

State of Indiana
Justice Reinvestment Advisory Council

ANNUAL REPORT

October 1, 2015-September 30, 2016



TABLE OF CONTENTS

Executive Summary	3
Accomplishments	3
Justice Reinvestment advisory council members.....	4
About the justice reinvestment advisory council.....	5
Meeting highlights	7
FY 16 Community Corrections grant summary	9
FY 17 Community Corrections grant summary	10
Recovery Works Program Summary	11
Program/treatment plan information	12
Next Steps	14
APPENDIX	15

EXECUTIVE SUMMARY

Legislation establishing the Justice Reinvestment Advisory Council (JRAC) went into effect on July 1, 2015. The 9-member Advisory Council consists of leadership from both the executive and judicial branches of state and local government. Between October 1, 2015 and September 30, 2016, JRAC reviewed and made funding recommendations for Department of Correction grant applications for FY16 and FY17, reviewed the Division of Mental Health and Addiction's Recovery Works program, drafted legislative proposals, and executed a memorandum of understanding with the Indiana Evidence Based Decision Making Policy Team.

ACCOMPLISHMENTS

- **FY 17 Community Corrections Grant Awards**
 - \$18M distributed to 78 counties (\$2M will be distributed in October)
 - 77 counties requested \$29.1M
 - Expanded electronic monitoring, work release, day reporting, treatment, technology
 - 200 new staff hired for community corrections and probation, jail treatment, prosecutor diversion, and court recidivism reduction programs

- **FY 16 Community Corrections Grant Awards**
 - \$5M distributed to 40 counties
 - 70 counties requested \$17.4M
 - Expanded electronic monitoring, work release, day reporting, technology
 - Expanded services of one Drug Court and one Domestic Violence Court
 - 82 new staff hired for community corrections and probation

- **Recovery Works Program**
 - Recovery Works launched by DMHA in November 2015
 - \$1,087,854 expended for services to 3,178 individuals in FY16
 - \$1,532,715 expended for services to 1,891 new participants in FY17
 - Top 5 services: housing, skills development training, substance use disorder treatment (group), intensive outpatient treatment, and case management

- **MOU with Indiana Evidence Based Decision Making Policy Team**
 - Agreed to coordinate legislative and public communication efforts
 - Agreed to coordinate efforts to define, collect, share, and evaluate criminal justice data

JUSTICE REINVESTMENT ADVISORY COUNCIL MEMBERS

Jane Seigel, Chair

Executive Director

Indiana Office of Court Services

Bruce Lemmon

Commissioner

Indiana Department of Correction

Larry Landis

Executive Director

Indiana Public Defender Council

David Murtaugh

Executive Director

Indiana Criminal Justice Institute

David Powell

Executive Director

Indiana Prosecuting Attorneys
Council

Bill Watson

President

Indiana Association of Community
Corrections Act Counties

Kevin Moore

Director

Division of Mental Health and
Addiction

Linda Brady

President

Probation Officers Professional
Association of Indiana

Jeff Cappa

President

Indiana Sheriffs Association

ABOUT THE JUSTICE REINVESTMENT ADVISORY COUNCIL

Governor Pence signed House Enrolled Act 1006 into law on May 5, 2015. Also known as Public Law 179-2015, this legislation established the nine member Justice Reinvestment Advisory Council [IC 33-38-9.5]. The statute provides the following:

Membership

- (1) The executive director of the Indiana public defender council or the executive director's designee.*
- (2) The executive director of the Indiana prosecuting attorneys council or the executive director's designee.*
- (3) The director of the division of mental health and addiction or the director's designee.*
- (4) The president of the Indiana Sheriffs' Association or the president's designee.*
- (5) The commissioner of the Indiana department of correction or the commissioner's designee.*
- (6) The executive director of the Indiana judicial center or the executive director's designee.*
- (7) The executive director of the Indiana criminal justice institute or the executive director's designee.*
- (8) The president of the Indiana Association of Community Corrections Act Counties or the president's designee.*
- (9) The president of the Probation Officers Professional Association of Indiana or the president's designee.*

Chair

The executive director of the Indiana judicial center shall serve as chairperson of the advisory council.

Purpose

The purpose of the advisory council is to conduct a state level review and evaluation of:

- (1) local corrections programs, including community corrections, county jails, and probation services; and*
- (2) the processes used by the department of correction and the division of mental health and addiction in awarding grants.*

The advisory council may make a recommendation to the department of correction, community corrections advisory boards, and the division of mental health and addiction concerning the award of grant.

Goal

The goal of the justice reinvestment advisory council is to develop incarceration alternatives and recidivism reduction programs at the county and community level by promoting the development of:

- (1) probation services;*
- (2) problem solving courts;*
- (3) mental health treatment;*
- (4) substance abuse treatment;*
- (5) programs providing for court supervision, probation, or pretrial diversion;*
- (6) community corrections;*
- (7) evidence based recidivism reduction programs for currently incarcerated persons;*
- (8) other alternatives to incarceration.*

Meetings

The advisory council shall meet as necessary to:

- (1) work with the department of correction and the division of mental health and addiction to establish the grant criteria and grant reporting requirements described in subsection (k);*
- (2) review grant applications;*
- (3) make recommendations and provide feedback to the department of correction and the division of mental health and addiction concerning grants to be awarded;*
- (4) review grants awarded by the department of correction and the division of mental health and addiction; and*
- (5) suggest areas and programs in which the award of future grants might be beneficial.*

Staff

The Indiana judicial center shall staff the advisory council.

MEETING HIGHLIGHTS

*Click on a meeting date to view the meeting minutes

[October 13, 2015](#)

- DOC report on September inmate population report
- DOC report on review of grant applications and funding recommendations
- DMHA report on Recovery Works status

[October 20, 2015](#)

- DOC report on revised grant funding recommendations
- Approval of grant funding recommendations
- DHMA report on Recovery Works voucher program
- Data Committee report

[December 1, 2015](#)

- DOC report on November inmate population report
- DMHA report on launch of Recovery Works program
- DOC report on 2016 grant application process
- Legislative Committee report

[January 15, 2016](#)

- DOC report on December inmate population report
- DMHA report on Recovery Works program
- DOC report on FY17 grant process
- Legislative discussion

[February 19, 2016](#)

- DOC report on parole violators
- DMHA report on Recovery Works program
- DOC report on FY17 grant applications
- Legislative Committee report

April 22, 2016

- DOC report on community corrections grant funding recommendations
- Approval of grant funding recommendations
- DMHA report on Recovery Works program
- Legislative Report

June 20, 2016

- DOC report on deferred community corrections fund grant requests
- Presentations from Marion and Vanderburgh Community Corrections
- DMHA report on Recovery Works program
- Review of Senate fiscal information

August 2, 2016

- DOC report on deferred community corrections fund grant requests
- Approval of deferred grant request recommendations
- Discussion of data needs for annual report
- Approval of MOU with Evidence Based Decision Making State Policy Team
- Legislative Committee Report
- Approval of legislative recommendations
- DMHA report on Recovery Works program

September 22, 2016

- DOC report on additional grant applications and data collection
- DMHA report on Recovery Works program
- Legislative Committee report
- Approval of legislative recommendations
- Approval of annual report

FY16 COMMUNITY CORRECTIONS GRANT SUMMARY

DOC distributed \$5M in grant funding for FY16. The primary goal of JRAC in disbursement of the community corrections grant amendment funding in FY16 was to assist county community supervision agencies to increase capacity to serve the increase in Level 6 felony offenders. DOC solicited grant applications from counties to fund community corrections programs, probation departments, court recidivism reduction programs, and prosecutor diversion programs. 70 counties applied for DOC funding, requesting a total of \$17,428,587. JRAC approved \$5M in funding for:

- 40 community corrections agencies (\$3,985,200)
- 13 probation departments (\$782,600)
- 2 court recidivism reduction programs (\$226,500).

This funding expanded programming for electronic monitoring, work release, day reporting, and technology; and expanded the services of one Drug Court and one Domestic Violence Court; and provided 82 new staff for community corrections and probation. DOC Commissioner Lemmon approved the funding effective November 1, 2015.

The following counties received FY16 grant funding:

Adams	Harrison	Marion	Starke
Allen	Hendricks	Miami	Steuben
Blackford	Henry	Monroe	Switzerland
Clinton	Huntington	Montgomery	Tippecanoe
Crawford	Jackson	Morgan	Vermillion
Dearborn	Jay	Ohio	Vigo
Dubois	Jefferson	Orange	Wabash
Fayette	Jennings	Owen	Warren
Floyd	Johnson	Parke	Washington
Fountain	LaGrange	Perry	Wayne
Grant	Lake	Porter	White
Greene	LaPorte	Putnam	Whitley
Hamilton	Lawrence	Rush	
Hancock	Madison	St. Joseph	

FY17 COMMUNITY CORRECTIONS GRANT SUMMARY

DOC distributed \$18M in grant funding for FY17 (\$2M in unused funds will be distributed in October). DOC solicited grant applications from counties to fund community corrections programs, probation departments, court recidivism reduction programs, and prosecutor diversion programs. 77 counties applied for DOC funding, requesting a total of \$29,141,645. JRAC approved \$18M in funding for:

- 66 community corrections agencies (\$10,164,478)
- 32 probation departments (\$3,436,200)
- 13 court recidivism reduction programs (\$1,258,423)
- 4 prosecutor diversion programs (\$713,000).

This funding expanded programming for electronic monitoring, work release, day reporting, treatment services, and technology; and provided 200 new staff for community corrections and probation, jail treatment, prosecutor diversion, and court recidivism reduction programs. DOC Commissioner Lemmon approved the funding effective July 1, 2016.

The following counties received FY17 grant funding:

Adams	Grant	Marion	Shelby
Allen	Greene	Marshall	Spencer
Bartholomew	Hamilton	Martin	St. Joseph
Blackford	Hancock	Miami	Starke
Boone	Harrison	Monroe	Steuben
Brown	Hendricks	Montgomery	Sullivan
Cass	Henry	Morgan	Switzerland
Clark	Huntington	Noble	Tippecanoe
Clay	Jackson	Ohio	Vanderburgh
Clinton	Jasper	Orange	Vermillion
Crawford	Jay	Owen	Vigo
Davies	Jefferson	Perry	Wabash
Dearborn	Jennings	Pike	Washington
DeKalb	Johnson	Porter	Warren
Delaware	Knox	Pulaski	Wayne
Dubois	LaGrange	Putnam	Wells
Elkhart	Lake	Randolph	White
Fayette	LaPorte	Ripley	Whitley
Floyd	Lawrence	Rush	
Fountain	Madison	Scott	

RECOVERY WORKS PROGRAM SUMMARY

House Enrolled Act 1006 (2015) established the Forensic Treatment Services Grants through the Family and Social Service Administration's Division of Mental Health and Addiction (DMHA). The grant program, known as Recovery Works, increases the availability of specialized mental health and addiction treatment and recovery services in the community for persons without insurance coverage who may otherwise face incarceration. DMHA officially launched the Recovery Works treatment program on November 1, 2015. Recovery Works is a voucher-based system that works with entities that are DMHA certified/licensed and demonstrate competency in the treatment of criminal justice populations. Recovery Works was funded at \$1M for FY16 and \$20M for FY17.

At the end of FY16, there were 3,178 unique participants enrolled in Recovery Works and \$1,209,190 expended for services to participants. There were also 64 designated Recovery Works providers in the state. The top 5 services provided to participants were housing, skills development training, substance use disorder treatment (group), intensive outpatient treatment, and case management. The top 5 counties with participants enrolled in Recovery Works were Marion, Vanderburgh, Madison, Vigo, and Monroe. In the first two and a half months of FY17 (as of September 23, 2016), there were an additional 1,891 participants enrolled in Recovery Works and an additional \$1,532,715 expended for direct service care to participants, for a total of 5,069 participants. The number of designated providers in the state increased to 70.

