List of Policy Modules

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Services
Anesthesia Services

This information has been incorporated into the Anesthesia Services provider reference module.
Cardiac Rehabilitation

Description of Service
Progressive exercise programs have demonstrated benefit in the management and rehabilitation of individuals with cardiac disease, especially following certain cardiac events. Cardiac rehabilitation programs are typically divided into three stages.

- The initial stage (Phase I) involves the most intensive supervision and occurs in an inpatient setting. A Phase I program is typically initiated during the acute convalescent period following a cardiac event.
- The second stage (Phase II) begins with an overall treatment plan, including a physician's prescription for progressive exercise based on the individual's clinical status and physical capacity. Phase II programs incorporate close monitoring and individualized progressive increases in the intensity of physical activity, as well as lifestyle changes, such as dietary modifications and smoking cessation. Phase II exercise programs for cardiac patients may be conducted in specialized, freestanding, cardiac rehabilitation clinics, as well as in outpatient hospital departments.
- The third stage (Phase III) is an ongoing maintenance period consisting of continued lifestyle changes and aerobic exercise. All phases of cardiac rehabilitation programs include individualized exercises and behavior-change therapy with the intention of returning the patient to an active life with minimized symptoms.

Medical Policy
Required Components
The IHCP provides reimbursement for comprehensive cardiac rehabilitation programs. Cardiac rehabilitation requires that specific components be included in the rehabilitation program.

Required components include:
- Medical evaluation
- A program to modify cardiac risk factors (e.g., nutritional counseling, assessing smoking status, history and control of diabetes or hypertension, lipid management, weight management, and any psychosocial interventions such as depression screening)
- Prescribed exercise
- Education
- Counseling
- Under the direct supervision of a physician

Phase I
Phase I reimbursement is included in the inpatient diagnosis related group (DRG); therefore, IHCP does not provide separate reimbursement for Phase I.
Phase II

IHCP reimbursement is available for cardiac rehabilitation services for Phase II when considered medically reasonable and necessary. The member must be referred by the physician and must have at least a moderate level of risk stratification. Services provided in connection with a cardiac rehabilitation program may be considered reasonable and necessary up to a maximum of 36 sessions, usually three sessions a week in a single 12-week period.

Coverage for continued participation in a cardiac rehabilitation program beyond 12 weeks requires documentation (in the member’s medical record) that fully supports the medical necessity for cardiac rehabilitation along with exit criteria, as it is covered by IHCP.

Reimbursement is not available for Phase II cardiac rehabilitation services exceeding a maximum of 24 weeks.

The members must have had one of the following preceding the initiation of the Phase II program:

- Stable angina pectoris – International Classification of Diseases, Tenth Revision, Clinical Modification (ICD-10-CM) diagnosis codes I20.1 through I20.9 – with reduced activity tolerance substantially altering lifestyle. Stable angina is defined as exertional chest pains with a constant threshold, predictable symptoms, and the ability to adjust one’s activity and medications to avoid symptoms. Members who qualify for a Phase II cardiac rehabilitation program are expected to have a functional classification of Class II or Class III on the Canadian Cardiovascular Society Functional Classification, as follows:
  - Class I: Ordinary physical activity, such as walking and climbing stairs, does not cause angina. Angina may occur with strenuous, rapid, or prolonged exertion at work or during recreation.
  - Class II: Slight limitation of ordinary activity, including walking or climbing stairs; rapidly walking uphill; walking or stair climbing after meals, in cold, in wind, or when under emotional stress, or only during the few hours after awakening; walking more than two blocks on a level surface and climbing more than one flight of ordinary stairs at a normal pace and under normal conditions.
  - Class III: Marked limitation of ordinary physical activity, such as walking one to two blocks on a level surface and climbing more than one flight in normal conditions
  - Class IV: Inability to carry on any physical activity without discomfort; anginal syndrome may be present at rest.
- Documented diagnosis of acute myocardial infarction (MI) within the preceding 12 months
- Coronary artery-bypass surgery
- Heart-valve repair/replacement
- Percutaneous transluminal coronary angioplasty (PTCA) or coronary stenting
- Heart or heart-lung transplant

A routine cardiac rehabilitation visit must include at least one of the following services:

- Continuous electrocardiogram (ECG) telemetric monitoring during exercise
Cardiac Rehabilitation

Other cardiac rehabilitation services may include but are not limited to the following:

- New patient comprehensive evaluation, including history, physical, and preparation of initial exercise prescription. One comprehensive evaluation is allowed and separately payable at the beginning of the program, if not already performed by the member’s attending physician, or if the evaluation performed by the member’s attending physician is not acceptable to the program’s director. An assessment performed by a nurse or other personnel does not meet this requirement.
- ECG stress test (treadmill or bicycle ergometer) with physician monitoring and report. One is allowed at the beginning of the program and one after three months (usually at the completion of the program). Pharmacologic stress testing may be indicated in certain circumstances and would be allowed with appropriate documentation of medical necessity in the member’s medical records.

Cardiac rehabilitation programs may be provided by the outpatient department of a hospital or in a freestanding cardiac rehabilitation facility. The IHCP requires facilities rendering cardiac rehabilitation services to be:

- Staffed by personnel necessary to conduct the program safely and effectively, who are trained in both basic and advanced life-support techniques and in exercise therapy for coronary disease; and
- The facility must have available for immediate use the necessary cardiopulmonary, emergency, diagnostic, and therapeutic life-saving equipment accepted by the medical community as medically necessary – for example, oxygen, cardiopulmonary resuscitation equipment and defibrillator.

**Phase III**
IHCP does not provide reimbursement for Phase III cardiac rehabilitation programs.

A member may progress to the maintenance (Phase III) program when the following criteria are met:

- The member has achieved a stable level of exercise tolerance without ischemia or dysrhythmia, as evidenced by an ECG.
- Symptoms of angina or dyspnea are stable at the member’s maximum exercise level.
- The member’s resting blood pressure and heart rate are within normal limits, or are stable on optimal medical therapy.
- The stress test is not positive during exercise. (A positive test in this context means an ECG with a junctional depression of greater than or equal to two millimeters, associated with slowly rising, horizontal, or down-sloping ST segment).
Prior Authorization
Prior authorization is not required for cardiac rehabilitation services.

Billing and Coding
Phase II cardiac rehabilitation services are to be billed with the appropriate Current Procedural Terminology (CPT®) procedure code, as noted below, and with an appropriate ICD-10-CM diagnosis code, as described below.

According to the ICD-10-CM coding narratives, cardiac rehabilitation that begins within four weeks of the date of the infarction should be coded as I21.01 – I21.4. Cardiac rehabilitation beginning eight weeks or more from the date of the infarction (but less than 52 weeks) should be coded as I25.2 or I25.6.

CPT® Procedure Codes for Phase II Cardiac Rehabilitation

<table>
<thead>
<tr>
<th>CPT® Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>93797</td>
<td>Physician or other qualified health care professional services for outpatient cardiac rehabilitation; without continuous ECG monitoring (per session)</td>
</tr>
<tr>
<td>93798</td>
<td>Physician or other qualified health care professional services for outpatient cardiac rehabilitation; with continuous ECG monitoring (per session)</td>
</tr>
</tbody>
</table>

ICD-10-CM Diagnosis Codes for Phase II Cardiac Rehabilitation

<table>
<thead>
<tr>
<th>ICD-10-CM Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>I20.8</td>
<td>Other forms of angina pectoris</td>
</tr>
<tr>
<td>I20.9</td>
<td>Angina pectoris, unspecified</td>
</tr>
<tr>
<td>I21.01</td>
<td>ST elevation (STEMI) myocardial infarction involving left main coronary artery</td>
</tr>
<tr>
<td>I21.09</td>
<td>ST elevation (STEMI) myocardial infarction involving other coronary artery of anterior wall</td>
</tr>
<tr>
<td>I21.11</td>
<td>ST elevation (STEMI) myocardial infarction involving right coronary artery</td>
</tr>
</tbody>
</table>

Note: HIP Basic members are limited to 60 physical therapy (PT), occupational therapy (OT), speech therapy (ST), and pulmonary rehabilitation combined visits annually. HIP Plus members are limited to 75 PT, OT, ST, and pulmonary rehabilitation combined visits annually. HIP Stat Plan members receive the same coverage as Traditional Medicaid members.
<table>
<thead>
<tr>
<th>ICD-10-CM Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>I21.19</td>
<td>ST elevation (STEMI) myocardial infarction involving other coronary artery of inferior wall</td>
</tr>
<tr>
<td>I21.21</td>
<td>ST elevation (STEMI) myocardial infarction involving left circumflex coronary artery</td>
</tr>
<tr>
<td>I21.29</td>
<td>ST elevation (STEMI) myocardial infarction involving other sites</td>
</tr>
<tr>
<td>I21.3</td>
<td>ST elevation (STEMI) myocardial infarction of unspecified site</td>
</tr>
<tr>
<td>I21.4</td>
<td>Non-ST elevation (NSTEMI) myocardial infarction</td>
</tr>
<tr>
<td>I22.1</td>
<td>Subsequent ST elevation (STEMI) myocardial infarction of inferior wall</td>
</tr>
<tr>
<td>I22.8</td>
<td>Subsequent ST elevation (STEMI) myocardial infarction of other sites</td>
</tr>
<tr>
<td>I22.9</td>
<td>Subsequent ST elevation (STEMI) myocardial infarction of unspecified site</td>
</tr>
<tr>
<td>I25.2</td>
<td>Old myocardial infarction</td>
</tr>
<tr>
<td>I25.6</td>
<td>Silent myocardial ischemia</td>
</tr>
<tr>
<td>Z48.21</td>
<td>Encounter for aftercare following heart transplant</td>
</tr>
<tr>
<td>Z48.280</td>
<td>Encounter for aftercare following heart-lung transplant</td>
</tr>
<tr>
<td>Z94.1</td>
<td>Heart transplant status</td>
</tr>
<tr>
<td>Z94.3</td>
<td>Heart and lungs transplant status</td>
</tr>
<tr>
<td>Z95.1</td>
<td>Presence of aortocoronary bypass graft</td>
</tr>
<tr>
<td>Z95.2</td>
<td>Presence of prosthetic heart valve</td>
</tr>
<tr>
<td>Z95.3</td>
<td>Presence of xenogenic heart valve</td>
</tr>
<tr>
<td>Z95.4</td>
<td>Presence of other heart-valve replacement</td>
</tr>
<tr>
<td>Z95.5</td>
<td>Presence of coronary angioplasty implant and graft</td>
</tr>
<tr>
<td>Z95.818</td>
<td>Presence of other cardiac implants and grafts</td>
</tr>
<tr>
<td>Z98.61</td>
<td>Coronary angioplasty status</td>
</tr>
<tr>
<td>Z98.89</td>
<td>Other specified postprocedural states</td>
</tr>
</tbody>
</table>

*Includes nocturnal angina

It is the responsibility of the provider to code to the highest level specified in the ICD-10-CM (for example, up to seven characters). The correct use of an ICD-10-CM code listed above does not assure coverage of a service. The service must be reasonable and necessary in the specific case and must meet the criteria specified in this policy.

The appropriate revenue code for cardiac rehabilitation services is 943. All charges associated with the elements of a cardiac rehabilitation service, as noted previously in this section, including telemetry and supplies for telemetry, are to be included in this charge.

Separate reimbursements for charges for telemetry, electrodes, and so on, are not provided. One unit equals one cardiac rehabilitation visit. The number of units must be shown on the
Uniform Bill- (UB-) 04 in field 46. A stress test may be billed using revenue code 482. The date of onset or surgery must be indicated on the UB-04 in fields 31-36 with occurrence code 11. The date of the first cardiac rehabilitation session must be indicated in fields 32-35 with occurrence code 46. The total number of cardiac rehabilitation visits from the start of care, including the current claim, must be entered on the UB-04 in fields 39-41 with value code 53.

Reasons for Denial

Although members may meet a provider’s protocol for cardiac rehabilitation services, they must also meet the IHCP coverage criteria for medical necessity. The IHCP will deny reimbursement for reasons including but not limited to the following:

- Lack of documentation of a covered diagnosis
- Lack of documentation of the elements of a cardiac rehabilitation visit
- Duration beyond 12 weeks without documentation showing medical necessity, as indicated above
- Services determined to be not reasonable and necessary, as stated previously in this section

Documentation Requirements

The diagnosis of stable angina should be substantiated with a physician history and physical (H&P), a hospital-discharge summary, or a physician statement to confirm the diagnosis. The member’s medical record must contain documentation that fully supports the medical necessity for cardiac rehabilitation, as it is covered by IHCP.

This documentation includes but is not limited to:

- Medical records confirming the diagnosis and evidence of the elements of a cardiac rehabilitation session (e.g., telemetry-monitoring strips)
- Also, the medical record must indicate the medical necessity for unusual frequency or duration of Phase II cardiac rehabilitation.
- The documentation must be specific in terms of exit criteria and/or setbacks that changed the exercise prescription.

Claims for Phase II cardiac rehabilitation must have documentation indicating the member has not reached an exit level within 12 weeks.

Rules and Citations

405 IAC 5

- 405 IAC 5-2-17 "Medically reasonable and necessary service" defined

IHCP Provider Bulletins

IHCP Provider Banners

Note: For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit [http://provider.indianamedicaid.com/](http://provider.indianamedicaid.com/).
Update History
January 1, 2017 – Initial Publication
Chiropractic Services

This information has been incorporated into the Chiropractic Services provider reference module.
Clinical Trials

Description of Service

A clinical trial is a research study among human volunteers to answer specific health questions. Clinical trials are performed to find new ways of using known treatments and to determine whether new drugs, devices, and procedures are safe and effective for general use. Carefully conducted clinical trials are the fastest and safest way to determine what new treatments will be effective in improving the public’s health.

Medicare has established guidelines to cover the routine costs of approved clinical trials, as well as reasonable and necessary items and services used to diagnose and treat complications arising from beneficiaries’ participation in clinical trials. The OMPP has accepted Medicare’s established guidelines to reimburse for routine costs and complications of clinical trials.

Note: Medicare defines an experimental item or service as for “research use only” or for “investigational use only.” The terms “experimental” and “investigational” are described under the same definition and have the same coverage guidelines. Thus, in this section, experimental and investigational are used interchangeably.

Medical Policy

The IHCP covers the routine costs of approved clinical trials, as well as reasonable and necessary items and services used to prevent, diagnose, and treat complications arising from the participation in all clinical trials. Routine costs of a clinical trial include all items and services that are available to IHCP members (that is, there exists a benefit category, and the item or service is not listed as a noncovered service in the IAC) that are provided in either the experimental or the control arms of the trial.

Items or services already covered by the IHCP will be considered routine costs according to existing coverage rules and regulations, even if the item or service is the investigational item or service. The IHCP policy on clinical trials will not render these investigational items or services noncovered.

In order for the IHCP to cover the routine costs involved in clinical trials, the clinical trial must meet all the following requirements:

- The subject or purpose of the trial must be the evaluation of an item or service that would be covered under IAC guidelines. The items or service being investigated must not be a non-covered item or service as listed under 405 IAC 5-10-5, 405 IAC 5-19-18, 405 IAC 5-24-3, 5-29-1, or 405 IAC 5-30-3.

- If a clinical trial has one objective, it must have a therapeutic intent. If a clinical trial has multiple objectives, it must have a therapeutic intent as a primary objective. It must have
some ability to improve a subject's condition, such as prolongation of life, shrinkage of a tumor, or improved quality of life, even though cure or dramatic improvement may not necessarily be affected. The trial cannot be designed exclusively to test toxicity or disease pathology.

- Trials of therapeutic intervention must enroll only members with diagnosed diseases, rather than healthy members. Trials including diagnostic interventions may enroll healthy members to have a proper control group.

- The clinical trial must be deemed "automatically qualified" under Medicare guidelines. The following clinical trials are deemed automatically qualified:
  - Trials funded by the National Institutes of Health (NIH), the Centers for Disease Control and Prevention (CDC), the Agency for Healthcare Research and Quality (AHRQ), CMS, the Department of Defense (DOD), or Veterans Administration (VA)
  - Trials supported by centers or cooperative groups funded by the NIH, CDC, AHRQ, CMS, DOD, or VA, including but not limited to the FDA, the National Heart, Lung, and Blood Institute (NHLBI), the National Human Genome Research Institute (NHGRI), the National Cancer Institute (NCI), the National Institute of Diabetes and Kidney Diseases (NIDDK), the National Institute of Mental Health (NIMH), and others
  - Trials conducted under an investigational new drug (IND) application reviewed by the FDA
  - Drug trials that are exempt from having an IND under 21 CFR § 312.2 (b)(1)

Items considered routine costs in clinical trials, and thus reimbursable, include the following:

- Items and services that would otherwise be covered by the program if they were not provided in the context of a clinical trial. Examples include nursing/staffing fees, patient monitoring and evaluation, DME, and IV and catheter line placement.

- Items or services required for the administration and provision of the investigational item or service, up to but not including the actual cost of the investigational item or service. Examples include the administration fee for an investigational chemotherapeutic agent, equipment and ancillary staffing for the implantation of an investigational device, provision of a nebulizer to administer an investigational drug, and room and board as part of a hospital stay required as part of the clinical trial.

- Items required for the clinically appropriate monitoring of the effects of the investigational item or service. Examples include ECGs, electroencephalograms (EEGs), and blood pressure monitoring.

- Items and services required for the prevention of complications – for example, the cost of an anti-nausea drug for an investigational chemotherapeutic agent.

- Items or services needed for reasonable and necessary care arising from the provision of an investigational item or service – in particular, for the diagnosis or treatment of
complications. Examples include the treatment of pneumonia caused by an investigational lung procedure.

Items not considered routine costs in a clinical trial, and thus not covered by the IHCP, include the following:

- The investigational items or services, unless otherwise covered outside the clinical trial. If the investigational item or service is currently covered only for certain medical conditions and is being tested for use outside the scope of coverage, the item or service will be considered investigational and thus, not reimbursable.

- Items and services provided solely to satisfy data collection and analysis needs that are not used in the direct clinical management of the patient. Examples include monthly CT scans for a condition usually requiring only a single CT scan, weekly blood draws not needed to monitor side effects, and quarterly Papanicolaou (PAP) smears for a condition usually requiring yearly PAP smears.

- Items and services customarily provided by the research sponsors free of charge for any enrollee in the trial.

Prior Authorization
For specific prior authorization requirements for a particular procedure or treatment, see the appropriate policy module.

Rules and Citations

21 CFR Part 312 Investigational New Drug Application
21 CFR Part 812 Investigational Device Exemption
21 CFR §§ 405.201 and 21 CFR §§ 405.203 Class I to III devices
Medicare National Coverage Determinations Manual, Section 310
IC 25-22.5-1-2.1 Experimental or nonconventional treatment; protocols for treatment
405 IAC 5
- 405 IAC 5-10-5 Noncovered services
- 405 IAC 5-19-18 Noncovered durable medical equipment
- 405 IAC 5-24-3 Coverage of legend drugs
- 405 IAC 5-29-1 Noncovered services
- 405 IAC 5-30-3 Noncovered transportation services

IHCP Provider Bulletins

IHCP Provider Banners

Note: For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit http://provider.indianamedicaid.com/.
Update History
January 1, 2017 – Initial Publication
Dental Services

This information has been incorporated into the Dental Services provider reference module.
Diabetes Self-Management Training Services

This information has been incorporated into the Diabetes Self-Management Training Services provider reference module.
Durable and Home Medical Equipment and Supplies

This information has been incorporated into the Durable and Home Medical Equipment and Supplies provider reference module.
Early and Periodic Screening Services

Description of Service

The EPSDT program, referred to as HealthWatch in Indiana, is a federally mandated preventive health care program designed to improve the overall health of IHCP eligible members from birth to 21 years old. Special emphasis is given to early detection and treatment, as these efforts can reduce the risk of more costly treatment or hospitalization when detection is delayed. The objectives of the EPSDT Program are:

- To increase the number of members who are up-to-date with their childhood immunizations
- To increase the number of members receiving an initial health examination
- To increase the number of members receiving a preventive care/well visit examination
- To promote interaction between member and provider by developing and coordinating preventive services
- To encourage members to take a more active role in managing their health

Medical Policy

Initial Screening
An initial screening is performed by the EPSDT screening provider when referred by the OMPP or its designee, or upon the member’s initial request for EPSDT services. The initial screening and subsequent, periodic screenings must be performed according to the HealthWatch Periodicity and Screening Schedule (periodicity schedule) shown on Table 1.

Periodic Screening

Periodic screenings will be provided by the EPSDT screening provider in accordance with the EPSDT periodicity schedule as long as the recipient chooses to participate in the EPSDT program, or until the recipient reaches his or her twenty-first birthday.

A periodic screening shall include the following:

- A comprehensive health and developmental history, including assessment of both physical and mental health development.
- A comprehensive unclothed physical exam.
- A nutritional assessment.
- A developmental assessment.
- Appropriate vision and hearing testing.
• Dental screening.
• Health education, including anticipatory guidance.

In addition to the required procedures listed above, the periodic screening shall include administration of or referral for any other test, procedure, or immunization that is medically necessary or clinically indicated.

HealthWatch Periodicity and Screening Schedule

The initial screening and subsequent, periodic screenings must be performed according to the HealthWatch Periodicity and Screening Schedule (periodicity schedule) shown below. This table can be seen in greater detail at 405 IAC 5-15-8.

HealthWatch/EPSDT Periodicity and Screening Schedule

Please Consult the EPSDT-HealthWatch Program Provider Manual for immunization schedules and risk factor definitions

Key: * = to be performed  R = to be performed on patient  S = subjective, by history  O = objective, by a standard testing method

= range during which a service may be provided, with the dot or number indicating the preferred age
With regard to dental care, once the member has been referred for dental screening, the dental provider must follow the IHCP EPSDT Dental Periodicity Schedule shown below.

**IHCP EPSDT Dental Periodicity Schedule**

<table>
<thead>
<tr>
<th>Service Provided</th>
<th>Age</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>6-12 months</td>
</tr>
<tr>
<td>Clinical oral examination 1,2 to include:</td>
<td>●</td>
</tr>
<tr>
<td>Assess oral growth and development3</td>
<td>●</td>
</tr>
<tr>
<td>Caries-risk assessment4</td>
<td>●</td>
</tr>
<tr>
<td>Anticipatory guidance/ counseling6</td>
<td>●</td>
</tr>
<tr>
<td>Injury prevention counseling7</td>
<td>●</td>
</tr>
<tr>
<td>Counseling for nonnutritive habits8</td>
<td>●</td>
</tr>
<tr>
<td>Counseling for speech/language development</td>
<td>●</td>
</tr>
<tr>
<td>Substance abuse counseling</td>
<td></td>
</tr>
<tr>
<td>Counseling for intraoral/perioral piercing</td>
<td></td>
</tr>
<tr>
<td>Assessment for pit and fissure sealants9</td>
<td>●</td>
</tr>
<tr>
<td>Transition to adult dental care</td>
<td></td>
</tr>
<tr>
<td>Radiographic assessment5</td>
<td>●</td>
</tr>
<tr>
<td>Prophylaxis and topical fluoride 4,5</td>
<td>●</td>
</tr>
<tr>
<td>Assessment and treatment of developing malocclusion</td>
<td>●</td>
</tr>
<tr>
<td>Assessment and/or removal of third molars</td>
<td></td>
</tr>
</tbody>
</table>

1 First examination at the eruption of the first tooth and no later than 12 months. Repeat every six months or as indicated by child’s risk status/susceptibility to disease.
2 Includes assessment of pathology and injuries
3 By clinical examination
4 Must be repeated regularly and frequently to maximize effectiveness
5 Timing, selection, and frequency determined by child’s history, clinical findings, and susceptibility to oral disease.
6 Appropriate discussion and counseling should be an integral part of each visit for care.
7 Initially play objects, pacifiers, car seats; then, when learning to walk, sports and routine playing, including the importance of mouth guards
8 At first, discuss the need for additional sucking: digits vs. pacifiers; then, the need to wean from the habit before malocclusion or skeletal dysplasia occurs. For school-aged children and adolescent patients, counsel regarding any existing habits such as fingernail biting, clenching, or bruxism.
Treatment

Any treatment found necessary as a result of a diagnosis pursuant to an initial or periodic screening may be provided subject to any prior authorization requirements for the services set out in this policy. However, if a service is not covered under the state plan, it is still available to EPSDT eligible recipients subject to prior authorization requirements of 405 IAC 5-4 if it is necessary to correct or ameliorate defects and physical and mental illnesses and conditions discovered by the screening services.

Recipient and Provider Participation

Any IHCP member under twenty-one (21) years of age may participate in the EPSDT program. Each recipient will be informed about the program by the office or its designee in accordance with federal regulations. Participation in EPSDT by IHCP members is voluntary.

Individual physicians, physician group practices, hospitals, or physician-directed clinics that are enrolled as Medicaid providers may provide a complete EPSDT screen.

Any enrolled IHCP provider may provide EPSDT diagnostic and/or treatment services within the scope of his or her practice upon referral from the screening provider.

Screening Referrals

HealthWatch/EPSDT providers are required to make dental, vision, hearing, and lead screening referrals when screening results indicate a problem. Providers may refer members for dental services beginning at 24 months old or as early as 12 months, if indicated in the screening.

Vision referrals must be made to an optometrist or ophthalmologist starting when objective screening methods indicate a problem is present. Newborns with hearing deficits identified under the Universal Newborn Screening Program are followed up by the Early Hearing Detection and Intervention Program (EHDI) at the Indiana State Department of Health (ISDH) and encouraged to follow up with an audiologist. Older members needing additional hearing testing should be referred for additional testing and treatment when screening results indicate a possible hearing deficit. The tables below show the schedules for dental, vision, and hearing observation and screenings.

