Home Health Services
## Revision History

<table>
<thead>
<tr>
<th>Version</th>
<th>Date</th>
<th>Reason for Revisions</th>
<th>Completed By</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.0</td>
<td>Policies and procedures as of Oct. 1, 2015 Published: Feb. 25, 2016</td>
<td>New document</td>
<td>FSSA and HPE</td>
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<tr>
<td>1.1</td>
<td>Policies and procedures as of April 1, 2016 Published: July 12, 2016</td>
<td>Scheduled update</td>
<td>FSSA and HPE</td>
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<td>1.2</td>
<td>Policies and procedures as of April 1, 2016 Published: Aug. 16, 2016</td>
<td>Correction</td>
<td>FSSA and HPE</td>
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<td>1.3</td>
<td>Policies and procedures as of April 1, 2016 Published: Jan. 5, 2017</td>
<td>Correction</td>
<td>FSSA and HPE</td>
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<td>1.4</td>
<td>Policies and procedures as of April 1, 2016 (CoreMMIS updates as of Feb. 13, 2017) Published: March 28, 2017</td>
<td>CoreMMIS update</td>
<td>FSSA and HPE</td>
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<tr>
<td>2.0</td>
<td>Policies and procedures as of May 1, 2017 Published: Nov. 7, 2017</td>
<td>Scheduled update</td>
<td>FSSA and DXC</td>
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<td>3.0</td>
<td>Policies and procedures as of Aug. 1, 2018 Published: June 20, 2019</td>
<td>Scheduled update</td>
<td>FSSA and DXC</td>
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<td>4.0</td>
<td>Policies and procedures as of Dec. 1, 2019 Published: Feb. 27, 2020</td>
<td>Scheduled update</td>
<td>FSSA and DXC</td>
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<tr>
<td>5.0</td>
<td>Policies and procedures as of Jan. 1, 2021 Published: April 22, 2021</td>
<td>Scheduled update</td>
<td>FSSA and Gainwell</td>
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| 6.0     | Policies and procedures as of May 1, 2022 Published: Oct. 7, 2022 | Scheduled update:  
- Edited text as needed for clarity  
- Updated web links  
- Expanded “physician” references to encompass all allowable, qualified practitioners as applicable throughout the module | FSSA and Gainwell |
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Home Health Services

Introduction

In accordance with Code of Federal Regulations 42 CFR 440.70, the Indiana Health Coverage Programs (IHCP) defines “home health services” as services provided on a part-time and intermittent basis to Medicaid members of any age in the member’s place of residence. A “place of residence” for home health services does not include a hospital, nursing facility or intermediate care facility for individuals with intellectual disabilities (ICF/IID). Members may receive home health services in any setting in which normal life activities take place other than a hospital, nursing facility, ICF/IID or any setting in which payment is, or could be, made under Medicaid for inpatient services that include room and board. Home health services cannot be limited to members who are homebound.

IHCP Coverage for Home Health Services

Home health services are available to IHCP members of any age when the services are:

- Medically necessary
- Ordered in writing by one of the following licensed practitioners for the service to be performed:
  - Physician
  - Nurse practitioner
  - Clinical nurse specialist
  - Physician assistant
- Performed on a part-time and intermittent basis in accordance with a written plan of treatment

The medical necessity for home health services must be certified by the member’s qualified treating practitioner as described in the Certification of Medical Necessity of Home Health Care section.

Home health services require prior authorization as described in the Home Health Prior Authorization Policies section.
**Covered Services**

Home health services include skilled nursing, home health aide services and skilled therapies (physical therapy, occupational therapy and speech-language pathology).

IHCP home health benefits include covered services performed by practitioners such as the following:

- Registered nurses (RNs)
- Licensed practical nurses (LPNs)
- Home health aides
- Physical therapists
- Occupational therapists
- Speech-language pathologists

The IHCP covers telehealth services provided by home health agencies. See the *Telehealth and Virtual Services* module for more information.

**Noncovered Services**

The following services are not covered under the home health benefit:

- Transporting the member to grocery stores, pharmacies, banks and so forth
- Homemaker services (including shopping, laundry, cleaning, meal preparation and so on)
- Chores (including picking up prescriptions and running other errands)
- Sitter or companion services (including activity planning, escorting the member to events and so on)
- Respite care

*Note: Although these services are not covered for home health billing, they may be covered for eligible members under an applicable IHCP Home- and Community-Based Services (HCBS) waiver program, or (in the case of transporting members to the pharmacy) as a Traditional Medicaid benefit.*

**Certification of Medical Necessity of Home Health Care**

The medical necessity for home health services must be certified by the member’s qualified treating practitioner (physician, nurse practitioner, clinical nurse specialist or physician assistant).

A face-to-face encounter in accordance with 42 CFR 440.70(f) is required for the initial certification of medical necessity of home health services. This face-to-face encounter must occur no more than 90 days before or 30 days after the start of services. Documentation of the face-to-face encounter, in accordance with 42 CFR 440.70(f), is required for IHCP coverage of home health services.

Certification requirements for the medical equipment and supplies used for home health services can be found in the *Durable and Home Medical Equipment and Supplies* module.
Indicators for Home Health Services

At least one indicator from each of the following two categories must be present for a member to be eligible for home health services:

**Category I: Member**
- The member is at risk of respiratory failure, severe deterioration or hospitalization without constant monitoring.
- The member requires total care – monitoring 24 hours per day.
- The member desires to stay in the home, rather than in a long-term care (LTC) facility.
- The medical condition of the member has deteriorated, creating the need for more intense short-term care (practitioner’s statement required).
- The member does not have a primary caregiver or access to other care.