PROGRAM/TREATMENT PLAN INFORMATION

IC 33-38-9.5-2(k) requires that the Justice Reinvestment Advisory Council annual report include the following information:

- (1) The recidivism rate of persons participating in the program or treatment plan, including the recidivism rate (when available):
 - (A) while participating in the program or treatment plan;*
 - (B) within six (6) months of completing the program or treatment plan;*
 - (C) within one (1) year of completing the program or treatment plan;*
 - (D) within two (2) years of completing the program or treatment plan; and*
 - (E) within three (3) years of completing the program or treatment plan.**
 - (2) The overall success and failure rate of a program and treatment plan and the measures used to determine the overall success and failure rate.*
 - (3) The number of persons who complete or fail to complete a program or treatment plan, and, for persons who do not complete the plan, the reason that the person did not complete the plan, if available.*
 - (4) The number of persons participating in the program or treatment plan and the duration of their participation.*
 - (5) The number and percentage of persons able to obtain employment after participating in the plan, the type of employment obtained, the length of time required to obtain employment, and, when available, the number of persons still employed after six (6) months and after one (1) year.*
 - (6) Other information relevant to the operation of the program or treatment plan.*
-

The data available from DMHA and DOC is preliminary and limited in scope by the short reporting time frame. JRAC and the Evidence-Based Decision Making (EBDM) State Policy Team plan to work on reporting definitions to assist with future data collection. DMHA and DOC expect to have more robust data available for the next report. DMHA contracted with researchers from Indiana University-Purdue University Indianapolis (IUPUI) to conduct an evaluation of the Recovery Works program. The evaluation began in July 2016 and will analyze treatment episode data and assessment data from DMHA and incarceration data from DOC. Due to the newness of the Recovery works program, DMHA is unable to provide overall success and failure rates for program participants.

The preliminary information available for community corrections programs, probation departments, and court recidivism reduction programs that received community supervision grant funding in FY16 covers January 1, 2016 to June 30, 2016 and is also limited by the newness of programs. During this period, community supervision services and programs were

provided to 3,511 Level 6 offenders and 2,283 D Felony offenders. The programs and services provided to these offenders included electronic monitoring, work release, substance abuse treatment, cognitive behavioral treatment programs (such as Thinking for a Change, Moral Reconciliation Therapy, and New Directions), drug treatment court, re-entry court, behavioral health court, and problem-solving court. Recidivism rates for 6 months, 1 year, 2 years, and 3 years after program completion are not yet available. Information regarding persons able to obtain employment after participating in the plan is also currently unavailable. DOC is in the process of entering into a memorandum of understanding with the Indiana Department of Workforce Development that will provide employment data for persons no longer under community supervision.

IC 11-12-2-1(e) and (f) also require the Department of Correction to submit a monthly report to the Justice Reinvestment Advisory Council regarding the following information:

(e) Before the tenth day of each month, the department shall compile the following information with respect to the previous month:

(1) The number of persons committed to the department.

(2) The number of persons:

(A) confined in a department facility;

(B) participating in a community corrections program; and

(C) confined in a local jail under contract with or on behalf of the department.

(3) For each facility operated by the department:

(A) the number of beds in each facility;

(B) the number of inmates housed in the facility;

(C) the highest felony classification of each inmate housed in the facility; and

(D) a list of all felonies for which persons housed in the facility have been sentenced.

(f) The department shall:

(1) quarterly submit a report to the budget committee; and

(2) monthly submit a report to the justice reinvestment advisory council (as established in IC 33-38-9.5-2);

of the information compiled by the department under subsection (e).

DOC submits monthly population reports to JRAC and posts the reports on the [DOC website](#). The September 2016 report shows an increase in the community corrections and jail populations, and a decrease in the DOC population (see Appendix). The Office of Judicial Administration's Division of Trial Court Technology submits sentencing data to JRAC as requested. The sentencing data is pulled from original abstracts of judgment entered into INcite and includes information on probation. The original abstracts from October 1, 2015 to August 31, 2016 show an increase in the number of cases sentenced to probation (see Appendix).

NEXT STEPS

- **Make funding recommendations for \$2M in unused FY17 community supervision grant dollars**
- **Partner with EBDM State Policy Team to improve criminal justice data collection**
- **Support legislative amendments to IC 12-23-19-1, IC 12-23-19-2, and IC 35-38-3-3(d)**
- **Work with DOC to refine community corrections grant process**
- **Work with DMHA to refine Recovery Works voucher process**
- **Work with EBDM State Policy Team to provide stakeholder training opportunities on evidence-based practices**

APPENDIX

Appendix A

Recovery Works Success Story

Appendix B

Recovery Works Enrollment Map

Appendix C

Recovery Works Service Map

Appendix D

Recovery Works Delivered Services Chart

Appendix E

Recovery Works Demographics Graphs

Appendix F

DOC FY16 Grant Awards Summary

Appendix G

DOC FY17 Grant Awards Summary

Appendix H

Abstract of Judgment Probation Data

Appendix I

DOC Population Report Excerpt

Appendix J

MOU with EBDM State Policy Team

APPENDIX A

ER Counseling LLC
54 W. Broadway St. Suite 6
Shelbyville, IN 46176
812-345-0499
317-642-0880 fax

July 31, 2016

IN RE: ██████████

Mr. ██████ appeared in court on January 15th, 2016 for a violation due to using spice. He shared with me as he was sitting in the court room listening to the Deputy Prosecutor Ed he felt it was evident "he was very determined to send me back to jail" and at that moment he did not feel like a human based upon the things the prosecutor said about him to the judge, his attorney and myself, his therapist. Mr. ██████ stated he felt more like an animal needing to be locked up and that jail was the only option for him. A glimmer of hope happened when Judge Riggins ordered him to house arrest and allowed him to obtain treatment from a Recovery Works provider as he had no money for treatment Mr. ██████ was overwhelmed with gratitude and stated he knew he did not deserve the judges mercy with the laws he broke.

At the time of the court hearing Mr. ██████ stated he felt embarrassed being in the court room again. He was:

- unemployed,
- behind 2 months on rent,
- had shut off notices for utilities,
- was responsible for the care of his mother,
- lost his license in 2014,
- no transportation (outside of his feet),
- was on food stamps,
- used the local food pantries,
- had a serious history of a gambling addiction, along with a history of substance use (pain pill addiction and spice)
- went through a divorce and his wife and his 2 children returned to Japan,
- and dealing with shame and guilt.

I advocated for Mr. ██████ that he not be sent to jail and explained I felt he had a medical issue not appropriate for jail. With him enrolled in the Recovery Works Program that would pay for his substance use treatment, his pro bono attorney, Amanda Blackketter, advocated for treatment as she believed he needed help, not jail. Judge Riggins agreed not to send him to jail instead gave him 280 days on house arrest. After the violation hearing Mr. ██████ began meeting with the therapist a couple times a week.

Fast forward 6 months to July 2016.

- Mr. ██████ became employed in early February 2016 and is currently employed full time in a temporary position at PK USA. He has not missed a day of work, no

points, no write ups, takes overtime when offered, and is training other individuals. He is a tax paying citizen in our community.

- He is not on food stamps since March 2016 or visiting food pantries at this time.
- Andrea Turner, with house arrest stated at his last meeting they have not received any notifications on him and George is using him as an example to other people he works with. He has since completed his house arrest.
- Mr. [REDACTED] is paid in full on his probation fees and house arrest fees (approximately \$2800 +) in less than 4 months.
- Right after his court hearing he was connected with the VA program that keeps veterans from becoming homeless and they helped pay his back rent and utilities.
- Mr. [REDACTED] has attended weekly substance use treatment, is never late or misses group. He has continued to attend even after finishing his house arrest.
- Mr. [REDACTED]'s mother is healthier knowing she is taking care of as Mr. [REDACTED] pays the rent.
- Mr. [REDACTED] is currently 242 days clean and sober as of 7/26/2016.
- NO VIOLATIONS SINCE JANUARY 2016!!!!
- Finally completed all services through the criminal justice system.
- Mr. [REDACTED] has several goals he is working towards.

I am thanking Judge Riggins for stepping out of the norm, for trusting me and believing treatment might work. Therapy can be a solution and sometimes relapses and slips happen. Mr. [REDACTED] has shared with me it was never his intention to disregard the Judge's order. He stated he struggled with staying clean as he self-medicated to deal with his mental health issues, struggled to be focused, and did not have any hope after his family went back to Japan. He felt that there was no future for himself. The Recovery Works program gave him the ability to obtain treatment removing the financial barrier that kept him from getting help.

I simply wanted you to hear about a success story!

Respectfully submitted,

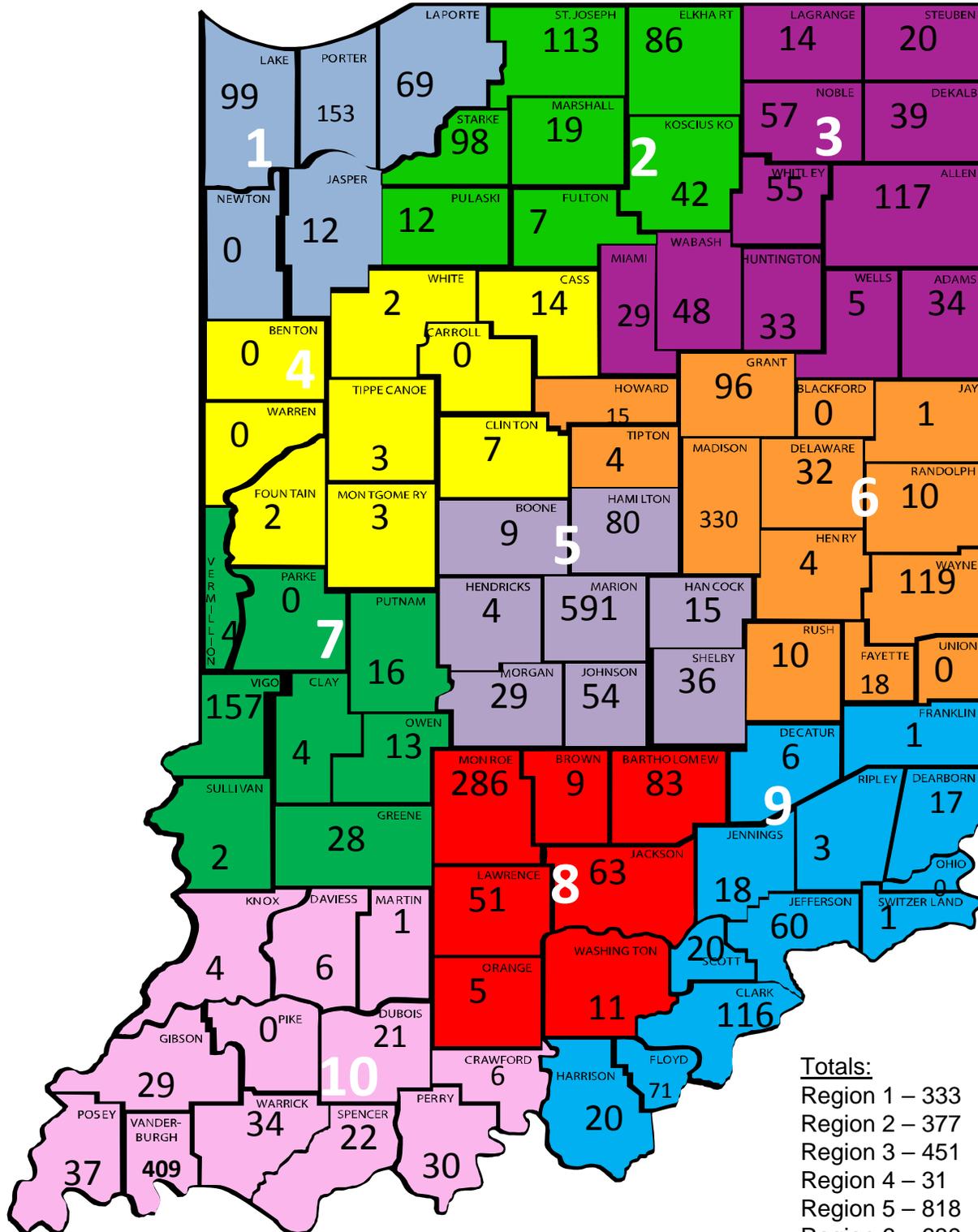
Victoria Harris, LCSW, LCAC, CTRTC, NCGC-1