### Periodicity Schedule – Dental Observation and Screening

<table>
<thead>
<tr>
<th>Age of Child</th>
<th>Subjective (S) or Required (R)</th>
<th>Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Younger than 12 months</td>
<td>S</td>
<td>Direct referral to a dentist for medically appropriate services, if warranted by injury, disease, congenital abnormality, or other cause</td>
</tr>
<tr>
<td>12 to 24 months</td>
<td>S</td>
<td>Direct referral to a dentist, if necessary</td>
</tr>
<tr>
<td>24 months</td>
<td>R</td>
<td>Direct referral to a dentist for examination, preventive dental care, and anticipatory guidance</td>
</tr>
</tbody>
</table>
### Periodicity Schedule – Vision Observation and Screening

<table>
<thead>
<tr>
<th>Age of Child</th>
<th>Recommended (S) or Objective (O)</th>
<th>Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Up to 3 years</td>
<td>S</td>
<td>Visual observation with an external eye examination; subjective screening by history. Refer child to an appropriate specialist if abnormality is suspected.</td>
</tr>
<tr>
<td>3 to 5 years</td>
<td>O</td>
<td>Annual objective screening test by standard testing method. If warranted, refer child to an appropriate specialist.</td>
</tr>
<tr>
<td>6, 8, 14, 16, 20 years</td>
<td>S</td>
<td>Visual observation with an external eye examination; subjective screening by history. Refer child to an appropriate specialist if abnormality is suspected.</td>
</tr>
<tr>
<td>10, 12, 18</td>
<td>O</td>
<td>Objective screening test by a standard testing method. If warranted, refer child to an appropriate specialist.</td>
</tr>
</tbody>
</table>

### Periodicity Schedule – Hearing Observation and Screening

<table>
<thead>
<tr>
<th>Age of Child</th>
<th>Subjective (S), Objective (O), or Required (R)</th>
<th>Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Newborn</td>
<td>R</td>
<td>Newborn hearing screening via fully automated brain-stem response, if available</td>
</tr>
<tr>
<td>Newborn</td>
<td>R</td>
<td>All members considered to be at risk for hearing deficit should be screened at this time.</td>
</tr>
<tr>
<td>Under 12 months</td>
<td>S</td>
<td>Subjective screening by history or other infant screening, using standard testing method. Refer those at risk or suspected of a hearing deficit to a specialist, if warranted.</td>
</tr>
<tr>
<td>12 months through 3 years</td>
<td>O</td>
<td>As early as possible, perform an objective screening using standard testing method. Refer those at risk or suspected of a hearing deficit to a specialist.</td>
</tr>
</tbody>
</table>
4 to 5 years  R  Audiometric screening with an audiometer or audioscope (refer to audiologist, if necessary). Refer child at risk or suspected of a hearing deficit to an appropriate specialist.

6, 8, 14, 16, 20 years  S  Subjective screening, by history and/or other method; refer child with suspected hearing deficit to an appropriate specialist.

10, 12, 18 years  O  Objective hearing screening by a standard testing method (hearing tests are given by the Indiana Department of Education in grades one, four, seven, and 10; several schools also test kindergarten students). Do not duplicate school screenings unless a child is considered at risk and rescreening is warranted.

Providers of services who perform screening or treatment services as a result of an EPSDT screening referral shall be subject to the same limitations for such services.

**Blood Lead Screenings**

Blood lead screenings must be performed between the ages of 9 and 12 months, and again at 24-month visits. If the member is at high risk for lead exposure, the initial screening should be performed at the six-month visit and repeated at the 12-month and 24-month visits. Children between the ages of 36 months and 72 months of age must receive a blood lead screening if they have not been previously tested for lead poisoning.

A blood lead test result equal to or greater than 5 μg/dl obtained by a capillary specimen (fingerstick) must be confirmed using a venous blood sample. Subsequent screenings are required for children with blood lead levels equal to or greater than 5 μg/dl.

The ISDH, through the Indiana Lead and Healthy Homes Program (ILHHP), monitors lead poisoning. Providers are required to report all results of blood lead screenings to the ISDH no later than one week after completing the examination. The ILHHP provides medical and environmental case management follow-up for children who are identified with elevated levels of lead in their blood.

**Prior Authorization**

Prior authorization is not required for screening services. Treatment services are subject to the same prior authorization requirements as the services.

**Billing and Coding**

For further billing information, see the [EPSDT Services](#) provider reference module. For a list of billing codes, see the [EPSDT/HealthWatch Codes](#) on the [Code Sets/Tables](#) webpage.
Rules and Citations
405 IAC 5
• 405 IAC 5-15: Early and Periodic Screening, Diagnosis, and Treatment Services

IHCP Provider Bulletins
• BT201427 BMI to be required component of EPSDT screening

IHCP Provider Banners
• BR201640 IHCP clarifies lead screening requirements for children

Note: For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit http://provider.indianamedicaid.com/.

Update History
January 1, 2017 – Initial Publication
Emergency Services

This information has been incorporated into the Emergency Services provider reference module.
Evaluation and Management Services

This information has been incorporated into the Evaluation and Management Services provider reference module.
Family Planning Services

This information has been incorporated into the Family Planning Services provider reference module.
Federally Qualified Health Centers and Rural Health Clinics

This information has been incorporated into the Federally Qualified Health Centers and Rural Health Clinics provider reference module.
Genetic Testing Services

This information has been incorporated into the [Genetic Testing](#) and [Laboratory Services](#) provider reference modules.
Hearing Services

This information has been incorporated into the Hearing Services provider reference module.
Home Health Services

Description of Service
Home Health Services are services that are provided on a part-time and intermittent basis to Medicaid consumers of any age. Home health services include home health nursing, home health aide, and skilled therapies (physical therapy, occupational therapy, respiratory therapy, and speech-language pathology).

The medical necessity for home health services must be certified by the consumer's qualifying treating physician. A face-to-face encounter with the consumer and the physician, advanced practice nurse in collaboration with the physician, or a physician assistant under the supervision of the physician is required for certification of medical necessity. A face-to-face encounter must be conducted within the 90 days prior to the home health care start-of-care date, or within 30 days following the start-of-care date, inclusive of the start-of-care date. Home and community based services (HCBS) programs and benefits are outside the scope of this regulation and are not subject to the face-to-face encounter requirements.

The following definitions apply:

Multiple member care situation – A home care situation in which more than one member of a single household is receiving home health services. When this situation occurs, care must be coordinated in the most efficient manner. Multiple care member situations must be reported on each member's individual PA request. When one member of a home health agency provides care to multiple members during an encounter, only one overhead may be billed.

Home health care provider – registered nurse (RN), licensed practical nurse (LPN), physical therapist (PT), occupational therapist (OT), speech therapist (ST), speech-language pathologist (SLP), respiratory therapist (RT), or home health aide (HHA).

Medical Policy

Home Health Care Hourly Determination Guidelines
The following are guidelines for determining the appropriate number of hours reimbursable for general categories of home health care services. These are guidelines only and do not override medical decisions based on individual case review.

Factors for consideration when determining the hours of service to be approved include:

- Severity of illness and symptoms
- Stability of the condition and symptoms
- Change in medical condition that affects the type or units of service that can be authorized
• Treatment plan, including identified goals
• Intensity of care required to meet needs
• Complexity of needs
• Amount of time required to complete treatment tasks
• Whether the services required in the current care plan are consistent with prior care plans
• Need for instructing the member on self-care techniques in the home or need for instructing the caregiver on caring for the member in the home, or both
• Other home care services currently being utilized including, but not limited to; Medicare, Medicaid Waiver Programs, CHOICE, vocational rehabilitation, and private insurance (The number of hours per day and the number of days per week should be listed for each service)
• Whether the member works or attends school outside the home, including what assistance is required
• Caregivers available to provide care for the member, including the following considerations:
  o Number of caregivers available
  o Whether the caregiver works outside the home
  o Whether the caregiver attends school outside of the home
  o Reasonably predictable or long term physical limitations of available caregiver(s) that limit the ability of the caregiver(s) to provide care to the member
  o Whether the caregiver has additional child care responsibilities
  o Number of hours requested, compared to availability of caregiver(s) available time (A copy of the caregiver’s work schedule from the employer or the class schedule from the school must be submitted with the PA request. The provider is responsible for coordinating home care services with the caregiver’s work or school schedule to meet the member’s needs, and should clearly document caregiver information on the PA request form.

Special situations may occur where additional home health hours may be authorized on a short term or temporary basis. These situations are evaluated individually, on a case-by-case basis. Examples of these situations are as follows:

• Significant deterioration in the member’s condition, particularly if additional hours will prevent an inpatient or extended inpatient hospital admission
• Major illness or injury of the caregiver with expectation of recovery, including, but not limited to:
  o Illness or injury that requires an inpatient acute-care stay
• Chemotherapy or radiation treatments
• A broken limb, which would impair the caregiver’s ability to lift the member

• Temporary, but significant, change in the home situation, including but not limited to:
  • A caregiver’s call to military duty
  • Temporary unavailability due to employment responsibilities

  (These must be substantiated in writing by the commanding officer, other military representative, or by the employer.)

• Significant permanent change in the home situation, including, but not limited to, death or divorce with loss of a caregiver. Additional units of service may be authorized for a short period of time to assist in providing a transition.

12 to 16 Hours a Day of Home Health Care Services

Members requiring 24-hour monitoring may be authorized for up to 12 hours a day of skilled nursing or home health aide services to prevent deterioration in life sustaining systems. Examples of these conditions include, but are not limited to:

• Severe respiratory conditions resulting from pulmonary disorders, such as bronchopulmonary dysplasia, severe respiratory complications of cystic fibrosis, bronchitis, asthma; central nervous system disorders; cardiovascular disorders, such as cardiac anomalies; and neuromuscular disorders, such as muscular dystrophy and Guillain-Barré syndrome

• Dependency on mechanical ventilator assistance

• Tracheostomy

Special situations may occur where home health hours may be approved for up to 16 hours per day of skilled care on an ongoing basis, although each individual situation must be evaluated with a PA request. These special situations include but are not limited to:

• A single caregiver is available who also works full-time (or a significant number of part-time hours) outside the home. This also applies to situations where there may be two adults present, but one is unable to provide any, or a very limited amount, of care due to physical disability or severe physical limitations. The disabled caregiver’s physician must substantiate this in writing.

• Significant additional child care responsibilities. Significant is defined as:
  • Three or more children under the age of six, or four or more children under the age of 10
  • One or more children in the home with special medical care needs requiring extensive medical and physical care above and beyond the needs of the average well child. If the IHCP is not providing services to this child at home also, the child’s physician must provide a statement of the child’s medical needs. The
same caregivers must be caring for these children, as well as for the member for whom the PA request has been submitted.

8 Hours a Day Home Health Care Services

Members who require extensive care and daily monitoring of their medical/physical conditions, but who do not possess the same degree of potential to deteriorate quickly into life threatening situations as do members requiring 24-hour monitoring, may receive up to eight hours of care daily. An additional hour or two may be allowed for transportation to and from work in situations where the caregivers work full time outside the home. Examples of these situations/conditions include, but are not limited to:

- Chronic, debilitating conditions, such as severe forms of cerebral palsy, muscular dystrophy, spina bifida, and other congenital anomalies; and quadriplegia.
- Conditions that require equipment or treatment needs with potential for serious complications – for example, central lines or Hickman catheters
- Frequent treatments, such as RT required (in the form of updrafts, chest PT, etc.)
- Nutrition provided by hyperalimentation or by gastrostomy tube feedings, in addition to one of the above
- Skilled nursing assistance required to attend school
- The member receives multiple medications that require monitoring for severe side effects or responses

3 to 7 Hours a Day of Home Health Care Services

Members without the severity of conditions noted above who require primarily heavy physical care, with some skilled nursing monitoring to avoid deterioration, may receive three to seven hours of care per day. These members are generally chronic but stable and may have conditions such as congenital anomalies, neuromuscular disorders, central nervous system disorders, or other disorders that severely disrupt the capacity to care for self.

Consideration may be given to paraplegics, quadriplegics, or other disabled members unable to provide self-care, such as bathing or dressing, who are able to drive mechanically altered vehicles to maintain meaningful employment and a relationship with the community. Such adults may be considered for assistance from a HHA for up to three to four hours per day. The agency may split the hours between morning and evening to attend to the bedtime needs of the member. This service is subject to medical necessity, and documentation must demonstrate the need.

Indicators for Home Health Services

One of the following indicators from each category must be present for a member to be eligible for home health services:
Category I: Member

- The member is at risk of respiratory failure, severe deterioration, or hospitalization without constant monitoring.
- The member requires total care – monitoring 24 hours per day.
- The member desires to stay in the home, rather than in a LTC facility.
- The medical condition of the member has deteriorated, creating the need for more intense short-term care (physician’s statement required).
- The member does not have a primary caregiver or access to other care.

Category II: Caregiver

- Primary caregiver is employed and absent from the home, or is unable to provide the necessary care.
- Primary caregiver has additional child care responsibilities, disallowing the time needed to care for the member (three or more children under six years of age, or four or more children under the age of 10).
- Primary caregiver also has additional children with special needs to care for (one or more children with special healthcare needs requiring extensive medical and physical care).
- Major illness or injury of caregivers, with expectation of recovery (physician’s statement required)
- Temporary but significant change in the availability of caregiver – for example, military service (commanding officer, other military representative, or employer’s statement required).
- Significant permanent change in caregiver’s status – for example, death or divorce with loss of one caregiver (physician’s statement required)

Indicators for Central Nervous System (CNS) Disorders

One of the following indicators must be present for a member to receive home health care for CNS disorders:

- Altered level of consciousness
- Respiratory distress
- Potential for increased intracranial pressure
- Body temperature fluctuations (hypothalamus involvement)
- Posturing (decerebrate/decorticate)
- Seizure activity (current)
- Spasticity (severe)
• Pain
• Impaired motor/sensory function to include:
  o Paresis
  o Paralysis
  o Vision impairment
  o Hearing impairment
  o Impaired gag reflex
  o Decreased tactile sensation
• Potential for injury to self
• Need for constant supervision

One of the following services must also be necessary to receive either skilled or non-skilled nursing care for CNS disorders:

<table>
<thead>
<tr>
<th>Services Requiring Skilled Care</th>
<th>Services Requiring Non-skilled Care</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Vital signs</td>
<td>• Bathing/linen change/dressing</td>
</tr>
<tr>
<td>• Ventilator operation/maintenance</td>
<td>• Catheter care</td>
</tr>
<tr>
<td>• Central line maintenance/dressings</td>
<td>• Skin care</td>
</tr>
<tr>
<td>• Complex treatment modalities (sterile dressings, soaks, packing, etc.)</td>
<td>• Minor treatment modalities</td>
</tr>
<tr>
<td>• Parenteral/enteral nutrition</td>
<td>• Oral care</td>
</tr>
<tr>
<td>• Oxygen therapy</td>
<td>• Stimulation</td>
</tr>
<tr>
<td>• Respiratory treatments</td>
<td>• Continue plan of OT/PT</td>
</tr>
<tr>
<td>• Tracheostomy maintenance/change</td>
<td>• Assist with transfers/ambulation</td>
</tr>
<tr>
<td>• Suctioning (frequency/secretion type)</td>
<td>• Positioning</td>
</tr>
<tr>
<td>• Stimulation (verbal/tactile)</td>
<td>• I&amp;O records</td>
</tr>
<tr>
<td>• Tube feedings/maintenance of tube</td>
<td>• Assist with oral feedings</td>
</tr>
<tr>
<td>• IV medication administration</td>
<td>• Splint or brace application</td>
</tr>
<tr>
<td>• Urinary catheter maintenance/change</td>
<td>• Exercise (active/passive)</td>
</tr>
<tr>
<td>• Exercise (active/passive)</td>
<td>• Ensure safety measures (seizure precautions)</td>
</tr>
<tr>
<td></td>
<td>• Vital signs</td>
</tr>
</tbody>
</table>

**Note:** On the above table and subsequent Skilled vs. Non-skilled Care tables, those services appearing on both sections may be either, as justified by the required Plan of Treatment during PA review.
Indicators for Gastrointestinal Disorders

One of the following indicators must be present for a member to receive home health care for GI disorders:

- Nutritional impairment
  - Malabsorption
  - Mechanical cause
- Stomatitis, pharyngitis, esophagitis
- Swallowing disorders
- Gastric reflux
- Vomiting
- Anorexia
- Pain
- Orthostatic blood pressure (B/P)
- Significant rapid weight loss
- Morbid obesity >200% optimal weight
- Periorbital/perirectal lesions
- Unhealed wound(s)
  - Surgical
  - Fistula, abscess, fissures
- Bacterial/parasitic infections
- Diarrhea
- Constipation
- Subtotal/total gastrectomy
- Ostomies
- Anemia
- Weakness and fatigue

One of the following services must also be necessary to receive either skilled or non-skilled nursing care for GI disorders:

<table>
<thead>
<tr>
<th>Services Requiring Skilled Care</th>
<th>Services Requiring Non-skilled Care</th>
</tr>
</thead>
<tbody>
<tr>
<td>Vital signs</td>
<td>Bathing/linens/dressing</td>
</tr>
</tbody>
</table>
• IV medication administration
• Parenteral/enteral nutrition
• Administration/maintenance
• Central line maintenance
• Oral medication administration
• Gastric tube medication administration
• Placement of nasogastric tubes
• Complex treatment/wound care, sterile dressings/wound packing/medicated soaks, etc.
• Ostomy care/irrigation
• Oxygen therapy
• Bowel training
• Weight
• I&O

• Oral care
• Skin care
• Feedings (oral)
• Force fluid
• Assist with ambulation
• Exercise active/passive
• Reinforce teaching of OT/PT/ST
• I&O
• Weight

Indicators for Musculoskeletal Disorders

One of the following indicators must be present for a member to receive home health care for musculoskeletal disorders:

- Pain
- Loss of locomotor ability
- Decreased muscle strength
- Stiffness
- Joint pain, swelling, redness, tenderness
- Muscle wasting
- Paralysis
- Postamputation
- Multiple fractures
- Muscle spasms
- Potential for injury to self

One of the following services must also be necessary to receive either skilled or non-skilled nursing care for musculoskeletal disorders:

<table>
<thead>
<tr>
<th>Services Requiring Skilled Care</th>
<th>Services Requiring Non-Skilled Care</th>
</tr>
</thead>
</table>
Indicators for Respiratory Disorders

One of the following indicators must be present for a member to receive home health care for respiratory disorders:

- Dyspnea
- Quality of respiration (shallow, air hunger, etc.)
  - Rate of respiration
  - Dyspnea at rest
  - Dyspnea with exertion
  - Cyanosis
  - Use of accessory muscles
  - Apnea/bradycardia
- Abnormal breath sounds
- Splinting respirations
- Strenuous coughing
- Excessive, tenacious secretions
- Ineffective airway clearance
- Abnormal arterial blood gases (ABGs)
- Decreased ability to be mobile due to dyspnea
- Irritability/depression
- Fatigue/weakness
- Anxiety

One of the following services must also be necessary to receive either skilled or non-skilled nursing care for respiratory disorders.

- Assistance with prostheses, braces, splints
- Treatments requiring sterile procedures
- Assistance with transfers/ambulation
- Assistance with prostheses, braces, splints
- Exercise – active or passive
- Position changes
- Non-invasive treatments, comfort measures
- Bathing/linen/dressing
- Assistance with activities of daily living (ADLs)
### Services Requiring Skilled Care

- Oral medication administration
- IV medication administration
- Parenteral/enteral nutrition
- Vital signs
- Ventilator operation/maintenance
- Tracheostomy maintenance/change
- Suctioning
- Complex treatment modalities (sterile dressing, wound care)
- Respiratory treatments

### Services Requiring Non-Skilled Care

- Assist with bathing, dressing, ADLs (total care may be required)
- Skin care
- Oral care
- Force fluids as instructed
- Assist with ambulation
- Exercise active/passive
- Assist with meals (oral feeding)
- Vital signs

### Indicators for Urinary/Renal Disorders

One of the following indicators must be present for a member to receive home health care for urinary/renal disorders:

- Anemia
- Dyspnea
- Increased blood urea nitrogen (BUN)/creatinine
- Decreased mental acuity
- Increased B/P
- Abnormal electrolytes
- Oliguria
- Weakness/fatigue
- Decreased mobility
- Neuropathies
- New diagnosis of renal failure
- Vascular access
- Newly initiated hemodialysis
- Recent admission for renal failure
- Recent admission for UT surgery
- Peritoneal dialysis
- Pain
- Edema
- Potential for self-injury

One of the following services must also be necessary to receive either skilled or non-skilled nursing care for urinary/renal disorders.

<table>
<thead>
<tr>
<th>Services Requiring Skilled Care</th>
<th>Services Requiring Non-Skilled Care</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Complex treatment modalities</td>
<td>• Assist bathing/linens/dressing</td>
</tr>
<tr>
<td>o Sterile dressings</td>
<td>• Skin care</td>
</tr>
<tr>
<td>o Special catheter care (ureteral catheters, irrigation, etc.)</td>
<td>• Oral care</td>
</tr>
<tr>
<td>• Urinary, suprapubic catheter care</td>
<td>• Assist with exercise and ambulation</td>
</tr>
<tr>
<td>• Input and Output (I&amp;O)</td>
<td>• Reinforce nutritional teaching</td>
</tr>
<tr>
<td>• Weight</td>
<td>• Weight</td>
</tr>
<tr>
<td>• Vital Signs</td>
<td>• I&amp;O</td>
</tr>
<tr>
<td></td>
<td>• Vital signs</td>
</tr>
<tr>
<td></td>
<td>• Safety measures</td>
</tr>
</tbody>
</table>

**Non-Covered Services**

The following services are non-covered home health services, except as specified under the applicable IHCP waiver service programs:

- Transportation to and from grocery stores, drug stores, banks, etc.
- Homemaker services, including shopping, laundry, cleaning, meal preparation, etc.
- Companion or sitter services, including escort services, activity planning, etc.
- Chores, including picking up prescriptions, household supplies and/or groceries, etc.
- Respite care

**Note:** Healthy Indiana Plan (HIP) members are limited to 100 home health visits per year. These services include skilled medical services; nursing care given or supervised by an RN; nutritional counseling furnished or supervised by registered dietician (RD); home hospice services; home health aides; laboratory services, drugs, and medicines prescribed by a physician in connection with home health care; and medical social services. Home hospice services are considered a separate service. HIP State Plan are eligible for the same home health benefits available on Traditional Medicaid.

**Prior Authorization**

**Home Health Services**

All home health services require PA (exceptions are listed below).
The following information must be submitted with the PA request form for home health services:

- A copy of the current plan of treatment, developed by the attending physician, therapists, and agency personnel, and signed by the attending physician, must be included with the PA request for home health services. The plan of treatment should include the following:
  - the date of onset of the medical problems
  - progress notes regarding the necessity, effectiveness, and goals of therapy services
  - mental status
  - the types of services and equipment required
  - the frequency of visits
  - prognosis
  - rehabilitation potential
  - functional limitation
  - activity permitted
  - nutritional requirements
  - medications and treatments
  - safety measures to protect against injury
  - instructions for timely discharge or referral
  - other relevant information

- An estimate of the costs for the services ordered by the physician and set out in the written plan of treatment. The cost estimate must be provided with the plan of treatment and signed by the attending physician. The estimate must reflect the cost of each service requested, plus the overhead rate for the time periods requested, as reflected on the plan of treatment.

- Documentation of a face-to-face encounter in accordance with 42 CFR 440.70(f). The face-to-face encounter requirements do not apply to recertification of home health services.

Other factors taken into consideration for the hourly determination of home health services are included under the “Home Health Care Hourly Determinations Guidelines” listed above.

Electronic signatures are acceptable on supporting documents submitted with prior authorization requests for home health services. Electronic signatures are accepted as long as the provider’s electronic health record system provides the appropriate protection and assurances that the rendering provider signed the document and the signature can be authenticated. If the appropriate controls are in place, electronic signatures are acceptable. Providers using electronic systems need to recognize the potential for misuse or abuse with alternate signature methods. Providers bear the responsibility for the authenticity of the
documentation and the signatures. Physicians are encouraged to check with their attorneys and malpractice insurers regarding electronic signatures. Any provider using an electronic signature is required to follow the requirements of Indiana Code (IC) 26-2-8-116.

Home Health PA Exceptions

The following circumstances do not require PA for home health services:

- Services provided by an RN, LPN, or home health aide that have been ordered in writing by a physician prior to the member’s discharge from a hospital and that do not exceed 120 hours within 30 days of discharge do not require PA. These services may not continue beyond 30 calendar days, unless PA is obtained.

- Any combination of therapy services ordered in writing by a physician prior to the member’s hospital discharge that does not exceed 30 units in 30 calendar days does not require PA. These services may not continue beyond 30 days following discharge, unless PA is obtained.

Home Health Nursing Services

Reimbursement is available for intermittent or part-time nursing provided in the home by home health nurse services. PA is required for all nursing services rendered by registered nurses (RNs), licensed practical nurses (LPNs), and home health aides from agencies that are IHCP providers.

In addition to the home health services PA requirements above, services provided by an RN, LPN, or HHA must be as follows:

- Prescribed or ordered in writing by a physician
- Provided in accordance with a written plan of treatment developed by the attending physician
- Intermittent or part-time, except for ventilator-dependent patients who have a developed plan of home health care
- Medically necessary
- Less expensive than any alternative modes of care
- Must follow all other requirements for nursing services as laid out in 405 IAC 5-22-2
Home Health Occupational Therapy, Physical Therapy, Respiratory Therapy, or Speech Pathology

In addition to the home health services PA requirements above, OT, PT, RT, or speech pathology must be as follows

- Provided by an appropriately licensed, certified, or registered therapist employed or contract by the agency
- Ordered or prescribed in writing by a physician
- Provided in accordance with a written plan of treatment developed cooperatively between the therapist and the attending physician
- Medically necessary

Billing and Coding
For further billing information, see the Home Health Services provider reference module. For a list of billing codes, see the Home Health Services Codes on the Code Sets/Tables webpage.

Rules and Citations
405 IAC 5
- 405 IAC 5-3-13 Prior authorization – services requiring prior authorization
- 405 IAC 5-16 Home health agency and clinic services
- 405 IAC 5-16-2 Home health agency services
- 405 IAC 5-16-3 Home health agency services; limitations
- 405 IAC 5-19-6 Durable medical equipment subject to prior authorization
- 405 IAC 5-19-12 Home hemodialysis equipment
- 405 IAC 5-22 Nursing and therapy services
- 405 IAC 5-34 Hospice services

IHCP Provider Bulletins
- BT201749 Clarification of face-to-face encounter requirements for coverage of home health services
- BT201625 Home Health Rates for State Fiscal Year 2017 are effective July 1, 2016
- BT201723 IHCP Revises Coverage Policies for Home Health Services

IHCP Provider Banners

Note: For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit http://provider.indianamedicaid.com/.

Update History
January 1, 2017 – Initial Publication
May 1, 2017 – Added face-to-face encounter requirement for home health services

July 25, 2017 – Clarified requirement of face-to-face encounter for home health services
Hospice Services

Description of Service
Hospice Care is a specialized form of interdisciplinary health care designed to alleviate the physical, emotional, social, and spiritual discomforts of an individual who is experiencing the last phase of a terminal illness or disease. Hospice care also provides for the psychological, social, spiritual, and other needs of the hospice program patient's family before and after the patient's death.

Medical Policy
Hospice core services are covered services in the Medicare and IHCP hospice per diem that must be provided directly to members by hospice employees. Hospice core services include nursing services, medical social work services, and counseling services (including bereavement, dietary, spiritual, and other services). The following is a list of hospice services included in the Medicare and Medicaid hospice per diem:

- Nursing care provided by or under the supervision of a registered nurse
- Medical social work services provided by a social worker with at least a bachelor's degree, working under the supervision of a physician
- Physician services provided by the medical director or a physician who is part of the IDT participating in services as follows:
  - General supervising services, participating in the establishment and supervision of the plan of care, conducting periodic reviews, establishing governing policies, and providing direct care to members
- Counseling services provided to the member, member’s family, and other people caring for the member
- Short-term inpatient care provided on a hospice inpatient unit, participating hospital, and nursing home setting
- Medical equipment and supplies, including palliative drugs, related to the palliation and management of the member’s terminal illness
- Home health services furnished by qualified aides
- Homemaker services that assist in providing a safe and healthy environment
- Physical and occupational therapy, and speech-language pathology services provided for the purpose of symptom control
- Inpatient hospice care, such as inpatient hospice respite or general inpatient care
- Room and board (dually eligible hospice members) residing in long term care facilities
- Room and board for IHCP-only hospice members who reside in long term care facilities
- Any other item or service specified in the member’s POC, if the item or service is a covered service under the Medicare program and required to treat the terminal illness or related conditions

In order for an individual to receive Medicaid-covered hospice services, a physician must certify in writing the individual is terminally ill and expected to die from that illness within six (6) months,
if the terminal illness runs its normal course. Services provided in hospice care must be reasonable and medically necessary for the palliation or management of the terminal illness.

