



## INDIANA HEALTH COVERAGE PROGRAMS

### PROVIDER REFERENCE MODULE

# Claim Administrative Review and Appeals

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## **Revision History**

<b>Version</b>	<b>Date</b>	<b>Reason for Revisions</b>	<b>Completed By</b>
1.0	Policies and procedures as of October 1, 2015 Published: February 25, 2016	New document	FSSA and HPE
1.1	Policies and procedures as of August 1, 2016 Published: December 13, 2016	Scheduled update	FSSA and HPE
1.2	Policies and procedures as of April 1, 2016 ( <i>CoreMMIS</i> updates as of February 13, 2017) Published: March 21, 2017	<i>CoreMMIS</i> update	FSSA and HPE
2.0	Policies and procedures as of September 1, 2017 Published: November 21, 2017	Scheduled update	FSSA and DXC
3.0	Policies and procedures as of October 1, 2018 Published: November 20, 2018	Scheduled update	FSSA and DXC
3.0	Policies and procedures as of October 1, 2018 Published: August 22, 2019	Correction	FSSA and DXC
4.0	Policies and procedures as of November 1, 2019 Published: January 7, 2020	Scheduled update: <ul style="list-style-type: none"> <li>• Edited text as needed for clarity</li> <li>• Updated links to IHCP website</li> <li>• Updated the initial note box with standard wording</li> <li>• In the <a href="#">Introduction</a> section, updated information about the claim-filing limit and included filing limit information for administrative review and appeals</li> <li>• Removed the mailing options from the subsections under <a href="#">Steps Taken Prior to the Administrative Review Process</a></li> <li>• Updated the IAC references in the <a href="#">Appeals</a> section and clarified that appeal requests must be filed within 15 <i>calendar</i> (not business) days of notification of the decision</li> </ul>	FSSA and DXC



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# Claim Administrative Review and Appeals

*Note: The information in this module applies to administrative review and appeals related to claims for Indiana Health Coverage Programs (IHCP) **nonpharmacy** services provided under the **fee-for-service (FFS)** delivery system.*

*For administrative review and appeals related to FFS **pharmacy** claims, providers must contact the FFS pharmacy benefit manager (PBM), OptumRx. For administrative review and appeals related to claims for services provided through the **managed care** delivery system – including the Healthy Indiana Plan (HIP), Hoosier Care Connect, and Hoosier Healthwise programs – providers must contact the member’s managed care entity (MCE) or refer to the MCE provider manual. Each MCE that participates in an IHCP managed care program is required to have a formal procedure for providers requesting reconsideration of claim determinations made by the MCE. For MCE and PBM contact information, see the [IHCP Quick Reference Guide](#), available at [in.gov/medicaid/providers](http://in.gov/medicaid/providers).*

*Administrative review and appeals related to claims for services that are carved-out of managed care – such as Medicaid Rehabilitation Option (MRO) and school corporation services – follow the fee-for-service guidelines specified in this module.*

*For updates to the information in this module, see [IHCP Banner Pages and Bulletins](#) at [in.gov/medicaid/providers](http://in.gov/medicaid/providers).*

## Introduction

Indiana Health Coverage Programs (IHCP) provider claims for payment of services rendered as fee-for-service (FFS) must be originally filed within 180 days of the date of service or date of discharge. See the [Claim Submission and Processing](#) module for more information, including circumstances that allow for extensions to the timely filing limit.

If a provider disagrees with the IHCP determination of claim payment, the provider’s right of recourse is to file an administrative review and appeal, as provided for in *Indiana Administrative Code 405 IAC 1-1-3*. Requests for administrative review must be filed within 60 calendar days of notification of claim payment or denial. Requests to appeal an adverse administrative review decision must be filed within 15 calendar days of notification of the decision.

## Steps Taken Prior to the Administrative Review Process

The provider must exhaust routine measures to obtain payment before filing an administrative review request.

### ***For Claim Denials***

Upon receipt of a claim denial, the provider must do the following:

1. Review the claim and the denial reason codes.

If the provider cannot determine why the claim denied, the provider may contact Customer Assistance at 1-800-457-4584 or submit a secure correspondence message (using the Claim Inquiry category) through the IHCP [Provider Healthcare Portal](#) (Portal), accessible from the home page at [in.gov/medicaid/providers](http://in.gov/medicaid/providers).

2. If the claim denial is due to a provider's incorrect or inaccurate claim information, the provider should make applicable corrections and resubmit the claim via routine claim-processing channels.
  - For adjudication purposes, a denied claim that is resubmitted with corrected information is considered to be an initial claim and, as such, is subject to the same timely filing limit (based on date of service or date of discharge) as the original claim (see the [Claim Submission and Processing](#) module for timely filing limits).
  - A denied claim resubmitted **without** corrected information is considered to be a duplicate claim and will continue to deny for the same reasons as the original claim. Resubmitted claims with no correction will not be accepted as “reasonable and continuous attempts to resolve a claim problem,” for consideration in waiving or extending the timely filing limit.
3. If the provider has made reasonable attempts to correct a claim and still remains dissatisfied with the claim denial, the provider may submit a request for an administrative review stating why the provider disagrees with the denial. See the [Filing an Administrative Review Request](#) section of this module.