7/31/16

Victoria Harris, LCSW, LCAC, NCGC-1, CTRTC
DMHA Outpatient Addiction Service Provider;
ICADV-Certified Batterers Intervention Program Senior Facilitator;
Licensed Clinical Addiction Counselor/Family Therapist
Nationally Certified Gambling Counselor
Licensed Clinical Social Worker

APPENDIX B

Recovery Works: Clients Enrolled

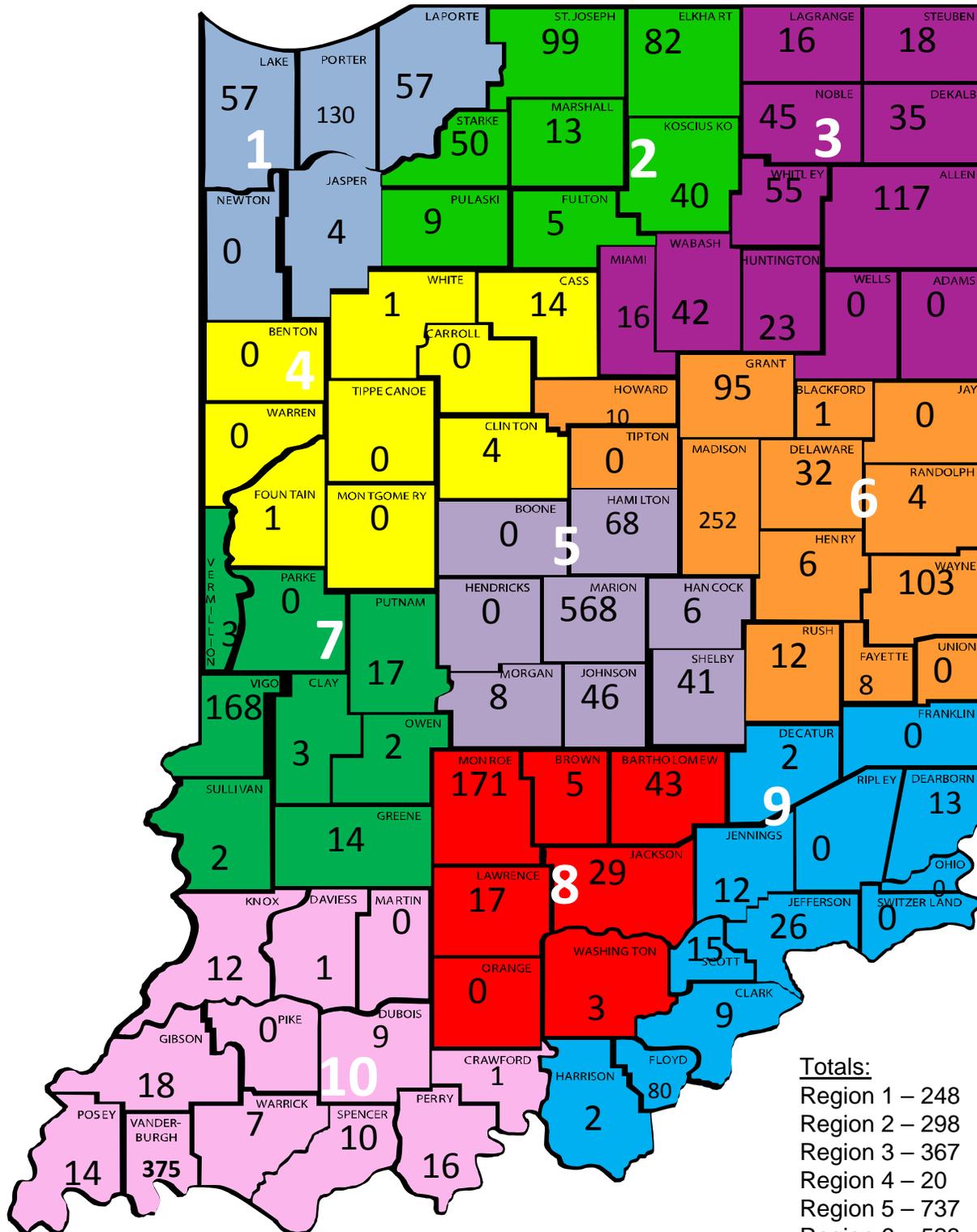


*as of August 31, 2016

Totals:
 Region 1 – 333
 Region 2 – 377
 Region 3 – 451
 Region 4 – 31
 Region 5 – 818
 Region 6 – 639
 Region 7 – 224
 Region 8 – 508
 Region 9 – 279
 Region 10 – 599

APPENDIX C

Recovery Works: Clients Served



Totals:
 Region 1 – 248
 Region 2 – 298
 Region 3 – 367
 Region 4 – 20
 Region 5 – 737
 Region 6 – 523
 Region 7 – 209
 Region 8 – 268
 Region 9 – 159
 Region 10 – 463
Total: 3,292

*As of August 31, 2016. Counties wherein the number of clients served differs from the total number enrolled, lower numbers are likely due to participants having other payment sources such as Medicaid, HIP 2.0, and private insurance, and higher numbers are due to participants being served in a county different from the one in which client lives.

APPENDIX D

Recovery Works - Delivered Services

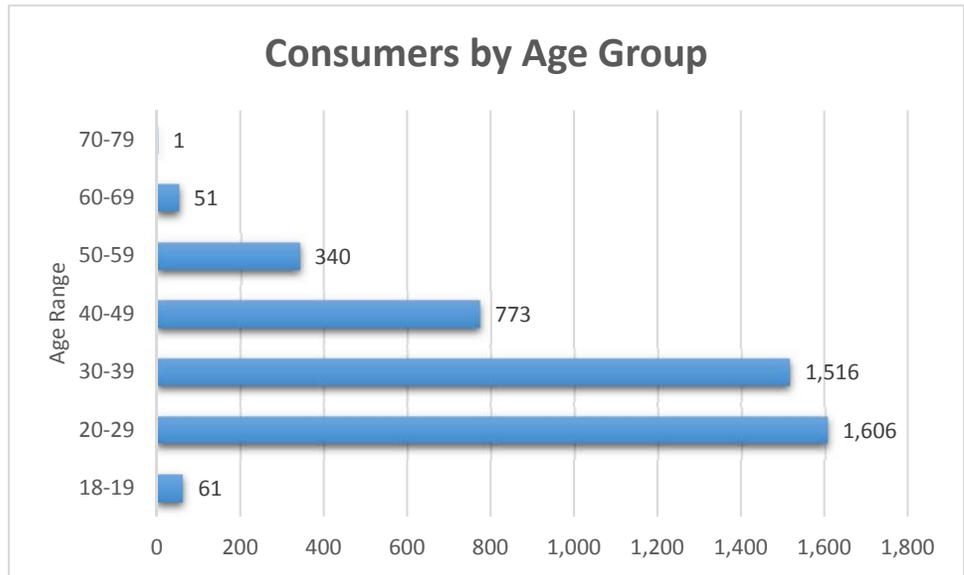
Service name	unique client number *
ANSA Redetermination (Non Medicaid/MRO) -RW	80
AOD Urine Screen -RW	607
Case Management - Recovery Works -RW	1199
Comprehensive Mental Health and Substance Use Disorder Assessment w/ ANSA -RW	1555
Comprehensive Mental Health and Substance Use Disorder Assessment w/o ANSA -RW	105
Housing Assistance -RW	268
Inpatient Detoxification *PA Required -RW	27
Intensive Outpatient Treatment -RW	296
Medication *PA Required Beyond \$500 -RW	69
Medication Assisted Treatment - Methadone *PA Required Beyond 10 Days -RW	15
Medication Assisted Treatment - Naltrexone *PA Required Beyond 10 Days -RW	2
Medication Assisted Treatment Assesment -RW	1
Medication Review -RW	49
Medication Training & Support - Family/Couple Individual w/ Member -RW	1
Medication Training & Support - Family/Couple Individual w/o Member -RW	1
Medication Training & Support - Group -RW	2
Medication Training & Support - Individual -RW	17
Mental Health Counseling- Family/Couple Group w/ Member -RW	1
Mental Health Counseling- Family/Couple Individual w/ Member -RW	32
Mental Health Counseling- Family/Couple Individual w/o Member -RW	1
Mental Health Counseling- Group -RW	109
Mental Health Counseling- Individual -RW	410
Peer Recovery Support Services -RW	38
Psychiatric Assessment -RW	57
Residential -RW	12
Skills Training and Development - Family/Couple Group -RW	2
Skills Training and Development - Family/Couple Individual -RW	1
Skills Training and Development - Family/Couple Individual w/ Member -RW	2
Skills Training and Development - Group -RW	237
Skills Training and Development - Individual -RW	502
Substance Use Disorder Counseling- Family/Couple Group w/ Member -RW	10
Substance Use Disorder Counseling- Family/Couple Group w/o Member -RW	1
Substance Use Disorder Counseling- Family/Couple Individual w/ Member -RW	3
Substance Use Disorder Counseling- Group -RW	1237
Substance Use Disorder Counseling- Individual -RW	479
Supported Employment Services -RW	11
Transportation - Agency Vehicle -RW	20
Transportation - Public -RW	159
Clinically Managed High-Intensity Residential Services -RW	68
HIP Power Account Contribution -RW	7

* Unique client number indicates individuals clients that have received that particular service. For example, 68 unique clients received residential services.

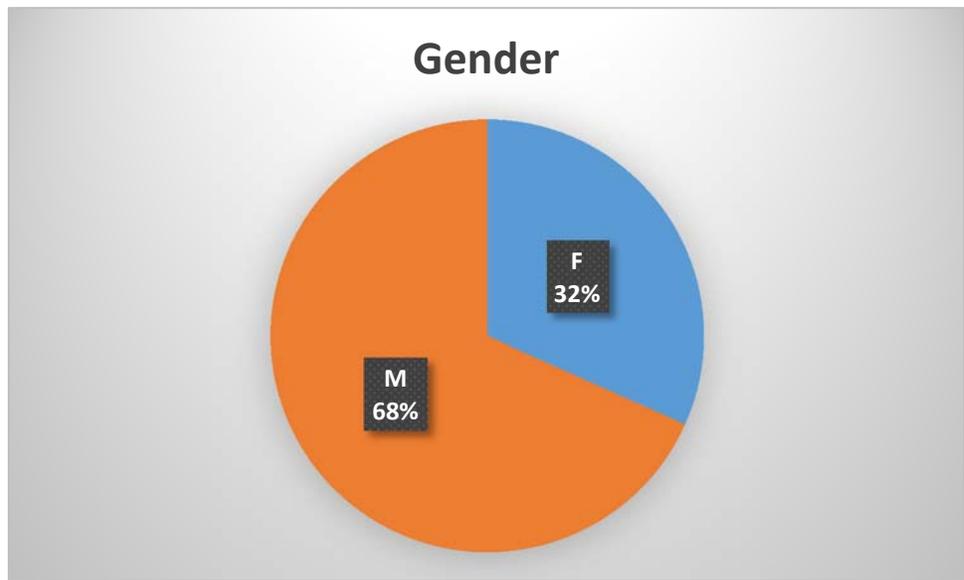
**As of August 31, 2016

APPENDIX E

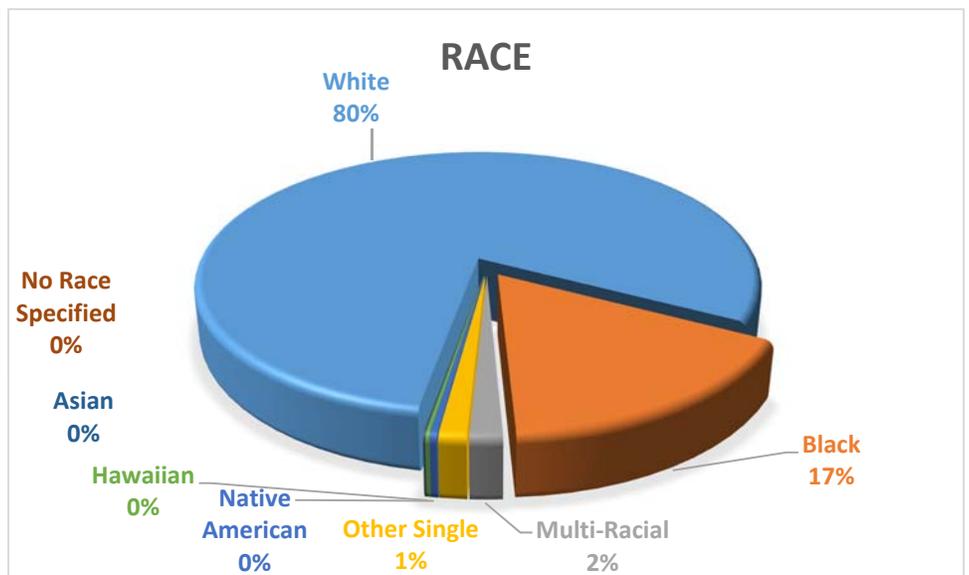
Age Range	Consumers
18-19	61
20-29	1,606
30-39	1,516
40-49	773
50-59	340
60-69	51
70-79	1
Total:	4,348