IHCP members in need of hospice care must be eligible for program services, a physician must certify in writing the individual is terminally ill and expected to die from that illness within six (6) months, if the terminal illness runs its normal course, and the member must elect hospice services.

Hospice eligibility is available to qualifying IHCP-eligible members in three consecutive benefit periods:

- Period I- 90 days
- Period II- 90 days (expected maximum length of illness to run its course)
- Period III- Unlimited 60 day period

Different IHCP programs may have different prior authorization and plan of care requirements for coverage

**Healthy Indiana Plan**
The Indiana Health Coverage Programs (IHCP) covers in-home and institutional hospice services under the Healthy Indiana Plan (HIP) program. HIP members receiving hospice services will remain enrolled in managed care with their health plan.

**Prior Authorization for Healthy Indiana Plan**
Contact the member’s managed care entity (MCE) or plan administrator for more specific guidelines regarding their policies and prior authorization procedures for hospice services

**Hoosier Care Connect**
All covered hospice benefits for members enrolled in Hoosier Care Connect will be the responsibility of the enrolling health plan. Members will remain enrolled with their managed care entity (MCE) for the duration of the hospice period. A member enrolled in Hoosier Care Connect is eligible to receive all hospice levels of care available under the hospice benefit: routine home care, continuous home care, inpatient respite care, and general inpatient (GIP) care. A Hoosier Care Connect member receiving in-home hospice benefits is eligible to receive inpatient care, as needed.

**Prior Authorization for Hoosier Care Connect**
For inpatient stays related to the terminal illness, at the general inpatient (GIP) hospice level of care (GIP) or the inpatient respite hospice level of care, the hospice provider is responsible for obtaining prior authorization (PA) and for reimbursing the facility. As the professional manager of the member’s hospice care, the hospice provider is responsible for obtaining contracts with all IHCP providers for arranged services. The hospice provider will pay the facility according to the contract between the hospice provider and the facility where the member receives care. The contract between the hospice provider
and the facility covers all costs related to the terminal illness. The hospice provider will submit claims directly to the MCE for reimbursement. The hospice provider will be paid at the rate appropriate to the level of care provided to the hospice member; general inpatient (GIP) hospice level of care will be reimbursed at the GIP rate and inpatient respite hospice level of care will be reimbursed at the respite rate.

For members receiving inpatient care unrelated to the terminal illness, the facility is responsible for obtaining PA, as required, from the MCE and submitting claims directly to the MCE for reimbursement. The facility will be responsible for all the member’s care and treatment while the member remains at the facility.

The hospice provider is responsible for obtaining PA for inpatient stays related to the terminal illness for both GIP level of care and respite level of care. For any inpatient stay unrelated to the terminal illness, the hospice provider and the inpatient facility are expected to work together in obtaining the necessary PA. Contact the member’s managed care entity (MCE) or plan administrator for more specific guidelines regarding their policies and prior authorization procedures for hospice services.

**Hoosier Healthwise**
Members enrolled in Hoosier Healthwise must disenroll from managed care prior to receiving in-home or institutional hospice benefits. Hospice services will be available through traditional Medicaid. Disenrollment is necessary for hospice authorization to be completed. Members become eligible for hospice services the business day following disenrollment from the MCE.

**Prior Authorization for Hoosier Healthwise**
Prior Authorization is required for in-home or institutional hospice benefits.

**Traditional Medicaid**
The Indiana Health Coverage Programs (IHCP) covers in-home and institutional hospice services.

**Prior Authorization for Traditional Medicaid**
Prior authorization is required for in-home and institutional hospice services.

**Concurrent Hospice and Curative Care Services for Children**
Section 2302 of the Affordable Care Act (ACA), titled “Concurrent Care for Children,” requires hospice services to be provided to children without forgoing any other service to which the child is entitled under Medicaid for treatment of a terminal condition. This provision was effective with the enactment of the ACA. Before the ACA’s enactment, curative treatment for terminal illnesses ceased when Medicaid members elected hospice benefits.

In compliance with the ACA, the IHCP covers hospice care for children, 20 years of age and under, concurrently with all medically necessary curative treatment for the terminal illness, for dates of service on or after March 23, 2010.
Prior Authorization for the Concurrent Hospice and Curative Care Services for Children
Prior authorization is required.

Dually Eligible and Medicaid-Only Hospice Members in Nursing Facilities
The IHCP hospice benefits must comply with the OBRA of 1989. OBRA of 1989 requires dually eligible Medicare/IHCP members to elect, revoke, or change providers under both the Medicare and the IHCP programs simultaneously. Hospice providers are required to notify both programs of any changes in the member's hospice care status.

Additionally, hospice providers are required to coordinate regularly with NF providers. To ensure that the IHCP member’s enrollment in the IHCP hospice benefit is clear to both hospice and NF staffs, the hospice provider must furnish the NF staff with the member’s Medicaid hospice forms. The hospice must develop coordination procedures with the NF billing department to inform the NF of hospice care status.

Prior Authorization for Dually Eligible and Medicaid-Only Hospice Members in Nursing Facilities
Prior Authorization is required.

Treatment of Non-terminal Conditions
The IHCP covers medical care for conditions unrelated to the terminal illness. The IHCP expects the hospice provider to actively interface and coordinate these services with other IHCP providers. Medical care for non-terminal conditions may be met by one of the following methods:

- Outpatient physician services
- Inpatient and outpatient hospital admissions
- Emergency admissions to a NF from a private home

If the IHCP hospice member requires an inpatient or outpatient hospital admission for conditions unrelated to the terminal illness, the hospital must bill the IHCP directly for these services. The hospice provider coordinates the inpatient or outpatient hospital services. Hospice providers’ responsibility for the treatment of non-terminal conditions is case specific. The following guidelines provide clarification for hospice providers regarding this issue:

- If the hospice member currently does not receive treatment for a non-terminal condition, the hospice provider is required to locate appropriate IHCP services for the treatment of a non-terminal condition.
- To ensure that the hospice member is not billed for these services, the hospice provider must ensure the non-hospice provider is enrolled as an IHCP provider.
- The hospice provider must communicate and coordinate with the non-hospice provider’s personnel to ensure the source does not compromise the member’s hospice care.
• If the IHCP hospice member is admitted to the hospital from a private home, the hospice provider must submit a Change in Status of Medicaid Hospice Patient form to the PA Department of CMCS. This form reflects the hospice member’s change of care. The same form must be completed once the hospice member is discharged from the hospital to either another institutional care setting or to a private home.

Any Medicaid member who is terminally ill and meets medical necessity criteria may receive services from an IHCP hospice provider. Hospice providers are required to comply with federal hospice regulations at 42 CFR, Part 418, and the Balanced Budget Act of 1997, which requires hospice providers to list all hospice covered services in frequency and scope on the hospice plan of care (POC) necessary to treat the terminal illness and related conditions.

Furthermore, hospice providers must provide care based on the medical acuity of the member at one of four distinct hospice levels of care: routine home care, continuous home care, general inpatient hospice care, and inpatient hospice respite care.

Prior Authorization for the Treatment of Non-terminal Conditions
The IHCP provider billing for the treatment of the non-terminal illness must obtain PA for the treatment of the non-terminal illness services. The following services do not require PA for the treatment of non-terminal conditions:

• Pharmacy services not related to the member’s terminal condition
• Dental services
• Vision care services

Non-terminal Medical Conditions
The diagnostic information in this section was researched from the following organizations: American Academy of Neurology, American College of Cardiology, American Heart Association, American Lung Association, American Psychiatric Association, National Institute of Neurological Disorders and Stroke, Renal Physicians Association and American Society of Nephrology, and the U.S. National Library of Medicine and NIH.

Amyotrophic Lateral Sclerosis (ALS)
The following information is for general diagnosis and consideration of medical necessity for ALS:

• ALS tends to progress in a linear fashion over time; therefore, the overall rate of decline in each patient is fairly constant and predictable, unlike many other non-cancer diseases.
• No single variable deteriorates at a uniform rate in all patients; therefore, multiple clinical parameters are required to judge the progression of ALS.
• Although ALS usually presents in a localized anatomical area, the location of initial presentation does not correlate with survival time. By the time patients become end-stage, muscle denervation has become widespread, affecting all areas of the body, and initial predominance patterns do not persist.
• Progression of disease differs markedly from patient to patient. Some patients decline rapidly and die quickly; others progress more slowly. For this reason, the history of the rate of progression in individual patients is important to predict prognosis.

• In end-stage ALS, two factors are critical in determining prognosis. These factors are the ability to breathe and the ability to swallow. The ability to breathe can be managed by artificial ventilation, and the ability to swallow by gastrostomy or other artificial feeding, unless the patient has recurrent aspiration pneumonia. While not necessarily a contraindication to hospice care, the decision to institute either artificial ventilation or artificial feeding will significantly alter a six-month prognosis.

• Examination by a neurologist within three months of assessment for hospice is advised, both to confirm the diagnosis and to assist with prognosis.

• Member must demonstrate a rapid progression of ALS within the 12 months preceding initial hospice certification. All the following clinical findings document this progression:
  o Progression from independent ambulation to wheelchair, or to bed-bound status
  o Progression from normal to barely intelligible or unintelligible speech
  o Progression from normal to pureed diet
  o Progression from independence in most or all ADLs to needing maximum assistance by caretaker in all ADLs

Member must demonstrate critically impaired breathing capacity by the following characteristics occurring within 12 months preceding initial hospice certification. Presence of any of the following will support a terminal illness status:

• Vital capacity is less than 30 percent of normal
• Significant dyspnea at rest
• Requiring supplemental oxygen at rest
• Patient declines artificial ventilation

Member must demonstrate critical nutritional impairment by all the following characteristics occurring within 12 months preceding initial hospice certification:

• Oral intake of nutrients and fluids insufficient to sustain life
• Continuing weight loss
• Dehydration or hypovolemia
• Absence of artificial feeding methods

Member must demonstrate life-threatening complications by one of the following characteristics occurring within 12 months preceding initial hospice certification:

• Recurrent aspiration pneumonia (with or without tube feedings)
• Upper UT infection, e.g., pyelonephritis
• Sepsis
• Fever recurrent after antibiotic therapy
Alzheimer’s disease and Related Disorders
Alzheimer’s disease and related disorders must support a prognosis of six months or fewer to meet the medical necessity for hospice services. The identification of specific structural impairments, functional impairments, and relevant activity limitations serves as the basis for palliative interventions and care planning.

The structural and functional impairments associated with a primary diagnosis of Alzheimer’s disease may be complicated by co-morbid or secondary conditions. Documentation of structural impairments, functional impairments, and activity limitations facilitates the selection of intervention strategies and provides objective criteria for determining the effects of such interventions.

Co-morbid conditions
- The significance of a given co-morbid condition is defined by the structural and functional impairments, together with any limitation in activity related to the co-morbid condition.
- Ultimately, the combined effect of Alzheimer’s disease (stage 7) and any co-morbid condition should be such that most members with Alzheimer’s disease and similar impairments would have a prognosis of six months or fewer.

Secondary conditions
- Secondary conditions, such as delirium and pressure ulcers, are directly related to a primary condition.
- Secondary conditions may be described by defining the structural or functional impairments, together with any limitation in activity or related to the secondary condition.
- The occurrence of secondary conditions in members with Alzheimer’s disease may be facilitated by the presence of impairments in body functions, such as mental functioning and movement functions.
- Such functional impairments may contribute to the increased incidence of secondary conditions, such as delirium and pressure ulcers.
- Secondary conditions themselves may be associated with a new set of structural or functional impairments that may respond to treatment.
- The combined effects of the Alzheimer’s disease and any secondary condition may indicate a prognosis of six months or fewer.

The Reisberg Functional Assessment Staging (FAST) Scale may be used to assess the functional level of members with Alzheimer’s disease and establish a prognosis of six months or fewer. Members who have a FAST score of 7 and specific co-morbid or secondary conditions may meet medical necessity.

Cardiopulmonary Disease
Cardiopulmonary conditions are associated with impairments, activity limitations, and disability. Their impact on any given individual depends on the individual’s overall health status. Cardiopulmonary conditions may support a prognosis of six months or less under many clinical scenarios.
The health status changes associated with cardiopulmonary conditions can be characterized using categories contained in the ICF. The ICF contains domains (for example, structures of cardiovascular and respiratory systems, functions of the cardiovascular and respiratory system, communication, mobility, and self-care) that allow for a comprehensive description of an individual’s health status and service needs. Information addressing relevant ICF categories, defined within each of these domains, should form the core of the clinical record and be incorporated into the care plan, as appropriate.

Additionally, the care plan may be impacted by relevant secondary and/or comorbid conditions. Secondary conditions are directly related to a primary condition. In the case of cardiopulmonary conditions, examples of secondary conditions could include delirium, pneumonia, stasis ulcers, and pressure ulcers. Comorbid conditions affecting beneficiaries with cardiopulmonary conditions are, by definition, distinct from the primary condition itself. An example of a comorbid condition would be end-stage renal disease (ESRD).

The important roles of secondary and comorbid conditions are described in the following sections to facilitate their recognition and assist providers in documenting their impact. The identification and documentation of relevant secondary and comorbid conditions, together with the identification and description of associated structural/functional impairments, activity limitations, and environmental factors would help establish hospice eligibility and maintain a beneficiary-centered plan of care.

**Secondary Conditions**
Cardiopulmonary conditions may be complicated by secondary conditions. The significance of a given secondary condition is best described by defining the structural/functional impairments – together with any limitation in activity and restriction in participation – related to the secondary condition. The occurrence of secondary conditions in beneficiaries with cardiopulmonary conditions results from the presence of impairments in such body functions as heart/respiratory rate and rhythm, contraction force of ventricular muscles, blood supply to the heart, sleep functions, and depth of respiration. These impairments contribute to the increased incidence of secondary conditions such as delirium, pneumonia, stasis ulcers, and pressure ulcers observed in Medicaid beneficiaries with cardiopulmonary conditions. Secondary conditions themselves may be associated with a new set of structural/functional impairments that may or may not respond/be amenable to treatment.

Ultimately, to support a hospice plan of care, the combined effects of the primary cardiopulmonary condition and any identified secondary conditions should be such that most beneficiaries with the identified impairments would have a prognosis of six months or less.

**Comorbid Conditions**
The significance of a given comorbid condition is best described by defining the structural/functional impairments – together with any limitation in activity and restriction in participation – related to the comorbid condition. For example, a beneficiary with a primary cardiopulmonary condition and ESRD could have specific ESRD-related impairments of water, mineral, and electrolyte balance functions coexisting with the cardiopulmonary impairments.
associated with the primary cardiopulmonary condition, such as aortic stenosis, chronic obstructive pulmonary disease, or heart failure)

Ultimately, to support a hospice plan of care, the combined effects of the primary cardiopulmonary condition and any identified comorbid conditions should be such that most beneficiaries with the identified impairments would have a prognosis of six months or less.

The documentation of structural/functional impairments and activity limitations facilitate the selection of the most appropriate intervention strategies (palliative/hospice vs. long-term disease management) and provide objective criteria for determining the effects of such interventions. The documentation of these variables is thus essential in the determination of reasonable and necessary IHCP Hospice Services

Heart Disease
Member must have current findings from numbers 1 and 2 below. Findings from numbers 3 and 4 are primarily supportive documentation for medical necessity.

1. Member has been treated with diuretics and vasodilators, which may include ACE inhibitors or the combination of hydralazine and nitrates. If side effects, such as hypotension or hyperkalemia, prohibit the use of ACE inhibitors or the combination of hydralazine and nitrates, the documentation submitted must reflect this reasoning. If a member has angina pectoris at rest that is resistant to standard nitrate therapy; and if the member is not a candidate for or declines invasive procedures, these factors must be documented in the medical records.

2. Member has significant findings of recurrent congestive heart failure at rest and is classified as NYHA Class III or IV. Class III or IV patients with heart disease cannot carry on any physical activity without discomfort. Symptoms of heart failure or of the anginal syndrome may be present even at rest. If any physical activity is undertaken, discomfort increases. Class III heart failure (moderate) is defined as the marked limitation of physical activity. These patients are comfortable at rest, but less than ordinary activity causes fatigue, palpitation, or dyspnea. Class IV heart failure (severe) is defined as the inability to carry out any physical activity without discomfort, along with symptoms of cardiac insufficiency at rest. If any physical activity is undertaken, discomfort increases.

3. Congestive heart failure may be documented by an ejection fraction of < 40 percent. Documentation of an ejection fraction is not required if it is not already available.

4. Documentation of the following findings will support eligibility for hospice care:
   a. Treatment resistant symptomatic supraventricular or ventricular arrhythmias
   b. History of cardiac arrest or resuscitation
   c. History of unexplained syncope
   d. Brain embolism of cardiac origin
   e. Concomitant HIV disease
   f. Documentation of ejection fraction – 40 percent or less
Pulmonary Disease
Member must have current findings from numbers 1 through 5. Findings from numbers 6 through 9 primarily support documentation for medical necessity.

1. Severe chronic lung disease, as documented by both sections a and b:
   a. Disabling dyspnea at rest, poorly or unresponsive to bronchodilators, which results in decreased functional capacity; e.g., bed to chair existence, fatigue, and cough.
   b. Prior visits to the emergency department or hospitalizations, which have increased over time, for pulmonary infections or respiratory failure indicating end-stage pulmonary disease.

2. Progression of end-stage pulmonary disease, as evidenced by the following:
   a. Prior increasing visits to the emergency department
   b. Prior hospitalizations for pulmonary infections
   c. Respiratory failure (documentation of FEV1 – forced expiratory volume after one second) < 30 percent is objective evidence for disease progression that may not be necessary to obtain

3. Swelling in the lower extremities which may indicate cor pulmonale or right-sided heart failure, secondary to pulmonary disease, e.g., not secondary to left heart disease or valvulopathy

4. Hypoxemia

5. Long-term oxygen therapy

6. Unintentional progressive weight loss of greater than 10 percent of body weight over the preceding six months

7. Resting tachycardia >100/min

8. Previous use of ventilator during hospital admissions

9. Pulmonary hypertension

HIV/AIDS
Member must have current findings from numbers 1 and 2 below. Findings from number 3 primarily support documentation for medical necessity.

1. CD4+ count less than or equal to 200 cells/mm3 or persistent viral load >100,000 copies/ml, plus one of the following findings:
   a. CNS lymphoma
   b. Wasting (loss of 33 percent of lean body mass), untreated or not responsive to treatment
c. Mycobacterium avium complex bacteremia, untreated, unresponsive to treatment, or treatment refused
d. Progressive multifocal leukoencephalopathy
e. Systemic lymphoma with advanced HIV disease and partial response to chemotherapy
f. Visceral Kaposi’s sarcoma, unresponsive to therapy
g. Renal failure in the absence of dialysis
h. Cryptosporidium infection
i. Toxoplasmosis, unresponsive to therapy

2. Decreased performance status, as measured by the Karnofsky Performance Status Scale, of < 50 percent

3. Documentation of the following findings will support eligibility for hospice care:
   a. Chronic persistent diarrhea for one year
   b. Persistent serum albumin <2.5 gm/dl
   c. Age > 50 years old.
   d. Absence of antiretroviral, chemotherapeutic, and prophylactic drug therapy related specifically to HIV disease
   e. Toxoplasmosis
   f. Congestive heart failure, symptomatic at rest
   g. Advanced AIDS dementia complex
   h. Concomitant, active substance abuse

Liver Disease
Members must have current findings from numbers 1 and 2 below. Findings from number 3 primarily support documentation for medical necessity.

1. The member must present with findings from both a and b:
   a. Prothrombin time prolonged more than five seconds over control, or International Normalized Ratio (INR) >1.5
   b. Serum albumin <2.5 gm/dl

2. End-stage liver disease is present, and the patient shows at least one of the following:
   a. Ascites, refractory to treatment or patient non-compliant
   b. Spontaneous bacterial peritonitis
   c. Hepatorenal syndrome (elevated creatinine and BUN with oliguria (<400 ml/day) and urine sodium concentration <10 meq/l)
   d. Hepatic encephalopathy, refractory to treatment, or patient non-compliant
   e. Recurrent variceal bleeding, despite intensive therapy

3. Documentation of the following findings will support eligibility for hospice care:
   a. Progressive malnutrition
   b. Muscle wasting with reduced strength and endurance
   c. Continued active alcoholism (>80 gm ethanol/day)
   d. Hepatocellular carcinoma
e. Hepatitis B surface antigen (HBsAg) positive
f. Hepatitis C refractory to interferon treatment

Members awaiting a liver transplant who otherwise fit the hospice criteria may receive hospice benefits. However, if a donor organ is procured, the member must be discharged from hospice services.

Renal Disease
Members with acute renal failure must have current findings from numbers 1 and 2 below. Findings from number 3 primarily support documentation for medical necessity.

1. Creatinine clearance < 10 cc/min (<15 cc/min for diabetics)
2. Serum creatinine >8.0 mg/dl (>6.0 mg/dl for diabetics)
3. Co-morbid conditions
   a. Mechanical ventilation
   b. Malignancy (other organ system)
   c. Intractable hyperkalemia (>7.0), not responsive to treatment
   d. Uremic pericarditis
   e. Hepatorenal syndrome
   f. Intractable fluid overload, not responsive to treatment
   g. Immunosuppression/AIDS
   h. Albumin <3.5 gm/dl
   i. Cachexia
   j. Platelet count <25,000
   k. Disseminated intravascular coagulation
   l. Gastrointestinal bleeding
   m. Chronic lung disease
   n. Advanced cardiac disease
   o. Advanced liver disease
   p. Sepsis

Member with chronic renal failure must have current findings from numbers 1 and 2 below. Findings from number 3 primarily support documentation for medical necessity.

1. Creatinine clearance <10 cc/min (<15 cc/min for diabetics)
2. Serum creatinine > 8.0 mg/dl (>6.0 mg/dl for diabetics)
3. Signs and symptoms of renal failure
   a. Uremia
   b. Oliguria (<400 cc/day)
   c. Intractable hyperkalemia (>7.0) not responsive to treatment
   d. Uremic pericarditis
   e. Hepatorenal syndrome
f. Intractable fluid overload, not responsive to treatment

**Stroke**
The medical criteria listed below support a terminal prognosis for members with a diagnosis of stroke. Medical criteria are indicators of functional and nutritional status that support medical necessity for hospice services.

- Palliative Performance Scale (PPS) of 40.
  - Degree of ambulation (i.e., bedridden)
  - Activity and extent of disease (i.e., unable to work and extensive disease)
  - Inability for self-care (i.e., assistance needed) or the incapability of regaining the ability for self-care
  - Food and fluid intake (i.e., greatly reduced or reduced to the point of inability to maintain homeostasis)
  - State of consciousness (i.e., fully conscious, drowsy, or confused)
- Inability to maintain hydration and caloric intake with one of the following:
  - Weight loss > 10 percent during previous six months
  - Weight loss > 7.5 percent in previous three months
  - Serum albumin > 2.5 gm/dl
  - Current history of pulmonary aspiration without effective response to intervention by a speech/language therapist
  - Calorie counts documenting inadequate caloric and fluid intake
  - Determination of the inability to improve by a neurologist, neurosurgeon, internal medicine specialist, or family practitioner, along with a review by a PT or OT.

If a member does not meet the medical criteria, documentation must describe a relevant co-morbidity and rapid decline of functional abilities. For example, a stroke patient with a co-morbidity (i.e., Alzheimer’s, Parkinson’s disease, adult failure to thrive syndrome, or ALS) may not be able to regain functionality.

**Coma**
Medical criteria listed below may support a terminal prognosis for members with a diagnosis of coma when any three of the following conditions are met on day three of a coma:

- Abnormal brain stem response
- Absent verbal response
- Absent withdrawal response to pain
- Serum creatinine > 1.5 mg/dl

Medical criteria is based on a neurological evaluation, which may include an electroencephalography (EEG), magnetic resonance imaging (MRI), or computed axial tomography (CT scan).
Adult Failure to Thrive Syndrome
The following information is for general diagnosis and consideration of medical necessity for adult failure to thrive syndrome:

- The adult failure to thrive syndrome is characterized by unexplained weight loss, malnutrition, and disability.
- This syndrome has been associated with multiple primary conditions (e.g., infections and malignancies), but always includes two defining conditions, those being malnutrition and disability.
- The syndrome may be an irreversible progression in the member’s malnutrition or worsening of disability despite therapy (i.e., failure of treatment intended to affect the primary condition responsible for the patient’s clinical presentation).
- Co-morbid conditions may increase the progression of this syndrome and should be identified and addressed.

The following medical criteria would support a terminal prognosis of adult failure to thrive syndrome.

- Nutritional impairment should be significant enough to have an impact on the member’s weight.
  - Member’s BMI is below 22kg/m².
  - Member is either refusing enteral/parenteral nutritional support or has not responded to such nutritional support, despite an adequate caloric intake.
- Disability associated with adult failure to thrive should be such that the member is significantly disabled, which would be demonstrated by a Karnofsky or Palliative Performance scale value less than or equal to 40 percent.

Both the BMI and the level of disability of the member should be determined using measurements and observations made within six months (180 days) of the most recent certification/recertification date. If enteral nutritional support has been instituted before considering hospice and will be continued, the BMI and levels of disability should be determined using measurements and observations at the time of the initial certification and at each subsequent recertification for hospice.

At the time of recertification, recumbent measurements, such as mid-arm muscle area in cm², may be used instead of BMI measurement, so long as there is documentation proving the necessity of such replacement in the member’s file.

Also, in the event a member with nutritional impairment does not meet the criteria of refusing enteral/parenteral nutritional support or has not responded to nutritional support (as listed above) but is still considered eligible for hospice care, he or she may have an alternative diagnosis that adequately describes the clinical circumstances of the member (e.g., R63.4 – abnormal loss of weight and R64 – Cachexia).
Following are the documentation requirements needed for hospice admission of members with adult failure to thrive syndrome:

- Documentation supporting the medical necessity should be legible, maintained in the member’s medical records, and be available for review on request.
- Documentation certifying terminal status must contain sufficient information to confirm that the status is based on the criteria of medical necessity.
- Both measurement of BMI and functional status of the member using the Karnofsky scale must be documented every 180 days for recertification of hospice benefits.

