www.in.gov/inprs/annual-reports.htm.

Contributions made by the university totaled \$23,972,000 and \$21,404,000 for fiscal years ended June 30, 2012 and 2011, respectively. This represented an 8.6% and 7.0% university pension benefit contribution for fiscal years ended June 30, 2012 and 2011, respectively, and a 3% university contribution for the annuity savings account provisions each year.

PERF FUNDING POLICY AND ANNUAL PENSION COST

The contribution requirements of plan members for PERF are established by the Board of Trustees of PERF. The university's annual pension cost and related information, as provided by the actuary, is presented below.

The employer contributions required by the funding policy at actuarial determined rates are sufficient to fund the pension portion of the retirement benefit (normal cost) and the amortization of unfunded liabilities. The amortization method and period are level dollar closed over 30 years. The actuarial cost method is entry age normal cost. The employer required contribution is determined using an asset smoothing method. The actuarial valuation date is June 30, 2010.

Actuarial assumptions include: (a) an investment rate of return of 7.0%, (b) projected salary increases of 4%, and (c) a 1% cost of living increase granted in each future year, applying to current and future retirees.

(dollar amounts presented in thousands)

	Fiscal Year Ended	
	June 30, 2011 ¹	June 30, 2010
Annual required contribution	\$ 21,855	\$ 14,699
Interest on net pension obligation	(251)	(312)
Adjustment to annual required contribution	289	355
Annual pension cost	21,893	14,742
Contributions made	(14,790)	(14,016)
Increase/(decrease) in net pension obligation	7,103	726
Net pension obligation, beginning of year	(3,581)	(4,307)
Net pension obligation, end of year	\$ 3,522	\$ (3,581)

¹Actuarial data for 2012 not available at the time of this report.

(dollar amounts presented in thousands)

Fiscal Year Ended	Annual Pension Cost (APC) ²	Percentage of APC Net Pension Contributed	Net Pension Obligation
June 30, 2009	\$ 13,370	102%	\$ (4,307)
June 30, 2010	14,742	95%	(3,581)
June 30, 2011	21,893	68%	3,522

²Does not reflect costs attributable to the university's 3% defined contribution benefit. See Indiana Public Employees' Retirement Fund above.

ACADEMIC AND PROFESSIONAL STAFF EMPLOYEES

Appointed academic and professional staff employees with at least 50% FTE are covered by the IU Retirement Plan. This is a defined contribution plan under IRC 403(b) with four contribution levels. The university contributed \$62,833,000 during fiscal year ended June 30, 2012, and \$66,860,000 during fiscal year ended June 30, 2011, to TIAA-CREF for the IU Retirement Plan. The university contributed \$26,854,000 during fiscal year ended June 30, 2012, and \$21,804,000, during fiscal year ended June 30, 2011, to Fidelity Investments for the IU Retirement Plan. Under this plan, 8,081 and 8,504 employees directed university contributions to TIAA-CREF as of June 30, 2012 and 2011, respectively. In addition, 4,711 and 4,138 employees directed university contributions to Fidelity Investments as of June 30, 2012 and 2011, respectively.

In addition to the above, the university provides early retirement benefits to full-time appointed academic and professional staff employees Grade 16 and above. There were 1,093 and 1,173 active employees on June 30, 2012 and 2011, respectively, covered by the IU Supplemental Early Retirement Plan (IUSERP), a defined contribution plan in compliance with IRC 401(a), with participant accounts at TIAA-CREF and Fidelity Investments. The university contributed \$2,336,000 and \$2,695,000 to IUSERP during fiscal years ended June 30, 2012 and 2011, respectively. The same class of employees covered by the IU Retirement Plan 15% Level of Contributions on or before July 14, 1988, is covered by the IU 18/20 Retirement Plan, a combination of IRC Section 457(f) and Section 403(b) provisions. The IU 18/20 Retirement Plan allows this group of employees to retire as early as age 64, provided the individual has at least 18 years of participation in the IU Retirement Plan and at least 20 years of continuous university service. During the fiscal year ended June 30, 2012, the university made total payments of \$33,601,000 to 405 individuals receiving IU 18/20 Retirement Plan payments. During the fiscal year ended June 30, 2011, the university made total payments of \$33,153,000 to 386 individuals receiving IU 18/20 Retirement Plan payments.

TIAA-CREF issues an annual financial report that includes financial statements and required supplementary information for the plan as a whole and for its participants. This report may be obtained by writing the Teachers Insurance and Annuity Association/College Retirement Equities Fund, 8500 Andrew Carnegie Blvd, Charlotte, NC 28262, by calling 1-800-842-2252, or by reviewing the annual report online at www.tiaa-cref.org/public/about/governance/corporate/annual-reports.

Fidelity Investments issues an annual financial report that includes financial statements and required supplementary information for the plan as a whole and for its participants. This report may be obtained by writing Fidelity Investments, 82 Devonshire Street, Boston, MA 02109, or by calling 1-800-343-0860.

IU REPLACEMENT RETIREMENT PLAN FUNDING POLICY AND ANNUAL PENSION COST

The university has established an early retirement plan for eligible employees to accommodate IRS requirements and as authorized by the Trustees of Indiana University. This plan is called the IU Replacement Retirement Plan. It is a single-employer plan and is qualified under IRC Section 401(a), with normal benefits payable for the participant's lifetime. Trust and recordkeeping activities are outsourced to the TIAA-CREF Trust Company. As of June 30, 2012 and 2011, 96 and 98 employees, respectively, were eligible to participate. University contributions related to this plan totaled \$1,571,000 and \$1,677,000, for fiscal years ended June 30, 2012 and 2011, respectively, with no employee contributions. These amounts represent 100% of the funding policy contribution.