Gender	Consumers
F	1,380
M	2,973
Total:	4,353

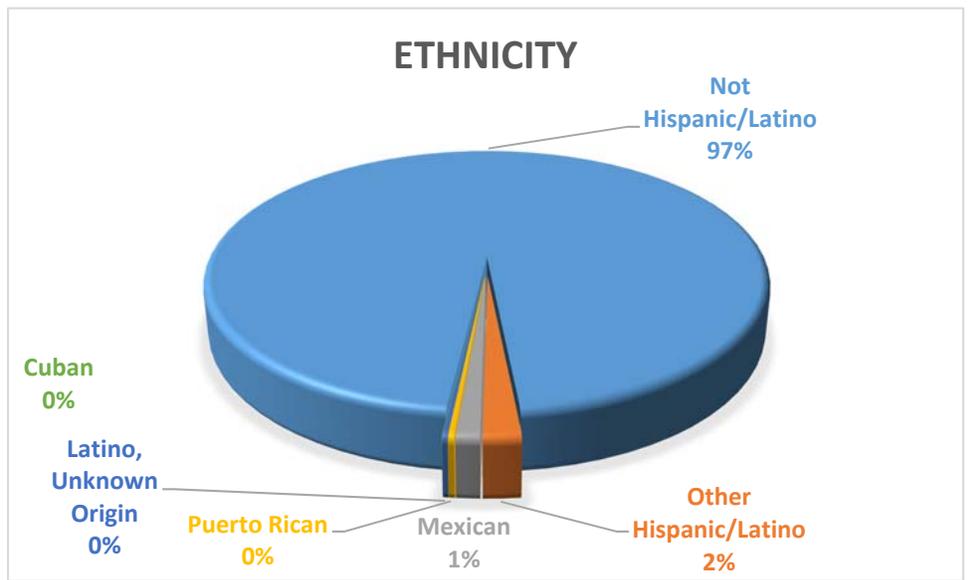


Race	Consumers
White	3,478
Black	721
Multi-Racial	69
Other Single	58
Native American	15
Hawaiian	8
Asian	3
No Race Specified	1
Total:	4,353



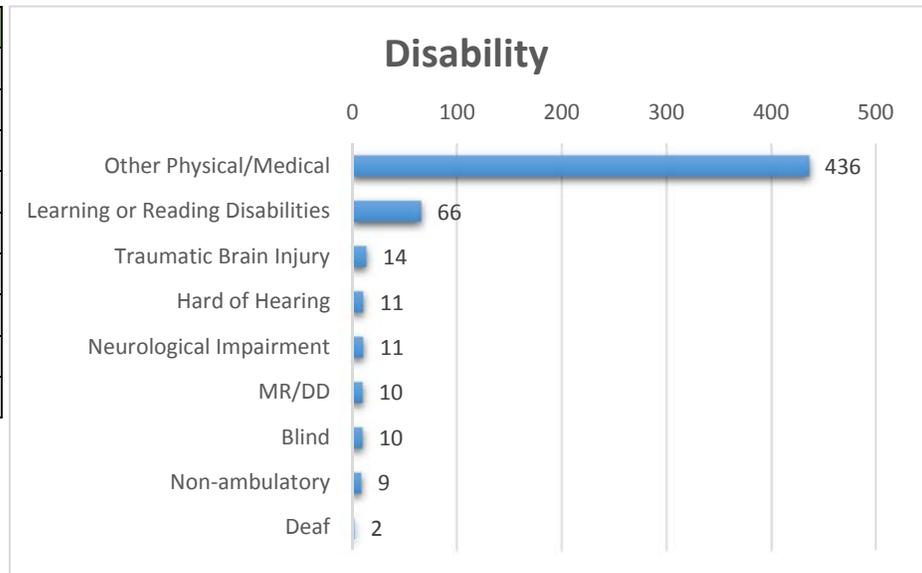
Ethnicity Description	Consumers
Not Hispanic/Latino	4,199
Other Hispanic/Latino	80
Mexican	50
Puerto Rican	13
Latino, Unknown Origin	10
Cuban	1

Total: 4,353



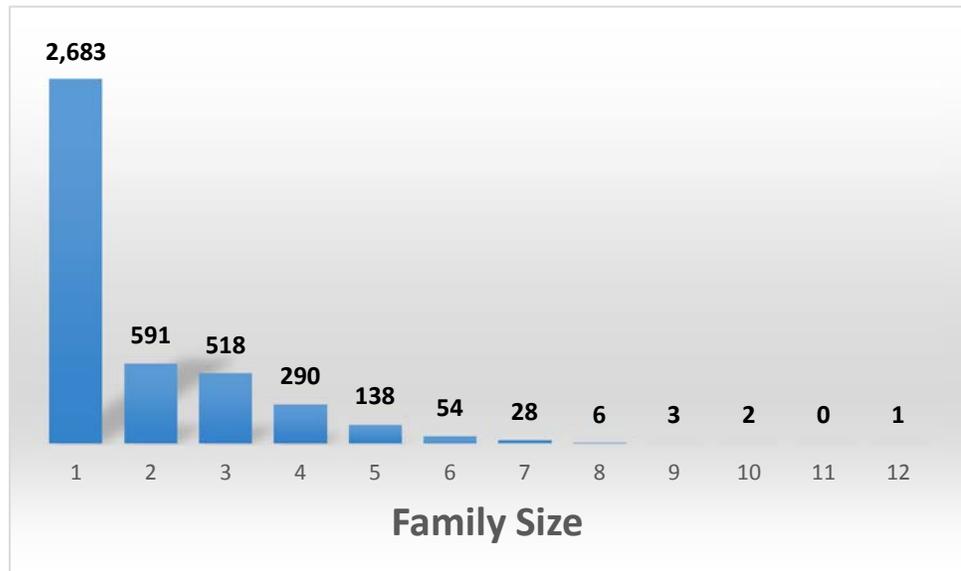
Disability	Consumers
Other Physical/Medical	436
Learning or Reading Disabilities	66
Traumatic Brain Injury	14
Hard of Hearing	11
Neurological Impairment	11
MR/DD	10
Blind	10
Non-ambulatory	9
Deaf	2

Total: 569



Family Size	Consumers
1	2,683
2	591
3	518
4	290
5	138
6	54
7	28
8	6
9	3
10	2
12	1

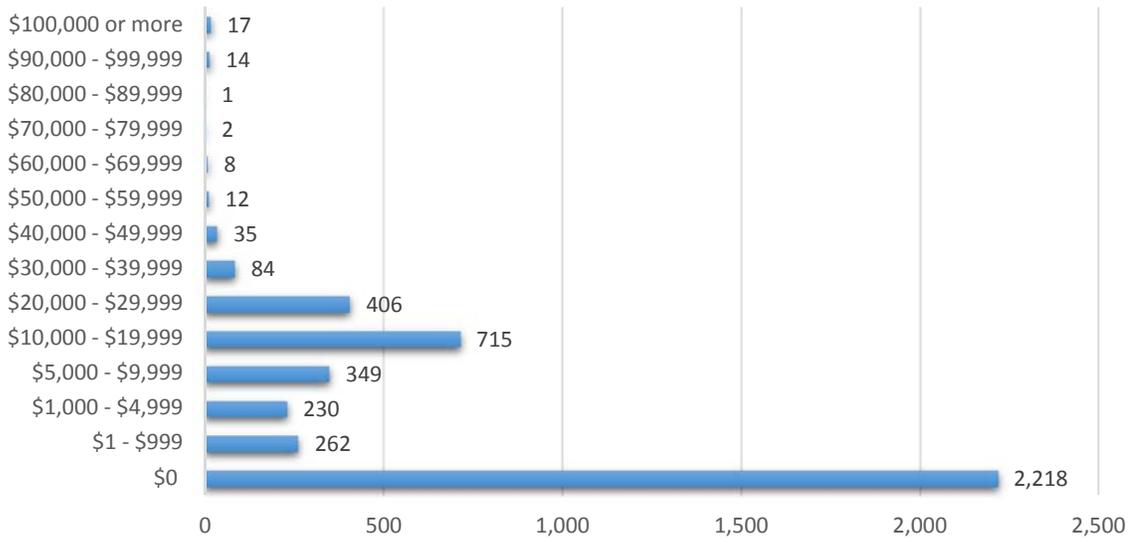
Total: 4,314



Adj. Family Income	Consumers
\$0	2,218
\$1 - \$999	262
\$1,000 - \$4,999	230
\$5,000 - \$9,999	349
\$10,000 - \$19,999	715
\$20,000 - \$29,999	406
\$30,000 - \$39,999	84
\$40,000 - \$49,999	35
\$50,000 - \$59,999	12
\$60,000 - \$69,999	8
\$70,000 - \$79,999	2
\$80,000 - \$89,999	1
\$90,000 - \$99,999	14
\$100,000 or more	17

Total: 4,353

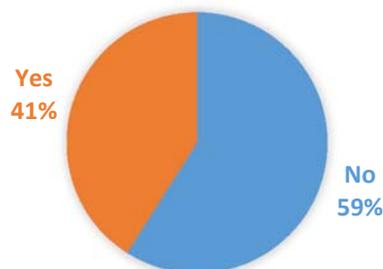
Adjusted Family Income



HAS DEPENDENT CHILDREN?