**Category II: Caregiver**
- The caregiver is employed and absent from the home or is unable to provide the necessary care.
- The caregiver has additional child-care responsibilities, disallowing the time needed to care for the member (three or more children under 6 years of age, or four or more children under the age of 10).
- The caregiver has additional children with special needs to care for (one or more children with special healthcare needs requiring extensive medical and physical care).
- A caregiver is experiencing a major illness or injury, with expectation of recovery (practitioner’s statement required).
- There is a temporary but significant change in the availability of a caregiver – for example, military service (commanding officer, other military representative or employer’s statement required).
- There is a significant permanent change in a caregiver’s status – for example, death or divorce with loss of one caregiver (practitioner’s statement required).

The following sections outline additional indicators required for home health services related to certain specific medical conditions.

**Indicators for Central Nervous System Disorders**

One of the following indicators must be present for a member to receive home health care for central nervous system (CNS) disorders:
- Altered level of consciousness
- Respiratory distress
- Potential for increased intracranial pressure
- Body temperature fluctuations (hypothalamus involvement)
- Posturing (decerebrate/decorticate)
• Seizure activity (current)
• Spasticity (severe)
• Pain
• Impaired motor/sensory function, such as the following:
  – Paresis
  – Paralysis
  – Vision impairment
  – Hearing impairment
  – Impaired gag reflex
  – Decreased tactile sensation
• Potential for injury to self
• Need for constant supervision

One of the services in Table 1 must also be necessary for a member to receive home health services for CNS disorders.

### Table 1 – Service Requirements for Members with Central Nervous System Disorders

<table>
<thead>
<tr>
<th>Services Requiring Skilled Care (Home Nursing or Therapy)</th>
<th>Services Requiring Nonskilled Care (Home Health Aide Services)</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Central line maintenance</td>
<td>• Ambulation/transfer assistance</td>
</tr>
<tr>
<td>• Complex treatment/wound care (such as sterile dressings, wound packing and medicated soaks)</td>
<td>• Bathing/dressing/changing linens</td>
</tr>
<tr>
<td>• Exercise (active/passive)</td>
<td>• Catheter care</td>
</tr>
<tr>
<td>• Intravenous (IV) medication administration</td>
<td>• Exercise (active/passive)</td>
</tr>
<tr>
<td>• Occupational therapy or physical therapy</td>
<td>• Intake and output (I&amp;O) records</td>
</tr>
<tr>
<td>• Oxygen therapy</td>
<td>• Minor treatment modalities</td>
</tr>
<tr>
<td>• Parenteral/enteral nutrition</td>
<td>• Oral care</td>
</tr>
<tr>
<td>• Respiratory treatments</td>
<td>• Feeding/fluid assistance as ordered</td>
</tr>
<tr>
<td>• Stimulation (verbal/tactile)</td>
<td>• Positioning</td>
</tr>
<tr>
<td>• Suctioning (frequency/secretion type)</td>
<td>• Safety measures (seizure precautions)</td>
</tr>
<tr>
<td>• Tracheostomy maintenance/change</td>
<td>• Skin care</td>
</tr>
<tr>
<td>• Tube feedings/maintenance of tube</td>
<td>• Splint or brace application</td>
</tr>
<tr>
<td>• Urinary catheter maintenance/change</td>
<td>• Stimulation</td>
</tr>
<tr>
<td>• Ventilator operation/maintenance</td>
<td>• Vital signs</td>
</tr>
<tr>
<td>• Vital signs</td>
<td></td>
</tr>
</tbody>
</table>

Note: Services appearing in both columns may be either skilled care or nonskilled care, as justified by the required plan of treatment during PA review.

### Indicators for Gastrointestinal Disorders

One of the following indicators must be present for a member to receive home health care for gastrointestinal (GI) disorders:

• Nutritional impairment
  – Malabsorption
  – Mechanical cause
• Stomatitis, pharyngitis or esophagitis
- Swallowing disorders
- Gastric reflux
- Vomiting
- Anorexia
- Pain
- Orthostatic blood pressure
- Significant rapid weight loss
- Morbid obesity greater than 200% optimal weight
- Periorbital/perirectal lesions
- Unhealed wound(s)
  - Surgical
  - Fistula, abscess, fissures
- Bacterial or parasitic infections
- Diarrhea
- Constipation
- Subtotal or total gastrectomy
- Ostomies
- Anemia
- Weakness and fatigue

One of the services in Table 2 must also be necessary for a member to receive home health services for GI disorders for GI disorders.
### Table 2 – Service Requirements for Members with Gastrointestinal Disorders

<table>
<thead>
<tr>
<th>Services Requiring Skilled Care</th>
<th>Services Requiring Nonskilled Care</th>
</tr>
</thead>
<tbody>
<tr>
<td>(Home Nursing or Therapy)</td>
<td>(Home Health Aide Services)</td>
</tr>
<tr>
<td>• Administration/maintenance</td>
<td>• Ambulation/transfer assistance</td>
</tr>
<tr>
<td>• Bowel training</td>
<td>• Bathing/dressing/changing linens</td>
</tr>
<tr>
<td>• Central line maintenance</td>
<td>• Exercise (active/passive)</td>
</tr>
<tr>
<td>• Complex treatment/wound care (such as sterile dressings, wound packing and medicated soaks)</td>
<td>• Feeding/fluid assistance as ordered</td>
</tr>
<tr>
<td>• Occupational therapy, physical therapy and speech therapy</td>
<td>• I&amp;O records</td>
</tr>
<tr>
<td>• Gastric tube medication administration</td>
<td>• Oral care</td>
</tr>
<tr>
<td>• I&amp;O records</td>
<td>• Skin care</td>
</tr>
<tr>
<td>• IV medication administration</td>
<td>• Weight monitoring</td>
</tr>
<tr>
<td>• Nasogastric tube placement</td>
<td></td>
</tr>
<tr>
<td>• Oral medication administration</td>
<td></td>
</tr>
<tr>
<td>• Ostomy care/irrigation</td>
<td></td>
</tr>
<tr>
<td>• Oxygen therapy</td>
<td></td>
</tr>
<tr>
<td>• Parenteral/enteral nutrition</td>
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<tr>
<td>• Vital signs</td>
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<tr>
<td>• Weight monitoring</td>
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<tr>
<td>• Ambulation/transfer assistance</td>
<td></td>
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<tr>
<td>• Bathing/dressing/changing linens</td>
<td></td>
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<tr>
<td>• Exercise (active/passive)</td>
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<tr>
<td>• Feeding/fluid assistance as ordered</td>
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<tr>
<td>• I&amp;O records</td>
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<tr>
<td>• Oral care</td>
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<tr>
<td>• Skin care</td>
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</tr>
<tr>
<td>• Weight monitoring</td>
<td></td>
</tr>
</tbody>
</table>

**Note:** Services appearing in both columns may be either skilled care or nonskilled care, as justified by the required plan of treatment during PA review.