The following schedule shows the funding policy contributions for the fiscal years indicated for the IU Replacement Retirement Plan as provided by the actuarial valuation report prepared as of July 1, 2011, for the fiscal year ended June 30, 2012, prepared as of July 1, 2010, for the fiscal year ended June 30, 2011, and prepared as of July 1, 2009, for the fiscal year ended June 30, 2010:

(dollar amounts presented in thousands)

	<i>Fiscal Year Ended</i>		
	<i>June 30, 2012</i>	<i>June 30, 2011</i>	<i>June 30, 2010</i>
Cost of benefits earned during the year	\$ 811	\$ 808	\$ 659
Amortization of unfunded actuarial accrued liabilities	664	767	710
Interest	96	102	110
Funding policy contribution	\$ 1,571	\$ 1,677	\$ 1,479

The funded status of the IU Replacement Retirement Plan as provided by the actuarial valuation reports for fiscal years ended June 30, 2012, 2011, and 2010, are as follows:

(dollar amounts presented in thousands)

Actuarial Valuation Date	July 1, 2011	July 1, 2010	July 1, 2009
Actuarial accrued liability (AAL)	\$ 23,034	\$ 21,497	\$ 17,713
Actuarial valuation of plan assets	14,558	11,541	9,422
Unfunded actuarial liability	8,476	9,956	8,291
Actuarial value of assets as a percentage of (AAL) (funded ratio)	63.2%	53.7%	53.2%
Annual covered payroll	\$ 8,679	\$ 8,643	\$ 8,446
Ratio of unfunded actuarial liability to annual covered payroll	97.7%	115.2%	98.2%

Actuarial assumptions include a 6.5% asset rate of return and future salary increases of 3% for the fiscal years ended June 30, 2012 and 2011. Liabilities are based on the projected unit credit method. The actuarial value of assets is equal to the fair value on the valuation date adjusted for employer contributions receivable. Actuarial assumptions of an ongoing plan involve estimates of the value of reported amounts and assumptions about the probability of occurrence of future events including future employment and mortality, and are based on the substantive plan provisions.

Additional multiyear trend information regarding the funding progress of the IU Replacement Retirement Plan is provided immediately following the notes to the financial statements.

TIAA-CREF issues an annual financial report that includes financial statements and required supplementary information for the plan as a whole and for its participants. This report may be obtained by writing the Teachers Insurance and Annuity Association/College Retirement Equities Fund, 8500 Andrew Carnegie Blvd, Charlotte, NC 28262, by calling 1-800-842-2252, or by reviewing the annual report online at www.tiaa-cref.org/public/about/governance/corporate/annual-reports.

Note 13 – Postemployment Benefits

PLAN DESCRIPTION

The university provides certain postemployment benefits for retired employees. The IU 18/20 Plan, Medical, and Life Insurance benefits are presented for financial statement purposes as a consolidated plan (the Plan) under the requirements for reporting Other Postemployment Benefit Plans (OPEB) required by GASB Statement No.45, *Accounting and Financial Reporting by Employers for Postemployment Benefits Other Than Pensions*. The Plan is a single-employer defined benefit plan administered by Indiana University.

The 18/20 Plan provides interim benefits to full-time appointed academic and professional staff employees who meet the following eligibility requirements: 18 years of participation in the IU Retirement Plan 15% level, at least 20 years of continuous full-time university service, and at least 64 years of age. This group of employees is eligible to receive monthly payments based on a hypothetical monthly annuity amount at age 70, up to the amount of terminal base salary, calculated as the average budgeted base salary for the five 12-month periods immediately preceding retirement. The 18/20 Plan was adopted by the Trustees of Indiana University. The university provides medical care coverage to individuals with retiree status and their eligible dependents. The cost of the coverage is borne fully by the individual. However, retiree medical care coverage is implicitly more expensive than active-employee coverage, which creates an implicit rate subsidy. The university provides retiree life insurance benefits in the amount of \$6,000 to terminated employees with retiree status. The health and life insurance plans have been established and may be amended under the authority of the trustees. The Plan does not issue a stand-alone financial report.

FUNDING POLICY

The contribution requirements of plan members and the university are established and may be amended by the trustees. The university contribution to the 18/20 Plan and retiree life insurance is based on pay-as-you-go financing requirements. Plan members do not make contributions. The medical plans are self-funded and each plan's premiums are updated annually based on actual claims. Retirees receiving medical benefits paid \$1,330,000 and \$1,088,000 in premiums in the fiscal years ended June 30, 2012 and 2011, respectively. The university contributed \$53,851,000 and \$52,512,000 to the consolidated OPEB Plan in fiscal years ended June 30, 2012 and 2011, respectively.

ANNUAL OPEB COST AND NET OPEB OBLIGATION

The university's annual OPEB cost (expense) is calculated based on the annual required contribution (ARC) of the employer, an amount actuarially determined in accordance with the parameters of GASB Statement 45, *Accounting and Financial Reporting by Employers for Postemployment Benefits other than Pensions*. The ARC represents a level of funding that, if paid on an ongoing basis, is projected to cover normal

cost each year and amortize any unfunded actuarial liabilities (or funding excess) over a period of twenty-five years.