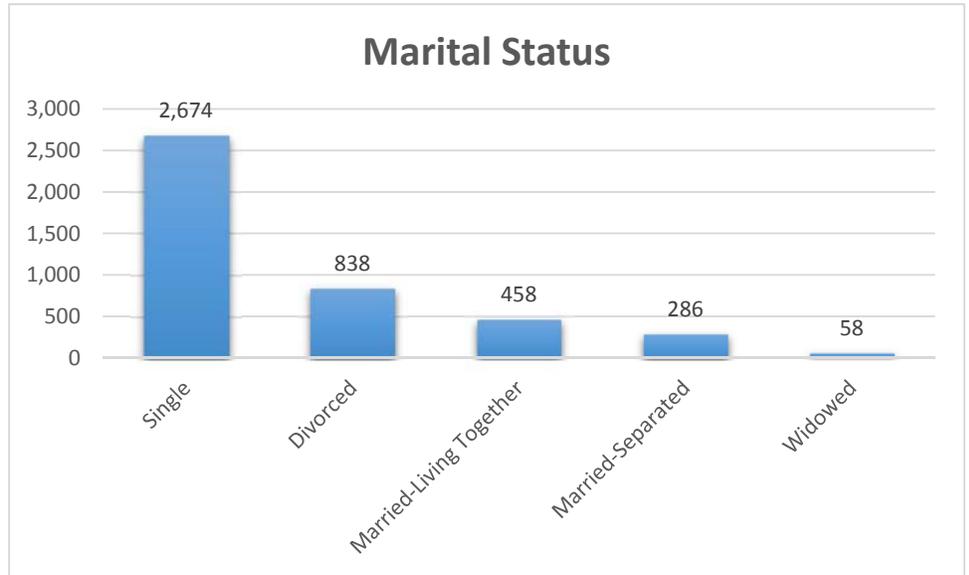
Dependent Children?	Consumers
No	2,568
Yes	1,785

Total: 4,353



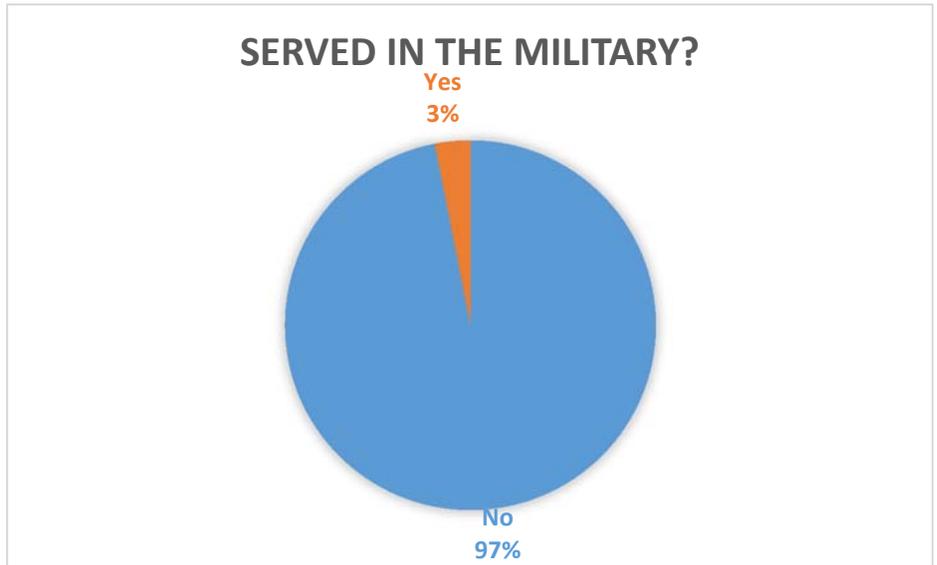
Marital Status	Consumers
Single	2,674
Divorced	838
Married-Living Together	458
Married-Separated	286
Widowed	58

Total: 4,314



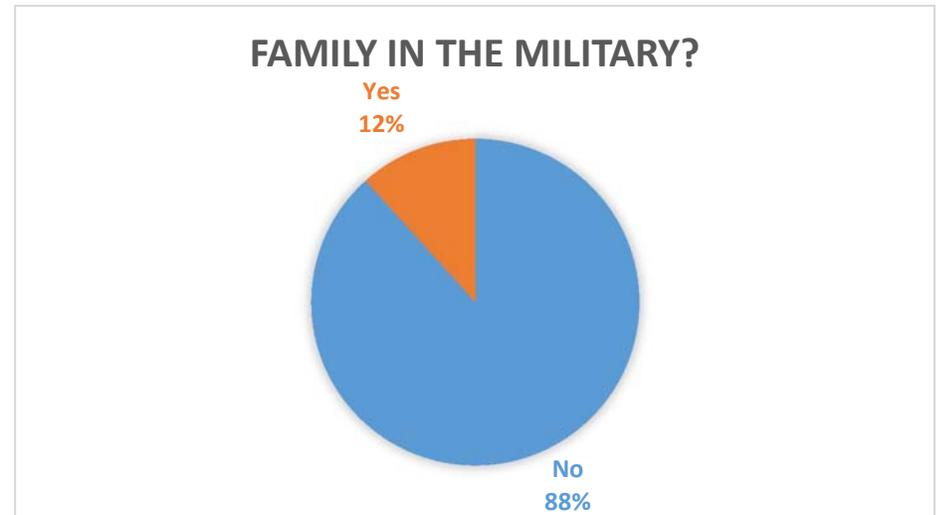
Served in the Military	Consumers
No	4,176
Yes	133

Total: 4,309



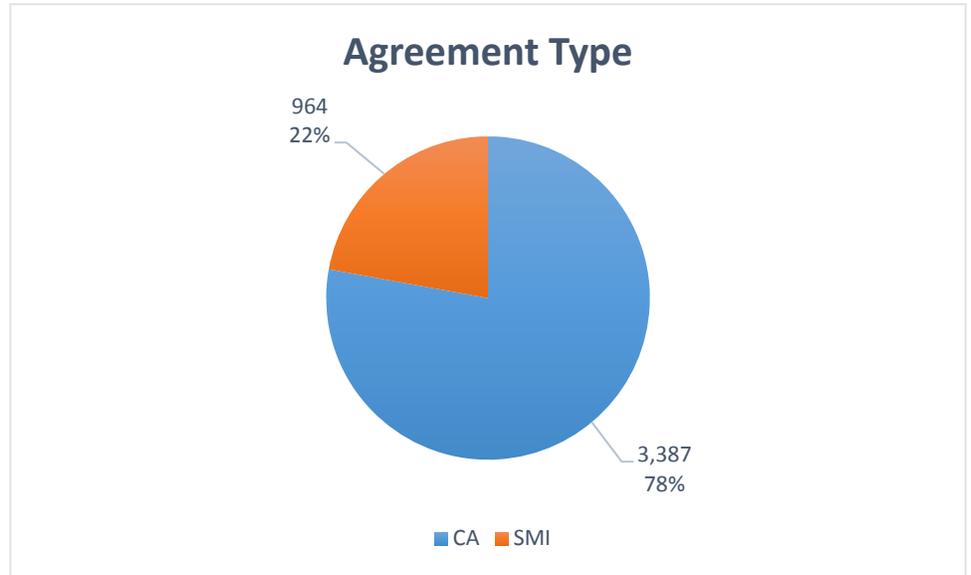
Family in the Military	Consumers
No	3,808
Yes	503

Total: 4,311



Agreement Type	Consumers
CA	3,387
SMI	964

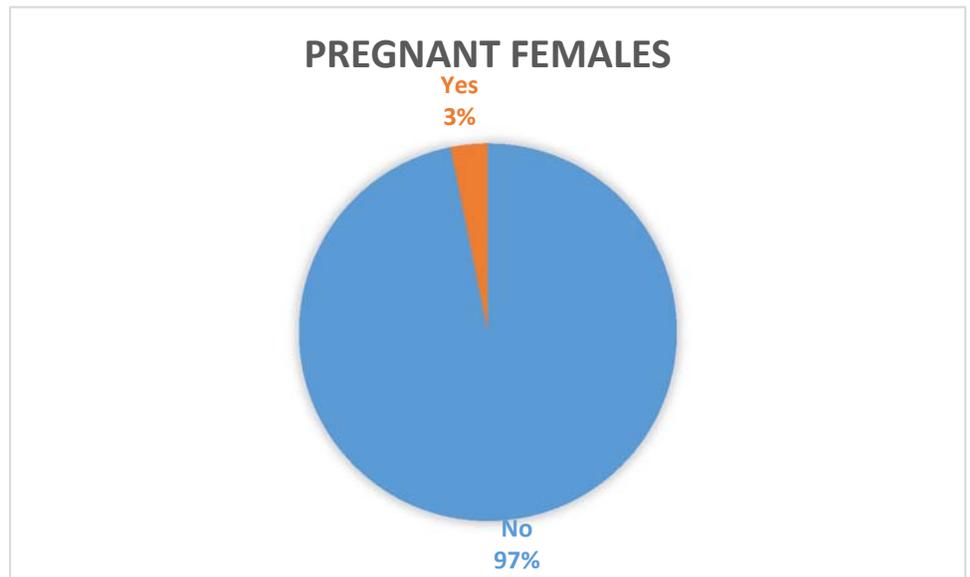
Total: 4,351



Pregnant	Consumers
No	1,336
Yes	44

Total: 1,380

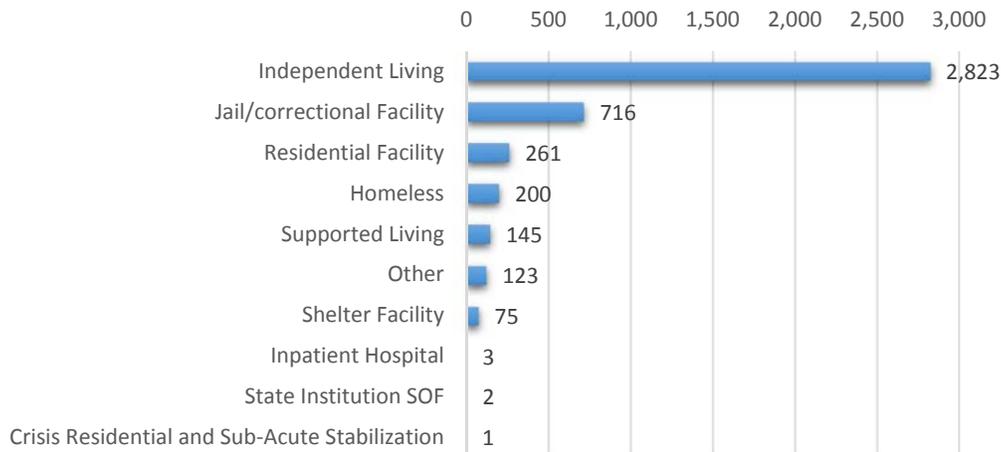
* Count is for Female Consumers only



Living Arrangement Description	Consumers
Independent Living	2,823
Jail/correctional Facility	716
Residential Facility	261
Homeless	200
Supported Living	145
Other	123
Shelter Facility	75
Inpatient Hospital	3
State Institution SOF	2
Crisis Residential and Sub-Acute Stabilization	1

Total: 4,349

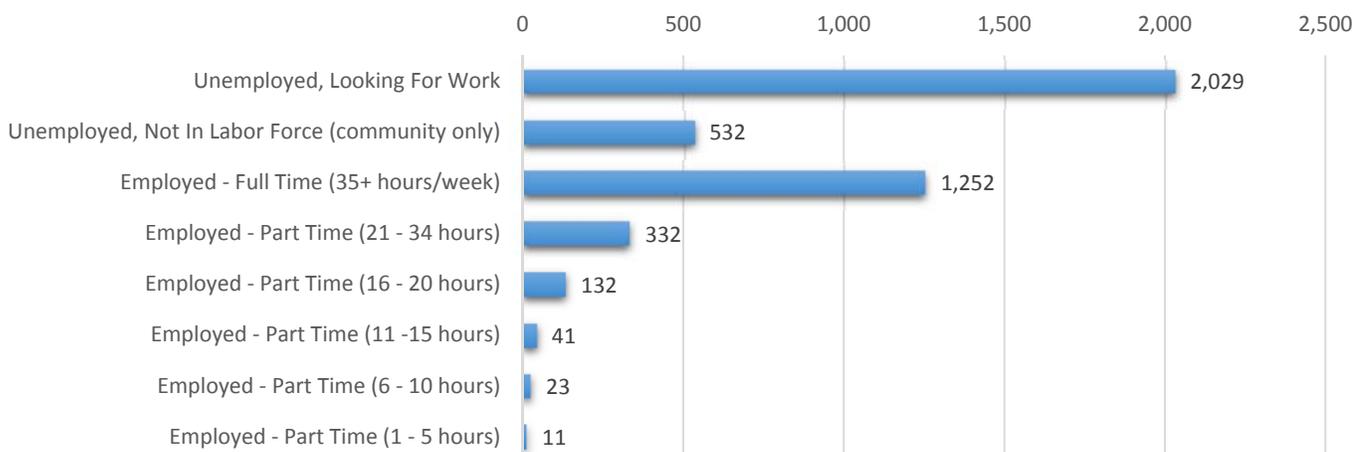
Living Arrangement



Employment Status Description	Consumers
Unemployed, Looking For Work	2,029
Unemployed, Not In Labor Force (community only)	532
Employed - Full Time (35+ hours/week)	1,252
Employed - Part Time (21 - 34 hours)	332
Employed - Part Time (16 - 20 hours)	132
Employed - Part Time (11 -15 hours)	41
Employed - Part Time (6 - 10 hours)	23
Employed - Part Time (1 - 5 hours)	11