### Indicators for Musculoskeletal Disorders

One of the following indicators must be present for a member to receive home health care for musculoskeletal disorders:

- Pain
- Loss of locomotor ability
- Decreased muscle strength
- Stiffness
- Joint pain, swelling, redness, tenderness
- Muscle wasting
- Paralysis
- Postamputation
- Multiple fractures
- Muscle spasms
- Potential for injury to self

One of the services in Table 3 must also be necessary for a member to receive home health services for musculoskeletal disorders.
## Table 3 – Service Requirements for Members with Musculoskeletal Disorders

<table>
<thead>
<tr>
<th>Services Requiring Skilled Care (Home Nursing or Therapy)</th>
<th>Services Requiring Nonskilled Care (Home Health Aide Services)</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Ambulation/transfer assistance</td>
<td>• Activities of daily living (ADLs) assistance</td>
</tr>
<tr>
<td>• Exercise (active/passive)</td>
<td>• Ambulation/transfer assistance</td>
</tr>
<tr>
<td>• Noninvasive treatments, comfort measures</td>
<td>• Bathing/dressing/changing linens</td>
</tr>
<tr>
<td>• Position changes</td>
<td></td>
</tr>
<tr>
<td>• Prosthesis, brace, splint assistance</td>
<td></td>
</tr>
<tr>
<td>• Treatments requiring sterile procedures</td>
<td></td>
</tr>
</tbody>
</table>

**Note:** Services appearing in both columns may be either skilled care or nonskilled care, as justified by the required plan of treatment during PA review.

## Indicators for Respiratory Disorders

One of the following indicators must be present for a member to receive home health care for respiratory disorders:

- Dyspnea
- Diminished quality of respiration (shallow, air hunger and so on)
  - Rate of respiration
  - Dyspnea at rest
  - Dyspnea with exertion
  - Cyanosis
  - Use of accessory muscles
  - Apnea/bradycardia
- Abnormal breath sounds
- Splinting respirations
- Strenuous coughing
- Excessive, tenacious secretions
- Ineffective airway clearance
- Abnormal arterial blood gases (ABGs)
- Decreased ability to be mobile due to dyspnea
- Irritability/depression
- Fatigue/weakness
- Anxiety

One of the services in Table 4 must also be necessary for a member to receive home health services for respiratory disorders.
Table 4 – Service Requirements for Members with Respiratory Disorders

<table>
<thead>
<tr>
<th>Services Requiring Skilled Care (Home Nursing or Therapy)</th>
<th>Services Requiring Nonskilled Care (Home Health Aide Services)</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Complex treatment/wound care (such as sterile dressings, wound packing and medicated soaks)</td>
<td>• Ambulation/transfer assistance</td>
</tr>
<tr>
<td>• IV medication administration</td>
<td>• ADL assistance</td>
</tr>
<tr>
<td>• Oral medication administration</td>
<td>• Bathing/dressing/changing linens</td>
</tr>
<tr>
<td>• Parenteral/enteral nutrition</td>
<td>• Exercise (active/passive)</td>
</tr>
<tr>
<td>• Respiratory treatments</td>
<td>• Feeding/fluid assistance as ordered</td>
</tr>
<tr>
<td>• Suctioning</td>
<td>• Oral care</td>
</tr>
<tr>
<td>• Tracheostomy maintenance/change</td>
<td>• Skin care</td>
</tr>
<tr>
<td>• Ventilator operation/maintenance</td>
<td>• Vital signs</td>
</tr>
<tr>
<td>• Vital signs</td>
<td></td>
</tr>
</tbody>
</table>

Note: Services appearing in both columns may be either skilled care or nonskilled care, as justified by the required plan of treatment during PA review.

Indicators for Urinary/Renal Disorders

One of the following indicators must be present for a member to receive home health care for urinary/renal disorders:

- Anemia
- Dyspnea
- Increased blood urea nitrogen (BUN)/creatinine
- Decreased mental acuity
- Increased blood pressure
- Abnormal electrolytes
- Oliguria
- Weakness/fatigue
- Decreased mobility
- Neuropathies
- New diagnosis of renal failure
- Vascular access
- Newly initiated hemodialysis
- Recent admission for renal failure
- Recent admission for urinary tract (UT) surgery
- Peritoneal dialysis
- Pain
- Edema
- Potential for self-injury
One of the services in Table 5 must also be necessary for a member to receive home health services for urinary/renal disorders.

### Table 5 – Service Requirements for Members with Urinary/Renal Disorders

<table>
<thead>
<tr>
<th>Services Requiring Skilled Care (Home Nursing or Therapy)</th>
<th>Services Requiring Nonskilled Care (Home Health Aide Services)</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Complex treatment/wound care (such as sterile dressings, wound packing and medicated soaks)</td>
<td>• Ambulation/transfer assistance</td>
</tr>
<tr>
<td>• I&amp;O records</td>
<td>• Bathing/dressing/linen change</td>
</tr>
<tr>
<td>• Urinary catheter care (ureteral or suprapubic), including irrigation</td>
<td>• Exercise (active/passive)</td>
</tr>
<tr>
<td>• Vital signs</td>
<td>• I&amp;O records</td>
</tr>
<tr>
<td>• Weight monitoring</td>
<td>• Nutritional teaching reinforcement</td>
</tr>
<tr>
<td></td>
<td>• Oral care</td>
</tr>
<tr>
<td></td>
<td>• Safety measures</td>
</tr>
<tr>
<td></td>
<td>• Skin care</td>
</tr>
<tr>
<td></td>
<td>• Vital signs</td>
</tr>
<tr>
<td></td>
<td>• Weight monitoring</td>
</tr>
</tbody>
</table>

**Note:** Services appearing in both columns may be either skilled care or nonskilled care, as justified by the required plan of treatment during PA review.