**Medicaid Home and Community Based Services Waiver Members**

Members who receive home and community-based services through one of the IHCP waiver programs and meet the hospice criteria are eligible for IHCP hospice services. IHCP waiver members who choose the IHCP hospice benefit do not have to disenroll from the waiver program. The member may continue to receive waiver services that are not related to the terminal condition and are not duplicative of hospice services.

- IHCP members currently receiving HCBS may also elect the Medicare or Medicaid hospice benefit as deemed eligible. The HCBS case manager may request additional home and community-based services, as long as those home and community-based services are not related to the terminal condition and do not duplicate hospice services.
- IHCP members currently receiving the Medicare or Medicaid hospice benefit may supplement services by applying for HCBS through the appropriate division, as long as those HCBS are not related to the terminal condition, do not duplicate hospice services, and are available through the applicable source.

The IHCP hospice provider must provide all required services to meet the needs of the member in relation to the terminal diagnosis.

The number of hours related to the member’s non-terminal condition is determined on a case specific basis. The member should not be provided with additional waiver services other than those the IHCP waiver program would have provided if the waiver member had not elected hospice care.

The IHCP expects the hospice provider to coordinate with other non-hospice providers to ensure the member’s overall care is met and non-hospice providers do not compromise the hospice POC. The hospice provider and the waiver case manager must collaborate and communicate regularly to ensure that the best possible care is provided.

**Prior Authorization for Medicaid Home and Community Based Services Waiver Members**

Prior authorization for IHCP hospice services is required.
Discharge by a Hospice Provider

Once a hospice provider chooses to admit a member, the provider may not automatically or routinely discharge the member at its discretion, even if the care is costly or inconvenient. The election of the hospice benefit is the member’s choice, rather than the hospice’s choice; therefore, the hospice may not revoke the member’s election. Additionally, hospice providers may not request or demand hospice revocation.

Reasons a hospice provider may discharge a member from care include the following situations:

- Member dies
- Member’s prognosis is determined to be greater than six months
- Member moves out of the hospice service area
  - The hospice provider notifies the fiscal intermediary of the discharge, so hospice services and billings are terminated as of the discharge date. In this situation, the member loses the remaining days in the benefit period; however, there is no increased cost to the member.
- Member’s safety or hospice staff’s safety is compromised
  - The hospice must make every effort to resolve these problems satisfactorily before discharge is considered an option. All efforts by the hospice to resolve the problem must be documented in detail in the member’s record. The hospice must notify the fiscal intermediary and the State Survey Agency of the circumstances surrounding the impending discharge. Hospice providers must submit specific forms to facilitate the discharge.
- Member is admitted to a NF that does not have a contract with a hospice

Hospice providers may fax the Medicaid Hospice Discharge form to the PA Department of CMCS, if all the hospice benefit periods preceding the hospice discharge date have been previously authorized. The documented discharge date cannot precede the actual discharge.

For members residing in nursing facilities, hospice providers are encouraged to provide a copy of the discharge form to the appropriate staff in the NF to ensure that the form is included in the clinical record the NF maintains for the hospice member. This coordination ensures that staff is aware of the exact date the hospice provider discharged the member.

- Hospice providers must bill the IHCP for the hospice per diem for NF room and board for the hospice discharge date.
- Nursing facilities may resume billing the IHCP directly for NF care for the DOS following the hospice discharge date.

Prior Authorization

All hospice services require prior authorization.
The IHCP hospice provider must submit documentation to the CMCS PA Department within 10 business days of the member’s election effective date, and for each benefit period, for approval of the hospice benefit. CMCS preferred method for providers to submit PA requests is by fax.

**Plan of Care**
The IHCP hospice benefit program mirrors the Medicare hospice program. IHCP hospice providers are required to comply with federal hospice regulations located at 42 CFR, Part 418 et seq. The Medicare Conditions of Participation (CoPs) were updated January 23, 2006 to affect change in the Balanced Budget Act of 1997.

These regulations require hospice providers to list all hospice covered services in frequency and scope on the hospice POC necessary to treat the terminal illness and related conditions. Hospice providers must provide care based on the medical acuity of the member at one of four distinct hospice levels of care:

- routine home care
- continuous home care
- general inpatient hospice care
- inpatient hospice respite care

IHCP hospice providers must be Medicare-certified and licensed as hospice providers by ISDH as a condition of provider enrollment.

**Documentation Requirements**
The individual must have a terminal prognosis as well as the physician certification that meets the Medicare guidelines of participation (providers should refer to 42 CFR 418.56 for the pertinent regulations for the hospice plan of care).

- The clinical evidence must support the terminal diagnosis at the time of the initial certification and at the time of each subsequent certification and must describe the patient's condition.
- Documentation must illustrate why the patient is considered to be terminal and not chronic. History is helpful when it provides clarification as to why the current documentation only reflects a chronic condition.
- Each patient’s documentation must be specific to the individual and include any additional documentation, which distinguishes this patient from other patients with the same disease who may be chronic but who are not terminal.
- For each hospice benefit period, the interdisciplinary team must assess the patient’s condition and hospice appropriateness and the documentation must distinguish between exacerbation and stabilization as well as exacerbation and deterioration.
- The documentation must include the most specific and most terminal ICD-10 CM code appropriate to the patient.
- The documentation must specify why any medication, treatments, or services could be considered aggressive are considered necessary for the patient’s palliative treatment.
- The patient’s decline must be documented in detail.
Providers must show how the systems of the body are in a terminal condition.

For PA, IHCP consults hospice criteria published by the fiscal intermediary for Indiana Medicare hospice providers, Palmetto Government Benefits Administrators, LLC. Palmetto has established these guidelines as a matter of protocol for medical criteria. Providers are to use current professional guidelines, including the LCD, to determine when hospice services meet medical necessity.

Hospice providers are reminded that the IHCP recognizes that the local coverage determination policies (LCD) are only guidelines to determine when members may qualify for hospice or palliative services. The LCD is not meant to replace the overall clinical evaluation by the hospice provider, IHCP, or its contractor, when evaluating the unique clinical condition of each hospice member.

The IHCP will use existing medical documentation submitted by the hospice provider to determine medical necessity for hospice. Existing lab results and other forms of medical tests may be helpful to determine appropriateness for hospice care and may be requested of the provider if such documentation exists; however, the IHCP would not expect the patient to undergo invasive tests at the end of life unless they were absolutely necessary to validate a prognosis. The existence of documented co-morbidities, as well as the documentation of decline in the member’s health status, will be used in the evaluation. Existence of a patient advance directive should also be taken into consideration.

The IHCP and its contractors are not prevented from requesting medical documentation about any hospice member at any point during that member’s enrollment in the IHCP. This practice is consistent with the IHCP provider agreement.

Hospice inpatient care must be provided in an inpatient unit or contracted inpatient facility that meets the parameters at 42 CFR Part 418.100 et.seq.

Billing and Coding
For further billing information, see the Hospice Services provider reference module. For a list of billing codes, see the Hospice Services Codes on the Code Sets/Tables webpage.

Rules and Citations
405 IAC 1
- 405 IAC 1-16 Reimbursement for Hospice Services

405 IAC 5
- 405 IAC 5-2-10.2 Hospice Program Defined
- 405 IAC 5-5-1 Out-of-State Services; General
- 405 IAC 5-34 Hospice Services

IHCP Provider Bulletins
- **BT201809** Enrolling health plans to be responsible for hospice services for Hoosier Care Connect members
- **BT201626** IHCP clarifies coverage of hospice and home health services in HIP
- **BT201526** IHCP amends electronic signature policy for home health and hospice PA documentation.
- **BT201433** Patient liability established for qualifying individuals with end-stage renal disease
- **BT201205** Concurrent Hospice and Curative Care Services for Children

IHCP Provider Banners

**Note:** For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit [http://provider.indianamedicaid.com/](http://provider.indianamedicaid.com/).

**Update History**

January 1, 2017 – Initial Publication

March 13, 2018 – Clarified Health Plans Responsible for Hospice Services for Hoosier Care Connect Members
Hospital Inpatient Services

Description of Service
“Inpatient” is defined as a patient required to be admitted to the hospital to treat a condition requiring close monitoring or skilled professional management. Inpatient hospital services may be covered when determined to be medically reasonable and necessary for the services to be performed only in an inpatient hospital setting.

Medical Policy
Inpatient Acute Care Hospital Admissions
Inpatient hospital services are covered by IHCP when such services are provided or prescribed and documented by a physician and when the services are medically necessary for the diagnosis or treatment of the recipient's condition, subject to the following limitations:

- Reimbursement for inpatient hospital services is available only when it is determined to be medically reasonable and necessary for the services to be performed only in an inpatient hospital setting.
  - Reimbursement will be denied for any days of the hospital stay during which the inpatient hospitalization is found not to have been medically necessary.
- If an inpatient procedure requires prior authorization, and prior authorization is either not obtained or denied, reimbursement for the inpatient procedure and any associated services, including inpatient days, shall be denied.
- The recipient's medical condition, as described and documented in the medical record by the primary or attending physician, must justify the intensity of service provided.
- Reimbursement shall not be made for any hospital services not covered by the IHCP.
- Reimbursement is not available for reserving a bed during a therapeutic leave of absence from an acute care hospital.

Prior Authorization for Inpatient Acute Care Hospital Admissions
Prior authorization is required for all non-emergent inpatient hospital admissions, including all elective or planned inpatient hospital admissions. 405 IAC 5-33 provides criteria for acute care hospital admissions for both adult and pediatric recipients. Below are the criteria for acute care hospital admissions for day of admission.

Acute Care Hospital Admission Criteria for Adults
Severity of Illness Criteria
- Sudden onset of unconsciousness or disorientation (coma or unresponsiveness);
- Pulse rate:
  - less than fifty (50) per minute; or
  - greater than one hundred forty (140) per minute;
- Blood pressure:
  - systolic less than ninety (90) or greater than two hundred (200) millimeters mercury; or
• Diastolic less than sixty (60) or greater than one hundred twenty (120) millimeters mercury;
• Acute loss of sight or hearing;
• Acute loss of ability to move body part;
• Persistent fever equal to or greater than one hundred (100) (p.o.) or greater than one hundred one (101) (R) for more than five (5) days;
• Active bleeding;
• Severe electrolyte/blood gas abnormality, including any of the following:
  o Na < 123 mEq/L
  o Na > 156 mEq/L
  o K < 2.5 mEq/L
  o K > 6.0 mEq/L
  o CO2 combining power (unless chronically abnormal) < 20 mEq/L
  o CO2 combining power (unless chronically abnormal) > 36 mEq/L
  o Blood pH < 7.30
  o Blood pH > 7.45;
• Acute or progressive sensory, motor, circulatory, or respiratory embarrassment sufficient to incapacitate the patient (inability to move, feed, or breathe); must also meet intensity of service criterion simultaneously in order to certify; do not use for back pain;
• EKG evidence of acute ischemia; must be suspicion of a new MI; or
• Wound dehiscence of evisceration.

Intensity of Service
• Intravenous medications and/or fluid replacement (does not include tube feedings);
• Surgery or procedure scheduled within twenty-four (24) hours requiring:
  o general or regional anesthesia; or
  o use of equipment, facilities, or procedure available only in a hospital;
• Vital sign monitoring every two (2) hours or more often (may include telemetry or bedside cardiac monitor);
• Chemotherapeutic agents that require continuous observation for life-threatening toxic reaction;
• Treatment in an intensive care unit;
• Intramuscular antibiotics at least every eight (8) hours; and
• Intermittent or continuous respirator use at least every eight (8) hours

Criteria of appropriateness of day of care
• Medical services:
  o procedure in operating room that day;
  o scheduled for procedure in operating room the next day, requiring preoperative consultation or evaluation;
  o cardiac catheterization that day;
  o angiography that day;
  o biopsy of internal organ that day;
• thoracentesis or paracentesis that day;
• invasive CNS diagnostic procedure, for example, lumbar puncture, cisternal tap, ventricular tap, or pneumoencephalography, that day;
• any test requiring strict dietary control for the duration of the diet;
• new or experimental treatment requiring frequent dose adjustments under direct medical supervision;
• close medical monitoring by a doctor at least three (3) times daily (observations must be documented in record); or

• Postoperative day for any procedure covered listed below:
  • procedure in operating room that day;
  • cardiac catheterization that day;
  • angiography that day;
  • biopsy of internal organ that day;
  • thoracentesis or paracentesis that day;
  • invasive CNS diagnostic procedure, for example, lumbar puncture, cisternal tap, ventricular tap, or pneumoencephalography, that day

• Nursing/life support services:
  • respiratory care–intermittent or continuous respirator use and/or inhalation therapy (with chest PT, IPPB) at least three (3) times daily;
  • parenteral therapy–intermittent or continuous intravenous fluid with any supplementation (electrolytes, protein, or medications);
  • continuous vital sign monitoring, at least every thirty (30) minutes, for at least four (4) hours;
  • IM and/or SC injections at least twice daily;
  • intake and output measurement;
  • major surgical wound and drainage care (chest tubes, T-tubes, hemovacs, Penrose drains); or
  • close medical monitoring by nurse at least three (3) times daily, under doctor’s orders.

• Patient condition:
  • within twenty-four (24) hours before day of review inability to void or move bowels (past twenty-four (24) hours) not attributable to neurologic disorder;
  • within forty-eight (48) hours before day of review:
    • transfusion due to blood loss;
    • ventricular fibrillation or ECG evidence of acute ischemia, as stated in progress note or in ECG report;
    • fever at least one hundred one (101) degrees rectally (at least one hundred (100) degrees orally), if patient was admitted for reasons other than fever;
    • coma–unresponsiveness for at least one (1) hour;
    • acute confusional state, not due to alcohol withdrawal;
    • acute hematologic disorders, significant neutropenia, anemia, thrombocytopenia, leukocytosis, erythrocytosis, or thrombocytosis yielding signs or symptoms; or
    • progressive acute neurologic difficulties; and
within fourteen (14) days before day of review, occurrence of a
documented, new acute myocardial infarction or cerebrovascular accident
(stroke).

Acute Care Hospital Admission Criteria for Pediatrics
Severity of Illness

- Sudden onset of unconsciousness (coma or unresponsiveness) or disorientation;
- Acute or progressive sensory, motor, circulatory, or respiratory embarrassment
  sufficient to incapacitate the patient (inability to move, feed, breathe, or urinate);
- Acute loss of sight or hearing;
- Acute loss of ability to move body part;
- Persistent fever (> one hundred (100) degrees orally or > one hundred one (101)
  degrees rectally) for more than ten (10) days;
- Active bleeding;
- Wound dehiscence or evisceration;
- Severe electrolyte/acid-base abnormality, including any of the following:
  - Na < 123 mEq/L
  - Na > 156 mEq/L
  - K < 2.5 mEq/L
  - K > 6.0 mEq/L
  - CO2 combining power (unless chronically abnormal) <20 mEq/L
  - CO2 combining power (unless chronically abnormal) > 36 mEq/L
  - Arterial pH < 7.30
  - Arterial pH > 7.45;
  - Hematocrit < thirty percent (30%);
- Pulse rate outside following ranges (optimally a sleeping pulse for < twelve (12)
  years old):
  - 2–6 years old      70–200/minute
  - 7–11 years old    60–180/minute
  - 12 years old        50–140/minute
- Blood pressure outside following ranges:
  - Systolic/Diastolic
  - 2–6 years old 75–125 mm Hg/ 40–90 mm Hg
  - 7–11 years old 80–130 mm Hg/ 45–90 mm Hg
  - < 12 years old 90–200 mm Hg/ 60–120 mm Hg
- Need for lumbar puncture, where this procedure is not done routinely on an
  outpatient basis;
- Any conditions not responding to outpatient, including emergency room:
  - seizures;
  - cardiac arrhythmia;
  - bronchial asthma or croup;
  - dehydration;
  - encopresis (for clean-out); or
  - other physiologic problem (specify);
- Special pediatric problems:
o child abuse;
o noncompliance with necessary therapeutic regimen; or
o need for special observation or close monitoring of behavior, including calorie intake in cases of failure to thrive.

**Intensity of Service**
- Surgery or procedure scheduled within twenty-four (24) hours requiring:
  - general or regional anesthesia; or
  - use of equipment, facilities, or procedure available only in a hospital;
- Treatment in an intensive care unit;
- Vital sign monitoring every two (2) hours or more often (may include telemetry or bedside cardiac monitor);
- Intravenous medications and/or fluid replacement (does not include tube feedings);
- Chemotherapeutic agents that require continuous observation for life-threatening toxic reaction;
- Intramuscular antibiotics at least every eight (8) hours; and
- Intermittent or continuous respirator use at least eight (8) hours.

**Criteria of appropriateness of day of care**
- For medical services, the following documented criteria will be used for continued stay reviews; at least one (1) of the criteria must be met for the continued stay to be recertified:
  - Procedure in operating room that day.
  - Procedure scheduled in operating room the next day, requiring preoperative consultation or evaluation.
  - If day being reviewed is the day of admission, any procedure listed below (cardiac catheterization through gastrointestinal endoscopy) scheduled for the day after admission unless that procedure is usually done at that facility on a same-day basis.
  - Cardiac catheterization that day.
  - Angiography that day.
  - Biopsy of internal organ that day.
  - Thoracentesis or paracentesis that day.
  - Invasive CNS diagnostic procedure, for example, lumbar puncture, cisternal tap, ventricular tap, or pneumoencephalography, that day.
  - Gastrointestinal endoscopy that day.
  - Any test requiring strict dietary control for the duration of the diet.
  - New or experimental treatment requiring frequent dose adjustments under direct medical supervision.
  - Close medical monitoring by a doctor at least three (3) times daily (observations must be documented in record).
  - Postoperative day for any procedure covered below:
    - Procedure in operating room that day
    - Cardiac catheterization that day
    - Angiography that day
- Biopsy of internal organ that day
- Thoracentesis or paracentesis that day
- Invasive CNS diagnostic procedure, for example, lumbar puncture, cisternal tap, ventricular tap, or pneumoencephalography, that day
- Gastrointestinal endoscopy that day

- Nursing/life support services shall be as follows:
  - Respiratory care–intermittent or continuous respirator use and/or inhalation therapy (with chest PT, IPPB), at least three (3) times daily, Bronkosol with oxygen, oxyhoods, or oxygen tents.
  - Parenteral therapy–intermittent or continuous intravenous fluid with any supplementation (electrolytes, protein, or medications).
  - Continuous vital sign monitoring, at least every thirty (30) minutes for at least four (4) hours.
  - IM and/or SC injections at least twice daily.
  - Intake and/or output measurement.
  - Major surgical wound and drainage care, for example, chest tubes, T-tubes, hemovacs, or Penrose drains.
  - Traction for fractures, dislocations, or congenital deformities.
  - Close medical monitoring by nurse at least three (3) times daily, under doctor's orders.

- Patient condition:
  - within twenty-four (24) hours on or before day of review, inability to void or move bowels, not attributable to neurologic disorder–usually a post-op;
  - within forty-eight (48) hours on or before day of review:
    - transfusion due to blood loss;
    - ventricular fibrillation or ECG evidence of acute ischemia as stated in progress note or in ECG report;
    - fever at least one hundred one (101) degrees rectally (at least one hundred (100) degrees orally) if patient was admitted for reason other than fever;
    - coma–unresponsiveness for at least one (1) hour;
    - acute confusional state, including withdrawal from drugs and alcohol;
    - acute hematologic disorders–significant neutropenia, anemia, thrombocytopenia, leukocytosis, erythrocytosis, or thrombocytosis–yielding signs of symptoms; or
    - progressive acute neurologic difficulties; and
  - within fourteen (14) days before day of review, occurrence of a documented, new acute myocardial infarction or cerebrovascular accident (stroke).

Inpatient Psychiatric Admissions
The IHCP reimburses for inpatient psychiatric services provided to eligible individuals between 22 and 65 years old only in certified psychiatric hospitals with 16 beds or less. Reimbursement is available for inpatient care provided on the psychiatric unit of an acute care hospital only.
when the need for admission has been certified. For more information, please see the Mental Health and Addiction Services policy module.

**Inpatient Rehabilitation Admission**

Per CMS, inpatient rehabilitation is designed to provide intensive rehabilitation therapy in a resource intensive inpatient hospital environment for patients who, due to the complexity of their nursing, medical management, and rehabilitation needs, require and can reasonably be expected to benefit from an inpatient stay and an interdisciplinary team approach to the delivery of rehabilitation care.

The IHCP provides reimbursement for inpatient rehabilitation services when such services are prior authorized and determined to be medically necessary.

**Prior Authorization for Inpatient Rehabilitation Admission**

Prior authorization is required for all inpatient rehabilitation admissions. Prior to admission to a physical rehabilitation unit, an assessment of the patient's total rehabilitative potential must be completed and documented in the medical record. Also, a written plan of care, cooperatively developed by the therapist or psychologist and the attending physician, is required for all rehabilitation services.

Prior to admission to a physical rehabilitation unit, the member's total rehabilitation potential must be evaluated. Documentation in the medical record must include the member's condition, IHCP criteria, and level of care necessary in the rehabilitation unit.

The following conditions must be met for reimbursement for physical rehabilitation admission:

- The patient is medically stable.
- The patient is responsive to verbal or visual stimuli.
- The patient has sufficient mental alertness to participate in the program.
- The patient's premorbid condition indicates a potential for rehabilitation.
- The expectation for improvement is reasonable.
- The criteria listed in 405 IAC 5-32 are met.

Per 405 IAC 5-32, the following criteria shall demonstrate the inability to function independently with demonstrated impairment. The following criteria contains the evaluation necessary to determine the member’s ability or inability to function independently.

**Severity of Illness Criteria**

- Cognitive function (attention span, memory, or intelligence).
- Communication (aphasia with major receptive or expressive dysfunction).
- Continence (bladder or bowel).
- Mobility (transfer, walk, climb stairs, or wheelchair).
- Pain management (pain behavior limits functional performance).
• Perceptual motor function (spatial orientation or depth or distance perception).
• Self-care activities (drink or feed, dress, maintain personal hygiene, brace or prosthesis).

Intensity of Service Criteria
• Multidisciplinary team evaluation at least every two (2) weeks.
• Physical therapy and at least one (1) of the following therapies (totaling a minimum of three (3) hours daily):
  o Occupational therapy.
  o Speech therapy.
• Participation in a rehabilitation program under the direction of a qualified physician.
• Skilled rehabilitative nursing care or supervision required at least daily.

Discharge Criteria
• Evidence in record that patient has achieved stated goals.
• Medical complications preclude intensive rehabilitative effort.
• Multidisciplinary therapy no longer needed.
• No additional functional improvement is anticipated.
• Patient's functional status has remained unchanged for fourteen (14) days.

Inpatient Surgical Admission

Admission Indicators for Surgical Procedures
• Any surgical procedure usually performed on an outpatient basis, when scheduled as an inpatient, must be prior authorized. The length of stay for the inpatient admission is determined by the appropriate DRG but is subject to retrospective review for medical necessity.
• Criteria for determining the medical necessity for inpatient admission includes the following information:
  o Technical or medical difficulty during the outpatient procedure as documented in the medical record
  o Presence of physical or mental conditions, which make prolonged preoperative or postoperative observations by a nurse or other skilled medical personnel a necessity
  o Performance of another procedure simultaneously, which itself requires hospitalization
  o Likelihood of another procedure, which would require hospitalization following the initial procedure

Prior Authorization for Inpatient Surgical Admission
Prior authorization is required for all surgical procedures typically performed on an outpatient basis, when performed on an inpatient basis, require PA.
Dental Admissions
Any one of the following is an inpatient dental admission indicator:

- Mental incapacitation such that the recipient’s ability to cooperate with procedures is impaired, including intellectual disability, organic brain disease, and behavioral problems associated with uncooperative, but otherwise healthy children
- Severe physical disorders affecting the tongue or jaw movements
- Seizure disorders
- Significant psychiatric disorders resulting in impairment of the recipient’s ability to cooperate with procedures
- Previously demonstrated idiosyncratic or severe reactions to IV sedation medication
- The need for oral surgery, listed in 405 IAC 5-19-17; or in extreme cases of facial trauma, pathology, or deformity
- Periodontal surgery only in cases of drug-induced periodontal hyperplasia
- Elective oral surgery when recipient is unable to cooperate with or tolerate the procedure

Prior Authorization for Dental Admissions
Prior authorization is required for all dental admissions.

Inpatient Burn Admissions
The following criteria list below for hospitalization for adults and children with burns are to be used for reference in determining IHCP appropriate inpatient burn admissions.

Hospitalization for Adults with Burns (Age 10 and Over)
First Degree
- Superficial
- Damage is limited to the epidermis
- Erythema appears
- Between 10 and 20 percent of total body surface (TBS) area – Minor Burn

Second Degree
- Deep partial thickness burns of eyes, ears, face, hands, feet, or perineum; or
- Burns complicated by fractures or respiratory damage;
- Electrical burns; and
- All burns in poor-risk patients
- Involvement of less than 15 percent TBS area – Minor Burn
- Involvement of 15 to 25 percent TBS area – Moderate Burn
- Involvement of more than 25 percent TBS area – Major Burn

Third Degree
- Minor Burn: Full thickness burns covering less than 3 percent of the body
  - Excluding the eyes, ears, face, hands, feet or perineum –
  - Or
• Moderate Burn: Full thickness burns covering greater than 3 percent and less than 10 percent TBS area
  o Including the eyes, ears, face, hands, feet, or perineum
  
  Or

• Major Burn: Full thickness burns of more than 10 percent of the TBS

Admission Indicators
The admission may be approved without referral for physician review if one of the following is present (recent onset):

• Loss or damage of skin ≥ 15 percent of TBS area
• High-voltage burn with devitalized skin, fat, or muscle
• Second- or third-degree burns of one of the following: face, hands, perineal region, encircling neck or extremities, anterior or posterior neck or limbs
• T ≥ 104.0° F
• T ≥ 102.0° F and one of the following:
  o White blood cells (WBC) ≥ 18,000/cu.mm
  o WBC ≥ 15,000/cu.mm with ≥ 7 percent bands
• T ≥ 100.5° F and one of the following:
  o Absolute neutrophil count ≤ 500/cu.mm
  o WBC ≤ 1,500/cu.mm
• Admission for an invasive procedure which necessitates an inpatient setting and is scheduled for the same day as admission

And one of the following treatments is being provided (at least daily):

• Post surgery or procedure care ≤ three days and at least two of the following:
  o IV fluids ≥ 100 mL/h
  o IV or IM analgesics
  o IV or IM anti-emetics
  o Graft or wound care
• Burn therapy with at least three of the following:
  o IV electrolyte (K, Ca, Mg, P)
  o IV fluids ≥ 100 mL/h
  o IV plasma expanders
  o O2 ≥ 28 percent (4L) or hyperbaric
  o Total parenteral nutrition (TPN)

Or at least three of the following treatments are being provided:

• Blood or blood products
• Complex burn, graft, or wound care
• IV fluids ≥ 100 mL/h
• Restorative PT or OT at least 2x/24h
• TPN
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- IV or IM corticosteroids at least 3x/24h
- IV or IM diuretics at least 2x/24h
- IV or IM analgesics at least 4x/24h
- IV or IM anti-emetics at least 4x/24h
- IV or IM anti-infectives at least 3x/24h

Hospitalization for Children with Burns (Age 10 and Under)

First Degree
- Superficial
- Damage is limited to the epidermis
- Erythema appears
- Minor Burn: Between 10 and 20 percent area

Second Degree
- Deep partial thickness burns of eyes, ears, face, hands, feet, or perineum; or
- Burns complicated by fractures or respiratory damage;
- Electrical burns; and
- All burns in poor-risk patients
- Minor Burn: Involvement of less than 10 percent TBS area
- Moderate Burn: Involvement of 10 to 20 percent TBS area
- Major Burn: Involvement of more than 20 percent TBS area

Third Degree
- Major Burn: Full thickness burns covering 2 percent of the body
  - Excluding the eyes, ears, face, hands, feet or perineum
- Or
  - Major Burn: Full thickness burns covering greater than 1 percent and less than 10 percent TBS area
  - Including the eyes, ears, face, hands, feet, or perineum

Admission Indicators
The admission may be approved without referral for physician review if one of the following is present (recent onset):
- Electrical burns with devitalized skin, fat, or muscle
- First-degree burns covering 40 percent of TBS
- Second-degree burns covering 15 percent of TBS
- Second-degree burns covering face, genitalia, hands, or feet
- Third-degree burns covering 5 percent or more of TBS
And at least one of the following treatments is being provided at least daily
- Post surgery or procedure care ≤ two days
- IV electrolytes
- Burn therapy with at least two of the following:
  - IV fluids ≥ 30 mL/kg/24h
• IV plasma expanders
• 02> 28 percent (4L)

Or at least three of the following treatments are being provided:
• Blood or blood products
• Complex burn, graft, or wound care
• PT
• IV fluids ≥ 30 mL/kg/24h
• IV plasma expanders
• TPN or enteral feeding
• IV or IM corticosteroids at least 3x/24h
• IV diuretics at least 2x/24h
• IV or IM analgesics at least 4x/24h
• IV or IM anti-emetics at least 4x/24h
• IV or IM anti-infectives at least 3x/24h
• Inpatient Dental Admission

**Prior Authorization for Inpatient Burn Admissions**
Prior authorization is required for inpatient hospitalizations for the immediate treatment of burns, except those with an admit of type 1 (emergency) or type 5 (trauma).