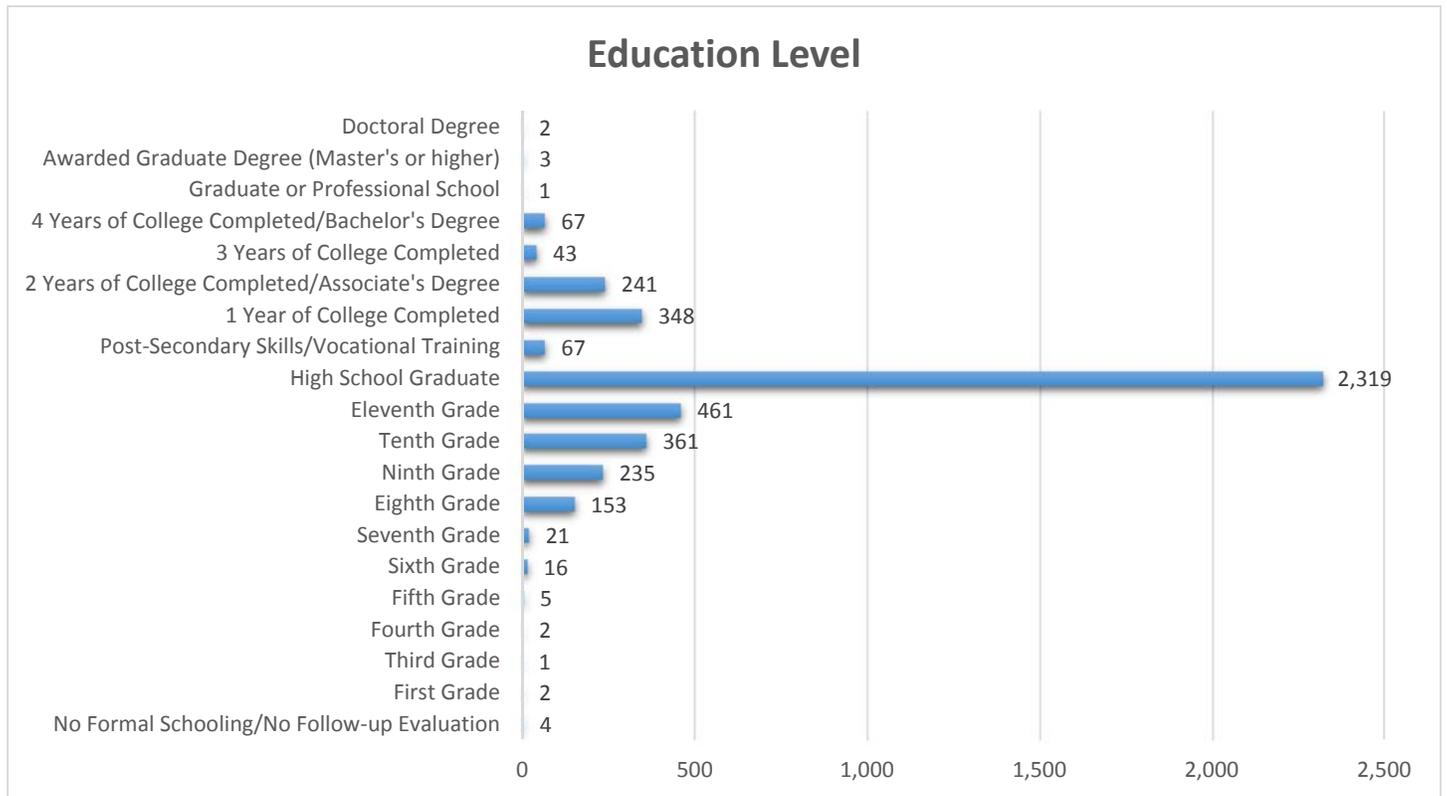
Total: 4,352

Employment Status



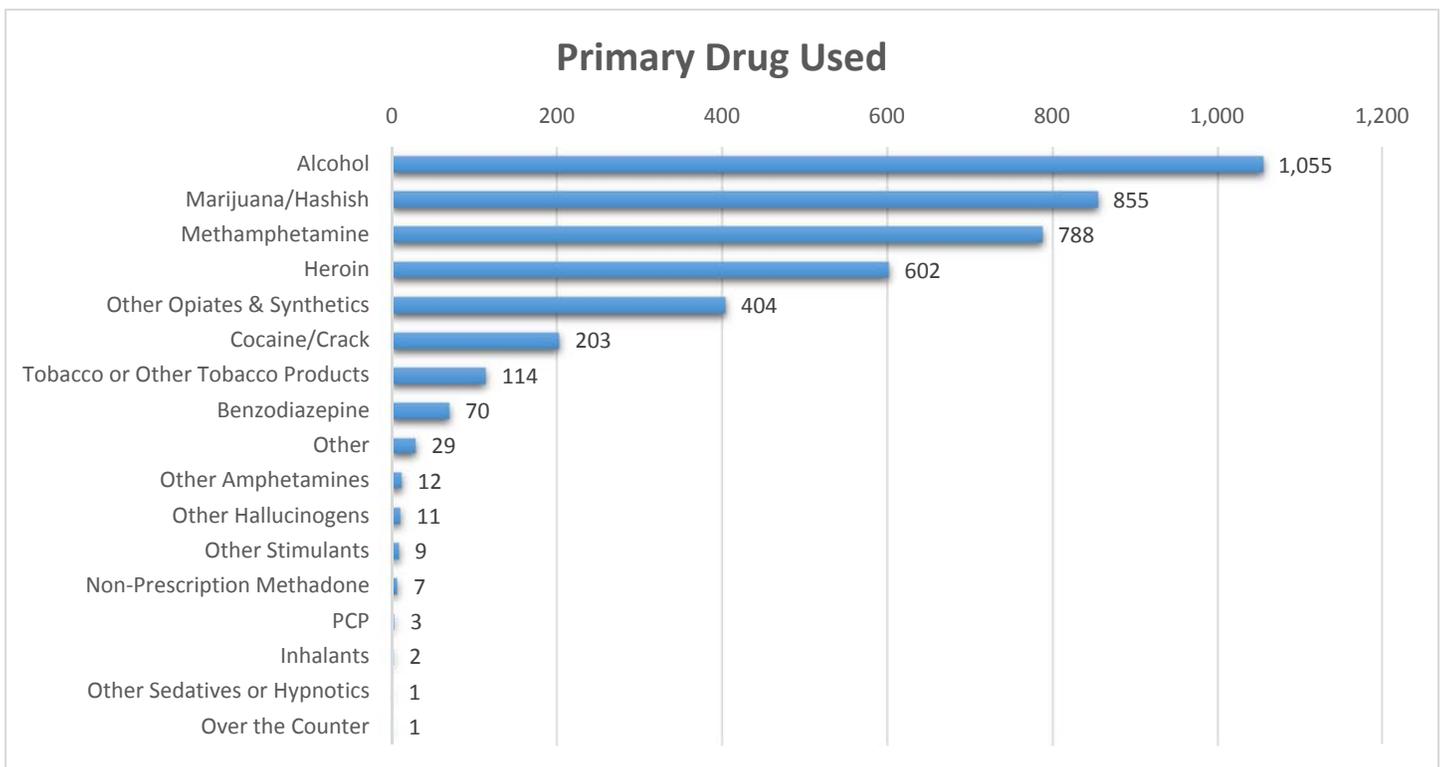
Education Level Description	Consumers
No Formal Schooling/No Follow-up Evaluation	4
First Grade	2
Third Grade	1
Fourth Grade	2
Fifth Grade	5
Sixth Grade	16
Seventh Grade	21
Eighth Grade	153
Ninth Grade	235
Tenth Grade	361
Eleventh Grade	461
High School Graduate	2,319
Post-Secondary Skills/Vocational Training	67
1 Year of College Completed	348
2 Years of College Completed/Associate's Degree	241
3 Years of College Completed	43
4 Years of College Completed/Bachelor's Degree	67
Graduate or Professional School	1
Awarded Graduate Degree (Master's or higher)	3
Doctoral Degree	2

Total: 4,352



Substances	Primary Drug	Secondary Drug	Tertiary Drug
Alcohol	1,055	540	285
Marijuana/Hashish	855	906	433
Methamphetamine	788	351	192
Heroin	602	180	62
Other Opiates & Synthetics	404	377	159
Cocaine/Crack	203	204	139
Tobacco or Other Tobacco Products	114	242	346
Benzodiazepine	70	159	134
Other	29	23	21
Other Amphetamines	12	21	18
Other Hallucinogens	11	17	13
Other Stimulants	9	11	10
Non-Prescription Methadone	7	11	6
PCP	3	1	2
Inhalants	2	3	2
Other Sedatives or Hypnotics	1	6	10
Over the Counter	1	2	2
Barbiturates	0	2	3
Other Tranquilizers	0	1	1

Total: 4,166 3,057 1,838



APPENDIX F

Funding Distribution

To adequately explain how allotted funding was distributed and how the Community Corrections Division arrived at its recommendations to be presented to JRAC and IDOC Commissioner, Bruce Lemmon.

Factors Contributing to Funding Recommendations

- Total amount available
- Collaboration Plan – prioritization on a score from 2 - 0
- Readiness to start
- The existing ability to increase capacity
- Funding Formula
- Grant score – address target population; meeting the program targets; and development, implementation, and/or use of EBP.
- The exclusion of disqualifiers
- Prioritization of needs

Funding Formula

The primary goal of the funding formula is to objectively disburse the additional \$5 million to assist county agencies as they experience an increase in felony level 6 offenders. The main variable indicator is the Community Corrections Grant Application Score, which allows the State to incentivize positive evidence-based practices/programs. This method allows the counties to influence their funding amount through their performance, and is expected to foster continuous improvement.

Key Aspects of the Formula:

- Incentivizes positive performance
- Sensitive to size differential between counties
- Can account for changes in State funding levels
- Can account for adjustments to county agency populations served
- All money is distributed

Prioritization of Funding:

High Priority Funding:

- High scores of Collaboration Plan & Grant Score Matrix,
- Grant application clearly addresses a plan of expansion for services/monitoring of target population
- New Programs or new treatment services to benefit the county was proposed

Low Priority Funding Elimination:

- Scored a “0” on collaboration plan & scored an 18 or less on matrix
- Scored “1” on collaboration plan & scored 17 or less on Grant Matrix
- Scored “2” on collaboration plan & scored 15 or less on Grant Matrix
- Application articulated supplanting of current programs or staff salaries
- Funding requested was to replace grant that has not ended yet
- Target population not identified or not met for the purposes of funding in grant application
- Low Priority Funding Requests- including staff raises, agency equipment such as vehicles, computers, office supplies, etc.
- Funding request is available through IJC or DMHA

HEA1006 Funding Analysis

60 Total Applications

\$17,428,587.00 in requests

- \$13,463,744.03 total Community Corrections requests
- \$3,401,530.84 total Probation Requests
- \$28,000 total Prosecutor’s Diversion Requests
- \$535,312.35 total Court Recidivism Reduction Program Requests

County Totals

Total Available Funds: \$5,000,000

70% or 42 Counties that requested funding were granted

30% or 18 Counties were not funded

Community Corrections

98% (59) Community Corrections agencies requested funding (Figure includes unified counties) totaling \$13,463,744.03 in requests.

68% (40) of the Applications that requested Community Corrections Funding were recommended to be funded.

Recommendation total: \$3,985,200 or 30% of total Community Corrections requests.

This funding will provide Indiana with 72 new staff for Community Corrections.

- 25 case managers
- 17 custody staff
- 10 field officers
- 4 coordinators
- 5 program instructors
- 3 intake staff
- 3 alert specialists
- 2 evening monitors
- 1 supervisor
- 1 screening officer
- 1 administrative assistant

This funding would also provide expansion for monitoring and programming for:

- 20 electronic monitoring components
- 11 work release components
- 4 day reporting components
- 2 new work release components
- 2 technology based programs

Probation

58% (35) of the submitted applications requested Probation Funding (Figure includes unified counties). Total Request: \$3,401,530.84

37% (13) of the applications that requested Probation Funding were recommended to be funded.

Recommendation total: \$782,600 or 23% of total Probation requests.