### Home Health Care Hourly Determination Guidelines

The following guidelines are used to determine the appropriate number of hours authorized for home health services. These are guidelines only and do not override medical decisions based on individual case review.

Factors for consideration when determining the hours of service to be approved include the following:

- Severity of illness and symptoms
- Stability of condition and symptoms
- Change in medical condition that affects the type or units of service that can be authorized
- Treatment plan, including identified goals
- Intensity of care required to meet needs
- Complexity of needs
- Amount of time required to complete treatment tasks
- Whether the services required in the current treatment plan are consistent with prior treatment plans
- Need for instructing the member on self-care techniques in the home or need for instructing the caregiver on caring for the member in the home, or both
- Other home care services currently being used, including but not limited to Medicare, Medicaid waiver programs, Community and Home Option to Institutional Care for the Elderly and Disabled (CHOICE), vocational rehabilitation, and private insurance
- Whether the member works or attends school outside the home, including what assistance is required
• Caregivers available to provide care for the member, including the following considerations:
  – Number of caregivers available
  – Whether the caregiver works outside the home
  – Whether the caregiver attends school outside the home
  – Whether the caregiver has additional childcare responsibilities
  – Physical limitations of available caregivers that limit their ability to provide care to the member
  – Number of hours requested, compared to availability of caregiver time (The provider is responsible for coordinating home care services with the caregiver’s work or school schedule to meet the member’s needs.)

Special situations may occur where additional home health hours may be authorized on a short-term or temporary basis. These situations are evaluated individually, on a case-by-case basis. Examples of these situations include the following:

• Significant deterioration in the member’s condition, particularly if additional hours will prevent an inpatient or extended inpatient hospital admission
• Major illness or injury of the caregiver with expectation of recovery, including, but not limited to:
  – Illness or injury that requires an inpatient acute-care stay
  – Chemotherapy or radiation treatments
  – A broken limb, which would impair the caregiver’s ability to lift the member
• Temporary but significant change in the home situation, including but not limited to:
  – A caregiver’s call to military duty (substantiated in writing by the commanding officer or other military representative)
  – Temporary unavailability due to employment responsibilities (substantiated in writing by the employer)
• Significant permanent change in the home situation, including but not limited to death or divorce with loss of a caregiver. Additional units of service may be authorized for a short period of time to assist in providing a transition.

**Up to 12 (or 16) Hours a Day of Home Health Services**

Members requiring 24-hour monitoring may be authorized for up to **12 hours** a day of skilled nursing or home health aide services to prevent deterioration in life sustaining systems. Examples of these conditions include but are not limited to:

• Severe respiratory conditions resulting from:
  – Pulmonary disorders, such as bronchopulmonary dysplasia
  – Cystic fibrosis, bronchitis or asthma
  – Central nervous system disorders
  – Cardiovascular disorders, such as cardiac anomalies
  – Neuromuscular disorders, such as muscular dystrophy and Guillain-Barré syndrome
• Dependency on mechanical ventilator assistance
• Tracheostomy
Special situations may occur where home health hours may be approved for up to 16 hours per day of skilled care on an ongoing basis, although each individual situation must be evaluated with a PA request. These special situations include but are not limited to:

- A single caregiver is available, and that caregiver also works full-time (or a significant number of part-time hours) outside the home. This situation applies in cases where there is only one adult caregiver in the home and in situations where there may be two adults present, but one is unable to provide any care (or only a very limited amount of care) due to physical disability or severe physical limitations. The caregiver’s qualified treating practitioner must substantiate the caregiver’s disability in writing.

- The caregiver has significant additional childcare responsibilities. Significant is defined as any of the following:
  - Three or more children under the age of 6
  - Four or more children under the age of 10
  - One or more children with special medical care needs requiring extensive medical and physical care above and beyond the needs of the average well child (If the IHCP is not providing services to this child at home also, the child’s qualified treating practitioner must provide a statement of the child’s medical needs. The same caregivers must be caring for these children, as well as for the member for whom the PA request has been submitted.)

### Eight Hours a Day of Home Health Services

Members who require extensive care and daily monitoring of their medical/physical conditions, but who do not possess the same degree of potential to deteriorate quickly into life-threatening situations as do members requiring 24-hour monitoring, may receive up to eight hours of home health care daily. An additional hour or two may be allowed for transportation to and from work in situations where the caregivers work full-time outside the home.

Examples of these situations include but are not limited to:

- Chronic, debilitating conditions, such as quadriplegia or severe forms of cerebral palsy, muscular dystrophy, spina bifida or other congenital anomalies
- Conditions that require equipment or treatment needs with potential for serious complications – for example, central lines, Hickman catheters, or nutrition provided by hyperalimentation or gastrostomy tube feedings
- Conditions that require frequent treatments, such as physical or occupational therapy
- Members requiring skilled nursing assistance to attend school
- Members receiving multiple medications that require monitoring for severe side effects or responses

### Three to Seven Hours a Day of Home Health Services

Members without the severity of conditions noted in the previous sections, but who require primarily heavy physical care with some skilled nursing monitoring to avoid deterioration, may receive three to seven hours of home health care per day. These members are generally stable but with chronic conditions such as congenital anomalies, neuromuscular disorders, central nervous system disorders or other disorders that severely disrupt the capacity to care for one’s self.