The following table shows the university's annual OPEB cost for the year, the amount actually contributed to the plan, and the university's net OPEB obligation as provided by the actuarial results for the fiscal year ended June 30, 2012:

(dollar amounts presented in thousands)

	Fiscal Year Ended	
	June 30, 2012	June 30, 2011
Annual required contribution (ARC)/Annual OPEB cost	\$ 57,052	\$ 58,166
Less employer contributions	53,851	52,512
Increase in OPEB obligation	3,201	5,654
Net OPEB obligation, beginning of year	19,557	13,903
Net OPEB obligation, end of year	\$ 22,758	\$ 19,557
Percentage of annual OPEB cost contributed	94.39%	90.28%

FUNDED STATUS AND FUNDING PROGRESS

As of June 30, 2012, the most recent actuarial valuation date, the Plan was unfunded. The schedule of funding progress is below:

(dollar amounts presented in thousands)

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL) (b)	Unfunded Actuarial Accrued Liability (UAAL) (b) - (a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as Percentage of Covered Payroll ((b-a) / c)
July 1, 2011	–	\$ 414,985	\$ 414,985	0.0%	\$ 984,200	42.2%
July 1, 2010	–	441,968	441,968	0.0%	959,198	46.1%

Actuarial valuations of an ongoing plan involve estimates of the value of reported amounts and assumptions about the probability of occurrence of events far into the future. Examples include assumptions about future employment, mortality, and the healthcare cost trend. Amounts determined regarding the funded status of the Plan and the annual required contributions of the university are subject to continual revision as actual results are compared with past expectations and new estimates are made about the future. The schedule of funding progress, presented as required supplementary information following the notes to the financial statements, represents multi-year trend information about whether the actuarial value of plan assets is increasing or decreasing over time relative to the actuarial accrued liabilities for benefits.

ACTUARIAL METHODS AND ASSUMPTIONS

Projections of benefits for financial reporting purposes are

based on the substantive plan (the Plan as understood by the university and plan members) and include the types of benefits provided at the time of each valuation and the historical pattern of sharing benefit costs between the university and plan members to that point. The actuarial methods and assumptions used include techniques that are designed to reduce the effects of short-term volatility in actuarial accrued liabilities and the actuarial value of assets, consistent with the long-term perspective of the calculations.

The projected unit credit cost method was used in the June 30, 2012, actuarial valuation. The actuarial assumptions include a 4.5% investment rate of return, which is a blended rate of (a) the expected long-term investment returns on plan assets and (b) the university's investments which is calculated based on the funded level of the Plan at June 30, 2012; and an annual healthcare cost trend rate that ranges

from 10% in fiscal year 2013 to 5% in fiscal year 2023. The rate includes a 3% inflation assumption. The Unfunded Actuarial Accrued Liability is being amortized over 25 years using level dollar amounts on an open group basis.

Note 14—Termination Benefits

In fiscal year 2011 the university offered an Early Retirement Incentive Plan (ERIP).

Depending on the eligibility criteria of the participating employees, the early retirement became effective from one of three dates, as shown in the table below:

<i>Retirement Date</i>	<i>Number of ERIP Participants</i>
June 30, 2011	321
August 31, 2011	137
December 31, 2011	25
Total	483

The ERIP provided three benefits not normally provided to separating employees:

- a. A lump sum income replacement payment.
- b. Five years of annual contributions to a health reimbursement account (HRA) that reimburses employees, based on their current medical plan enrollment, for some healthcare expenses such as premiums, deductibles, and copays.

c. The option to continue in an IU-sponsored medical plan until age 65. Employees with IU Retiree Status could opt to participate in a post-65 Medicare supplement medical plan. The opting employees would need to pay their respective full premium amounts to receive this benefit.

In fiscal year 2011, the university recognized the expense and liability for the income replacement payments for all employees participating in the ERIP. The actuarial accrued liability associated with Other Post-Employment Benefits was increased by \$15,669,000 for health reimbursement account contributions.

In fiscal year 2012, the actuarial accrued liability associated with Other Post-Employment Benefits was increased by \$10,931,000 for health reimbursement account contributions.

Note 15—Related Organization

The university is a major beneficiary of the Riley Children’s Foundation, of which a majority of the board of directors is appointed by, or serve by virtue of position with, Indiana University. Riley Children’s Foundation net assets were \$289,907,000 and \$284,848,000 at June 30, 2012 and 2011, respectively. Riley Children’s Foundation net assets are not included in the financial statements of the university.

Note 16—Functional Expenses

The university’s operating expenses by functional classification were as follows:

Fiscal year ended June 30, 2012

(dollar amounts presented in thousands)

<i>Functional Classification</i>	<i>Natural Classification</i>							<i>Total</i>
	<i>Compensation & Benefits</i>	<i>Utilities</i>	<i>Supplies & Expenses</i>	<i>Scholarships & Fellowships</i>	<i>Depreciation</i>	<i>Travel</i>		
Instruction	\$ 839,533	\$ 836	\$ 99,882	\$ 14,599	\$ –	\$ 17,467	\$ 972,317	
Research	159,814	56	80,017	3,269	–	6,700	249,856	
Public service	83,837	388	62,237	3,196	–	4,401	154,059	
Academic support	223,927	52	45,328	2,365	–	6,896	278,568	
Student services	73,212	11	21,393	1,551	–	2,242	98,409	
Institutional support	95,035	602	35,126	2,731	–	2,351	135,845	
Physical plant	77,216	65,306	61,433	4	–	181	204,140	
Scholarships & fellowships	10,881	–	1,166	130,102	–	30	142,179	
Auxiliary enterprises	181,154	4,310	71,879	5,748	–	7,181	270,272	
Depreciation	–	–	–	–	133,482	–	133,482	
Total operating expenses	\$ 1,744,609	\$ 71,561	\$ 478,461	\$ 163,565	\$ 133,482	\$ 47,449	\$ 2,639,127	