**Hospital Inpatient Readmissions**
Readmission is the term used when patients are admitted into the hospital, acute care or other, following a previous admission and discharge for the same or a related diagnosis.

Readmissions are subject to medical review to determine if the previous discharge was premature. Reviews are conducted based on statistical data sets for readmissions. If the discharge was premature and payment made, the readmission or discharge may be subject to recoupment. For payment purposes, readmissions within three days after discharge will be treated as the same admissions, while readmissions after three days will be treated as separate stays but are subject to medical review.

**Out-Of-State Services**
All out-of-state services require Prior Authorization (see 405 IAC 5-5-1). The following services are exceptions:
• Emergency services
• Recipients of the adoption assistance program placed outside of Indiana
• Services that are provided by designated cities listed in 405 IAC 5-5-2(a) (3)-(4).

**Long Term Acute Care (LTAC) Hospitals**
LTAC hospitals are designed to provide specialized acute care for patients that require especially long recovery periods. These patients are usually in acute-care facilities. Their medical conditions have stabilized, but they continue to require an acute level-of-care (LOC). A lesser LOC, such as a SNF or sub-acute care facility, is not appropriate.
Federal regulations for LTAC hospitals require average inpatient stays greater than 25 days. Medicare program criteria are used to qualify a facility as a LTAC hospital. Patients are generally discharged to home with or without home care services, to acute inpatient rehabilitation hospitals, sub-acute rehabilitation programs, or to SNFs. LTAC hospitals are licensed by state acute care licensing standards and are accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO).

**Admission Criteria**
Before admission to a LTAC hospital, assessment of the patient’s current medical status and discharge goals must be provided to the appropriate PA vendor for PA purposes. This information should also be documented in the medical record. Each PA request is reviewed for medical necessity on an individual, case-by-case basis.

The proposed admission needs to be to a facility that meets the definition of a LTAC hospital in 405 IAC 1-10.5-2(s), which states, “LTC hospital means a freestanding general acute care hospital licensed under Indiana Code IC 16-21 that:

- Is designated by the Medicare program as a long-term hospital; or
- Has an average inpatient length of stay greater than twenty-five (25) days as determined using the same criteria used by the Medicare program to determine whether a hospital’s average length of stay is greater than twenty-five (25) days.”

The Indiana Code goes on to state: “Freestanding does not mean a wing or specialized unit within a general acute care hospital.” However, LTC hospitals may be licensed hospitals that operate as separate entities within a host hospital.

The patient must be admitted directly from an acute care facility or be readmitted from a NF or rehabilitation facility. No PA will be approved for requests for initial admission directly from a NF, from a physician’s office, or from home.

The following documentation must be included with requests for admission to a LTAC hospital and must be available for review by the PA Department or SUR Department, as applicable:

- A signed statement from the referring physician indicating medical necessity for transfer to a LTAC hospital.
- The following information must accompany a request for approval and an evaluation by the requesting facility:
  - Diagnosis and premorbid conditions. If the patient is currently in an acute care hospital, the diagnosis at discharge should be included if it has changed from the time of admission.
  - Information about where the patient is being admitted from, if not hospitalized
  - Neurological assessment
  - Complete listing of long- and short-term goals
  - Discharge plan with two options, depending on the member’s condition
  - Potential date of admission
  - Projected date of discharge
  - History of any previous rehabilitation therapies

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All the following situations apply to the patient’s status and current requirements before admission to the LTAC hospital:

- The patient is medically stable.
- The initial diagnostic workup is completed.
- There are no major surgical procedures planned.
- The patient has a prognosis requiring a prolonged stay in an acute setting, and there is a reasonable expectation for improvement in the status of his or her medical condition.
- The patient requires interactive physician direction with daily on-site assessment.
- The patient requires significant ancillary services dictated by complex, acute medical needs. Examples include but are not limited to full service and STAT laboratory, radiology, and respiratory care services.
- There is a patient-centered, outcome-focused, interdisciplinary approach requiring a physician directed professional team that includes intensive case management to move the patient efficiently through the continuum of care.
- Education for the patient and family must be provided to manage the patient’s present and future healthcare needs.

During the PA process, the medical director may help determine whether the admission is medically necessary. Admissions requested for categories not specified in the following sections will be reviewed for medical necessity and intensity of service on a case-by-case basis.

**Respiratory**

The patient must meet two or more of the following requirements for admission and continued stay:

- Requires ventilator assistance and has failed attempts to be extubated or maintain adequate ventilation, oxygenation, or functional level after extubation
- Requires one or more of the following IV medications daily:
  - Bronchodilators
  - Corticosteroids
  - Diuretics
  - Antiviral agents
  - Anti-tuberculosis agents
  - Antiprotozoal agents
  - Chemotherapy
  - Antibiotics
  - Antifungal agents
  - Anticoagulation medications
• Requires frequent monitoring of tissue oxygenation (for example, pulse oximetry), frequent RT treatments, or suctioning or inhalation medications

**Impaired Skin Integrity**
Impaired skin integrity means the patient has stage three or stage four decubitus wounds, infected necrotic skin conditions, surgical wounds, or burns. The patient must meet each of the following requirements for admission or continued stay:

- The patient has non-healing wounds that have failed to improve while receiving home care, SNF, or acute hospital care.
- The patient requires complex dressing changes using daily whirlpool, debridement, frequent intramuscular or IV analgesics or antifungals, frequent positioning, or hyperbaric treatments.
- The patient requires more than one of the following IV medications at least daily:
  - Antiviral agent
  - Antibiotics
  - Antifungal agent
  - IV plasma expanders
  - IV electrolytes
  - Total parenteral nutrition (TPN)

**Cardiac**
Cardiac care is required if the member is unable to maintain adequate circulation related to mechanical or electrical dysfunction of the cardiovascular system. The patient must meet each of the following requirements for admission or continued stay:

- The patient requires frequent monitoring of tissue oxygenation (for example, pulse oximetry) and continuous telemetry.
- The patient requires management of hemodynamic instability, cardioversion or valsalva maneuver, temporary pacemaker, or monitoring of a functional permanent pacemaker, monitoring for drug toxicity, defibrillation, pulmonary artery catheterization and arterial monitoring, and monitoring of electrolyte imbalance.
- The patient requires two or more of the following medications intravenously to maintain cardiovascular integrity:
  - Anticoagulants
  - Anti-anginal agents
  - Anti-arrhythmics
  - Antibiotics
  - Alpha/beta-adrenoreceptor blocking agents
  - Anti hypertensives
  - Beta blockers
  - Calcium channel blockers
  - Cardiac glycosides
  - Corticosteroids
  - Diuretics
  - Intropic agents
  - Mucarinic receptor antagonists
  - Sodium channel blockers
Continued Stay Criteria
All of the following are required to be documented for review of a continued stay in the LTAC hospital:
- Multidisciplinary team evaluation at least weekly
- Evidence of participation in a rehabilitation-therapy program
- Continued daily on-site direction of a qualified physician
- Continued skilled nursing care or supervision required
- Continued need for acute LOC, as evidenced by continuing to meet the admission criteria category requirements

Documentation Requirements for Continued Stay
Concurrent review for approval of additional days must be received by the PA department at least 48 hours before the last approved day, including:
- Completed IHCP Prior Authorization Request Form
- A summary of the current discharge plans
- Documentation of family or friend participation in the discharge planning process
- A neurological assessment update, if appropriate
- Documentation of the member’s cooperation, participation, or progress

Discharge Criteria
Continued length of stay will not be authorized without the medical director’s review when any of the following conditions occur:
- There is evidence in the patient record that the patient has achieved stated goals.
- Medical complications require readmission to an inpatient acute facility.
- Multidisciplinary services are no longer needed.
- No additional improvement is anticipated.
- Patient’s progress towards goals has remained unchanged for seven days.

Prior Authorization for LTAC
Prior authorization is required for LTAC hospital admissions according to the criteria listed above.

Prior Authorization
In addition to prior authorization information set forth at 405 IAC 5-3, general prior authorization requirements for hospital services can be found in 405 IAC 5-17-2. These requirements include:
- Prior authorization is required for all nonemergent inpatient hospital admissions, including all elective or planned inpatient hospital admissions. This applies to medical and surgical inpatient admissions. Emergency admissions, routine vaginal deliveries, C-
section deliveries, newborns stays, and inpatient hospital admissions covered by Medicare do not require PA.

- Prior authorization is required for all Medicaid covered rehabilitation, burn, and psychiatric inpatient stays that are reimbursed under the level of care methodology described in 405 IAC 1-10.5 as well as substance abuse stays that are reimbursed under the DRG methodology also described at 405 IAC 1-10.5.
- Any surgical procedure usually performed on an outpatient basis, when scheduled as an inpatient procedure, must be prior authorized. The length of stay for the inpatient admission is determined by the appropriate DRG, but will be subject to retrospective review for medical necessity.

Criteria for determining the medical necessity for inpatient admission shall include the following:

- Technical or medical difficulties during the outpatient procedure as documented in the medical record.
- Presence of physical or mental conditions that make prolonged preoperative or postoperative observations by a nurse or skilled medical personnel a necessity.
- Performance of another procedure simultaneously, which itself requires hospitalization.
- Likelihood of another procedure following the initial procedure, which would require hospitalization.

Days that are not prior authorized under the level of care methodology as required by 405 IAC 5-17 will not be covered by Medicaid.

PA must be obtained for all admissions within 48 hours, excluding Saturdays, Sundays, and legal holidays. Concurrent review is necessary beyond the approved days.

**Billing and Coding**
For further billing information, see the [Inpatient Hospital Services](#) provider reference module. For a list of billing codes, please see the [Inpatient Hospital Services Codes](#) on the [Code Sets/Tables](#) webpage.

**Rules and Citations**

405 IAC 1

- 405 IAC 1-10.5-2 – Definitions
- 405 IAC 1-10.5-3 – Reimbursement for Inpatient Hospital Services
- 405 IAC 1-10.5 – Reimbursement for Inpatient Hospital Services

405 IAC 5

- 405 IAC 5-3-13 – Services requiring prior authorization
- 405 IAC 5-17 – Hospital Services
- 405 IAC 5-32 – Rehabilitation Unit
- 405 IAC 5-33 – Acute Care Hospital Admission

[IHCP Provider Bulletins](#)
IHCP Provider Banners

Note: For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit http://provider.indianamedicaid.com/.

Update History
January 1, 2017 – Initial Publication
Hospital Outpatient Services

This information has been incorporated into the Outpatient Facility Services provider reference module.
Injections, Vaccines, and Other Physician-Administered Drugs

This information has been incorporated into the *Injections, Vaccines, and Other Physician-Administered Drugs* and *Pharmacy Services* provider reference modules.
Laboratory Services

This information has been incorporated into the Laboratory Services and Genetic Testing provider reference modules.
Lead Services

Description of Service
Screening for blood lead toxicity is a federal requirement for all children enrolled in Medicaid.

Medical Policy
Verbal Risk Assessment
The following questions should be asked at each well child visit:
1. Is your child living in or regularly visiting, or has your child lived in or regularly visited, a house or child care center built before 1978?
2. Does your child have a sibling or playmate who has or who has had lead poisoning?
3. Does your child frequently come in contact with an adult who works in an industry or has a hobby using lead (battery factory, steel smelter, stained glass)?
4. Is your child a recent immigrant or a member of a minority group?
5. Does anyone in your family use ethnic or folk remedies or cosmetics?

If the answer to any question is positive, a child is considered at high risk for high doses of lead exposure, and a blood lead level test must be obtained immediately regardless of the child’s age.

Subsequent verbal risk assessments can change a child’s risk category. If, as a result of a verbal risk assessment a previously low risk child is re-categorized as high risk, that child must be given a blood lead level test.

Prior Authorization for Verbal Risk Assessment
Prior authorization is not required for screening services. Individual treatment services may require prior authorization.

Blood Lead Screenings
Blood lead screenings must be performed between the ages of 9 and 12 months, and again at 24-month visits. If the member is at high risk for lead exposure, the initial screening should be performed at the six-month visit and repeated at the 12-month and 24-month visits. Children between the ages of 36 months and 72 months of age must receive a blood lead screening if they have not been previously tested for lead poisoning.

A blood lead test result equal to or greater than 5 μg/dl obtained by a capillary specimen (fingerstick) must be confirmed using a venous blood sample. Subsequent screenings are required for children with blood lead levels equal to or greater than 5 μg/dl.

The ISDH, through the Indiana Lead and Healthy Homes Program (ILHHP), monitors lead poisoning. Providers are required to report all results of blood lead screenings to the ISDH no later than one week after completing the examination. The ILHHP provides medical and
environmental case management follow-up for children who are identified with elevated levels of lead in their blood.

Prior Authorization for Blood Lead Screenings
Prior authorization is not required for screening services. Individual treatment services may require prior authorization.

Comprehensive Environmental lead testing
The Indiana Health Coverage Programs (IHCP) will cover initial and follow-up comprehensive environmental lead investigation services for IHCP members with a confirmed elevated blood lead level (EBLL). EBLL is defined by the Centers for Disease Control (CDC) as a blood level of 5 mcg/dL or higher.

Services are limited to one unit, per member, per 12 month rolling calendar year.

Prior Authorization for Comprehensive Environmental Lead Testing
Prior authorization is not required for initial and follow up comprehensive environmental lead testing.

Prior Authorization
Prior authorization is not required for screening services. Individual treatment services may require prior authorization.

Billing and Coding
For further billing information, see the EPSDT Services provider reference module. For a list of billing codes, see the EPSDT/HealthWatch Codes on the Code Sets/Tables webpage.

Rules and Citations
405 IAC 5
- 405 IAC 5-15; Early and Periodic Screening, Diagnosis, and Treatment Services

IHCP Provider Bulletins
- BT201709 IHCP to Cover HCPCs Code T1029- Comprehensive Environmental Lead Investigation

IHCP Provider Banners
- BR201641 IHCP Encourages Providers to Review Reporting Processes for Submitting Lead Screening Information
- BR201640 IHCP Clarifies Lead Screening Requirements for Children
- BR201636 IHCP Reminds Providers Lead Screening is Required for Children
Note: For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit http://provider.indianamedicaid.com/.

Update History
April 1, 2017- Initial Publication
Mental Health and Addiction Services

Description of Service
The Indiana Health Coverage Programs (IHCP) offers coverage for inpatient and outpatient mental health services, including tobacco cessation and substance abuse services.

Medical Policy

Psychiatric and Substance Abuse Inpatient Services
Acute psychiatric and substance abuse inpatient services are mental health interventions used to stabilize and manage people with severe symptoms and behaviors that have harmed or may result in harm to themselves or others. The following information describes presenting factors that may meet medical necessity for inpatient services:

- Current or recent serious suicide ideation, with plan and potential means with lethal intent
- Current or recent serious, violent, impulsive, and unpredictably dangerous homicidal ideation, with plan and potential means with lethal intent
- Current or recent harm to self or others, with plan and potential means with lethal intent
- Unable to care for self, due to a psychiatric condition, so that imminent life-threatening deterioration has occurred
- Acute psychotic symptoms, severely bizarre thinking, and psychomotor agitation or retardation that cannot be safely treated in a less restrictive level of care (LOC)

Depending on the patients’ needs, acute psychiatric and substance abuse inpatient services often include, but are not limited to, 24-hour psychiatric and medical services, continuous monitoring, medication management, treatment planning, individual therapy, family therapy, and group therapy.

Effective February 1, 2018, the IHCP expanded coverage for inpatient stays for opioid use disorder (OUD) and other substance use disorder (SUD) treatment to members 21 through 64 years of age in facilities that qualify as institutions for mental disease (IMD). Providers enrolled as psychiatric hospitals (provider type 01 and provider specialty 011) that have 17 or more beds are currently the only providers recognized as qualified IMDs. Inpatient stays are allowed to be authorized for up to 15 days in a calendar month.
Admission Criteria

Members must meet medical necessity to be eligible for acute inpatient psychiatric and substance abuse inpatient services. Members must present with the following criteria at the time of admission:

- Admissions for inpatient detoxification stays may be approved using one of the following evidenced-based, peer-reviewed sources of clinical criteria:
  - Milliman Care Guidelines (MCG)
  - InterQual Criteria
  - American Society of Addiction Medicine (ASAM) Patient Placement Criteria
  - Anthem Clinical Utilization Management (UM) Guidelines

- Acute psychiatric inpatient admissions are available for members with a sudden onset of a psychiatric condition manifesting itself by acute symptoms of such severity that the absence of immediate medical attention could reasonably be expected to result in one or more of the following:
  - Danger to the individual
  - Danger to others
  - Death of the individual

- Substance abuse inpatient admissions must be to a psychiatric facility or unit. Admissions to a general hospital floor are only appropriate when medical services are required for life support and cannot be rendered in a substance abuse treatment facility or unit. These inpatient detoxification, rehabilitation, and aftercare admissions are available for members when the following criteria have been determined:
  - Evaluation, treatment, and detoxification are based on the stated medical condition and/or primary diagnosis for inpatient admission
  - Need for safe withdrawal from alcohol and/or other drugs is indicated
  - Reasonable evidence that detoxification and aftercare cannot be accomplished in an outpatient setting
There is a history of recent convulsions or poorly controlled convulsive disorder

Plan of Care (POC)

Each Medicaid-eligible patient admitted to an acute psychiatric facility or unit must have an individually developed plan of care (POC). For members between 22 and 65 years old in a psychiatric hospital of 16 beds or fewer, or a person 65 years old or older, a POC must be developed by the attending or staff physician. For members under 21 years old, POCs must be developed by a physician and Interdisciplinary team.

All POCs must be developed within 14 days of the admission date, regardless of the member’s age. For a patient who becomes eligible for Medicaid after admission to a facility, the POC must be prepared to cover all periods for which Medicaid coverage is claimed.

The following components must be documented in each member’s POC:

- Treatment objectives and goals, including an integrated program of appropriate therapies, activities, and experiences designed to meet the objectives
- At the appropriate time, a post-discharge plan and a plan for coordination of inpatient services with partial discharge plans, including appropriate services in the member’s community to ensure continuity of care when the patient returns to his or her family and community upon discharge

The POC is developed as a result of a diagnostic evaluation that includes an examination of the medical, psychological, social, and behavioral aspects of the member’s presenting problem and previous treatment interventions. The POC must be reviewed and updated at least every 90 days for members between 22 and 65 years old in psychiatric hospitals with 16 beds or fewer and for members 65 years old or older.

The POC will be reviewed by the attending or staff physician to ensure that appropriate services are being provided and that they continue to be medically necessary. The attending or staff physician will also recommend necessary adjustments in the plan, as indicated by the member’s overall adjustment as an inpatient. The quarterly POC must be in writing and must be part of the member’s record.

The requirements for the development of a POC for all members 21 years old or younger are the same as for members who are older than age 22, as stated above, with the following exceptions:

- An Interdisciplinary Team (IDT), which will include the child and parents, legal guardians, or others to whose care or custody the individual will be released following discharge, is required to develop and direct the POC.
- This team is responsible for developing and updating POCs at least every 30 days.
- The team will be responsible for determining that the services provided were and are required on an inpatient basis and for determining adjustments that may be needed in the POC.
Recertification is required at least every 60 days. Initial evaluative examinations are exempt from prior review and authorization.

One of the following professionals or combination of professionals must be active in the development of the POC planning process:

- A board certified or eligible psychiatrist
- A psychologist endorsed as a health service provider in psychology (HSPP) and a physician licensed to practice medicine or osteopathy
- A physician licensed to practice medicine or osteopathy with specialized training and experience in the diagnosis and treatment of mental diseases; and a psychologist endorsed as a HSPP or licensed psychologist

A professional who is qualified to make determinations regarding mental health conditions and treatments must be part of the IDT, as well. At least one of the following professionals must be active in planning and implementing the POC:

- A licensed clinical social worker (LCSW), licensed marital and family therapist (LMFT), licensed mental health counselor (LMHC), or a person holding a master’s degree in social work, marital and family therapy, or mental health counseling
- An advanced practice nurse or RN who has specialized training or one year’s experience in treating people with mental illnesses
- An occupational therapist (OT), registered with the National Association of OTs who has specialized training or one year of experience treating people with mental illnesses
- A psychologist endorsed as a HSPP or a licensed psychologist

**Readmission**

A readmission is defined as a hospital admission within three days following a previous hospital admission and discharge for the same or a related condition. Same or related condition refers to the primary diagnosis code.

- If the initial admission was paid on a per diem basis, the readmission should be considered a new admission and billed accordingly. The readmission is treated as a separate stay for payment purposes, but is subject to medical review.
- If the initial admission was paid using the DRG methodology, providers should bill one inpatient claim when a member is readmitted to their facility within three days of a previous inpatient discharge (the stays should be consolidated on one claim) for the same or a related diagnosis.

If it is determined that a discharge is premature, payment made as a result of the discharge or readmission may be subject to recoupment. Additionally, post payment review of readmissions will be conducted to ensure that providers are appropriately following the readmission policies and guidelines.

**Observation Stays**
Psychiatric and substance abuse observation stays in acute care hospitals and freestanding psychiatric hospitals are reimbursable. The observation period must last no more than three days (72 hours). If the member meets the criteria for inpatient admission prior to the end of the observation period, the member’s status may be changed to inpatient at that time. IHCP members may qualify for observation status meeting both of the following criteria:

- The criteria for inpatient admission have not been met.
- The treating physician or mental health provider has determined that allowing the member to leave the facility would likely put the member at serious risk.

Observation stays are reimbursed according to outpatient mental health services.

**Less than 24-Hour Stays**

Providers should bill any inpatient stay that is less than 24 hours as an outpatient service. Inpatient stays less than 24 hours that are billed as inpatient services will be denied or will be subject to retrospective review.

**Outpatient Service within Three Days of an Inpatient Stay**

Outpatient services that occur within three days preceding an inpatient admission to the same facility for the same or a related diagnosis are considered part of the corresponding inpatient admission. Providers are required to submit an inpatient claim only when both of the services, outpatient and inpatient, occur at the same facility.

If an outpatient claim is paid before the inpatient claim is submitted, the inpatient claim will be denied with an explanation of benefits (EOB) code indicating that the provider should bill services on the inpatient claim. The provider should adjust the outpatient claim (complete adjustment) and resubmit one inpatient claim.

**Reserving Beds**

Reimbursement is available for reserving beds in psychiatric hospitals; it is not available in general acute care hospitals. Hospitalization must be ordered by a physician for the treatment of an acute condition that cannot be treated in a psychiatric facility. Physician orders must be maintained in the member’s file at the facility. The total length of time reimbursable per inpatient stay is 15 days. If a member requires more than 15 consecutive days, the member must be discharged from the psychiatric facility. Facilities are reimbursed for the reserved bed at one-half the regular per diem rate.

**Therapeutic Leave of Absence (LOA)**

Reimbursement is available for a therapeutic LOA from psychiatric hospitals; it is not available from general acute hospitals. A LOA must be for therapeutic reasons and ordered by a physician, as indicated in the member’s POC. Physician orders must be maintained in the member’s file at the facility.

The total length of time available for therapeutic leaves of absence is 60 days per calendar year per member. If a member is absent from a psychiatric hospital for more than 60 days per year,
Facilities are reimbursed at one-half the regular per diem rate.

**Prior Authorization for Inpatient Psychiatric and Substance Abuse Stays**

PA is required for all inpatient psychiatric admissions, rehabilitation, and substance abuse inpatient stays. PA for inpatient detoxification, rehabilitation, and aftercare for chemical dependency must include consideration of the following information:

- Review on a case-by-case basis by the appropriate PA department based on the program assignment of the member
- Treatment, evaluation, and detoxification based on the stated medical condition
- Need for safe withdrawal from alcohol or other drugs
- History of recent convulsions or poorly controlled convulsive disorder
- Reasonable evidence that detoxification and aftercare cannot be accomplished in an outpatient setting

IHCP members must meet the following criteria for inpatient detoxification:

- Evidence of symptoms of withdrawal that require close medical monitoring or continuous observation. Three or more of the following conditions:
  - Delirium tremens
  - Hypertension of recent onset
  - Impaired or absence of gag reflex
  - Tachycardia
  - Elevated temperature
  - Diaphoresis
  - Piloerection (goose bumps)

- Or one of the following conditions:
  - Seizures
  - Hallucinations of recent onset
  - Disorientation or confusion

- History of severe withdrawal reaction, such as seizures, delirium tremens, or psychotic episode

- Intoxicated with a history of recent, severe idiosyncratic intoxication, such as violence or blackouts while under the influence
In addition to alcohol/drug condition, member has a co-existing medical and/or psychiatric condition which requires medical and psychiatric services

Recent history of alcohol or other drug abuse and is currently unable to control abuse outside of a restrictive 24-hour care environment that is demonstrated by documented recent failed attempts.