This funding will provide Indiana with:

- 10 probation officers
- 1 administrative assistant
- 1 services coordinator
- 1 instructor

The funding will also be utilized for technology based supervision and reorganization of departments to focus efforts on the target population.

Court Recidivism Reduction Programs (CRRP)

10% (6) of the submitted Applications requested Court Recidivism Reduction Programs Funding totaling \$535,312.35 in requests

33% (2) of the Applications that requested Court Recidivism Reduction Programs

Recommendation total: \$226,500 or 42% of total CRRP requests.

This funding provides Indiana with:

- 1 new Re-entry Court
- 2 new Drug Court

This funding will be able to expand the services of 1 current Drug Court and Domestic Violence Court.

Prosecutor's Diversion

1.7% (1) of the submitted applications requested Prosecutor's Diversion Funding totaling \$28,000 in requests.

0% (0) of the applications that requested Prosecutor's Diversion Funding was recommended for funding at this time.

APPENDIX G



FY 2017 HEA 1006 Grant Funding Distribution

Award Amount: \$20M

Purpose of this Summary:

To adequately explain how funding was distributed and how the Community Corrections Division arrived at its recommendations to be presented to JRAC and IDOC Commissioner, Bruce Lemmon.

HEA1006 Additional Funding Request

77 Total Applications Received

Total Amount Requested by All Applicants: **\$29,141,645.55**

*8 Community Corrections Programs did not ask for additional funding

Eligible Entity	Number of Applicants	Total Amount Requested
Community Corrections	69	\$18,246,280.76
<i>Jail Treatment</i>	25	\$2,411,496.00
Probation	42	\$5,641,306.61
Prosecutor's Diversion	6	\$638,038.24
Court Recidivism Reduction Program <i>Includes 3 Veteran Court Programs</i>	14	\$2,204,224.00
Total	156	\$29,141,645.55

Factors Contributing to Funding Recommendations

- Total amount available
- Collaboration Plan-On a scale from Needs Improvement to Outstanding
- Readiness to start
- The existing ability to increase capacity
- Funding Formula
- Grant score – specifically addressing the target population; meeting the program targets; and developing and/or implementing EBP
- Prioritization of needs

Funding Formula

The primary goal of the funding formula is to objectively disburse the additional grant funds to assist county agencies as they experience an increase in felony level 6 offenders. The main variable indicator is the Community Corrections Grant Application Score, which allows the State to incentivize positive evidence-based practices/programs. This method allows the counties to influence their funding amount through their performance, and is expected to foster continuous improvement.



FY 2017 HEA 1006 Grant Funding Distribution

Award Amount: \$20M

Key Aspects of the Formula

- Incentivizes positive performances
- Accounts for population differentials between counties
- Adjusts for changes in State funding levels and to county agency populations served

Prioritization of Funding

- High scores of Collaboration Plan & Grant Score Matrix
- Grant application clearly addresses a plan of expansion for services/monitoring of target population
- New Programs or new treatment services to benefit the county was proposed

Grant Award Recommendations

**Refer to recommendation spreadsheet for details*

Total Amount Awarded: \$16,707,163.00

Total Number of New Positions: 200

Other items funded include, but are not limited to

- Benefits for Full Time Staff
- Contracts with Monitoring Vendors, i.e. BI, Corrisoft, Norchem, etc.
- Contract with Treatment Providers
- Drug Testing Supplies and other Operational Supplies
- Travel/Training
- Office Equipment

Community Corrections	
New Staff:	
Case Management	34
Custody/Field Officers	27
Treatment/Programs	21
Full Time (Position to be determined by agency based on priority)	16
Part Time (Position to be determined by agency based on priority)	14
Total:	112
<i>Jail Treatment – Amount Recommended: \$1,638,062.00</i>	
New Staff:	
Treatment/Program	10
Part Time (Position to be determined by agency based on priority)	6
Total:	16
Total Amount Recommended for Community Corrections: \$10,164,478.00	



FY 2017 HEA 1006 Grant Funding Distribution

Award Amount: \$20M

Probation	
New Staff:	
Probation Officers	48
Part Time (Position to be determined by agency based on priority)	2
Total:	50
Total Amount Recommended for Probation: \$3,436,200.00	

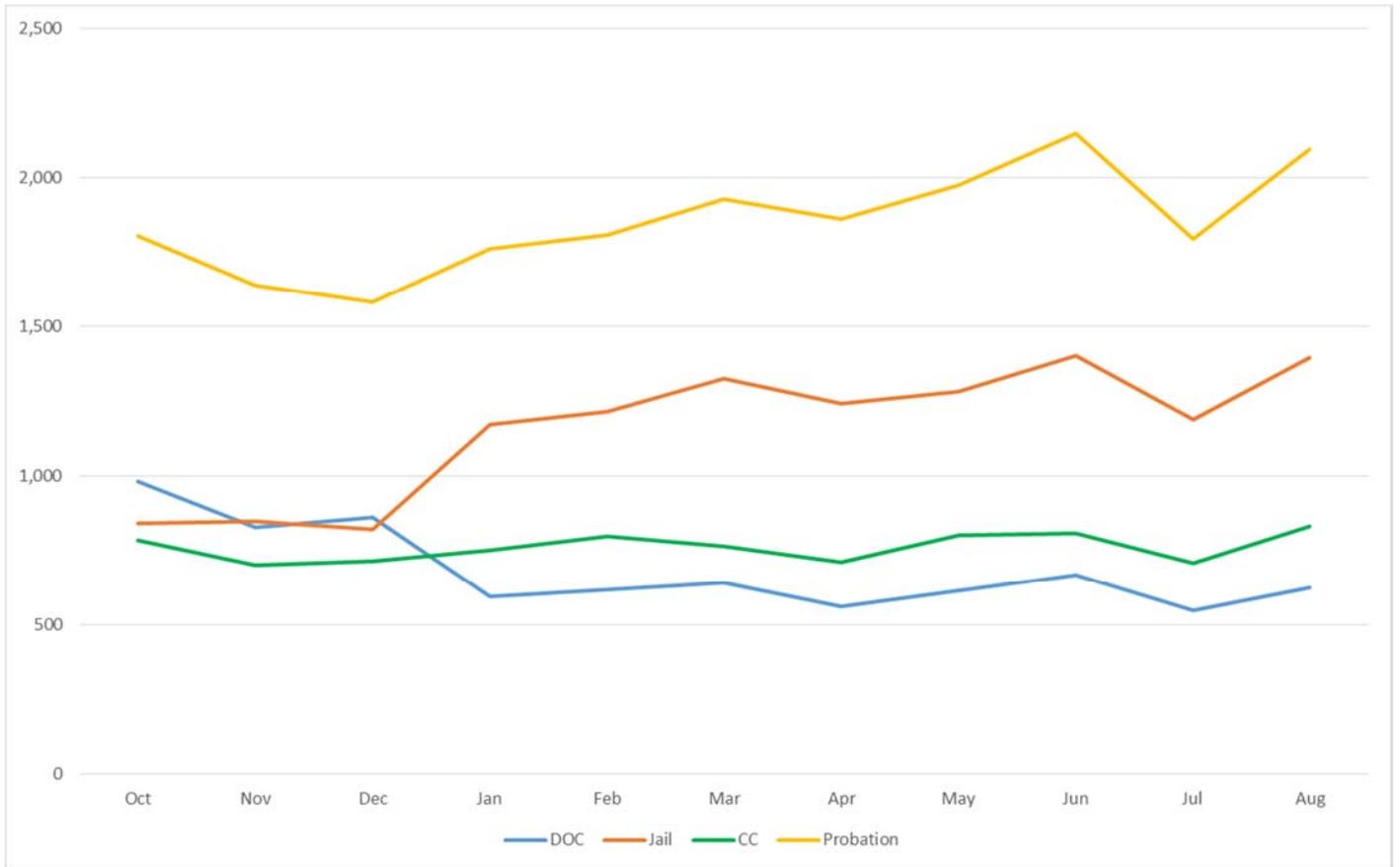
Prosecutor's Diversion	
New Staff:	
Compliance Officer	1
Director/Field Officer	1
Program Analyst	1
Total:	3
Total Amount Recommended for Prosecutor's Diversion: \$213,000.00	

Court Recidivism Reduction Programs (CRRP)	
New Staff:	
Case Management	6
Public Defenders	2
Probation Officers	2
Drug Court Coordinator	1
Part Time (Position to be determined by agency based on priority)	8
Total:	19
Total Amount Recommended for Court Recidivism Reduction Programs: \$1,258,423.00	

Total funds to be awarded:	\$16,707,163.00
Funds to be earmarked for various programs:	\$3,200,000.00
\$500,000	Prosecutor's Diversion (4)
\$2,000,000	New Work Release Programs (4)
\$700,000	Marion County Home Detention
Funds not appropriated	\$92,837

APPENDIX H

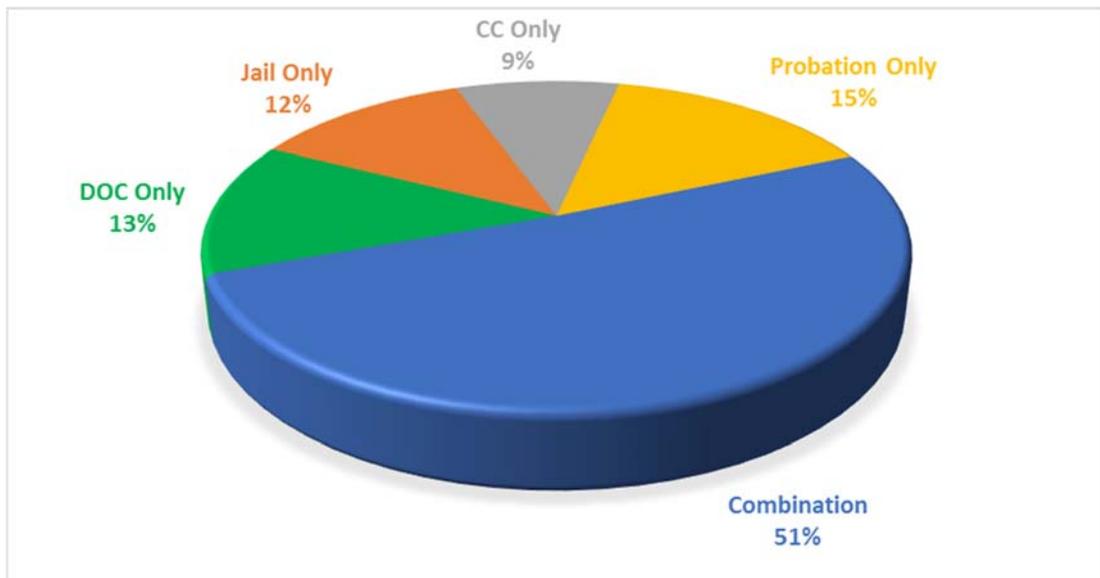
Abstract of Judgment Sentencing Data
 (from the 31,680 original abstracts completed from 10/1/15 to 8/31/16)



	DOC	Jail	CC	Probation
Oct	982	841	785	1,805
Nov	827	849	701	1,639
Dec	862	821	716	1,581
Jan	595	1,173	751	1,760
Feb	619	1,213	798	1,807
Mar	643	1,323	764	1,929
Apr	563	1,241	712	1,862
May	615	1,280	801	1,975
Jun	668	1,401	807	2,147
Jul	547	1,189	708	1,793
Aug	624	1,394	831	2,095
Total	7,545	12,725	8,374	20,393

The graph shows DOC, Jail, Community Corrections, and Probation separately. One case could be counted in up to three of the four placements (no overlap between DOC and Jail).

The chart below represents all original abstracts from 10/1/2015 to 8/31/2016.



Of the 16,210 abstracts that had a combination of placements, 55% had a jail sentence followed by some type of community supervision and 97% had a probation component to their sentence. Overall, 64% of original sentences included probation.