Fiscal year ended June 30, 2011

(dollar amounts presented in thousands)

Functional Classification	Natural Classification						Total
	Compensation & Benefits	Utilities	Supplies & Expenses	Scholarships & Fellowships	Depreciation	Travel	
Instruction	\$ 818,630	\$ 735	\$ 100,123	\$ 15,119	\$ -	\$ 15,041	\$ 949,648
Research	161,397	25	81,899	3,396	-	6,145	252,862
Public service	86,779	416	57,197	3,527	-	3,760	151,679
Academic support	199,436	57	33,312	3,322	-	5,128	241,255
Student services	70,135	10	20,554	709	-	1,424	92,832
Institutional support	117,171	598	12,343	3,585	-	1,876	135,573
Physical plant	75,363	62,873	56,336	6	-	149	194,727
Scholarships & fellowships	12,056	-	1,026	129,786	-	118	142,986
Auxiliary enterprises	190,075	3,820	80,709	5,849	-	6,578	287,031
Depreciation	-	-	-	-	130,538	-	130,538
Total operating expenses	\$ 1,731,042	\$ 68,534	\$ 443,499	\$ 165,299	\$ 130,538	\$ 40,219	\$ 2,579,131

Note 17—Segment Information

A segment is an identifiable activity reported as a stand-alone entity for which one or more bonds are outstanding, with a revenue stream pledged in support of the debt. The primary source of repayment of these bonds is pledged net income from certain parking and housing operations, including campuses for which bonds are no longer outstanding. Facilities Revenue Bonds carry a pledge of net income from the Parking System. Student Residence System Bonds carry a pledge of net income from the Student Residence System. The university has Facilities Revenue

Bonds and Student Residence System Bonds outstanding related to the following auxiliary enterprise activities:

- Parking operations on the IUPUI and South Bend campuses providing parking services to students, staff, faculty, and the general public.
- Housing operations on the IUPUI campus providing housing primarily to students.

Condensed financial statements for Parking and Housing Operations are as follows:

(dollar amounts presented in thousands)

Condensed Statement of Net Assets	Parking Operations		Housing Operations	
	June 30, 2012	June 30, 2011	June 30, 2012	June 30, 2011
Assets				
Current assets	\$ 27,228	\$ 39,876	\$ 82,018	\$ 112,002
Capital assets, net	92,927	79,740	215,325	168,011
Total assets	120,155	119,616	297,343	280,013
Liabilities				
Current liabilities	7,022	6,263	8,928	10,377
Noncurrent liabilities	58,764	64,213	111,553	116,431
Total liabilities	65,786	70,476	120,481	126,808
Net assets				
Invested in capital assets, net of related debt	30,095	26,505	101,007	81,636
Unrestricted	24,274	22,635	75,855	71,569
Total net assets	54,369	49,140	176,862	153,205
Total liabilities and net assets	\$ 120,155	\$ 119,616	\$ 297,343	\$ 280,013

(dollar amounts presented in thousands)

Condensed Statement of Revenues, Expenses, and Changes in Net Assets	<i>Parking Operations</i>		<i>Housing Operations</i>	
	<i>Fiscal Year Ended</i>		<i>Fiscal Year Ended</i>	
	<i>June 30, 2012</i>	<i>June 30, 2011</i>	<i>June 30, 2012</i>	<i>June 30, 2011</i>
Operating revenues	\$ 24,887	\$ 22,742	\$ 69,243	\$ 63,396
Depreciation expense	(3,722)	(3,291)	(4,795)	(4,292)
Other operating expenses	(13,078)	(12,976)	(43,455)	(41,070)
Net operating income	8,087	6,475	20,993	18,034
Nonoperating revenues (expenses)				
Grants, contracts, and other revenues	190	192	1,170	1,183
Interest expense	(2,009)	(1,726)	(1,597)	(1,931)
Net nonoperating revenues (expenses)	(1,819)	(1,534)	(427)	(748)
Other revenues				
Capital gifts	–	–	–	15
Net other revenues	–	–	–	15
Net transfers from (to) university funds	(1,039)	(473)	3,091	448
Increase in net assets	5,229	4,468	23,657	17,749
Net assets				
Net assets, beginning of year	49,140	44,672	153,205	135,456
Net assets, end of year	\$ 54,369	\$ 49,140	\$ 176,862	\$ 153,205

(dollar amounts presented in thousands)

Condensed Statement of Cash Flows	<i>Parking Operations</i>		<i>Housing Operations</i>	
	<i>Fiscal Year Ended</i>		<i>Fiscal Year Ended</i>	
	<i>June 30, 2012</i>	<i>June 30, 2011</i>	<i>June 30, 2012</i>	<i>June 30, 2011</i>
Operating activities	\$ 12,605	\$ 9,551	\$ 23,740	\$ 26,007
Noncapital financing activities	190	192	1,170	1,183
Capital and related financing activities	(24,895)	1,593	(55,420)	(50,919)
Net increase (decrease) in cash	(12,100)	11,336	(30,510)	(23,729)
Beginning cash and cash equivalent balances	38,171	26,835	111,306	135,035
Ending cash and cash equivalent balances	\$ 26,071	\$ 38,171	\$ 80,796	\$ 111,306

In January 2012, \$26,105,000 of the revenue-backed debt was refunded into Consolidated Revenue Bonds causing a significant drop in the outstanding principal of the revenue-backed debt and the number of years to maturity. Total revenue-backed debt for capital financing of parking and housing auxiliary activities was outstanding in the amount of \$10,625,000 at June 30, 2012, with remaining terms of 2

years. Total revenue-backed debt for capital financing of parking and housing auxiliary activities was outstanding in the amount of \$39,895,000 at June 30, 2011, with remaining terms of 12 to 18 years. Revenues of the activities are sufficient to meet the principal and interest requirements for the debt.