Dependency or abuse must be contributing to severe social and/or emotional dysfunction in one or more life spheres, e.g., vocational, familial, or social

The facility is responsible for initiating the PA review process. Providers should contact the appropriate PA entity for the initial PA and concurrent review.

Reimbursement is available for inpatient care provided on the psychiatric unit of an acute care hospital only when the need for admission has been certified. The Division of Family and Children State Form 44697, OMPP 1261A – Certification Plan of Care for Inpatient Psychiatric Hospital Services Determination of Medicaid Eligibility - fulfills the written certification of need requirements. The certification of need must be completed in writing at least every 60 days after admission, or as requested, to recertify that the member continues to require inpatient psychiatric hospital services.

All requests for PA will be reviewed on a case-by-case basis. The PA entity reviews each OMPP 1261A form and determines whether the requested acute inpatient services meet medical necessity. Reimbursement is denied for any days the facility cannot justify a need for inpatient care. If the provider fails to complete a telephone PA precertification, reimbursement will be denied from the admission to the actual date of notification.

Emergency Admissions

- A telephone precertification must be completed within 48 hours of the admission date, not including Saturdays, Sundays, and legal holidays
- A completed OMPP 1261A form must be received via U.S. mail within 14 working days of the admission date, not including Saturdays, Sundays, and legal holidays

Non-Emergency Admissions

- A telephone PA must be completed prior to admission
- A completed OMPP 1261A form must be received via U.S. mail within 10 working days of the admission date, not including Saturdays, Sundays, and legal holidays

When an individual applies to become an IHCP member after admission to a facility, providers must notify the PA entity in writing within 10 days of receiving a notification of IHCP eligibility. At that time, providers may request coverage for the entire period of service for which reimbursement is sought.

Continuation of Services after Discharge from an Inpatient Hospital
When a member’s physician determines that an inpatient hospital setting is no longer necessary, but that IHCP-covered services should continue after the recipient is discharged from inpatient hospital care, services may continue for a period not to exceed 120 hours within 30 calendar days of discharge without prior review and authorization, if the physician has specifically ordered such services in writing upon the member’s discharge from the hospital. Services provided are subject to all appropriate limitations. This exemption does not apply to durable medical equipment, neuropsychological and psychological testing, or out-of-state medical services.

Prior review and authorization by the office must be obtained for reimbursement beyond the 120 hours within 30 calendar days of the discharge period. Physical, speech, respiratory, and occupational therapies may continue for a period not to exceed 30 hours, sessions, or visits in 30 calendar days without prior approval, if the physician has specifically ordered such services in writing upon the member’s discharge or transfer from the hospital. Prior review and authorization must be obtained for reimbursement beyond the 30 hours, sessions, or visits in the 30 calendar day period for physical, speech, respiratory, and occupational therapies.

Residential Substance Use Treatment
The IHCP provides coverage for short-term low-intensity and high-intensity residential treatment for OUD and other SUD treatment in settings of all sizes, including facilities that qualify as IMDs. Residential stays are allowed to be authorized with a statewide average length of 30 calendar days, based on medical necessity.

Services built into the daily per diem residential payment include:
- Individual therapy
- Group therapy
- Medication training and support
- Case management
- Drug testing
- Peer recovery supports

Specific billing instructions for residential SUD treatment are currently found in BT201801.

Prior Authorization for Residential Substance Use Treatment
PA is required for all residential SUD stays. Admission criteria for residential stays for OUD or other SUD treatment is based on the American Society of Addiction Medicine (ASAM) Patient Placement Criteria Level 3.1 (Clinically Managed Low-Intensity Residential Services) and Level 3.5 (Clinically Managed High-Intensity Residential Services). Providers are required to include all appropriate documentation demonstrating medical necessity for residential treatment with PA requests.
Outpatient Mental Health and Substance Abuse Services

Outpatient mental health services are interventions intended to reduce or alleviate symptoms, improve level of functioning, and prevent further or recurrent deterioration. After clients are assessed, a determination is made as to what forms of therapy will most likely be beneficial. Common interventions of outpatient treatment include individual, family, couple, and group counseling.

Therapy is a collaborative process; therefore, the client is expected to be active and cooperative when establishing the treatment plan. Treatment plans include specific goals, methods to accomplish goals, and methods to measure the progress of treatment goals. Measurable goals are also necessary to determine when improvement or deterioration of a client’s functioning has occurred. Treatment plans must be reviewed and updated on a regular basis to reflect continued needs and identify the client’s new goals.

Note: Healthy Indiana Plan (HIP) mental health outpatient treatment does not include self-help training or other related forms of non-medical self-care, marriage counseling, hypnotherapy, behavioral modification, or milieu therapy, when used to treat conditions that are not recognized as mental disorders. HIP substance abuse outpatient treatment does not include services or supplies unrelated to mental health for the treatment of co-dependency or caffeine addiction.

Outpatient Mental Health Services

The IHCP covers outpatient mental health services provided by a licensed medical doctor, doctor of osteopathy, psychologist endorsed as a health service provider in psychology (HSPP), psychiatric hospitals, psychiatric wings of acute care hospitals, and outpatient mental health facilities. Reimbursement is also available for services provided by mid-level practitioners when services are supervised by a physician or a HSPP.

Mid-level practitioners who are eligible to provide outpatient mental health services must have obtained one of the following credentials:

- Advanced practice nurse who is a licensed RN with a master’s degree in nursing, with a major in psychiatric or mental health nursing from an accredited school of nursing
- Independent practice school psychologist
- Licensed clinical social worker (LCSW)
- Licensed marriage and family therapist (LMFT)
- Licensed mental health counselor (LMHC)
- Licensed psychologist
- Master’s degree in social work, marital and family therapy, or mental health counseling
- Licensed clinical addiction counselors (LCAC)
These mid-level practitioners cannot be separately enrolled as individual providers to receive direct reimbursement. Mid-level practitioners can be employed by an outpatient mental health facility, clinic, physician, or a HSPP enrolled in the IHCP.

The physician, psychiatrist, or HSPP is responsible for certifying the diagnosis and supervising the treatment plan. The physician, psychiatrist, or HSPP must be available for emergencies. They are responsible for seeing the member during the intake process or reviewing the medical information obtained by the mid-level practitioner within seven days of the intake process. Also, the physician, psychiatrist, or HSPP must see the member or review the medical information and certify medical necessity on the basis of medical information provided by the mid-level practitioner at intervals not to exceed 90 days. Both reviews must be documented in writing; co-signatures alone are not sufficient.

The IHCP requires written evidence of physician or HSPP involvement and personal evaluation to document the member’s acute medical needs. If practicing independently, a physician or a HSPP must order therapy in writing.

**Prior Authorization for Outpatient Visits**

Prior authorization is required for mental health services provided in an outpatient or office setting that exceed twenty (20) units per recipient, per provider, per rolling twelve (12) month period of time.

**Note:** For Hoosier Healthwise Package C members, the IHCP covers thirty (30) office visits per member, per rolling calendar year. The IHCP may cover an additional twenty (20) visits with PA for a maximum of 50 visits per year.

**Partial Hospitalization**

The IHCP reimburses for partial hospitalization services under the following conditions:

- Partial hospitalization programs must be highly intensive, time-limited medical services that either provide a transition from inpatient psychiatric hospitalization to community-based care, or serve as a substitute for an inpatient admission. Partial hospitalization programs are highly individualized with treatment goals that are measureable and medically necessary. Treatment goals must include specific time frames for achievement of goals, and treatment goals must be directly related to the reason for admission.

- Partial hospitalization programs must have the ability to reliably contract for safety. Consumers with clear intent to seriously harm the self or others are not candidates for partial hospitalization services.

- Services may be provided for consumers of all ages who are not at imminent risk to harm to self or others. Consumers who currently reside in a group home or other residential care setting are not eligible for partial hospitalization services. Consumers
must have a diagnosed or suspected behavioral health condition and one (1) of the following:
  o A short-term deficit in daily functioning.
  o An assessment of the consumer indicating a high probability of serious deterioration of the consumer's general medical or behavioral health.

- Partial hospitalization services must be ordered and authorized by a psychiatrist.
- A face-to-face evaluation and an assignment of a behavioral health diagnosis must take place within twenty four (24) hours following admission to the program.
- A psychiatrist must actively participate in the case review and monitoring of care.
- Documentation of active oversight and monitoring of progress by a physician, a psychiatrist, or a HSPP must appear in the consumer's clinical record.
- At least one (1) individual psychotherapy service or group psychotherapy service must be delivered daily.
- For consumers under eighteen (18) years of age, documentation of active psychotherapy must appear in the consumer's clinical record, including a minimum of one (1) family encounter per five (5) business days of episode of care.
- Programs must include four (4) to six (6) hours of active treatment per day and be provided at least four (4) days per week.
- Programs must not mix consumers receiving partial hospitalization services with consumers receiving outpatient behavioral health services.

The following exclusions apply for partial hospitalization services:

- Consumers at imminent risk of harm to self or others are not eligible for services.
- Consumers who concurrently reside in a group home or other residential care setting are not eligible for services.
- Consumers who cannot actively engage in psychotherapy are not eligible for services.
- Consumers with withdrawal risk or symptoms of a substance-related disorder whose needs cannot be managed at this level of care or who need detoxification services.
- Consumers who by virtue of age or medical condition cannot actively participate in group therapies are not eligible for services

**Prior Authorization for Partial Hospitalization**

Prior authorization is required for partial hospitalization services subject to medical necessity.
Testing Services (Neuropsychological and Psychological)

The IHCP covers neuropsychology and psychology testing. A physician or HSPP must oversee all testing services, as well as interpretation and reporting. The following practitioners may only administer neuropsychological and psychological testing under the direction supervision of a physician or HSPP:

- A licensed psychologist
- A licensed independent practice school psychologist
- A person holding a master’s degree in a mental health field and one (1) of the following
  - A certified specialist in psychometry (CSP)
  - Two-thousand (2,000) hours of experience, under direct supervision of a physician or HSPP, in administering the type of test being performed

A cosignature by the physician or HSPP is required for services rendered by one of the practitioners listed above.

Prior Authorization for Testing Services

Prior authorization is required for all neuropsychology and psychology testing, subject to medical necessity.

Screening and Brief Intervention Services

The IHCP provides coverage for screening and brief intervention (SBI) services. SBI identifies and intervenes with individuals who are at risk for substance abuse related problems or injuries. SBI services use established systems, such as trauma centers, emergency rooms, community clinics, and school clinics, to screen patients who are at risk for substance abuse and, if necessary, provide the patients with brief interventions or referrals to appropriate treatment.

Bridge Appointments

The IHCP provides coverage for bridge appointments, which are follow-up appointments after inpatient hospitalization for behavioral health issues, when no outpatient appointment is available within seven days of discharge. The goal of the bridge appointment is to provide proper discharge planning while establishing a connection between the member and the outpatient treatment provider.

During the bridge appointment, the provider should ensure at minimum that:

- The member understands the medication treatment regimen as prescribed.
- The member has ongoing outpatient care.
- The family understands the discharge instructions for the member.
- Barriers to continuing care are addressed.
- Any additional questions from the member or family are answered.

The following conditions must be met for bridge appointments to be reimbursed:
• Appointments must be conducted face-to-face in an outpatient setting on the day of discharge from an inpatient setting.
• Appointments must be a minimum of 15 minutes long.
• The member must have one or more identified barriers to continuing care, such as:
  o Special needs
  o Divorce or custody issues
  o Work conflicts
  o Childcare problems
  o Inability to schedule within seven days
  o History of noncompliance
  o Complex discharge plans
• The member must have one of the ICD diagnosis codes listed on the Diagnosis Codes for Bridge Appointments tables in Mental Health and Addiction Services Codes on the Code Set pages at indianamedicaid.com. Bridge appointments may be appropriate for members with psychiatric diagnoses not listed; however, documentation must be maintained in the member’s chart, indicating the reason the bridge appointment service was necessary.

The appointment must be conducted by a qualified mental health provider, defined as:
• A licensed psychologist
• A licensed independent practice school psychologist
• A licensed clinical social worker (LCSW)
• A licensed marital and family therapist (LMFT)
• A licensed mental health counselor (LMHC)
• A person holding a master’s degree in social work, marital and family therapy, or mental health counseling
• An advanced practice nurse (APN) who is a licensed, registered nurse holding a master’s degree in nursing, with a major in psychiatric or mental health nursing from an accredited school of nursing

**Medicaid Rehabilitation Option (MRO)**

For all coverage and billing information around Medicaid Rehabilitation Option (MRO) services, please see the [Medicaid Rehabilitation Option Services](#) provider reference module.
Annual Depression Screening
The IHCP covers annual depression screening. Providers are expected to use validated standardized tests for the screening. These tests include, but are not limited to, the Patient Health Questionnaire (PHQ), Beck Depression Inventory, Geriatric Depression Scale, and Edinburgh Postnatal Depression Scale (EPDS).

Smoking Cessation
Smoking cessation refers to a course of treatment designed to assist individuals in decreasing or stopping the use of tobacco products.

Smoking Cessation Products
Reimbursement is available to pharmacy providers for smoking cessation products under the following conditions:

- When prescribed by a licensed practitioner within the scope of his or her license under Indiana law.
- Over-the-counter smoking cessation products must be prescribed by licensed practitioners.
  - A licensed practitioner must prescribe all smoking cessation products for use, along with counseling.
- Tobacco dependence pharmacotherapy will be available for up to 180 days per member per calendar year.
- Pharmacies should bill for reimbursement according to the normal procedures.

Only patients who agree to participate in smoking cessation counseling will receive prescriptions for smoking cessation products. The prescribing practitioner may request the patient sign a commitment to establish a “quit date” and to participate in counseling as the first step in smoking cessation treatment. A prescription for smoking cessation products will serve as documentation the prescribing practitioner has prescribed or obtained assurance from the patient counseling will concomitantly occur with the receipt of smoking cessation products.

Products covered by Indiana Medicaid include, but are not limited to, the following:

- Sustained release bupropion products
- Varenicline tartrate tablets (Chantix)
- Nicotine replacement drug products (patch, gum, inhaler)

Smoking Cessation Counseling
Counseling services must be prescribed by a licensed practitioner within the scope of his or her license under Indiana law. Reimbursement is available for smoking cessation counseling services rendered by the following licensed practitioners participating in the Indiana Medicaid program:
Counseling must be provided as follows: A minimum of 30 minutes (two units) and a maximum of 150 minutes (10 units) per member per calendar year. Providers must bill counseling in 15-minute increments.

**Note:** For Hoosier Healthwise (HHW), providers of smoking cessation treatment services must obtain the PMP certification.

**Opioid Treatment Program (OTP) Services**
The IHCP provides coverage for services provided within an Opioid Treatment Program (OTP). The following services are considered part of a bundled daily payment within an OTP:

- Oral medication administration, direct observation, daily
- Methadone, daily
- Drug testing, monthly
- Specimen collection and handling, monthly
- Pharmacologic management, daily
- One hour of case management, per week
- Group or individual psychotherapy, as required by DMHA
- Hepatitis A, B, and C testing, as needed
- Pregnancy testing, as needed
- One office visit every 90 days
- Tuberculous testing, as needed
- Syphilis testing, as needed
- Complete blood count, as needed

**Note:** The daily bundled rate for OTP services is only billable for individuals who are receiving daily methadone maintenance treatment. If a member is using an alternative medication for treatment, such as Suboxone or Vivitrol, the medication, along with any related services rendered, should be billed separately.

A psychiatric diagnostic evaluation with medical services, as well as psychotherapy services over and above the therapy covered under the bundled rate, may be rendered and billed separately from the daily bundled rate.

These services are available to members enrolled in all IHCP progress, except for those with the following benefit plans:

- Individuals eligible for Family Planning Eligibility Program only
- Individuals eligible for Package E – Emergency Services only
- Individuals eligible for Medicare Savings Programs only – Qualified Medicare Beneficiary (QMB)-only, Specified Low Income Medicare Beneficiary (SLMB)-only, or Qualified Individual (QI)

Individuals who are aged 18 and older seeking OTP services must meeting the following medical necessity criteria:

- Must be addicted to an opioid drug
- Must have been addicted for at least one year before admission to the OTP
- Must meet the criteria for the Opioid Treatment Services (OTS) level of care, according to all six dimensions of the American Society of Addiction Medicine (ASAM) Patient Placement Criteria

Individuals under the age of 18 seeking OTP services must meet the following medical necessity criteria:

- Must be addicted to an opioid drug
- Must have two documented unsuccessful attempts at short-term withdrawal management or drug-free addiction treatment within a 12-month period preceding admission
- Must meet the criteria for the Opioid Treatment Services (OTS) level of care, according to all six dimensions of the American Society of Addiction Medicine (ASAM) Patient Placement Criteria

The following individuals are exempt from the one-year addiction requirement:
• Members released from a penal institution – if the individual seeks OTP services within six months of release
• Pregnant women
• Previously treated individuals – if the individual seeks OTP services within two years after treatment discharge

The IHCP recognizes the following credentials, under the direction of a physician or health services provider in psychology (HSPP), for individuals rendering individual, group, or family counseling services in an OTP setting:

• A licensed psychologist
• A licensed clinical social worker (LCSW)
• A licensed marriage and family therapist (LMFT)
• A licensed mental health counselor (LMHC)
• A licensed clinical addiction counselor (LCAC)
• A physician assistant
• A nurse practitioner
• A clinical nurse specialist
• An individual credentialed in addiction counseling by a nationally recognized credentialing body approved by the Division of Mental Health and Addiction (DMHA)*

*The Medication Assisted Treatment Specialist (MATS) credential is not currently recognized by DMHA and will not be allowed by the IHCP.

**Prior Authorization for OTP Services**

Prior authorization (PA) is not required for OTP services. However, providers must maintain documentation demonstrating medical necessity, that the coverage criteria are met, as well as the individual’s length of treatment, in the member’s records.

**Prior Authorization**

Prior authorization is not required for the following services:

• Screening and brief intervention services
• Smoking cessation
• Opioid Treatment Program (OTP) services

Prior authorization is required for the following services:

• Inpatient psychiatric and substance abuse stays
• Outpatient mental health services that exceed twenty (20) units per recipient, per provider, per rolling twelve (12) month period of time
• Partial hospitalization services
• Neuropsychology and psychology testing

Billing and Coding
For further billing information, see the Mental Health and Addiction Services provider reference module. For a list of billing codes, see the Mental Health and Addiction Services Codes on the Code Sets/Tables webpage.

Rules and Citations
405 IAC 5
• 405 IAC 1-8-2 – Hospital and ambulatory surgical center reimbursement for outpatient services
• 405 IAC 1-10.5-3 – Perspective reimbursement methodology
• 405 IAC 5-2-19 – “Outpatient services” defined
• 405 IAC 5-3 – Prior authorization
• 405 IAC 5-2-17 – “Medically reasonable and necessary service” defined
• 405 IAC 5-20-1 – Reimbursement limitations
• 405 IAC 5-20-4 – Individually developed plan of care
• 405 IAC 5-20-6 – Emergency admissions
• 405 IAC 5-20-8 – Outpatient mental health services
• 405 IAC 5-21 – Community mental health rehabilitation services
• 405 IAC 5-25 – Physician services
• 405 IAC 5-29 – Services not covered by Medicaid
• 405 IAC 5-37 – Smoking cessation treatment policy
• 440 IAC 5.2-2-3 – Assertive community treatment services
• 405 IAC 5-2-19 – Outpatient Services Defined
• 405 IAC 5-3 – Prior Authorization
• 405 IAC 5-20-8 – Outpatient Mental Health Services
• 405 IAC 5-21.5 – Medicaid Rehabilitation Option Services

IHCP Provider Bulletins
• BT201801 IHCP enhances coverage for substance use treatment
• BT201755 IHCP issues revised reimbursement policy and billing guidance for OTP-specific services
• BT201744 IHCP to enroll OTPs under a designated provider type and cover OTP-specific services
• BT201149 The IHCP to cover bridge appointments
• BT201023 Medicaid Rehabilitation Option program updated code set and modifier information
IHCP Provider Banners
- BR201730 IHCP to reimburse for certain procedures rendered by LCACs
- BR200923 Screening and brief intervention services

Note: For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit http://provider.indianamedicaid.com/.

Update History
January 1, 2017 – Initial Publication; revised tobacco dependence treatment; revised criteria for inpatient detoxification admissions; added coverage of annual depression screening

August 17, 2017 – Added coverage for OTP services

August 25, 2017 - Added LCAC to list of mid-level practitioners for outpatient mental health services

February 1, 2018 – Expanded coverage for inpatient stays in IMDs

March 1, 2018 – Added coverage for residential substance use treatment
Obstetrical and Gynecological Services

This information has been incorporated into the Obstetrical and Gynecological Services provider reference module.
Oncology Services

Description of Service
Oncology is the study and treatment of cancer. A tumor is a morbid enlargement or a new growth of tissue in which the multiplication of cells is uncontrolled and progressive; also called neoplasm.

Medical Policy
Oncology Services

Oncology services are covered if the services are medically necessary and reasonable, and are provided by a doctor of medicine or doctor of osteopathy for diagnostic, preventive, therapeutic, rehabilitative, or palliative services provided within the scope of the practice of medicine, as set forth in 405 IAC 5-25-1. Per 405 IAC 5-28-10, outpatient administration of chemotherapy and costs related to this therapy, including catheterization, physician’s visits, cost of drugs and solutions, pump regulators, and servicing, will be covered and do not require PA.

Prior Authorization for Oncology Services
Prior authorization is required for the following:
- Bone marrow transplants
- Stem cell transplants
- Chemotherapy services provided by a home health agency

Prior authorization is not required for parenteral infusion pumps when used in conjunction with parenteral hyperalimentation, including central venous catheters.

Radioimmunotherapy
Radioimmunotherapy utilizes a tumor-killing dose of radioactive substance that is linked to a monoclonal antibody, which targets and binds selectively to a malignant tumor. The ability of the antibody to bind to a tumor-associated antigen ensures that the tumor receives a high dose of radiation, killing the targeted cancer cells, while normal tissue receives only a minimal dose. Radioimmunotherapy may result in significant tumor shrinkage while avoiding larger full body doses of radiation.

Currently, the IHCP reimburses for radioimmunotherapy for the treatment of refractory low-grade B-cell non-Hodgkin’s lymphoma utilizing Zevalin®. Zevalin® is a monoclonal antibodies that target lymphocytes, including malignant B-cells involved in the disease. Additional radioimmunotherapy regimens will be evaluated by the IHCP as they are approved by the FDA.

The radioimmunotherapy regimen is administered in two separate steps – the diagnostic step and the therapeutic step. The purpose of the diagnostic step is to determine the radiopharmaceutical biodistribution of radiolabeled antibodies. The published criteria for
determining appropriate biodistribution involve making a qualitative comparison of isotope uptake in several organ systems between at least two nuclear medicine scans.

Therefore, these scans cannot be read in isolation and should be reported once, regardless of the number of scans performed during the treatment regimen. The therapeutic step is characterized by the administration of targeted radiolabeled antibodies. Rituximab and its infusion prior to the administration of Zevalin® is separately reimbursable. Likewise, the radiopharmaceutical is separately reimbursable from the nuclear scanning procedure.

Currently, radioimmunotherapy is not a procedure typically performed more than once. Therefore, codes specific to the radioimmunotherapy procedure are limited to one unit per lifetime. The IHCP will re-examine the policy if future research determines that multiple dosing of the radioimmunotherapy regime is appropriate.

**Prior Authorization**

Prior authorization is required for the following:
- Bone marrow transplants
- Stem cell transplants
- Chemotherapy services provided by a home health agency

**Billing and Coding**

All outpatient hospital chemotherapy and radiation treatment services are billed on the UB-04 claim form. When chemotherapy and radiation treatment services are rendered on the same day, all applicable components should be billed.

**Chemotherapy**

Chemotherapy services consist of four components: treatment room services, administration of chemotherapy agent, chemotherapy agent, and IV solution and equipment. Each of these four components is separately reimbursable when chemotherapy is administered. To bill for chemotherapy services, providers should adhere to the following guidelines:

- **Treatment room services** – Bill using revenue codes 45X, 483, 51X, 52X, or 76X. Treatment room reimbursement is limited to one unit per day, per member, per provider.

- **Administration of chemotherapy agent** – Bill using revenue codes 331, 332 or 335. The appropriate CPT® chemotherapy administration codes (96401 – 96549) should be listed along with revenue codes. Preparation of chemotherapy agents is included in the service for administration of the agent. [American Medical Association (AMA) CPT® 2002]

- **Chemotherapy agent** – Bill using revenue code 636 (Drugs requiring detailed coding) along with the appropriate covered HCPCS J code(s) (J9000 – J9390).

- **IV solution and IV equipment** – Bill using revenue code 258 for the IV solution and revenue code 261 for IV equipment. No reimbursement will be made for other revenue codes associated with supplies.
Radiation

Radiation treatment consists of two components: treatment room services and administration of radiation treatment. To bill for radiation treatment services, providers should adhere to the following guidelines:

- Treatment room services – Bill using revenue codes 45X, 483, 51X, 52X, or 76X. Treatment room reimbursement is limited to one unit per day, per member, per provider.

Administration of radiation treatment – Bill using revenue codes 330, 333, or 339 along with the appropriate CPT® radiation treatment codes (77261-77799).

Radioimmunotherapy

The radiopharmaceutical (Zevalin®) is separately reimbursable from the nuclear medicine scanning procedure. Providers should bill the diagnostic and therapeutic supply of Zevalin® (A9542 and A9543, respectively), and the infusion and supply of Rituximab (J9310) in the Zevalin® regime.

Outpatient Facility Setting or Physician’s Office

The outpatient facility or physician’s office should bill the TC of the procedure using CPT® code 78804 (diagnostic component) and 79403 (therapeutic component); the radiopharmaceutical using the appropriate HCPCS code (A9542 or A9543), and the appropriate revenue code on the UB-92 claim form or 837I transaction.