Data on L6/FD sentences:

Of the original felony sentencing abstracts, 2,480 were FD cases and 20,399 were L6 cases

For the FD sentences, 884 received some or fully executed time in the jail (35.6%) [Note: 289 were fully executed in jail – 11.6% of all FD sentences]

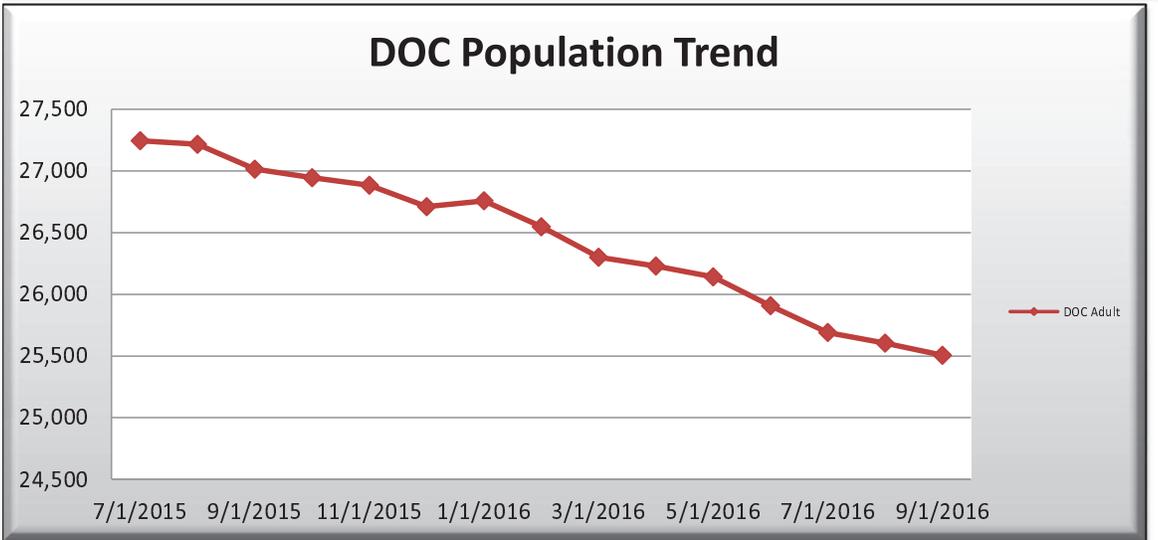
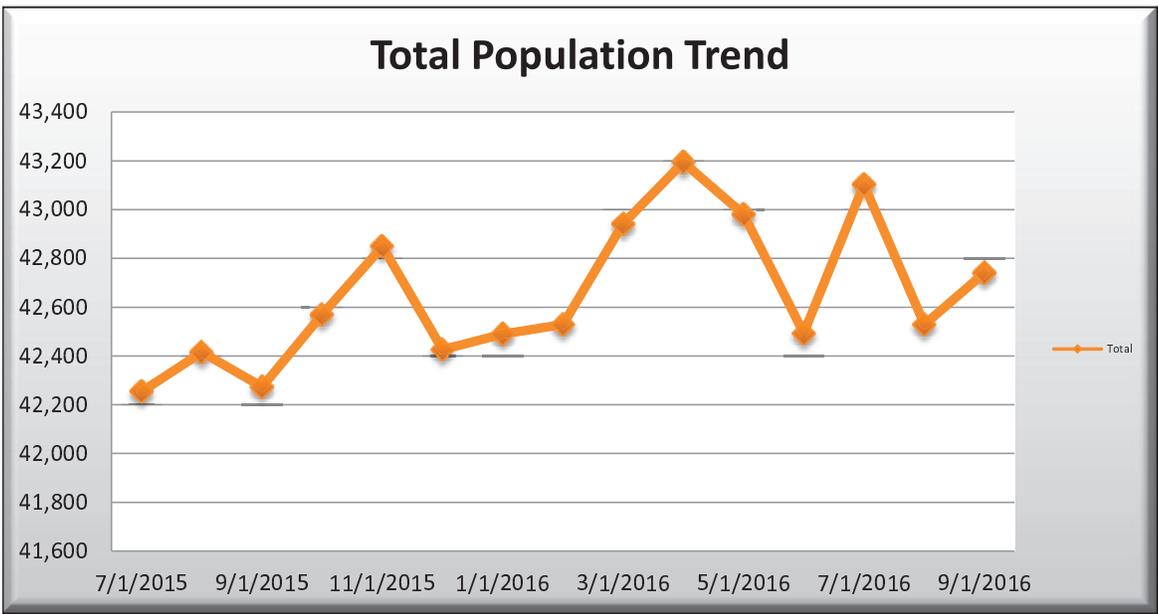
For L6 sentences, 10,933 received some or fully execute time in the jail (53.6%) [Note: 3,436 were fully executed in jail – 16.8% of all L6 sentences]

*Data provided by the Division of Trial Court Technology, Office of Judicial Administration

APPENDIX I

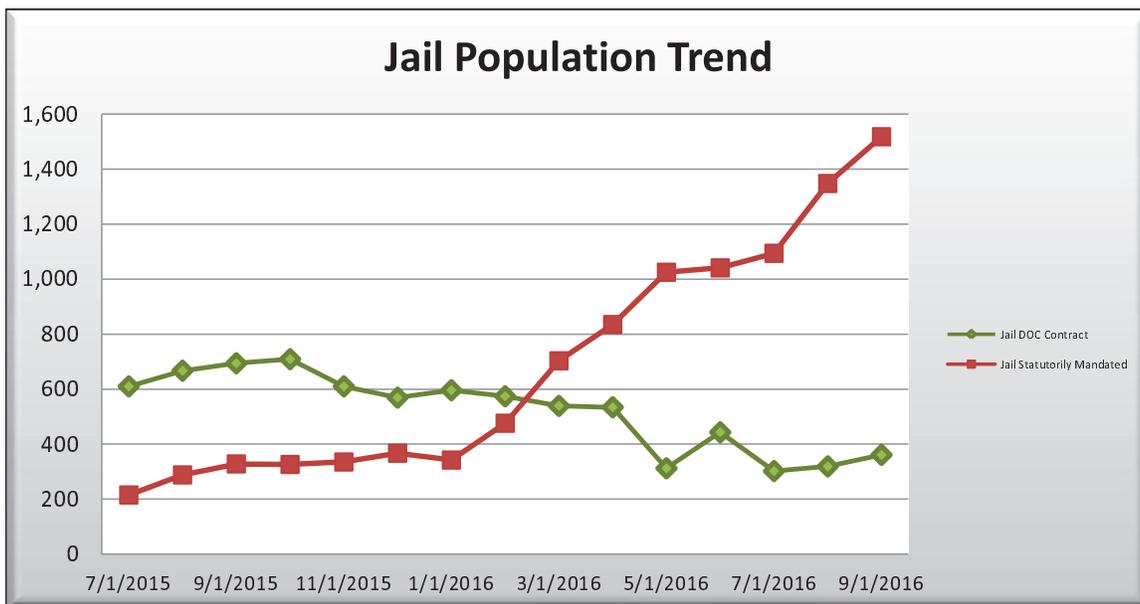
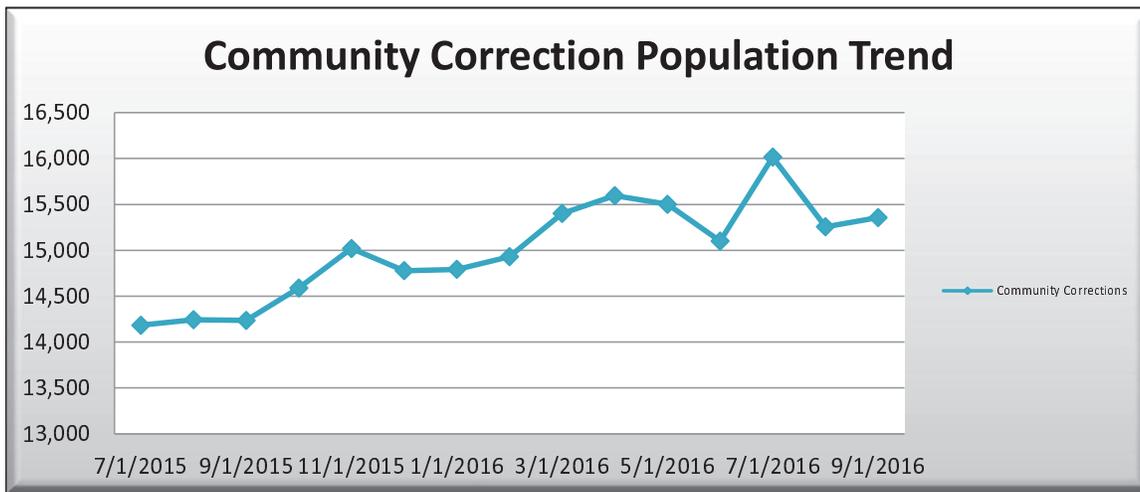
INDIANA DEPARTMENT OF CORRECTION
 ADULT OFFENDERS
 Population Trend Summary
 for September 2016
 snapshot date: 09/01/2016

Date	DOC Adult	Community Corrections	Jail DOC Contract	Jail Statutorily Mandated	Total
7/1/2015	27,246	14,183	610	215	42,254
8/1/2015	27,217	14,244	667	288	42,416
9/1/2015	27,015	14,236	694	328	42,273
10/1/2015	26,946	14,589	709	326	42,570
11/1/2015	26,885	15,019	610	335	42,849
12/1/2015	26,711	14,779	569	367	42,426
1/1/2016	26,759	14,793	596	342	42,490
2/1/2016	26,548	14,931	574	476	42,529
3/1/2016	26,301	15,402	539	703	42,942
4/1/2016	26,230	15,596	534	835	43,195
5/1/2016	26,142	15,501	312	1,025	42,980
6/1/2016	25,908	15,101	443	1,041	42,493
7/1/2016	25,691	16,016	302	1,094	43,103
8/1/2016	25,605	15,257	319	1,348	42,529
9/1/2016	↓ 25,506	↑ 15,357	↑ 361	↑ 1,518	↑ 42,742



INDIANA DEPARTMENT OF CORRECTION
ADULT OFFENDERS
Population Trend Summary
for September 2016
snapshot date: 09/01/2016

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8/1/2016	25,605	15,257	319	1,348	42,529
9/1/2016	↓ 25,506	↑ 15,357	↑ 361	↑ 1,518	↑ 42,742



APPENDIX J

**Memorandum of Understanding
between the Justice Reinvestment Advisory Council and the Indiana
Evidence Based Decision Making Policy Team**

I. Parties

This Memorandum of Understanding is made between the Justice Reinvestment Advisory Council (JRAC) and the Indiana Evidence Based Decision Making Policy Team (EBDM Policy Team).

II. Purpose of the Agreement

JRAC and EBDM Policy Team share significantly similar goals and objectives and both organizations have some common membership. This MOU is entered into between JRAC and EBDM Policy Team to recognize the similarities and to document the shared vision and to enhance the work of both organizations in the areas of criminal justice reform and evidence-based practices.

III. Terms of the Agreement

1. JRAC and EBDM Policy Team agree to support the objectives of criminal justice reform and evidence-based decision making through training opportunities for state and local criminal justice stakeholders.
2. JRAC and EBDM Policy Team agree to share staff support and resources from stakeholder members.
3. JRAC and EBDM Policy Team agree to coordinate efforts to define, collect, share, and evaluate criminal justice data.
4. JRAC and EBDM Policy Team agree to coordinate legislative and public communication efforts.
5. JRAC and EBDM Policy Team agree to communicate freely and openly with each other.

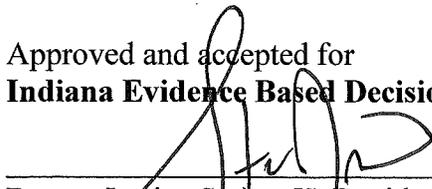
This Memorandum of Understanding shall commence upon the date upon which the final signature has been affixed, and shall continue until one party provides written notice of termination to the other party.

Approved and accepted for
Justice Reinvestment Advisory Council:


By: Jane A. Seigel, Chair

9-21-16
Date

Approved and accepted for
Indiana Evidence Based Decision Making Policy Team:


By: Justice Steven H. David, Chair

9-26-16
Date