Note 18—Commitments and Loss Contingencies

CONSTRUCTION PROJECTS

The university had outstanding commitments for capital construction projects of \$145,603,000 and \$146,604,000 at June 30, 2012 and 2011, respectively.

Note 19—Subsequent Event

On October 26, 2012, the university will issue Indiana University Tax Exempt Student Fee Bonds, Series V-1 and Taxable Student Fee Bonds, Series V-2 in the total par amount of \$107,750,000, of which \$95,875,000 will be refunding bonds and \$11,875,000 will be new money bonds. The bonds will be issued as natural fixed rate debt under Indiana Code sections IC 21-34-6 through 10 and have been approved by all appropriate state authorities. The proceeds will be used to pay for

(a) refunding all or a portion of Student Fee Bonds, Series P, Q, and R; (b) financing for qualified energy savings projects on the Indianapolis and South Bend campuses; (c) refunding Qualified Energy Savings Notes, Series 2005, 2007 and 2008; and (d) costs to issue the bonds including underwriters' discount. The new money bonds for the qualified energy savings projects will have a final maturity of 10 years. The refunding bonds will produce a net present value savings of \$7,961,000, or 8.37% of refunded bonds.

Refer to Note 8, Bonds and Notes Payable, for more information on long-term debt.



Required Supplementary Information

Schedule of Funding Progress for IU Replacement Retirement Plan:

(dollar amounts presented in thousands)

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL) (b)	Unfunded AAL (UAAL) (b - a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as Percentage of Covered Payroll ((b-a) / c)
7/1/2011	\$ 14,558	\$ 23,034	\$ 8,476	63.2%	\$ 8,679	97.7%
7/1/2010	11,541	21,497	9,956	53.7%	8,643	115.2%
7/1/2009	9,422	17,713	8,291	53.2%	8,446	98.2%

Schedule of Funding Progress for Other Postemployment Benefit Plans:

(dollar amounts presented in thousands)

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL) (b)	Unfunded AAL (UAAL) (b - a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as Percentage of Covered Payroll ((b-a) / c)
7/1/2011	–	\$ 414,985	\$ 414,985	0.0%	\$ 984,200	42.2%
7/1/2010	–	441,968	441,968	0.0%	959,198	46.1%
7/1/2009	–	443,276	443,276	0.0%	967,369	45.8%

Schedule of Funding Progress for Public Employees' Retirement Fund:

(dollar amounts presented in thousands)

Actuarial Valuation Date	Actuarial Value of Assets (a)	Actuarial Accrued Liability (AAL) (b)	Unfunded AAL (UAAL) (b - a)	Funded Ratio (a/b)	Covered Payroll (c)	UAAL as Percentage of Covered Payroll ((b-a) / c)
6/30/2011	\$ 214,453	\$ 379,812	\$ 165,359	56.5%	\$ 215,496	76.7%
6/30/2010	215,702	320,269	104,567	67.4%	214,529	48.7%
6/30/2009	263,017	302,873	39,856	86.8%	222,902	17.9%

**Indiana University Foundation
Notes to the Financial Statements
June 30, 2012 and 2011**

Note 1 - Organization and Operations

The Indiana University Foundation, Inc. (Foundation) is a not-for-profit corporation organized under the laws of the State of Indiana. The corporate purposes of the Foundation are to raise, receive, hold, invest and administer property and to make expenditures to or for the benefit of Indiana University, including its regional campuses and associated entities (such as the Purdue University schools housed at the Indiana University-Purdue University Indianapolis campus, the Indiana University Building Corporation (IUBC), Riley Children's Foundation, the Indiana University Research & Technology Corporation, Indiana University Health, the Indiana University Alumni Association, and certain medical practice plans), herein referred to as the "University."

The mission of the Foundation is to inspire donors to invest in Indiana University's power to transform lives and better the state, nation and world. The Foundation was originally incorporated in 1936 and is empowered to perform a wide range of services and conduct a variety of activities that support the University as it carries out its missions of teaching, research, and public service. The Foundation conducts general and special purpose fund raising programs, receives and acknowledges gifts for the benefit of the University, administers those gifts to ensure that they are used as specified by the donor, invests those gifts, serves as trustee for certain types of planned gift arrangements, and provides other services for the benefit of the University as requested from time to time.