Rituximab (J9310) and its infusion (Q0084, 96413, 96416, 96422, or 96425) prior to the administration of Zevalin® is separately reimbursable to the facility. The physician should bill the professional component with the code-modifier combination 78804-26 or 79403-26 on a CMS-1500 claim form or 837P transaction. Billing codes for outpatient facilities and physicians’ offices are summarized in Table 1.
**Zevalin® Therapy Provided in an Outpatient Facility or Physician’s Office**

<table>
<thead>
<tr>
<th>Code</th>
<th>Revenue Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>78804* (outpatient facility)</td>
<td>341</td>
<td>Radiopharmaceutical localization of tumor or distribution of radiopharmaceutical agent(s); whole body, requiring two or more days imaging (Diagnostic)</td>
</tr>
<tr>
<td>79403* (outpatient facility)</td>
<td>340, 342</td>
<td>Radiopharmaceutical therapy, radiolabeled monoclonal antibody by IV infusion (Therapeutic)</td>
</tr>
<tr>
<td>78804-26* (physician)</td>
<td>N/A</td>
<td>Radiopharmaceutical localization of tumor or distribution of radiopharmaceutical agent(s); whole body, requiring two or more days imaging (Diagnostic)</td>
</tr>
<tr>
<td>79403-26* (physician)</td>
<td>N/A</td>
<td>Radiopharmaceutical therapy, radiolabeled monoclonal antibody by IV infusion (Therapeutic)</td>
</tr>
<tr>
<td>A9542* (Zevalin®)</td>
<td>343</td>
<td>IN-111 ibritumomab tiuxetan, diagnostic, per study dose, up to 5 millicuries</td>
</tr>
<tr>
<td>A9543* (Zevalin®)</td>
<td>344</td>
<td>Yttrium Y-90 ibritumomab tiuxetan, therapeutic, per treatment dose, up to 40 millicuries</td>
</tr>
<tr>
<td>J9310 (Zevalin® regime)</td>
<td>636</td>
<td>Injection, rituximab, 100 mg</td>
</tr>
</tbody>
</table>

Also use one of the following administration codes, as appropriate:

<table>
<thead>
<tr>
<th>Code</th>
<th>Revenue Code</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Q0084</td>
<td>335</td>
<td>Chemotherapy administration by infusion technique only, per visit</td>
</tr>
<tr>
<td>96413</td>
<td>335</td>
<td>Chemotherapy administration, intravenous infusion technique, up to one hour, single or initial substance/drug</td>
</tr>
<tr>
<td>96416</td>
<td>335</td>
<td>Chemotherapy administration, intravenous infusion technique, initiation of prolonged chemotherapy infusion (more than 8 hours), requiring the use of a portable or implantable pump</td>
</tr>
<tr>
<td>96422</td>
<td>335</td>
<td>Chemotherapy administration, intra-arterial; infusion technique, up to one hour</td>
</tr>
<tr>
<td>96425</td>
<td>335</td>
<td>Chemotherapy administration, intra-arterial; infusion technique, initiation of prolonged infusion (more than 8 hours), requiring the use of a portable or implantable pump</td>
</tr>
</tbody>
</table>

*Limited to one unit per lifetime

**Rules and Citations**

405 IAC 5

- IHCP Provider Bulletins
- IHCP Provider Banners

Oncology Services  Last Updated: January 1, 2017
Note: For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit http://provider.indianamedicaid.com/.

Update History
January 1, 2017 – Initial Publication
Out-of-State Services

Description of Service

Members of the IHCP may require health care services when they are outside the state of Indiana under specifically defined circumstances. If an IHCP member requires health care services, he or she should inquire, if possible before receiving services, whether the organization is enrolled as an IHCP provider. Out-of-state health care providers must enroll in the IHCP.

Medical Policy

Reimbursement of Out-of-State Services

IHCP reimbursement is available for following specified services provided outside the state of Indiana:

- Acute general hospital care
- Chiropractic services
- Dental services
- Diagnostic services, including genetic testing
- Durable medical equipment and supplies
- Hospice services, subject to the conditions in 405 IAC 5-34-3
- Pharmacy services
- Physician services
- Podiatry services
- Therapy services
- Transportation services

The above services may be rendered to the member while outside the state of Indiana or under the following specifically defined circumstances:

- The service is not available in Indiana. Care provided by out-of-state Veterans Affairs (VA) and Shriners Hospitals for Children is an exception to this requirement.
- The member has received services from the provider previously.
- Transportation to an appropriate Indiana facility would cause undue exposure or hardship to the member, to the member’s family, or to the Medicaid program.
- The out-of-state provider is a regional treatment center or distributor.
- The out-of-state provider is significantly less expensive than the Indiana providers – for example, large laboratories versus an individual pathologist.

**Designed Out-of-State Areas**

Members may receive services in the following designated out-of-state areas, subject to the prior authorization requirements as in-state service providers.

### Designated Areas for In-State PA Requirements

<table>
<thead>
<tr>
<th>State</th>
<th>City</th>
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<tbody>
<tr>
<td>Illinois</td>
<td>Chicago</td>
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<td></td>
<td>Danville</td>
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<td>Watseka</td>
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<td>Kentucky</td>
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<td>Ohio</td>
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<td>Hamilton</td>
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<td></td>
<td>Harrison</td>
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<tr>
<td></td>
<td>Oxford</td>
</tr>
</tbody>
</table>

Members may receive services in Chicago, Illinois, subject to all of the following conditions:

- The member’s physician determines the service is medically necessary.
- Transportation to an appropriate Indiana facility would cause undue hardship to the member or the member’s family.
- The service is not available in the immediate area.
- The member’s physician complies with all the criteria set forth in this article, in accordance with the state plan and 42 CFR 456.3.

**Out-of-State Suppliers of Medical Equipment**

To be treated as an in-state provider, any out-of-state supplier of medical equipment must comply with the following:

- Maintain an Indiana business office, staffed during regular business hours, with telephone service.
- Provide service, maintenance, and replacements for Indiana Medicaid members whose equipment has malfunctioned.
• Qualify with the Indiana Secretary of State as a foreign corporation.

Prior Authorization
Prior authorization (PA) is required for out-of-state services when they are provided to IHCP members with the following exceptions:

• Emergency services provided out-of-state are exempt from PA; however, continuation of inpatient treatment and hospitalization is subject to the PA requirements of Indiana and must be requested within 48 hours of admission.

• Recipients of the adoption assistance program placed outside of Indiana will receive approval for all routine medical and dental care provided out-of-state.

PA may be granted for any time period from one day to one year for out-of-state medical services listed above as long as the service meets the criteria for medical necessity, and any one of the above criteria is also met.

PA will not be approved for the following services outside Indiana and are not covered when provided by any out-of-state provider or designated out-of-state providers:

• Nursing facilities, Intermediate Care Facilities for the Intellectually Disabled (ICFs/ID), or home health agency services

• Any other long term care (LTC) facility, including facilities directly associated with or part of an acute general hospital

• Any provider type that is not eligible for enrollment in the IHCP

Billing and Coding
For further billing information, see the Out-of-State Providers provider reference module.

Rules and Citations
405 IAC 5

IHCP Provider Bulletins

IHCP Provider Banners

Note: For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit http://provider.indianamedicaid.com/.

Update History
January 1, 2017 – Initial Publication
Podiatry Services

Description of Service
Podiatry is a specialized practice focusing on the study and care of the foot and related structures, including its anatomy, pathology, and medical and surgical treatment.

Medical Policy
Office Visits
The IHCP covers podiatric office visits, subject to the following restrictions:

- Reimbursement is limited to one (1) office visit, per 12 months.
- Reimbursement for a new patient office visit is limited to one (1) office visit, per member, per provider, within the last three (3) years.

Reimbursement is not available for the following types of extended or comprehensive office visits:

- New patient, comprehensive
- Established patient, detailed
- Established patient, comprehensive

A new patient is “one who has not received professional services from the provider or another provider of the same specialty who belongs to the same practice within the last three years.”

Routine Foot Care Restrictions
The IHCP covers routine foot care only if a medical doctor or doctor of osteopathy has seen the patient for treatment or evaluation of a systemic disease during the six-month period prior to rendering routine foot care services.

The IHCP may provide reimbursement for a maximum of six (6) routine foot care services per year only when the member:

- Has a systemic disease of sufficient severity that unskilled performance of the procedure would be hazardous; and
- The systemic condition has resulted in severe circulatory embarrassment or areas of desensitization in the legs or feet.

Routine foot care includes the following:

- Cutting or removal of corns, calluses, or warts (including plantar warts)
- Trimming of nails, including mycotic nails
- Treatment of fungal (mycotic) infection of the toenail is routine foot care only when:
  - Clinical evidence of infection of the toenail is present; and
  - Compelling medical evidence exists documenting that the member has either marked limitation of ambulation requiring active treatment of the foot or, in the
case of non-ambulatory members, has a condition that is likely to result in significant medical complications in the absence of such treatment.

**Prior Authorization for Routine Foot Care**
PA for routine foot care is not required. However, no more than six visits per year are covered. The patient must have been seen by an MD or doctor of osteopathy for treatment or evaluation of the systemic disease during the six-month period prior to the rendering of routine foot care services.

**Doppler Evaluations**
The IHCP may provide reimbursement for ultrasonic measurement of blood flow (Doppler evaluation) providing prior authorization has been obtained for the proposed medical procedure and is subject to the following limitations:

- There is a preoperative diagnosis of diabetes mellitus, peripheral vascular disease, or peripheral neuropathy.
- The measurement is for preoperative podiatric evaluation.
- The measurement cannot be used for routine screening.
- The measurement cannot be used as an evaluation of routine foot care procedures, including such services as removal or trimming of corns, calluses, and nails.
- The preoperative Doppler evaluation is limited to one per year.

**Surgical Procedures**
The IHCP may reimburse for the following podiatric surgical procedures without PA:

- Drainage of skin abscesses of the foot
- Drainage or injections of a joint or bursa of the foot
- Surgical cleansing of the skin
- Trimming of skin lesions of the foot, other than those identified as included in routine foot care services
- The IHCP allows surgical procedures other than those mentioned above, performed within the scope of the podiatrist’s license, subject to PA, as specified in 405 IAC 5-26. For covered, paid claims, the IHCP pays 100 percent of the IHCP allowance for the major procedure and 50 percent of the IHCP allowance for subsequent procedures.

**Second Opinions**
Podiatrists may be required to obtain a confirmatory consultation, in accordance with the guidelines for consultations and second opinions at 405 IAC 5-8-4, to establish medical necessity for the following podiatric surgical procedures:

- Bunionectomy procedures
- All surgical procedures involving the foot
A confirmatory consultation is required regardless of the surgical setting in which the surgery is performed, including ambulatory surgical centers, hospitals, clinics, or offices.

**Laboratory or X-Ray Services**
The IHCP may reimburse for laboratory or X-ray services provided by a podiatrist only if the services are rendered by or under the personal supervision of the podiatrist. Services ordered by a podiatrist, but performed by a laboratory or X-ray facility, will be billed directly to the IHCP by the laboratory or X-ray facility. The podiatrist may be reimbursed for handling or conveyance of a specimen sent to an outside laboratory in accordance with 405 IAC 5-18. Reimbursement is not available for comparative foot x-rays, unless prior authorized. The IHCP may reimburse for the following lab and X-ray services billed by a podiatrist:

- Cultures for foot infections and mycotic (fungal) nails for diagnostic purposes
- Sensitivity studies for treatment of infection processes
- Medically necessary pre-surgical testing

All services provided by the podiatrist must be performed within the scope of practice for podiatric medicine. Reimbursement for other surgical procedures performed within the scope of the podiatrist’s license may be available, subject to the PA requirements of 405 IAC 5-3.

**Orthopedic or Therapeutic Footwear**
The IHCP may reimburse when a podiatrist renders orthotic services covered by Medicare for all eligible members receiving Medicare and Traditional Medicaid. With a physician’s written order, the IHCP may provide reimbursement for the following for members of all ages:

- Corrective features built into shoes such as heels, lifts, wedges, arch supports, and inserts
- Orthopedic footwear, such as, shoes, boots, and sandals
- Orthopedic shoe additions

If a member currently has a brace, the IHCP covers the shoes and supportive devices if providers document continued medical necessity. The IHCP also provides coverage for therapeutic shoes for members with severe diabetic foot disease.

**Prior Authorization**
PA is required for the following services:

- Hospital stays, as outlined in 405 IAC 5-17
- When a podiatrist prescribes or supplies corrective features built into shoes, such as heels, lifts, and wedges, for a recipient under 21 years old
- When a podiatrist fits or supplies orthopedic shoes for a recipient with severe diabetic foot disease, subject to the restrictions and limitations outlined in 405 IAC 5-19
- Comparative foot X-rays
Billing and Coding
For further billing information, see the Podiatry Services provider reference module. For a list of billing codes, see the Podiatry Services Codes on the Code Sets/Tables webpage.

Rules and Citations
405 IAC 5

IHCP Provider Bulletins
  • BT201320 Update regarding reduction in reimbursement for podiatry services

IHCP Provider Banners
  • BR201728 IHCP establishes a Podiatry Services Code Set

Note: For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit http://provider.indianamedicaid.com/.

Update History
January 1, 2017 – Initial Publication

July 11, 2017 – Created a podiatry services code set
Radiology Services

This information has been incorporated into the Radiology Services provider reference module.
Surgical Services

This information has been incorporated into the Surgical Services provider reference module.
Telemedicine and Telehealth Services

This information has been incorporated into the Telemedicine and Telehealth Services provider reference module.
Therapy Services

Description of Service

The IHCP covers therapy services for its members. Therapy services, as described in this policy, encompass physical, speech, and occupational therapy, among others.

Medical Policy

Habilitative Therapy

Habilitation therapy refers to therapy addressing chronic medical conditions where further progress is not expected. Habilitation therapy services include physical therapy, occupational therapy, respiratory therapy, speech-language pathology, and audiology services provided to members for the purpose of maintaining their level of functionality but not the improvement of functionality. Although the development of a habilitation therapy plan is considered part of rehabilitative services, the services furnished under a habilitation therapy plan are not skilled therapy.

IHCP policy regarding the coverage of habilitative therapy services makes the following distinction based on the member’s age:

- Habilitative therapy services are not covered for members 21 years of age and older.
- Habilitative therapy services are covered for members under 21 years of age on a case-by-case basis.

Educational services, including but not limited to the remediation of learning disabilities, are not considered habilitative therapy services and remain noncovered by the IHCP.

Prior Authorization for Habilitative Therapy

Habilitative therapy services are subject to prior authorization.

Rehabilitative Therapy

Rehabilitative therapy refers to the federal definition outlined in Code of Federal Regulations 42 CFR 440.130(d) and includes physical therapy, occupational therapy, respiratory therapy, speech-language pathology, and audiology services provided to members.

IHCP policy regarding the coverage of rehabilitative therapy services makes the following distinction based on the member’s age:

- For members 21 years of age and older, rehabilitative therapy services are covered for no longer than two years from the initiation of the therapy unless there is a significant change in the member’s medical condition requiring longer therapy.
For members under 21 years of age, rehabilitative therapy services are covered when it is determined to be medically necessary.

**Physical Therapy**

Physical Therapy (PT) is the evaluation of, administration of, or instruction in physical rehabilitative and habilitative techniques and procedures to evaluate, prevent, correct, treat, alleviate, and limit physical disability, pathokinesiological function, bodily malfunction, pain from injury, disease, and any other physical disability or mental disorder.

Physical therapy must be performed by a licensed physical therapist or certified physical therapist's assistant under the direction supervision of a licensed physical therapist or physician for reimbursement (the consultation can be either face to face or by telephone).

Payment for the following services is included in the Medicaid allowance for the modality provided by the licensed therapist and may not be billed separately to Indiana Medicaid:

- Assisting members in preparation for and, as necessary, during and at the conclusion of treatment
- Assembling and disassembling equipment
- Assisting the physical therapist in the performance of appropriate activities related to the treatment of the individual patient
- Following established procedures pertaining to the care of equipment and supplies
- Preparing, maintaining, and cleaning treatment areas and maintaining supportive areas
- Transporting:
  - Patients
  - Records
  - Equipment
  - Supplies
- Performing established clerical procedures’

Evaluations and reevaluations are limited to three (3) hours of service per member evaluation.

Effective February 1, 2017, the following provider types may order physical therapy services:

- Physician
- Chiropractor
- Dentist
- Nurse practitioner
- Physician assistant
- Podiatrist
- Psychologist
Prior Authorization for Physical Therapy
For all prior authorization information for physical therapy, occupational therapy, respiratory therapy, and speech pathology, see general prior authorization guidelines below.

Occupational Therapy
The practice of occupational therapy (OT) means the therapeutic use of everyday life occupations and occupational therapy services to:

- Aid individuals or groups to participate in meaningful roles and situations in the home, school, the workplace, the community, or other settings
- Promote health and wellness through research and practice
- Serve individuals or groups who are well but have been or are at risk for developing an illness, injury, disease, disorder, condition, impairment, disability, activity limitation, or participation restriction.

Occupational therapy must be performed by a licensed occupational therapist or a licensed occupational therapy assistant under the supervision of a licensed occupational therapist. An evaluation must be performed by a licensed occupational therapist in order for reimbursement to be made.

Evaluations and reevaluations are limited three (3) hours of service per evaluation.

Effective February 1, 2017, the following provider types may order occupational therapy services:

- Physician
- Chiropractor
- Nurse practitioner
- Optometrist
- Physician assistant
- Podiatrist
- Psychologist

The following occupational therapy services are not covered by the IHCP:

- General strengthening exercise programs
- Passive range of motion services (as the only or primary modality of therapy)
- Occupational therapy psychiatric services

Prior Authorization for Occupational Therapy
For all prior authorization information for physical therapy, occupational therapy, respiratory therapy, and speech pathology, see general prior authorization guidelines below.
Speech Pathology

Speech pathology services are provided for IHCP members with speech, hearing, and/or language disorders. These services include diagnostic, screening, preventive, or corrective services.

The speech pathology service must be rendered by a licensed speech-language pathologist or a person registered for a clinical fellowship year who is supervised by a licensed speech-language pathologist. A registered speech-language pathology aide may provide services.

Evaluations and reevaluations are limited three (3) hours of service per evaluation.

Group therapy is covered in conjunction with, not in addition to, regular individual treatment. Indiana Medicaid will not pay for group therapy as the only or primary means of treatment.

Speech pathology must be ordered in writing by a physician (doctor of medicine or doctor of osteopathy).

Prior Authorization for Speech Therapy/Pathology

For all prior authorization information for physical therapy, occupational therapy, respiratory therapy, and speech pathology, see general prior authorization guidelines below.

Respiratory Therapy

Respiratory therapy services will be reimbursed only when performed by a licensed respiratory therapist or a certified respiratory therapy technician who is an employee or contractor of a hospital, medical agency, or clinic. The equipment necessary for rendering respiratory therapy will be considered part of the provider’s capital equipment.

Respiratory therapy must be ordered in writing by a physician (doctor of medicine or doctor of osteopathy).

Prior Authorization for Respiratory Therapy

Respiratory therapy services ordered in writing for the acute medical diagnosis of asthma, pneumonia, bronchitis, and upper respiratory infection may be provided without prior authorization for a period not to exceed fourteen (14) hours on fourteen (14) calendar days.

For all other prior authorization information for physical therapy, occupational therapy, respiratory therapy, and speech pathology, see general prior authorization guidelines below.
Hippotherapy

The IHCP covers hippotherapy for physical therapy. To be covered, services must be provided by a licensed physical therapist and should be billed using the appropriate CPT® codes. Services must be ordered by a physician and included in the patient’s plan of care.

Prior Authorization for Hippotherapy

Prior authorization requirements for physical therapy apply to hippotherapy.

Note: HIP Basic members are limited to 60 physical therapy, occupational therapy, speech pathology services, and pulmonary rehabilitation combined visits annually. HIP Plus members are limited to 75 physical therapy, occupational therapy, speech pathology services, and pulmonary rehabilitation combined visits annually.

Applied Behavior Analysis (ABA) Therapy

Applied Behavior Analysis (ABA) therapy is the design, implementation, and evaluation of environmental modification using behavioral stimuli and consequences to produce socially significant improvement in human behavior, including the direct observation, measurement, and functional analysis of the relations between environment and behavior.

An initial course of ABA therapy is subject to prior authorization and is covered when all of the following criteria are met:

- A diagnosis of ASD has been made by a qualified healthcare provider as described in the ‘Provider Requirements’ outlined below;
- The individual has completed a comprehensive diagnostic evaluation by a qualified healthcare provider. The evaluation must utilize a standardized assessment tool and include a referral for ABA services.
- The individual is no older than twenty (20) years of age;
- The goals of the intervention are appropriate for the individual’s age and impairment; and,
- Documentation is provided which describes the individual-specific treatment plan that is developed by a licensed or certified behavior analyst and includes all of the following:
  - Addresses the identified behavioral, psychological, family and medical concerns; and,
  - Measurable short-term, intermediate, and long-term goals based on standardized assessments relative to age-expected norms and that address the behaviors and impairments for which the intervention is to be applied (Note: this should include baseline measurements, progress to date and anticipated timeline for achievement based on both the initial assessment and subsequent interim assessments over the duration of the intervention);
  - Identifies plans for parent/guardian training and school transition; and
Documents that ABA services will be delivered by an appropriate provider who is licensed or certified as a Behavior Analyst (see “Provider Requirements” below).

Continuation of ABA therapy is subject to prior authorization and may be covered when all of the following criteria are met:

- The individual has met criteria for an initial course of ABA
- The individual-specific treatment plan will be updated and submitted as outlined below
- For each goal in the individual-specific treatment plan, the following is documented:
  - Developmental testing is done no later than 2 months after the initial course of ABA treatment has begun in order to establish a baseline in the areas of social skills, communication skills, language skills, and adaptive functioning; and
  - Progress to date; and
  - Anticipated timeline for achievement of the goals based on both the initial assessment and subsequent interim assessments over the duration of the intervention; and
- The individual-specific treatment plan includes age and impairment appropriate goals and measures of progress:
  - The treatment plan should include measures of the progress made with social skills, communication skills, language skills and adaptive functioning. Clinically significant progress in social skills, communication skills, language skills, and adaptive functioning must be documented.
- The treatment plan must also identify and describe plans for parent/guardian training and school transition.

Reimbursement is not available when the following services do not meet medical necessity criteria, nor qualify as ABA therapy services:

- ABA components that focus on recreational or educational outcomes are not covered.
- Therapy services rendered when measurable functional improvement is not expected or documented;
- Services that are duplicative, such as services rendered under an individualized educational program (IEP);
- Services performed by an RBT in the home or school setting.

Prior Authorization for ABA Therapy

Prior authorization is required for the initial course of ABA therapy and may be approved for up to six (6) months. ABA therapy services shall not exceed a total duration of three (3) years.

Documentation supporting the PA request is required, and must include the following:

Initial Course of ABA

- An individual specific treatment plan, including:
Identification of the individuals behavioral, psychological, family and medical concerns; and,

Measurable goals based on standardized assessments that address the behaviors and impairments for which the intervention is to be applied (Note: this should include, for each goal, baseline measurements and anticipated timeline for achievement based on the initial assessment); and,

The number of hours per week being requested, including justification for the specific number of hours based on the individual’s needs.

Plans for parent/guardian training and school transition.

• Documentation that ABA services will be delivered by an appropriate provider who is licensed or certified as a Behavior Analyst (see ‘Provider Requirements’ below).

Continuation of ABA

• Documentation that the member has been approved for the initial course of ABA based on the criteria above;

• An individual specific treatment plan must be updated and submitted up to 6 months. The treatment plan must include:
  o Age and impairment appropriate goals, including baseline measures for each goal;
  o Measureable progress to date;
  o Anticipated timeline for achievement of each goal;
  o Plans for parent/guardian training and school transition.

• Documentation that ABA services will be delivered by an appropriate provider who is licensed or certified as a Behavior Analyst (see ‘Provider Requirements’ below).

Treatment plans must include measures and progress specific to language skills, communication skills, social skills and adaptive functioning. The individual treatment plan must include justification and supporting documentation for the number of hours being requested. The number of hours must give consideration to the child’s age, school attendance requirements, and other daily activities. The treatment plan must include a clear schedule of services planned, and that all identified interventions are consistent with ABA techniques.

In addition, for members 13 through 20 years of age, the treatment plan must include measures of the specific behaviors or deficits targeted and also include assessments of social, communication, and language skills, as well as adaptive functioning and developmental status.

Provider Requirements
For purposes of the initial diagnosis and comprehensive diagnostic evaluation, a qualified provider includes any of the following:

- Licensed physician;
- Licensed Health Service Provider in Psychology (HSPP);
- Licensed pediatrician;
- Licensed psychiatrist; or
- Other behavioral health specialist with training and experience in the diagnosis and treatment of autism spectrum disorder.

ABA therapy services must be delivered by an appropriate provider that is licensed or board certified as a Behavior Analyst, including Bachelor-level (BCaBA), Master’s (BCBA) and doctoral level (BCBA-D) behavior analysts. ABA therapy services may also be provided by a credentialed Registered Behavior Technician (RBT). Services performed by a BCaBA or RBT must be under the direct supervision of a BCBA, BCBA-D, or HSPP).

Services performed by RBTs under the supervision of a BCBA, BCBA-D, or HSPP will be reimbursed at 75% of the rate on file. Providers must bill the appropriate modifier (U1, U2, or U3) to indicate who is providing the services rendered.

Services rendered by a non-approved provider will not be reimbursed.

An IHCP ABA Prior Authorization Checklist is available for providers. Voluntary use of this tool should help providers prepare comprehensive requests for ABA therapy and reduce suspensions for requests for additional information.

Hyperbaric Oxygen Therapy

Hyperbaric oxygen therapy is a modality in which the entire body is exposed to oxygen under increased atmospheric pressure, increasing vascular flow and improving oxygenation of body tissue. Originally developed for the treatment of decompression sickness, hyperbaric oxygen is adjunctive treatment for the management of select non-healing wounds, treatment of carbon monoxide poisoning, and other conditions.

