

Thank you for attending the Indiana Utility Regulatory Commission's (IURC's) public field hearing this evening. The IURC's order in the Citizens Wastewater of Westfield rate case is expected in 2017, with no final decision to be made tonight.

The IURC is the state agency that has jurisdiction over Citizens Wastewater of Westfield's rates. State law requires the IURC to balance utility and ratepayer interests, and to base its decision in each case on the evidence in the record.

The Indiana Office of Utility Consumer Counselor (OUCC) is the separate state agency that represents ratepayer interests in IURC cases. We'd like for you to know several things about the process:

An IURC public field hearing is a formal, legal proceeding.

- An Administrative Law Judge presides. At least one IURC Commissioner is present.
- An OUCC attorney also participates. Attorneys for the utility and intervening parties may participate, as well.
- A court reporter records oral testimony.

You may speak, provide written comments, or do both.

- To speak, please complete the top half of the witness form and turn it in at the registration table.
 - An OUCC attorney will call speakers forward. All speakers will be sworn in and asked to spell their names for the court reporter.
 - The court reporter will record comments made under oath for the case record.
- Written consumer comments carry the same weight as oral comments made under oath.
 - If you submit written comments this evening, please use the witness form. If you have brought a letter or other documentation and would like to have it included, OUCC staff can attach it to your form.
 - Comments submitted after tonight must be in writing so the OUCC can file them for the formal case record.
 - The OUCC needs to receive all consumer comments no later than November 23, 2016. If comments are received after that date, we cannot guarantee that we can get them in the record.
 - The OUCC invites written comments by mail, email, fax, and online. (All of our contact information is on page 4.)

**The field hearing is one step in the process.
Its sole purpose is to give consumers the chance to speak.**

- Field hearings do not include presentations by utilities. In this case, Citizens Wastewater of Westfield filed testimony in August.
- Commissioners may not answer questions about the case. They will weigh the evidence and render a decision.
- OUCC staff will be available to answer questions about the process on an individual basis.

The OUCC has until November 30, 2016 to take a formal position.

- Under Indiana law, the OUCC represents the interests of all consumers (residential, commercial, and industrial) in cases before the IURC and federal utility commissions.
- Our attorneys and technical staff (accountants, economists, and engineers) are reviewing the utility's request.
- We will file testimony and exhibits with the IURC that will explain the results of our review.
- We will review all written consumer comments received by November 23, 2016 and consider them in preparing our testimony. We will also file comments received by that date with the IURC.
- After it is filed, our testimony will be available online at www.in.gov/oucc/2865.htm.

This case includes a number of future steps:

November 23, 2016	OUCC deadline to receive consumer comments for the record
November 30, 2016	OUCC files testimony
December 29, 2016	Citizens Wastewater of Westfield files rebuttal testimony
January 23, 2017	First day of IURC evidentiary hearing*
March/April 2017	Parties file proposed orders (closing arguments)
May/June 2017	IURC order expected**

* The case's evidentiary hearing is scheduled to start on January 23, 2016 at 9:30 a.m. in Room 222 at the PNC Center (101 W. Washington St. in Indianapolis). It is expected to last up to three days if needed. IURC evidentiary hearings are open to the public. But participation is typically limited to attorney and Commission questioning of technical witnesses for the case's formal parties. No final decision will be made at the evidentiary hearing.

** Final orders are issued at the IURC's weekly conferences, typically on Wednesday afternoons. Agendas are posted 48 hours in advance at www.in.gov/iurc/2428.htm.

All dates are subject to change. A settlement agreement is possible in any legal proceeding.

CITIZENS WASTEWATER OF WESTFIELD RATE REQUEST: BASIC FACTS

Citizens Wastewater of Westfield, LLC is seeking nearly \$2.5 million in new, annual operating revenues. It filed testimony, exhibits, and work papers with the IURC on August 12, 2016. The case is docketed as IURC Cause No. 44835.

- The utility's request would raise minimum monthly residential sewer charges for 5,000 gallons from \$51.10 to \$64.09.
- Monthly minimum customer charges would also be set at \$64.09 for commercial customers using 5/8 and 3/4 inch meters and at \$155.81 for commercial customers using larger meters.
- In its filings with the Commission, Citizens cites capital improvements including the 156th Street Interceptor and Downtown Lift Station projects.

All publicly filed documents are available online. To view the IURC's public case file:

- Visit www.in.gov/iurc and click the "Electronic Document System" link in the upper right portion of the page.
- Look for the "Search for a Docketed Case" box on the following page, and click the blue "Start" icon.
- On the following page, enter 44835 in the "Cause Number" field and click "Search."
- The Cause Number, 44835, will then appear near the bottom of the page. Click the blue cause number and you will be directed to the file.

The OUCC will post case updates at www.in.gov/oucc/2865.htm.

The IURC approved the sale of Westfield's municipal sewer utility assets to Citizens Energy Group in 2013.

CITIZENS GAS OF WESTFIELD RATE CASE

A proposed rate increase for Citizens Gas of Westfield is pending in a separate case (Cause No. 44731).

On September 26, 2016, the OUCC filed testimony recommending denial of the utility's request based on the evidence in the case.

More information and updates on the gas rate case are available at www.in.gov/oucc/2479.htm.

Rates and charges for Citizens Energy Group's utilities in Marion County are not at issue in these cases.

TO SEND COMMENTS AFTER TONIGHT

The OUCC welcomes written consumer comments:

- Online at www.IN.gov/OUCC. Click the “Contact Us” link on the left side of the page.
- By email at uccinfo@oucc.IN.gov.
- By fax at (317) 232-5923.
- By mail at:
Indiana Office of Utility Consumer Counselor
Consumer Services Staff
115 W. Washington St., Suite 1500 South
Indianapolis, IN 46204

Comments after the field hearing need to be in writing so that our staff can:

1. Properly review them, and
2. File them with the IURC for the formal case record. We are unable to take comments for the record by phone.

All comments we receive by November 23, 2016 will be filed with the IURC for the case record.

GENERAL AGENCY INFORMATION

Indiana Office of Utility Consumer Counselor (OUCC)

- State agency
- Represents the interests of all Indiana utility consumers, including residential, commercial and industrial, in cases before the IURC and federal utility regulatory commissions
- Staff of attorneys, accountants, engineers, economists, consumer services, and support personnel

Indiana Utility Regulatory Commission (IURC)

- State agency
- Regulates many, but not all, Indiana utilities.
- Regulates rates, financing, service territory, quality of service, etc.
- Required by law to make decisions that balance the interests of utilities and consumers