Consideration may be given to paraplegics, quadriplegics or other members with disabilities that render them unable to provide self-care, such as bathing or dressing, but who are able to drive mechanically altered vehicles to maintain meaningful employment and a relationship with the community. Such adults may be considered for assistance from a home health aide for up to four hours per day. The agency may split the hours between morning and evening to attend to the bedtime needs of the member. This service is subject to medical necessity, and documentation must demonstrate the need.
Home Health Prior Authorization Policies

All home health services require prior authorization (PA), except as outlined in PA Exception for Hospital Discharge section. For specific PA criteria for home health services, see Indiana Administrative Code 405 IAC 5-16.

An authorized representative of the home health agency submits PA requests for home health agency services, along with supporting documentation, to the IHCP PA contractor. An increase in home health services, except in the case of urgent or emergency services, also requires a written request with supporting documentation of medical necessity.

Providers can submit FFS PA requests electronically through the IHCP Provider Healthcare Portal (Portal) or 278 electronic transaction. Providers can also complete the Indiana Health Coverage Programs Prior Authorization Request Form, which is available on the Forms page at in.gov/medicaid/providers, and submit it by mail or fax using the following contact information:

Gainwell – Prior Authorization
P.O. Box 7256
Indianapolis, IN 46207-7256
Fax: 800-689-2759

In certain circumstances, such as to facilitate discharge from a hospital or when a delay in approval could result in a serious deterioration of the patient’s medical condition, PA for the initiation of home health services may be requested by telephone to initiate home health services. Providers can call 800-457-4584, option 7.

See the Prior Authorization module for detailed information on submitting PA requests.

Home Health PA Documentation

The following documentation must be submitted with the PA request for all home health services:

- Copy of the written plan of treatment that was developed by the qualified treating practitioner, home health agency personnel and (if applicable) therapists that:
  - Has been signed by the qualified treating practitioner
  - Is current through the date of request
  - Include the following:
    - Date of onset of the medical problems
    - Progress notes regarding the necessity, effectiveness and goals of therapy services
    - Mental status
    - Types of services and equipment required
    - Frequency of visits
    - Prognosis
    - Rehabilitation potential
    - Functional limitations
    - Activities permitted
    - Nutritional requirements
    - Medications and treatments
    - Safety measures to protect against injury
    - Instructions for timely discharge or referral
    - Other relevant information
• Documentation of a face-to-face encounter as described in the Certification of Medical Necessity of Home Health Care section (initial PA request only; not required with reauthorization requests to extend the initial PA period)

• Estimate of costs for the required services as ordered by the physician or other qualified practitioner and set out in the written plan of treatment:
  – The cost estimate must be provided with the plan of treatment and signed by the attending practitioner
  – The estimate must reflect the cost of each service requested, plus the overhead rate for the time periods requested, as reflected on the plan of treatment.

• Number and availability of nonpaid caregivers that assist in member care (even if the number is zero), and availability of each nonpaid caregiver, including
  – Whether the caregiver works outside the home and, if so, a copy of the caregiver’s work schedule from the employer
  – Whether the caregiver attends school outside of the home and, if so, a copy of the caregiver’s class schedule from the school
  – Whether the caregiver has additional childcare responsibilities
  – Reasonably predictable or long-term physical limitations of that limit the caregiver’s ability to provide care to the member

• Amount of time required to complete treatment tasks (number of hours per day, number of visits per day, and number of days per week the service is to be provided)

• Intensity of care required to meet needs

• Documentation of whether the member works or attends school outside the home, including what assistance is required

• Number of hours per day and number of days per week the member receives other home health service, from non-Medicaid sources including (but not limited to) the following:
  – Medicare
  – CHOICE program
  – Medicaid waiver programs
  – Private insurance
  – Vocational rehabilitation

• Number of members receiving home health services within the same household, so that care can be coordinated to use services in the most efficient manner

Note: A home care situation in which more than one member of a single household is receiving home health services is called a multiple-member care situation. In these situations, care must be coordinated in the most efficient manner. Multiple-member care situations must be reported on each member’s individual PA request. See the Overhead Rate section for special billing for multiple-member care situations.

An original signature or signature stamp is required on the Indiana Health Coverage Programs Prior Authorization Request Form, as well as on all State forms submitted as attachments to the request. The IHCP allows electronic signatures on supporting documents (such as qualified practitioner orders and plans of treatment) submitted with PA requests for home health and hospice services. See the Prior Authorization module for more information.
**PA for Home Health Nursing and Home Health Aide Services**

PA is required for all home health services rendered by RNs, LPNs or home health aides from agencies that are IHCP providers, with the exception of services ordered in writing by a qualified practitioner before the member’s discharge from an inpatient hospital. Such services may continue without PA for up to a total of 120 hours delivered within 30 days of discharge. (See the **PA Exception for Hospital Discharge** section for details.)

Home health services provided by an RN, LPN or home health aide must meet the following criteria:

- Ordered in writing by a qualified practitioner within their scope of practice
- Provided in accordance with a written plan of treatment developed by the qualified attending practitioner
- Medically necessary
- Less expensive than any alternate mode of care
- Provided in accordance with all other requirements for nursing services as laid out in 405 IAC 5-22-2

Written evidence of qualified practitioner involvement and personal patient evaluation are required to document the acute medical needs. A current plan of treatment and progress notes as to the necessity and effectiveness of nursing services must be attached to the prior authorization request and available for postpayment audit purposes. The qualified attending practitioner must review the plan of treatment every 60 days and reorder the service if medically necessary.

PA requests for **home health aide** services are based on procedure code 99600 – **Unlisted home visit, service, or procedure.** For **home health nursing** (both LPN and RN) services, the PA request is based on procedure code 99600 along with modifier **TD** – *Registered nurse (RN).* PA requests for home nursing do not need to indicate whether an RN or an LPN is to perform the service, because that level of detail is reported on the claim. When home health providers bill 99600 with either modifier **TE** – *Licensed practical nurse (LPN)* or modifier **TD** – *Registered nurse,* the IHCP Core Medicaid Management Information System (CoreMMIS) uses the PA units approved for the nursing service as 99600 TD.