Note 4 - Investments

A summary of total investment income, including net gains (losses) net of outside management fees for the year ended June 30, 2012 and 2011 consist of the following:

	<u>2012</u>	<u>2011</u>
Dividend, interest and other investment income	\$ 9,920,514	\$ 8,766,433
Net realized and unrealized gains (losses) on investments	(21,571,091)	249,785,736
Outside investment management fees	<u>(4,710,704)</u>	<u>(4,809,298)</u>
Total investment income, including net gains, net of outside investment management fees	<u>\$ (16,361,281)</u>	<u>\$ 253,742,871</u>



**Indiana University Foundation
Notes to the Financial Statements
June 30, 2012 and 2011**

The Foundation's investments recorded at fair value have been categorized based upon a fair value hierarchy in accordance with ASC 820. The following tables present information about the Foundation's investments by security type measured at fair value as of June 30, 2012 and 2011:

	2012			
	Level 1	Level 2	Level 3	Total
Domestic equities	\$ 216,328,769	\$ 63,877,854	\$ 480,957	\$ 280,687,580
International equities	275,090,775	9,034	-0-	275,099,809
Domestic fixed income	70,941,499	130,956,163	3,075,523	204,973,185
International fixed income	-0-	18,208,525	-0-	18,208,525
Cash equivalents	8,391,823	-0-	-0-	8,391,823
Alternative investments:				
Hedged equity funds	-0-	75,582,024	203,752	75,785,776
Absolute return funds	-0-	112,035,004	111,415,599	223,450,603
Venture capital funds	-0-	551,832	92,686,612	93,238,444
Buyout funds	-0-	-0-	113,709,268	113,709,268
Distressed/special situation funds	-0-	-0-	55,972,168	55,972,168
Real estate funds	8,627,769	-0-	80,563,458	89,191,227
Natural resource funds	-0-	-0-	78,748,874	78,748,874
Public inflation hedge	-0-	20,842,156	-0-	20,842,156
Direct commercial real estate	-0-	-0-	19,678,883	19,678,883
Mortgage securities	-0-	-0-	695,381	695,381
Total	\$ 579,380,635	\$ 422,062,592	\$ 557,230,475	\$ 1,558,673,702



Indiana University Foundation
Notes to the Financial Statements
June 30, 2012 and 2011

	2011			Total
	Level 1	Level 2	Level 3	
Domestic equities	\$ 289,114,491	\$ 69,554,088	\$ 484,298	\$ 359,152,877
International equities	316,897,620	6,486	-0-	316,904,106
Domestic fixed income	44,635,780	105,539,966	2,981,685	153,157,431
International fixed income	-0-	23,746,554	-0-	23,746,554
Cash equivalents (includes securities in-transit of \$18 million)	41,083,431	-0-	-0-	41,083,431
Alternative investments:				
Hedged equity funds	-0-	87,762,261	27,082,944	114,845,205
Absolute return funds	-0-	75,137,301	120,592,499	195,729,800
Venture capital funds	-0-	-0-	96,886,470	96,886,470
Buyout funds	-0-	-0-	104,916,485	104,916,485
Distressed/special situation funds	-0-	-0-	47,714,669	47,714,669
Real estate funds	8,877,246	-0-	69,246,866	78,124,112
Natural resource funds	-0-	-0-	75,981,990	75,981,990
Direct commercial real estate	-0-	-0-	15,682,812	15,682,812
Mortgage securities	-0-	-0-	722,006	722,006
Total	\$ 700,608,568	\$ 361,746,656	\$ 562,292,724	\$ 1,624,647,948

Changes in Level 3 assets measured at fair value as of and for the years ended June 30, 2012 and 2011 follow. The 2012 presentation has been expanded to reflect the disclosure requirements of ASU No. 2010-06. There were no significant transfers between levels 1, 2 and 3 for the years ended June 30, 2012 and 2011.

	2012
Beginning balance	\$ 562,292,724
Realized and unrealized gains (losses)	12,124,593
Purchases	99,083,787
Sales	(111,925,455)
Transfers in	138,000
Transfers out	(4,483,174)
Ending balance	\$ 557,230,475

Indiana University Foundation
Notes to the Financial Statements
June 30, 2012 and 2011

	2012		2011		Redemption Frequency (If Currently Eligible)	Redemption Notice Period
	Fair Value	Unfunded Commitments	Fair Value			
Hedged equity funds (a)	\$ 75,785,776	\$ -0-	\$ 114,845,205		monthly, quarterly, semi-annually, annually	45-100 days
Absolute return funds (b)	223,450,603	5,272,254	195,729,800		monthly, quarterly, semi-annually, annually	45-90 days
Venture capital funds (c)	93,238,444	34,499,016	96,886,470			
Buyout funds (d)	113,709,268	56,799,810	104,916,485			
Distressed/special situation funds (e)	55,972,168	34,045,445	47,714,669			
Real estate funds (f)	80,563,458	34,396,007	78,124,112			
Natural resources funds (g)	78,748,874	34,857,185	75,981,990			
Public Inflation Hedge (h)	20,842,156	-0-	-0-		monthly	10 days
Total	\$ 742,310,747	\$ 199,869,717	\$ 714,198,731			

(a) This category includes investments in hedge funds that invest globally in both long and short common stocks across all market capitalizations. Management of the hedge funds may opportunistically shift investments across sectors, geographies, and net market exposures. The fair values of the investments in this category are based on the net asset value per share of the investment.

(b) This category includes investments in hedge funds that invest opportunistically across various strategies including long/short equity, fixed income, distressed credit, merger arbitrage, convertible arbitrage, etc. The fair values of the investments in this category are based on the net asset value per share of the investment. As of June 30, 2012, 58% of the total Marketable Alternative Investments (hedged equity and absolute return) could be redeemed in 0-6 months, 67% could be redeemed within 12 months, 81% could be redeemed within 24 months, and 85% could be redeemed within 36 months. The remaining 15% is designated as illiquid investments.