The following table provides reimbursable conditions for hyperbaric oxygen therapy:

### Diagnosis Codes for Hyperbaric Oxygen Therapy

<table>
<thead>
<tr>
<th>Diagnosis</th>
<th>ICD-10-CM Codes</th>
<th>Limitations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inflammatory conditions of jaw</td>
<td>M27.49</td>
<td></td>
</tr>
<tr>
<td>Irradiation cystitis</td>
<td>N30.40, N30.41</td>
<td></td>
</tr>
<tr>
<td>Condition</td>
<td>ICD-10 Code(s)</td>
<td>Notes</td>
</tr>
<tr>
<td>------------------------------------------------</td>
<td>-------------------------------------------------------------------------------</td>
<td>-------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Acute carbon monoxide intoxication</td>
<td>T58.01XA-T58.94XS,</td>
<td></td>
</tr>
<tr>
<td>Decompression illness</td>
<td>T70.3XXA -T70.3XXS,</td>
<td></td>
</tr>
<tr>
<td>Gas embolism</td>
<td>T79.0XXA-T79.0XXS,</td>
<td></td>
</tr>
<tr>
<td>Gas gangrene</td>
<td>A48.0</td>
<td></td>
</tr>
<tr>
<td>Acute traumatic peripheral ischemia</td>
<td>S35.511A-S35.513S, S45.001A-S45.099S, S55.001A- S55.999S, S65.001A-S65.999S, S75.001A-S75.999S, S85.001A-S85.999S, S95.001A-S95.999S,</td>
<td>Adjunctive treatment to be used in combination with accepted standards and therapeutic measures</td>
</tr>
<tr>
<td>Complications of reattached extremity or body part</td>
<td>T87.0X1-T87.2</td>
<td></td>
</tr>
<tr>
<td>Crush injuries and suturing of severed limbs</td>
<td>S07.0XXA- S07.9XXS, S17.0XXA-S17.0XXS, S28.0XXA-S28.229S, S38.001A-S38.1XXS, S57.00XA-S57.82XS, S67.00XA-S67.92XS, S77.00XA-S77.22XS, S97.00XA-S97.82XS,</td>
<td>An adjunctive treatment when loss of function, limb or life is threatened</td>
</tr>
<tr>
<td>(Meleney Ulcers) Progressive necrotizing infections</td>
<td>M72.6</td>
<td>Other types of cutaneous ulcers are not covered</td>
</tr>
<tr>
<td>Acute peripheral arterial insufficiency</td>
<td>I74.2-I74.5</td>
<td></td>
</tr>
<tr>
<td>Compromised skin grafts</td>
<td>T86.820-T86.829</td>
<td>Preparation and preservation</td>
</tr>
<tr>
<td>Chronic Refractory Osteomyelitis</td>
<td>M86.30-M86.9</td>
<td>Use when unresponsive to conventional medical and surgical management</td>
</tr>
<tr>
<td>Osteoradionecrosis</td>
<td>M27.8</td>
<td>Adjunct to conventional treatment</td>
</tr>
<tr>
<td>Soft tissue radionecrosis</td>
<td>T66.XXXA-T66.XXXS</td>
<td>Adjunct to conventional treatment</td>
</tr>
<tr>
<td>Cyanide poisoning</td>
<td>T65.0X1A-T65.0X4S, T57.3X1A-T57.3X4S</td>
<td></td>
</tr>
</tbody>
</table>
Actinomycosis A42.0-A42.9 Only as an adjunct to conventional therapy when the disease process is refractory to antibiotics and surgical treatment

Acute cerebral edema G93.6

Reimbursement is not available for hyperbaric oxygen therapy for the following conditions:

- Topical application of oxygen
- Cutaneous, decubitus, and stasis ulcers
- Chronic peripheral-vascular insufficiency
- Anaerobic septicemia and infection other than clostridial
- Skin burns (thermal)
- Senility
- Myocardial infarction
- Cardiogenic shock
- Sickle-cell crisis
- Acute thermal and chemical pulmonary damage, including smoke inhalation with pulmonary insufficiency
- Acute or chronic cerebral-vascular insufficiency
- Hepatic necrosis
- Aerobic septicemia
- Nonvascular causes of chronic brain syndrome, including Picks, Alzheimer’s, and Korsakoff’s disease
- Tetanus
- Systemic-aerobic infection
- Organ transplantation
- Organ storage
- Pulmonary emphysema
- Exceptional blood loss anemia
- Multiple sclerosis
- Arthritic diseases
Treatment may include multiple sessions, which may be administered over a duration ranging from less than one week to two months, the average being two to four weeks. Claims submitted for treatment sessions lasting more than a two-month period will be suspended for submission of documentation to support medical necessity of continued therapy.

Hyperbaric therapy shall be clinically practical and shall not be a replacement for other standard successful therapeutic measures.

**Prior Authorization**

**For physical therapy, occupational therapy, respiratory therapy, and speech pathology**

Prior authorization is required for all therapy services. The following criteria must be met for PA of physical therapy, occupational therapy, respiratory therapy, and speech pathology when it is provided outside the exceptions stated below:

- Written evidence of physician involvement and personal patient evaluation will be required to document the acute medical needs. Therapy must be ordered by a qualifying provider (see individual policy sections for qualifying providers).
- A current plan of treatment, developed 60 to 90 days from the date of the PA submission, must include clearly stated and measurable goals and progress.
- Therapies must be provided by a qualified therapist or a qualified assistant under direct supervision of a therapist, as appropriate.
- Therapy must be of such a level of complexity and sophistication and the condition of the member must be such that the judgment, knowledge, and skills of a qualified therapist are required.
- Therapy must be medically necessary.
- Therapy for diversional, recreational, vocational purposes, or avocational purposes, for the remediation of learning disabilities, or for developmental activities which can be conducted by nonmedical personnel is non-covered.
- One hour of therapy must include a minimum of 45 minutes of direct care with the member. Only one hour per day, per type of therapy may be approved.
- Therapies which duplicate other services provided to a patient will not be authorized (e.g., nursing services).

For the following situations, PA is not required:

- Initial evaluations
- Emergency respiratory therapy
- Any combination of therapy, ordered in writing prior to a recipient’s discharge from inpatient hospital care, may continue for a period not to exceed 30 hours/sessions/visits in 30 calendar days
• Deductible and copayment for services covered by Medicare Part B
• Oxygen equipment and supplies necessary for the delivery of oxygen with the exception of concentrators
• Therapy services provided by a nursing facility or large private or small intermediate care facility for individuals with intellectual disabilities (ICF/IID), which are included in the facility’s per diem rate

For physical therapy, occupational therapy, respiratory therapy, and speech pathology in the home health setting
The following criteria must be met for PA of physical therapy, occupational therapy, respiratory therapy, and speech pathology when it is provided by a home health agency:
• Therapy must be provided by an appropriately licensed, certified, or registered therapist employed or contracted by the agency
• Ordered or prescribed in writing by a physician
• Provided in accordance with a written plan of treatment developed cooperatively between the therapist and the attending physician
• Medically necessary
• Provided in accordance with all other PA requirements for physical therapy, occupational therapy, respiratory therapy, and speech pathology

Billing and Coding
For further billing information, see the Therapy Services provider reference module. For a list of billing codes, see the Therapy Services Codes on the Code Sets/Tables webpage.

Rules and Citations
405 IAC 5
• 405 IAC 5-22-6 Prior Authorization; exceptions
• 405 IAC 5-16 Home Health Agency and Clinic Services
• 405 IAC 5-16-4 Rehabilitation center services; limitations
• 405 IAC 5-17-4 Physical rehabilitation services
• 405 IAC 5-22 Nursing and Therapy Services
• 405 IAC 5-22-8 Certified Physical Therapist ’s Assistants
• 405 IAC 5-32-1 Rehabilitation Unit

IHCP Provider Bulletins
• BT201627 IHCP Revises Coverage Policies for Therapy Services
• **BT201126** Removal of Physical, Speech, and Occupational Therapy Services Limitations
• **BT201690** IHCP Expands Provider Types Allowed to Order Physical and Occupational Therapy Services
• **BT201736** IHCP Revises Policy Regarding Robotic Therapy

**IHCP Provider Banners**

• **BR201737** IHCP makes Applied Behavioral Analysis PA Checklist available
• **BR201338** Prior authorization no longer required when billing for initial evaluations for speech therapy

**Note:** For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit [http://provider.indianamedicaid.com/](http://provider.indianamedicaid.com/).

**Update History**

January 1, 2017 – Initial Publication

February 1, 2017 – Expanded list of provider types allowed to order physical and occupational therapy

June 13, 2017 – Removed separate policy language around robotic therapy

September 12, 2017 – Added reference to IHCP ABA PA Checklist
Transplant Services

This information has been incorporated into the Surgical Services provider reference module.
Transportation Services

This information has been incorporated into the Transportation Services provider reference module.
Traumatic Brain Injury Program

Description of Service

Traumatic brain injury (TBI) is an injury sustained after birth from physical trauma, an anoxia or hypoxic episode, allergic conditions, toxic substances, or other acute medical clinical incidents resulting in psychological, neurological or anatomical changes in brain functions. Traumatic brain injury does not include:

• Strokes that can be treated in nursing facilities providing routine rehabilitation services;
• Spinal cord injuries for which there are no known or obvious injuries to the intracranial central nervous system;
• Progressive dementias and other mentally impairing conditions;
• Depression and psychiatric disorders in which there is no known or obvious central nervous system damage;
• Intellectual disability and birth defect related disorders of long standing nature; or
• Neurological degenerative, metabolic, and other medical conditions of a chronic, degenerative nature.

Each brain injury is unique. A brain injury may be mild, with a brief change in mental status, moderate, with a loss of consciousness, or severe, causing a prolonged coma. Mild, moderate and severe brain injuries can lead to long-term symptoms and the potential for permanent disability. The outcome following a brain injury depends on several factors including:

• Nature and severity of the brain injury
• Type and degree of any resulting impairments and disabilities
• Overall health of the patient
• Family support
• Quality of the rehabilitation care

TBI services are provided based on an individualized, goal-oriented, comprehensive and coordinated treatment plan developed, implemented and monitored through an interdisciplinary assessment designed to restore an individual to optimal level of physical, cognitive and behavioral function.
Medical Policy
Reimbursement of TBI Services

The IHCP provides reimbursement for TBI services when the services are provided in compliance with all IHCP guidelines, including obtaining prior authorization, for members who have been determined to meet eligibility.

Reimbursement for TBI services is determined based upon the member’s level of need in each of the ten (10) domains listed below and the total score of the 10 domains. Based upon the domain total the member will fall within one (1) of four (4) levels of service reimbursement categories which are described in the Level of Service section of this policy.

<table>
<thead>
<tr>
<th>Domains</th>
<th>Level of Need</th>
</tr>
</thead>
<tbody>
<tr>
<td>Residential</td>
<td>Low: basic residential services</td>
</tr>
<tr>
<td></td>
<td>Medium: moderate assist with residential living</td>
</tr>
<tr>
<td></td>
<td>High: significant assistance with residential needs</td>
</tr>
<tr>
<td>Case Management</td>
<td>Low: minimal logistical assistance</td>
</tr>
<tr>
<td></td>
<td>Medium: moderate coordination of care and family engagement</td>
</tr>
<tr>
<td></td>
<td>High: significant management of complex medical and social issues</td>
</tr>
<tr>
<td>Medical Management</td>
<td>Low: routine medical care</td>
</tr>
<tr>
<td></td>
<td>Medium: moderate basic medical services and care delivery</td>
</tr>
<tr>
<td></td>
<td>High: significant and complex medical services</td>
</tr>
<tr>
<td>Speech/Language Therapy</td>
<td>Low: maintenance services for speech and language skills</td>
</tr>
<tr>
<td></td>
<td>Medium: moderate frequency of speech therapy with progress to goals</td>
</tr>
<tr>
<td></td>
<td>High: intensive speech therapy for language, speech, and receptive skills</td>
</tr>
<tr>
<td>Productive Activity/Physical Therapy</td>
<td>Low: basic activity that does not require skilled staff</td>
</tr>
<tr>
<td></td>
<td>Medium: moderate individualized therapy with progress to goals</td>
</tr>
<tr>
<td></td>
<td>High: intensive and frequent services requiring skilled professional staff</td>
</tr>
<tr>
<td>Occupational Therapy</td>
<td>Low: basic activity that does not require skilled staff</td>
</tr>
<tr>
<td></td>
<td>Medium: moderate individualized therapy with progress to goals</td>
</tr>
<tr>
<td></td>
<td>High: intensive and frequent services requiring skilled professional staff</td>
</tr>
</tbody>
</table>
### Scoring of Domains

<table>
<thead>
<tr>
<th>Discipline</th>
<th>Low: basic activity that does not require skilled staff</th>
<th>Medium: moderate individualized therapy with progress to goals</th>
<th>High: intensive and frequent services requiring skilled professional staff</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Rehabilitation Therapy</strong></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td><strong>Vocational Therapy</strong></td>
<td></td>
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<tr>
<td><strong>Neuro-cognitive Therapy</strong></td>
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<td></td>
<td></td>
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<tr>
<td><strong>Behavioral Health/Psychiatric Therapy</strong></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

**Scoring of the Domains**

An assessment is utilized to review each case based upon ten domains of service and the intensity of service within each discipline as well as services provided to that member during the review process. Services will be rated on a 1 to 3 scale, e.g.

1------------2-----------3

Low | Medium | High

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**Level of Services Reimbursement Categories**

The goal of TBI rehabilitation is re-integration into the community. The member’s ability to live at home and/or in the community is related to the severity of the illness (SI) and intensity of services (IS). The member’s needs must be balanced with the resources available in the recovery environment. These resources include:

- Physical health care needs
- Behavioral health care needs
- Cognitive Impairments
- Safety needs, and
- Other support needs
The IHCP has developed four (4) levels of service reimbursement categories utilizing the ten domains of service, identifying the severity of the illness and the intensity of services required by each member. The four levels of service are described below.

**Level I – Intense NeuroRehabilitation/NeuroBehavioral Programming**

Level I is assigned to members who require immediate admission into an TBI program in order to receive intensive therapy and may be appropriate for up to the first four (4) months of intervention. Members requiring additional days after the first four (4) months for level 1 services will be reviewed on a case-by-case basis.

Members must demonstrate needs in the following areas:

**Cognitive/Behavioral Needs**
- Cognition – memory, impulsivity, poor judgment, lack of initiation, poor problem solving, poor social skills which significantly impact safety and well being
- Unwanted behaviors – including demonstration of, frequency and intensity of high risk behaviors secondary to the brain injury
- Non-compliance with traditional therapies due to cognitive/behavioral barriers.
- Crisis intervention and ultra-high risk support

**Safety Needs**
- Supervision – may require additional one on one supervision for behaviors
- Environment – may require durable, secure, highly supervised living environment to decrease risk to self or others.

**Physical Health Care Needs**
- Medical needs requiring daily nursing availability to ensure safety/wellbeing
- Medication management
- Coordination of physician specialists and/or any orthotic/prosthetic devices
- Pharmacological intervention through psychiatrist consults and medically necessary therapeutic interventions in all of the areas listed below.
- Residential

**Other Needs**
- Transportation/Escort
- Interagency communication/coordination
- Family/Caregiver Training
Available continuum of treatment/environmental options to practice skill acquisition and simulate discharge environment.

Begin discharge planning as part of the program to match home community based services in Indiana

Requires therapeutic interventions in the following areas

- Residential
- Case Management
- Medical Management
- Speech Language Therapy
- Productive Activity/Physical Therapy
- Occupational Therapy
- Rehabilitation Therapy
- Vocational Therapy
- Neuro-cognitive Therapy
- Mental/Behavioral Health

Level I may be appropriate for up to the first 4 months of intervention.

### Level I Per Diem Rates

<table>
<thead>
<tr>
<th>Billing Level</th>
<th>Total Score of Domains</th>
<th>Corresponding per diem</th>
</tr>
</thead>
<tbody>
<tr>
<td>Level I</td>
<td>30</td>
<td>$ 567</td>
</tr>
<tr>
<td>Level I</td>
<td>28 – 29</td>
<td>$ 541</td>
</tr>
<tr>
<td>Level I</td>
<td>26 – 27</td>
<td>$ 509</td>
</tr>
</tbody>
</table>

Members at level 1 will have a total domain score between 26 and 30.

**Level II – Active NeuroRehabilitation/NeuroBehavioral Step-Down Program**

Level II offers individualized support needed at any time, specifically during times of crisis, and a member may require or be provided additional residential and programmatic support. The team may change the intensity of assistance from time to time, while taking advantage of certain “therapeutic windows”. Regardless of the setting or type of program, rehabilitation interventions are intended to help members practice strategies to remain free from harm and attain personal goals that are durable over time. Discharge planning efforts continue to be geared toward exploring and securing living environments, therapeutic services, and productive activities that match the needs and desires of the member with a focus on returning to the home community.

Level II members’ have made progress in active rehabilitation and exhibit the following needs:
• Training in self-management of behavioral, cognitive, and/or medical/physical challenges

• Continues to require specialized therapeutic intervention in the following areas although at a reduced frequency and duration
  o Residential
  o Case Management
  o Medical Management
  o Speech Language Therapy
  o Productive Activity/Physical Therapy
  o Occupational Therapy
  o Rehabilitation Therapy
  o Vocational Therapy
  o Neuro-cognitive Therapy
  o Mental/Behavioral Health

• Still unable to access their home environment, independent living options, or transitional supported living due to the continual unwanted behaviors or the significant cognitive/physical challenges.

• Ready to engage in therapeutic interventions geared toward maintaining the durability of goals achieved as well as continued work on upgraded objectives

Level II Per Diem Rates

<table>
<thead>
<tr>
<th>Billing Level</th>
<th>Total Score of Domains</th>
<th>Corresponding per diem</th>
</tr>
</thead>
<tbody>
<tr>
<td>Level II</td>
<td>25</td>
<td>$477</td>
</tr>
<tr>
<td>Level II</td>
<td>23 – 24</td>
<td>$445</td>
</tr>
<tr>
<td>Level II</td>
<td>21 – 22</td>
<td>$413</td>
</tr>
</tbody>
</table>

Members at level II will have a total domain score between 21 and 25.

Level III: NeuroRehabilitation/NeuroBehavioral Step Down Program

Level III members’ have made progress in more intensive, active rehabilitation and require reduced formal clinical service delivery. Members who are appropriate for this level of program will transition into a residential and programmatic continuum designed to replicate the type of support the person will experience once they return to their home community. Members will continue to practice strategies to increase independence, safety, and behavioral self-management while pursuing discharge placement in the discharge community. During times of crisis, a member may require or be provided additional residential and programmatic support. If
the crisis maintains, the member may need to move to Level I or II with the corresponding rate until stabilized.

Level III places strong emphasis on discharge planning as the member continues to practice skills attained and prepares for transfer to an alternative environment or to reside in the most independent environment possible.

Level III members’ exhibit the following needs:

- Additional experience and feedback with a variety of daily living situations to ensure self-management skills are effective and risk is minimized
- Supported living skill training and supervision with feedback
- Productive activity and community involvement with therapeutic intervention and feedback provided
- Supported practice with individualized cognitive, behavioral, or medical strategies to minimize health and safety risk.
- Continues to require specialized therapeutic intervention in the following areas although at a reduced frequency and duration:
  - Residential
  - Case Management
  - Medical Management
  - Speech Language Therapy
  - Productive Activity/Physical Therapy
  - Occupational Therapy
  - Rehabilitation Therapy
  - Vocational Therapy
  - Neuro-cognitive Therapy
  - Mental/Behavioral Health
Level III Per Diem Rates

<table>
<thead>
<tr>
<th>Billing Level</th>
<th>Total Score of Domains</th>
<th>Corresponding per diem</th>
</tr>
</thead>
<tbody>
<tr>
<td>Level III</td>
<td>20</td>
<td>$381</td>
</tr>
<tr>
<td>Level III</td>
<td>16 – 19</td>
<td>$349</td>
</tr>
</tbody>
</table>

Members at level III will have a total domain score between 16 and 20.

Level IV: NeuroRehabilitation/Neurobehavioral Step-Down Support Services

Level IV members have made progress in more intensive, active rehabilitation and are appropriate for step down services to maintain goals achieved through supportive services. Members who are appropriate for this level of step down support services will attempt to replicate the type of interventions the individual will experience once they return to their home community. Members will continue to practice learned strategies to increase independence, safety, and behavioral self-management while pursuing discharge placement in the appropriate community. During times of crisis, members may require or be provided additional residential and programmatic support. If the crisis maintains, the member will be recommended to a move to Level I, II, or III with the corresponding rate until stabilized.

Level IV places a strong emphasis on discharge planning as the member continues to practice skills attained and maintain those skills designed to meet future placement needs in the home community.

Level IV members exhibit the following needs:

- Additional experience and feedback with a variety of daily living situations to ensure self-management skills are effective and risk is minimized
- Supported living skill training and supervision with feedback
- Productive activity and community involvement with therapeutic intervention and feedback provided
- Supported practice with individualized cognitive, behavioral, or medical strategies to maintain current health and overall functioning level.
- Continues to require specialized therapeutic intervention at a moderate level in the following areas although at a reduced frequency and duration:
  - Residential
  - Case Management
  - Medical Management
  - Speech Language Therapy
  - Productive Activity/Physical Therapy
  - Occupational Therapy
- Rehabilitation Therapy
- Vocational Therapy
- Neuro-cognitive Therapy
- Mental/Behavioral Health

**Level IV Per Diem Rates**

<table>
<thead>
<tr>
<th>Billing Level</th>
<th>Total Score of Domains</th>
<th>Corresponding per diem</th>
</tr>
</thead>
<tbody>
<tr>
<td>Level IV</td>
<td>15</td>
<td>$317</td>
</tr>
<tr>
<td>Level IV</td>
<td>10 – 14</td>
<td>$285</td>
</tr>
</tbody>
</table>

Members at this level will have a total domain score between 10 and 15.

**Per Diem Rates**

The total score of the domains determines the billing level and reimbursement rate. Rates are adjusted according to the level of intensity, on a scale of 1-3, as evidenced by medical necessity based upon the member’s individual needs. All reimbursement rates are directly communicated to the facility via the Notice of Action (Admission or Extension) letter. Each member’s reimbursement rate is reviewed at the time of the clinical reassessment and the extension request. Table 7 – *TBI Per Diem Rates* is utilized to determine the rate for reimbursement for the prospective period. Level assignment and rate determinations will be based upon the information supplied by the TBI facility from documentations submitted for review and dialogue from collaborative case rounds with the Prior Authorization Vendor.

The per diem rates include the following services:

- Room and board
- Staffed residence
- Therapeutic interventions

**TBI Per Diem Rates**

<table>
<thead>
<tr>
<th>Billing Level</th>
<th>Total Score of Domains</th>
<th>Corresponding per diem</th>
</tr>
</thead>
<tbody>
<tr>
<td>Level I</td>
<td>30</td>
<td>$567</td>
</tr>
<tr>
<td>Level I</td>
<td>28 – 29</td>
<td>$541</td>
</tr>
<tr>
<td>Level I</td>
<td>26 – 27</td>
<td>$509</td>
</tr>
<tr>
<td>Level II</td>
<td>25</td>
<td>$477</td>
</tr>
<tr>
<td>Level II</td>
<td>23 – 24</td>
<td>$445</td>
</tr>
<tr>
<td>Level II</td>
<td>21 – 22</td>
<td>$413</td>
</tr>
<tr>
<td>Level III</td>
<td>20</td>
<td>$381</td>
</tr>
</tbody>
</table>
### Prior Authorization

Prior Authorization is required for TBI services per 405 IAC 5-5. The IHCP does not reimburse providers for any services requiring prior authorization unless prior authorization is obtained first.

### Admission Requests

Placement within a TBI facility is available to members who have been determined to meet eligibility. Qualifications for enrollment in the TBI-program include (but are not limited to) the following:

- **Diagnosis of Traumatic Brain Injury**
  - The injury resulted from an acute anoxic event or brain injury caused by external trauma
- **Medical need for long term neuro-cognitive rehabilitation**
- **Therapeutic benefit from rehabilitation services proposed is reasonable**
- **Acute services for brain injury and other services within Indiana must have been considered and utilized when available**
- **Formal clinical assessment for need of long term rehabilitation has been conducted by brain injury specialists within Indiana**
- **Member must be 18 years of age or older.**
  - Requests for admission for members under age 18 will be reviewed on a case-by-case basis

Consideration of admission includes submission of documentation to support the following criteria:

- **Diagnosis of Traumatic Brain Injury**
- **Rancho Los Amigos Levels of Cognitive Functioning level of V or greater and/or**
- **Mayo-Portland Adaptability Inventory (MPAI-4)**
- **The member’s current residence/living situation**
- **Summary of the member’s complete medical history, including**
  - past hospitalizations
  - rehabilitation services
• initial date of any head injury
• history of previous head injury or cerebral harm
• history of pre-injury behavior and social condition (including history of drug abuse, abuse, or police arrests)

• Evidence of behavioral problems including
  • aggressiveness
  • sexual inappropriateness
  • danger to self or others

• Neuropsychiatric evaluation (if completed)
• Psychiatric history (including depression, suicide, etc)
• Ability to participate in a minimum of 3 hours of therapy per day
• Free of mental illness or illicit drug use
• Medically stable
• A reasonable expectation for improvement with therapy
• A reasonable expectation that the member would be eligible to return to his/her community for ongoing services upon completion of program
• Head injury that is no more than 4 years old; exceptions include
  • Member has had no previous treatment for their TBI
• Cannot be placed and adequately cared for in any in-state facility
• The member must meet one of the four (4) levels of need

All TBI admission requests are reviewed by the Prior Authorization (PA) Vendor on a case-by-case basis. The PA vendor determines the medical necessity for placement and if appropriate services are available to address the member’s needs within Indiana. When members qualify for placement, the level of services provided is reviewed as submitted by the requesting facility.

Extension Requests

Providers must submit a re-assessment of the member’s functional status along with the extension request. The re-assessment is utilized to review each case based upon the ten domains of service, the intensity of service within each discipline, as well as services provided to the member during the review process, and initial or ongoing discharge planning efforts.

Each domain will be evaluated by the Prior Authorization Vendor as documented in the extension request and a determination will be made based upon the member’s level of need in each of the ten (10) domains.

Hearing & Appeals Procedures
Requests for the Administrative Review, Appeals, & Hearing process are consistent with the procedures as outlined in the Prior Authorization provider reference module.

**Billing and Coding**

Once a member’s stay has been authorized for admission or an extension, the authorization will be approved with one of the 10 HCPCS procedure codes listed below. Providers must bill on the UB-04 claim form utilizing the authorized HCPCS procedure code along with the usual and customary charges. Billing, payment, and enrollment is contingent upon member’s Medicaid eligibility.

### TBI HCPCS Codes and Rates

<table>
<thead>
<tr>
<th>HCPCS Code</th>
<th>Rate</th>
<th>Billing Level</th>
</tr>
</thead>
<tbody>
<tr>
<td>H2013 UB</td>
<td>$567</td>
<td>Level I</td>
</tr>
<tr>
<td>H2013 UA</td>
<td>$541</td>
<td>Level I</td>
</tr>
<tr>
<td>H2013 U9</td>
<td>$509</td>
<td>Level I</td>
</tr>
<tr>
<td>H2013 U8</td>
<td>$477</td>
<td>Level II</td>
</tr>
<tr>
<td>H2013 U6</td>
<td>$445</td>
<td>Level II</td>
</tr>
<tr>
<td>H2013 U5</td>
<td>$413</td>
<td>Level II</td>
</tr>
<tr>
<td>H2013 U4</td>
<td>$381</td>
<td>Level III</td>
</tr>
<tr>
<td>H2013 U3</td>
<td>$349</td>
<td>Level III</td>
</tr>
<tr>
<td>H2013 U2</td>
<td>$317</td>
<td>Level IV</td>
</tr>
<tr>
<td>H2013 U1</td>
<td>$285</td>
<td>Level IV</td>
</tr>
</tbody>
</table>

The following services are included in the per diem rate:
- Room and board
- Staffed residence
- Therapeutic interventions

**Member Leave Days**

The IHCP no longer covers “bed hold” days in a TBI facility as a member benefit. This change impacts all IHCP members receiving long term neuro-cognitive rehabilitation in the TBI facilities. Facilities must make members aware of their policies and that members cannot be charged for services that they do not request or that are not provided.

**Rules and Citations**

405 IAC 5
- 405 IAC 5-5-1 Services; General
• 405 IAC 5-3 Prior Authorization

IHCP Provider Bulletins

• BT201127 The IHCP eliminates reimbursement for targeted case management

IHCP Provider Banners

Note: For the most updated information regarding the Provider Reference Materials, Bulletins, and Banners, please visit http://provider.indianamedicaid.com/.

Update History
January 1, 2017 – Initial Publication
Vision Services

This information has been incorporated into the Vision Services provider reference module.