**PA for Home Health Therapy Services**

PA is required for all home health therapy services, with the exception of occupational therapy, physical therapy and speech-language pathology services ordered in writing by a physician or other qualified practitioner before the member’s discharge from an inpatient hospital, limited to a combined total of 30 units of service within 30 days of discharge. If additional services are required, PA must be obtained. (See the **PA Exception for Hospital Discharge** section for details.)

Occupational therapy, physical therapy and speech-language pathology services provided by a home health agency must meet the following criteria:

- Ordered in writing by a qualified practitioner within their scope of practice
- Provided by an appropriately licensed, certified or registered therapist employed or contracted by the home health agency
- Provided in accordance with a written plan of treatment developed cooperatively between the therapist and the qualified practitioner within their scope of practice
- Medically necessary
- Provided in accordance with all other requirements for these services (see the **Therapy Services** module)
Orders for therapy services must include the specific procedures and modalities to be used, and the amount, frequency and duration of each.

Written evidence of qualified practitioner involvement and personal member evaluation is required to document the acute medical needs. A current plan of treatment and progress notes about the necessity and effectiveness of therapy must be attached to the PA request, and a copy must be available for postpayment audit.

**PA Exception for Hospital Discharge**

Providers can perform certain home health services without PA following a member’s discharge from an inpatient hospital if a physician or other qualified practitioner orders the service in writing prior to the member’s discharge:

- RN, LPN and home health aide services, not to exceed 120 units within 30 calendar days following the discharge
- Any combination of therapy services, not to exceed 30 units in 30 calendar days following the discharge

The hospital discharge date is counted as day 1.

Providers should use occurrence code 42 with the corresponding date of discharge in the occurrence code and occurrence date fields of the institutional claim (fields 31a–34b on the UB-04 claim form) to bypass PA requirements associated with the preceding parameters.

Home health services may not continue beyond the limits noted unless PA is obtained. When a provider bills for services exceeding the limitations established in the IAC, and the provider has not received PA for additional units, CoreMMIS automatically denies or cuts back units on the Remittance Advice (RA).

The IHCP does not require PA for an emergency visit, but providers must request a Prior Authorization System Update from the PA contractor to continue service provision.

**Home Health Billing Procedures**

To ensure appropriate reimbursement, Traditional Medicaid home health claims should be submitted as an institutional claim (UB-04 claim form, Portal institutional claim or the 837I electronic transaction). The institutional claim includes fields for reporting overhead amounts and procedure codes applicable to the service provided. The occurrence code for the overhead and procedure codes related to each home health discipline are included in Table 6.

<table>
<thead>
<tr>
<th>Code</th>
<th>Service Performed By</th>
<th>Billing Unit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Occurrence code 73</td>
<td>[Overhead]</td>
<td>One unit per provider per member per day</td>
</tr>
<tr>
<td>Procedure code and modifier 99600 TD</td>
<td>Registered nurse</td>
<td>Hourly</td>
</tr>
<tr>
<td>Procedure code and modifier 99600 TE</td>
<td>Licensed practical nurse</td>
<td>Hourly</td>
</tr>
<tr>
<td>Procedure code 99600</td>
<td>Home health aide</td>
<td>Hourly</td>
</tr>
<tr>
<td>Procedure code G0151</td>
<td>Physical therapist</td>
<td>15-minute increments</td>
</tr>
<tr>
<td>Procedure code G0152</td>
<td>Occupational therapist</td>
<td>15-minute increments</td>
</tr>
<tr>
<td>Procedure code G0153</td>
<td>Speech-language pathologist</td>
<td>15-minute increments</td>
</tr>
</tbody>
</table>
Home health providers follow the general billing directions for completing the institutional claim, as described in the *Claim Submission and Processing* module, with the exception of the service date (as described in the following sections).

In the HCPCS/Rates/HIPPS field (field 44 of the *UB-04* claim form), providers must enter the Healthcare Common Procedure Coding System (HCPCS)/Current Procedural Terminology (CPT®) procedure codes (and modifiers, if needed) for the services provided, as well as the applicable revenue code (although reimbursement is based on procedure code only). Table 7 lists applicable revenue codes and the corresponding procedure codes.

### Table 7 – Revenue Codes and Corresponding Procedure Codes for Home Health Services

<table>
<thead>
<tr>
<th>Revenue Code</th>
<th>Procedure Codes</th>
</tr>
</thead>
<tbody>
<tr>
<td>420</td>
<td>G0151</td>
</tr>
<tr>
<td>421</td>
<td>G0151</td>
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<tr>
<td>422</td>
<td>G0151</td>
</tr>
<tr>
<td>423</td>
<td>G0151</td>
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<tr>
<td>424</td>
<td>97161–97163</td>
</tr>
<tr>
<td>429</td>
<td>G0151</td>
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<tr>
<td>430</td>
<td>G0152</td>
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<tr>
<td>431</td>
<td>G0152</td>
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<td>432</td>
<td>G0152</td>
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<td>433</td>
<td>G0152</td>
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<tr>
<td>434</td>
<td>97165–97167</td>
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<tr>
<td>439</td>
<td>G0152</td>
</tr>
<tr>
<td>440</td>
<td>G0153</td>
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<td>441</td>
<td>G0153</td>
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<td>G0153</td>
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<td>443</td>
<td>G0153</td>
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<tr>
<td>444</td>
<td>92521–92524</td>
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<tr>
<td>449</td>
<td>G0153</td>
</tr>
<tr>
<td>552</td>
<td>99600 TD, 99600 TE</td>
</tr>
<tr>
<td>559</td>
<td>99601, 99602</td>
</tr>
<tr>
<td>572</td>
<td>99600</td>
</tr>
</tbody>
</table>

Submit home health claims electronically or mail them to the following address for processing:

**Gainwell – UB-04 Claims**
P.O. Box 7271
Indianapolis, IN 46207-7271

Note: These billing instructions do not apply to home-based services provided through an HCBS waiver program. See the *Home- and Community-Based Services Billing Guidelines* module for information about billing HCBS waiver services.