Indiana University Foundation
Notes to the Financial Statements
June 30, 2012 and 2011

- (c) This category includes funds which invest primarily in early-stage companies in the technology and life science sectors. The nature of investments in this category is that money is distributed as underlying companies are exited via acquisition or IPO. Partnerships are typically structured to be fully liquidated after 10 years but may be extended. It is probable that the investments in this category will ultimately be sold at a value that is different from the net asset value of the Foundation's ownership interest as of June 30, 2012.
- (d) This category includes private equity funds that invest across sectors primarily in the United States, but also Asia and Europe. The nature of investments in this category is that money is distributed as underlying companies are recapitalized or exited via acquisition or IPO. Partnerships are typically structured to be fully liquidated after 10 years but may be extended. It is probable that the investments in this category will ultimately be sold at a value that is different from the net asset value of the Foundation's ownership interest as of June 30, 2012.
- (e) This category includes funds that are focused on distressed, mezzanine, or secondary investments, primarily in the United States. Partnerships are typically structured to be fully liquidated after 10 years but may be extended. It is probable that the investments in this category will ultimately be sold at a value that is different from the net asset value of the Foundation's ownership interest as of June 30, 2012.
- (f) This category includes funds that invest primarily in U.S. commercial real estate, but also include real estate funds focused on Europe and Asia. The real estate exposure consists of publicly traded REIT funds (11.4%) and private partnerships (88.6%). Publicly traded REIT funds have daily liquidity. Partnerships are typically structured to be fully liquidated after 10 years but may be extended. It is probable that the investments in this category will ultimately be sold at a value that is different from the net asset value of the Foundation's ownership interest as of June 30, 2012.
- (g) This category includes several funds that are focused on direct energy and timber. Partnerships are typically structured to be fully liquidated after 10 years but may be extended. Certain funds in this category will provide an income stream as the underlying commodity is harvested/sold. It is probable that the investments in this category will ultimately be sold at a value that is different from the net asset value of the Foundation's ownership interest as of June 30, 2012.
- (h) This category includes several funds that invest in equity and equity-related securities, commodity derivatives, fixed income obligations, and derivatives related to equity, fixed income, and commodity securities.

**Indiana University Foundation
Notes to the Financial Statements
June 30, 2012 and 2011**

Note 8 – Restricted Net Assets

The income generated from restricted net assets is used in accordance with the donors' time or purpose restrictions. Foundation and University permanently restricted assets are held in perpetuity. A summary of restricted net assets and the related donor imposed restrictions as of June 30, 2012 and 2011 are as follows:

	2012		2011	
	Temporarily Restricted	Permanently Restricted	Temporarily Restricted	Permanently Restricted
	Foundation	University	Foundation	University
Foundation operations	\$ 7,638,802	\$ -0-	\$ 20,518,576	\$ -0-
University Programs:				
Awards	-0-	6,462,179	-0-	7,643,380
Capital and capital improvements	-0-	81,714,502	-0-	2,143,383
Fellowships/lectureships	-0-	20,960,628	-0-	80,896,984
General endowments	-0-	228,179,220	-0-	221,330,071
Medical practice plans	-0-	31,053,837	-0-	-0-
Operations	-0-	71,462,141	-0-	3,439,699
Professorships/chairs	-0-	106,354,539	-0-	253,336,864
Research	-0-	28,786,485	-0-	40,427,466
Scholarships	-0-	117,269,456	-0-	363,104,975
Total	\$ 7,638,802	\$ 692,242,987	\$ 20,518,576	\$ 972,322,822

	2011		2010	
	Temporarily Restricted	Permanently Restricted	Temporarily Restricted	Permanently Restricted
	Foundation	University	Foundation	University
Foundation operations	\$ 7,768,701	\$ -0-	\$ 22,634,801	\$ -0-
University Programs:				
Awards	-0-	6,486,245	-0-	8,025,341
Capital and capital improvements	-0-	77,268,183	-0-	2,344,855
Fellowships/lectureships	-0-	21,100,010	-0-	81,469,916
General endowments	-0-	229,753,800	-0-	224,798,229
Medical practice plans	-0-	33,096,842	-0-	-0-
Operations	-0-	69,800,767	-0-	5,016,297
Professorships/chairs	-0-	103,359,243	-0-	261,991,574
Research	-0-	30,100,572	-0-	37,382,524
Scholarships	-0-	114,319,028	-0-	369,647,802
Total	\$ 7,768,701	\$ 685,284,690	\$ 22,634,801	\$ 990,676,538



Note 10 - Contingencies and Commitments

The Foundation has borrowed \$125,016,119 and \$104,313,514 of restricted cash and cash equivalents as displayed in its Foundation Unrestricted, Foundation Temporarily Restricted, and Permanently Restricted assets as of June 30, 2012 and 2011, respectively, and has reported this interfund borrowing as “due to (from)” on the Statement of Financial Position. The Foundation assumes all risk associated with the composition of assets related to the Foundation’s reinvestment of the temporarily restricted University monies. These borrowings were used to (1) acquire property, plant and equipment for the benefit of the University, (2) purchase investment securities, and (3) support on-going Foundation operations. Repayment of the borrowings is primarily dependent on the Foundation’s ability to (1) generate future appreciation and income from investment securities, (2) receive future revenue from existing property leases arrangements with the University and (3) receive future unrestricted gifts. Management has currently developed initiatives to reduce such borrowings in the future and maintain an appropriate composition of assets to comply with all donor restrictions.