## **For Paid Claims**

If a claim is filed timely and is paid, including claims partially paid or paid at zero, and the provider disagrees with the reimbursement, the provider should:

1. Review the claim and the Remittance Advice (RA) information.

If the provider cannot determine the reason for the payment discrepancy, the provider may contact Customer Assistance at 1-800-457-4584 or submit a secure correspondence message (using the Claim Inquiry category) through the Portal.
2. If the claim was paid incorrectly due to the provider's incorrect or inaccurate claim information, the provider should submit a claim adjustment or void/replacement. The claim adjustment or void/replacement must be filed within 60 days of notification of the claim's disposition. Notification is considered to be the date on the RA. See the [Claim Adjustments](#) module for details.
3. After the provider has made reasonable attempts to correct or adjust a claim, if the provider remains dissatisfied with the reimbursement, the provider may submit a written request for administrative review stating why the provider disagrees with the claim payment amount. See the [Filing an Administrative Review Request](#) section of this module.

## **For Claims with NCCI Edits**

Providers that have questions about a National Correct Coding Initiative (NCCI) edit should exhaust routine measures of inquiry using resources listed in the [Introduction to the IHCP](#) module. Providers are further encouraged to access the [Medicaid NCCI Edit Files](#) page at [medicaid.gov](http://medicaid.gov) to review the NCCI procedure-to-procedure (PTP) edit and Medically Unlikely Edit (MUE) files. These files contain specific code pairs for the PTP edits. For more information about NCCI, see the [National Correct Coding Initiative](#) module.

If the provider still believes that a claim was coded correctly and would like reconsideration, the provider should follow the process described in the [Filing an Administrative Review Request](#) section of this module.



## Filing an Administrative Review Request

For reconsideration of an adjudicated claim, providers must file a written request for an administrative review of the claim, as follows:

1. Write the request, including the claim number (Claim ID) and the reason for disagreement with the denial or the amount of reimbursement, using one of the following methods:
  - Create a secure correspondence message on the [Provider Healthcare Portal](#), accessible from the home page at [in.gov/medicaid/providers](http://in.gov/medicaid/providers), using the Administrative Review Request category.
  - Complete an *IHCP Administrative Review Request* form, available on the [Forms](#) page at [in.gov/medicaid/providers](http://in.gov/medicaid/providers).
  - Write a letter on letterhead stating the reason for disagreement with the denial or the amount of reimbursement and clearly note **Administrative Review** on the face of the letter.

*Note: If the formal administrative review request is specific to the National Correct Coding Initiative, write **NCCI** at the beginning of the secure correspondence message or on the face of the letter. Or, if submitting the *IHCP Administrative Review Request* form, select “Request review of NCCI denial” as the reason for the administrative review request.*

2. Include all pertinent documentation supporting reconsideration, such as the following:
  - Unusual circumstances in which the provider believes the claim was coded correctly and would like a reconsideration of the NCCI editing
  - Reason for disagreement
  - Denial reason and the reason the payment is being disputed
3. Submit the formal administrative review request within **60 calendar days** of notification of claim payment or denial from DXC. The date of notification is considered to be the date on the **most recent** RA for the claim.

Submit the request and any supporting documentation via the Portal or by mail to the following address:

**Administrative Review Requests  
DXC Written Correspondence  
P.O. Box 7263  
Indianapolis, IN 46207-7263**

*Note: For providers on prepayment review, see the [Provider and Member Utilization Review](#) module for administrative review and appeal procedures.*

## Administrative Review Responses

Providers will receive a written confirmation of receipt of their request for administrative review within 10 business days. DXC will respond to all administrative review requests within 90 business days of receipt of the request, regardless of the decision to pay or deny the claim. Each denial decision is specific, detailed, and fully documented. If the administrative review response is unfavorable to the provider, the provider may file an appeal.

## Appeals

A provider must exhaust the formal administrative review process, as described in the [Filing an Administrative Review Request](#) section, before filing an appeal. The provider must comply with all requests to submit information or additional documentation and must receive a final written administrative review decision. If all the procedures required for administrative review have been exhausted and the provider is still not satisfied with the determination, the provider can send a request for appeal under the provisions of *405 IAC 1-1.4*

The appeal request should include all pertinent facts, proof of actions taken to resolve the payment or denial, and any associated documentation. The IHCP must receive the appeal request within **15 calendar days** after the provider receives the adverse administrative review decision notice on which the appeal is premised. The appeal request must be submitted as a Portal secure correspondence message (using the Appeal category) or delivered by mail to the following address:

**MS07  
Secretary  
Indiana Family and Social Services Administration  
Office of Medicaid Policy and Planning  
402 W. Washington St., Room W374  
Indianapolis, IN 46204-2739**

If a provider elects to appeal, the provider must also file a statement of issues within **45 calendar days** from the date of the adverse administrative review determination. The statement of issues should be sent to the same address as the appeal request and should conform to *405 IAC 1-1.4-11(j)* and *Indiana Code IC 4-21.5-3*. Appeal proceedings will be conducted by a Family and Social Services Administration (FSSA)-appointed administrative law judge.

An administrative law judge's adverse decision can be appealed by filing objections with the ultimate authority for the agency within **15 calendar days** of receipt of the decision. An appellant can file a petition for judicial review in accordance with *IC 4-21.5-5*, if the appellant is not satisfied with the agency review decision.

*Note: For information about audit-related appeals, see the [Provider and Member Utilization Review](#) module. For information about appeals of prior authorization decisions, see the [Prior Authorization](#) module.*