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**Unit of Service**

Each line item identifies services billed using procedure codes and service dates. Providers must bill each date of service as a separate line item and bill each level of service, such as RN or LPN, provided on the same date as a separate line item. The procedure code description defines the unit of service. When home health providers perform the same service, such as multiple RN visits on the same date of service, they must bill those services on the same claim form and on one detail with the total number of units of services provided. Billing separate lines for the same service with the same date of service causes claims to be denied as exact duplicates. The Family and Social Services Administration (FSSA) sets the rate for each procedure code.

The billing units of home health visits for therapists, home health aides, LPNs and RNs are as follows:

- For therapy visits – Therapy codes are measured as one unit equals 15 minutes. If the therapist is in the home eight minutes or more, the provider can round the visit up to the 15-minute unit of service. If the therapist is in the home for seven minutes or less, the provider cannot round this up and, therefore, cannot bill for it.

- For home health aide, LPN or RN visits – Nursing services are measured as one unit equals 1 hour. If the home health aide, LPN or RN is in the home for fewer than 29 minutes, providers can bill for the entire first hour only if they provided a service. For subsequent hours in the home, providers should:
  - Round up any partial unit of service of 30 minutes or more to the next highest unit.
  - Round down any partial unit of service of 29 minutes or less to the next lowest unit.

(For example, 85 minutes spent on billable patient care activities is rounded down to one unit, and 95 minutes spent on billable patient care activities is rounded up to two units.)

If the therapist, home health aide, LPN or RN enters the home and the member refuses service, providers cannot bill for any unit of service. Overheads are linked with reimbursement for services provided. When the provider does not render a service, the IHCP does not reimburse the provider for overhead.

**Overhead Rate**

Home health agencies may report only one overhead per provider per member per day. Providers that submit more than one claim in a multiple-member care situation (home health services provided to multiple members in the same household) should attach the overhead to only one of the submitted claims. As long as the overhead is attached to only one member, it does not matter to which member it is attached.

Providers use the appropriate occurrence code and corresponding dates to indicate the appropriate overhead fee for a claim. Providers must bill home health overhead with occurrence code 73, indicating that one encounter with the member occurred on the date shown.

Providers should use the following guidance when billing the overhead occurrence code for nonconsecutive and consecutive dates of service on the UB-04 claim form or Portal institutional claim:

- If the dates of service billed are not consecutive:
  - On the UB-04 claim form, for each nonconsecutive date of service billed, providers should enter the occurrence code and the corresponding date in the Occurrence Code and Date fields (31a–34b).
  - On the Portal institutional claim, for each nonconsecutive date of service billed, in the Occurrence Codes panel, providers should enter the occurrence code and the corresponding date, using the same date in both the From Date and To Date fields for each entry.

- If the dates of service billed are consecutive, and one encounter was provided every day:
  - On the UB-04 claim form, providers should enter the appropriate occurrence code and the first and last dates of service being billed in the Occurrence Span Code, From, and Through fields (35a–36b).
On the Portal institutional claim, use the same occurrence code fields as are used for nonconsecutive dates, but use the From Date and To Date fields to indicate that the single code entry represents a span.

Note: Providers should not add the dollar figures associated with the overhead rates to the claim when calculating total charges. The RA or 835 transaction automatically reflects the appropriate overhead amounts.

**Multiple-Visit Billing**

When providers make multiple visits for the same prior-authorized service to a member during a single day, providers should bill all visits on the same claim form and on one detail with the total number of units of service provided. If providers bill these services on separate claim forms or on separate claim details, the IHCP denies one or more of the services as a duplicate service.

If additional hours of the same service are identified after a claim has been adjudicated and paid, providers must submit a paid claim adjustment. Procedures for submitting a paid claim adjustment are in the [Claim Adjustments](#) module.

Home health agency providers should be aware that rotating personnel in the home merely to increase billing is not appropriate.

**Example:** A home health agency sent an RN to a member’s home in the morning and an LPN to the same home in the evening of March 15, 2019. The first nurse performed two hours of RN services in the morning, and the second nurse performed two hours of LPN services in the evening of March 15, 2019.

**Detail 1:** Revenue code 552 with CPT code 99600 TD. The date of service is 3/15/19 and the unit of service is 2.

**Detail 2:** Revenue code 552 with CPT code 99600 TE. The date of service is 3/15/19 and the unit of service is 2.

**Registered Nurse Delegation to Home Health Aides**

The IHCP has specific guidelines for tasks that are to be performed by RNs versus those performed by home health aides. Home health agency providers are expected to staff according to these guidelines. For federal and State regulations related to home health aide services, see 42 CFR 484.36 and 410 IAC 17-14-1(g)-(n).

The IHCP may grant PA for skilled services under the home health benefit; however, the home health agency must bill the IHCP for services that were provided as follows: The skilled nurse renders home health aide services because the agency was unable to contract a home health aide.

The agency must then document that the nurse rendered the home health aide service. The agency must bill the IHCP using the appropriate code for home health aide services. If the postpayment review identifies that the agency billed for skilled nursing services rather than for home health aide services, the IHCP recoups the overpayment.