Interfund financing of \$1,900,000 and \$2,400,000 as of June 30, 2012 and 2011, respectively, represents amounts financed by the Foundation unrestricted net assets to the agency and temporarily restricted University net assets. The carrying value of interfund financing approximates fair market value, as the borrowing rates currently available to the Foundation are similar to the terms on remaining maturities. Interest rates are from 4.0% to 4.95% as of June 30, 2012 and June 30, 2011.

Note 11 - Program Expenditures

Program expenditures include support for Foundation and University programs. Foundation programs include: real estate, air services, Student Foundation, cultural center, women's programs and other miscellaneous programs. These University related program expenditures primarily support "Grants and aid to the University" and "Endowment and capital additions for the University." For the years ended June 30, 2012 and 2011, a summary of these expenditures follows:

Program expenditures:	2012		
	Foundation	University*	Total
Foundation programs:			
Real estate	\$ 2,190,814	\$ -0-	2,190,814
Student Foundation	528,518	-0-	528,518
Air Services	622,297	-0-	622,297
Women's programs	37,895	-0-	37,895
Miscellaneous	47,855	-0-	47,855
	<u>3,427,379</u>	<u>-0-</u>	<u>3,427,379</u>
Grants and aid to the University:			
Operating support:			
University support	1,610,263	33,749,453	35,359,716
Student scholarship and financial aid	8,037	33,025,994	33,034,031
Faculty support	153,168	13,709,730	13,862,898
Faculty research	-0-	8,699,702	8,699,702
	<u>1,771,468</u>	<u>89,184,879</u>	<u>90,956,347</u>
Endowment and capital additions for the University:			
Land, building and equipment purchases	17,948	17,872,211	17,890,159
Total program expenditures	<u>\$ 5,216,795</u>	<u>\$ 107,057,090</u>	<u>\$ 112,273,885</u>

**Indiana University Foundation
Notes to the Financial Statements
June 30, 2012 and 2011**

	2011		
	Foundation	Unrestricted University*	Total
Program expenditures:			
Foundation programs:			
Real estate	\$ 1,863,040	\$ -0-	\$ 1,863,040
Student Foundation	520,220	-0-	520,220
Cultural center	150,619	-0-	150,619
Air Services	526,112	-0-	526,112
Women's programs	126,634	-0-	126,634
Miscellaneous	19,680	-0-	19,680
	<u>3,206,305</u>	<u>-0-</u>	<u>3,206,305</u>
Grants and aid to the University:			
Operating support:			
University support	1,742,198	33,192,348	34,934,546
Student scholarship and financial aid	12,300	30,562,306	30,574,606
Faculty support	92,283	13,281,190	13,373,473
Faculty research	-0-	8,972,395	8,972,395
	<u>1,846,781</u>	<u>86,008,239</u>	<u>87,855,020</u>
Endowment and capital additions for the University:			
Land, building and equipment purchases	81,103	15,745,209	15,826,312
Library and art acquisitions	300	420,100	420,400
	<u>81,403</u>	<u>16,165,309</u>	<u>16,246,712</u>
Total program expenditures	<u>\$ 5,134,489</u>	<u>\$ 102,173,548</u>	<u>\$ 107,308,037</u>

*These expenditures relate to temporarily restricted University net assets reclassified to unrestricted as the time or purpose restrictions are met. These amounts are included in the Statement of Activities as net assets released from restriction.



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for fiscal year ended June 30, 2012

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for fiscal year ended June 30, 2012

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Lauren Robel, Executive Vice President and Provost, IU Bloomington (interim since February 1, 2012; appointed June 22, 2012)

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J Thomas Forbes, Executive Director, IU Alumni Association
Eugene R. Tempel, President, IU Foundation

Additional copies of this report may be obtained from:

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Bloomington, IN 47405-7000
<http://www.indiana.edu/~vpcfo/>

To print a PDF file of this report, go to <http://www.indiana.edu/~vpcfo/reports/index.shtml>

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ACKNOWLEDGEMENTS

The following members of Financial Management Services prepared the *2011-2012 Financial Report* and the included financial statements:

Joan Hagen, Associate Vice President and University Controller
Dave Gooptu, Chief Accountant and Managing Director, Financial Management Services
William Overman, Manager of External Financial Reporting and University Chart
Melody Amato, External Reporting and Compliance
Aaron Pritchett, External Reporting and Compliance
Phyllis Taylor, Senior Communications Specialist

The following entities provided data essential in the preparation of the financial statements:

Construction Management
Indiana University Foundation
Office of the Treasurer
Real Estate
Risk Management
Student Information and Fiscal Services
University Architect's Office
University Human Resource Services

Photos courtesy of Office of University Communications and Financial Management Services.

(Highlights continued from inside front cover.)

MICHAEL A. McROBBIE

15-YEAR IU HIGHLIGHTS 1997-2012

- As vice president for information technology, initiated the development of IU's original Information Technology Strategic Plan, launched in 1998 to enable IU to become a leader in the use and application of IT and today considered a model for educational institutions across the nation.
- Played an important role in establishing IU's School of Informatics and Computing, the first such school in the nation, as well as in securing two of the largest grants in IU history—totaling more than \$80 million—to establish technology-related laboratories and initiatives at the university.
- Directed the development of I-Light, the first higher education-owned optical fiber network, which provides high-speed broadband Internet capability to colleges and universities across Indiana.

