

STATE OF INDIANA

MITCHELL E. DANIELS, JR., Governor

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September 28, 2012

Michael Dierdorf DOC 156093 3038 W. 850 South Bunker Hill, Indiana 46914

Re: Formal Complaint 12-FC-255; Alleged Violation of the Access to Public Records Act by the Indiana Department of Correction

Dear Mr. Dierdorf:

This advisory opinion is in response to your formal complaint alleging the Indiana Department of Correction ("Department") violated the Access to Public Records Act ("APRA"), Ind. Code § 5-14-3-1 *et seq.* Joel D. Lyttle, Legal Services Assistant Director, responded on behalf of the Department. His response is enclosed for your reference.

BACKGROUND

In your formal complaint, you allege that you submitted a written request for records to the Department. As of September 4, 2012, the date you filed your formal complaint with the Public Access Counselor's Office, you further allege that you have yet to receive any response from the Department.

In response to your formal complaint, Mr. Lyttle advised that the Department received your request for records on August 30, 2012. On September 27, 2012, the Department provided to you all records that were responsive to your request.

ANALYSIS

The public policy of the APRA states that "(p)roviding persons with information is an essential function of a representative government and an integral part of the routine duties of public officials and employees, whose duty it is to provide the information." *See* I.C. § 5-14-3-1. The Department is a public agency for the purposes of the APRA. *See* I.C. § 5-14-3-2. Accordingly, any person has the right to inspect and copy the Department's public records during regular business hours unless the records are excepted from disclosure as confidential or otherwise nondisclosable under the APRA. *See* I.C. § 5-14-3-3(a).

A request for records may be oral or written. See I.C. § 5-14-3-3(a); § 5-14-3-9(c). If the request is delivered in person and the agency does not respond within 24 hours, the request is deemed denied. See I.C. § 5-14-3-9(a). If the request is delivered by mail or facsimile and the agency does not respond to the request within seven (7) days of receipt, the request is deemed denied. See I.C. § 5-14-3-9(b). Under the APRA, when a request is made in writing and the agency denies the request, the agency must deny the request in writing and include a statement of the specific exemption or exemptions authorizing the withholding of all or part of the record and the name and title or position of the person responsible for the denial. See I.C. § 5-14-3-9(c). A response from the public agency could be an acknowledgement that the request has been received and information regarding how or when the agency intends to comply. Here, the Department responded to your written request for records, received on August 30, 2012, on September 27, 2012. As such, the Department acted contrary to the APRA by failing to respond to your request within seven (7) days of its receipt. Regardless, as the Department has now provided all records that are responsive to your request, I trust that this is in satisfaction of your formal complaint.

Generally, if a public agency has no records responsive to a public records request, the agency does not violate the APRA by denying the request. "[T]he APRA governs access to the public records of a public agency that exist; the failure to produce public records that do not exist or are not maintained by the public agency is not a denial under the APRA." *Opinion of the Public Access Counselor 01-FC-61*; *see also Opinion of the Public Access Counselor 01-FC-61*; *see also Opinion of the Public Access Counselor 08-FC-113* ("If the records do not exist, certainly the [agency] could not be required to produce a copy...."). Moreover, the APRA does not require a public agency to create a new record in order to satisfy a public records request. *See Opinion of the Public Access Counselor 10-FC-56*. As such, it is my opinion that the Department did not violate the APRA by failing to provide records in response to your request that it does not maintain.

CONCLUSION

For the foregoing reasons, it is my opinion the Department acted contrary to the requirements of the APRA by failing to respond to your request pursuant to the requirements of section 9. As to all other issues, it is my opinion that the Department did not violate the APRA.

Best regards,

Joseph B. Hoage Public Access Counselor

cc: Joel D. Lyttle