**Initial Evaluations for Physical Therapy, Occupational Therapy and Speech-Language Pathology in Home Settings**

Home health providers should use the CPT procedure code and corresponding revenue code listed in the following table, as appropriate, when billing for initial evaluations for physical therapy, occupational therapy or speech-language pathology in home settings. Although PA is generally not required for initial evaluations for therapy services, PA is required if initial evaluation is performed in the home.
Table 8 – Codes for Billing Initial Evaluations for Physical Therapy, Occupational Therapy and Speech-Language Pathology in Home Settings

<table>
<thead>
<tr>
<th>Therapy Service</th>
<th>Procedure Code and Description</th>
<th>Revenue Code and Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Physical</td>
<td>97161 – Physical therapy evaluation, low complexity</td>
<td>424 – Evaluation or re-evaluation (for physical therapy)</td>
</tr>
<tr>
<td></td>
<td>97162 – Physical therapy evaluation, moderate complexity</td>
<td></td>
</tr>
<tr>
<td></td>
<td>97163 – Physical therapy evaluation, high complexity</td>
<td></td>
</tr>
<tr>
<td>Occupational</td>
<td>97165 – Occupational therapy evaluation, low complexity</td>
<td>434 – Evaluation or re-evaluation (for occupational therapy)</td>
</tr>
<tr>
<td></td>
<td>97166 – Occupational therapy evaluation, moderate complexity</td>
<td></td>
</tr>
<tr>
<td></td>
<td>97167 – Occupational therapy evaluation, high complexity</td>
<td></td>
</tr>
<tr>
<td>Speech-Language</td>
<td>92521 – Evaluation of speech fluency (eg, stuttering, cluttering)</td>
<td>444 – Evaluation or re-evaluation (for speech therapy – language pathology)</td>
</tr>
<tr>
<td></td>
<td>92522 – Evaluation of speech sound production (eg, articulation, phonological process, apraxia, dysarthria)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>92523 – Evaluation of speech sound production (eg, articulation, phonological process, apraxia, dysarthria); with evaluation of language comprehension and expression (eg, receptive and expressive language)</td>
<td></td>
</tr>
<tr>
<td></td>
<td>92524 – Behavioral and qualitative analysis of voice and resonance</td>
<td></td>
</tr>
</tbody>
</table>

**Home Infusion and Enteral Therapy Services**

Home infusion includes the following:

- Enteral feeding within, or by way of, the intestine
- Enteral tube feeding that includes the provision of nutritional requirements through a tube into the stomach or small intestine
- Parenteral therapy that includes any route other than the alimentary canal, such as intravenous, subcutaneous, intramuscular or mucosal
- Total parenteral nutrition therapy (TPN)

**Billing for Home Infusion and Enteral Therapy**

The following provider types may bill for home infusion and enteral therapy services and supplies:

- DME and home medical equipment (HME) providers
- Home health agencies
- Pharmacies
Providers should bill separately for the following three components of home infusion and enteral therapy:

- **DME and HME providers** bill all supplies, equipment and formulas required to administer home infusion and enteral therapy on a CMS-1500 claim form or electronic equivalent (Portal professional claim or 837P transaction) using the appropriate HCPCS code.

- **Home health agencies** bill only for services provided in the home by an RN or LPN on a UB-04 claim form or electronic equivalent using the appropriate HCPCS codes.

- **Pharmacies** bill for compound drugs or any drugs used in parenteral therapy on an Indiana FSSA Drug Claim Form or electronic equivalent using the appropriate National Drug Code (NDC).

A home health agency may bill all three components using the proper billing forms and appropriate codes if the agency maintains multiple enrollments as a home health agency, a pharmacy, and a DME or HME provider.

**Billing for Home Uterine Monitoring Device**

Home health agencies can bill for infusion therapy using a home uterine monitor with the following procedure codes:

- 99601 – *Home infusion/specialty drug administration, per visit (up to two hours)*
- 99602 – *Home infusion/specialty drug administration, per visit (up to two hours); each additional hour*

Providers are allowed to bill one unit of service daily and should use revenue code 559 when billing 99601 or 99602.

Codes 99601 and 99602 cover the following items:

- Home uterine monitor
- Skilled nursing services that include the following:
  - Initial nursing assessment
  - Instructions given to the patient about the proper use of the monitor
  - Home visits to monitor signs and symptoms of preterm labor
  - Twenty-four-hour telephone support for troubleshooting the monitoring equipment and for reporting patient symptoms

Any costs involved in transmitting reports to the treating practitioner electronically, such as fax or telephone modem, are included in the payment. In addition, all supplies for each therapy are bundled into a daily rate, and home health agencies are not allowed to bill separately for any supplies associated with these therapies. Home health agencies are also not allowed to bill an overhead charge when daily infusion services do not include an actual encounter in the home.

**Home Health Reimbursement**

Pursuant to 405 IAC 1-4.2, home health providers are reimbursed for covered and prior-authorized services provided to IHCP members through standard, statewide rates computed by adding together the following two costs:

- Overhead cost rate
- Staffing cost rate multiplied by the number of hours spent performing billable patient care activities

When home health rate changes occur, the IHCP announces the updated rates in a bulletin. To view bulletins, providers can go to the *Bulletins* page at in.gov/medicaid/providers and select View Bulletins.
Then search by keywords “home health” to find the most current publication containing home health rates. If needed, check the Archived documents box above the keyword search.

See Table 6 for information about billing home health services. For coverage and rate information, see the Professional Fee Schedule, accessible from the IHCP Fee Schedules page at in.gov/medicaid/providers.

Electronic Visit Verification for Home Health Services

The 21st Century Cures Act directs Medicaid programs to require home health service providers to use an electronic visit verification (EVV) system to document services rendered. The IHCP will require the use of an EVV system to document home health services by Jan. 1, 2023.

The IHCP has developed a federally compliant EVV system that interfaces with CoreMMIS. The State-sponsored EVV system also offers aggregator functionality to accept data from alternate EVV systems that providers may already be using or will opt to use in the future. Affected providers that use an alternate EVV system of their choice are responsible for ensuring that the system selected complies with federal requirements, including documentation of the following information:

- Type of service performed
- Individual receiving the service
- Date of the service
- Location of service delivery
- Individual providing the service
- Time the service begins and ends

For more information on EVV, see the Electronic Visit Verification page at in.gov/medicaid/providers.