Indiana Department of Correction
Community Corrections Division

Community Corrections & Justice Reinvestment Grants

DIVISION OVERVIEW

The Community Corrections Division, in partnership with state and local criminal justice agencies and community corrections advisory boards, work collaboratively to develop community supervision programs utilized by local courts and the Department of Correction (IDOC) to supervise, sanction and treat offenders in the community as an alternative to incarceration.

77 COMMUNITY CORRECTIONS AGENCIES

Benton, Franklin, and Newton are the 3 non participatory counties

7 REGIONAL COMMUNITY CORRECTIONS

Cass/Pulaski Regional:
Counties: Cass, Pulaski
Fiscal Body: Cass

Hoosier Hills Regional:
Counties: Crawford, Orange, Washington
Fiscal Body: Washington

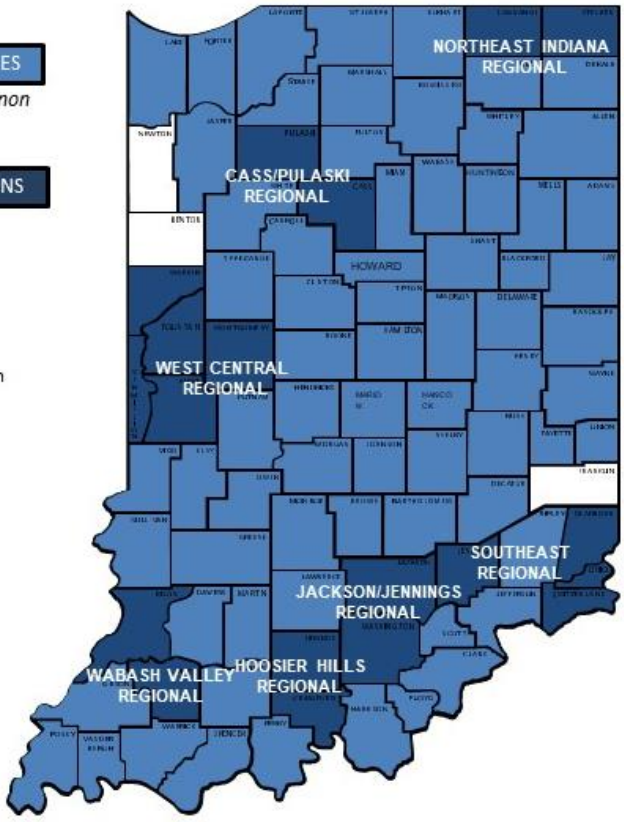
Jackson/Jennings Regional
Counties: Jackson, Jennings
Fiscal Body: Jackson

Northeast Indiana Regional:
Counties: LaGrange, Steuben
Fiscal Body: Steuben

Southeast Regional
Counties: Dearborn, Ohio, Switzerland
Fiscal Body: Dearborn

Wabash Valley Regional
Counties: Knox, Pike
Fiscal Body: Knox

West Central Regional
Counties: Fountain, Montgomery, Parke, Vermillion, Warren
Fiscal Body: Fountain



The Community Corrections Act was established in 1979 under IC 11-12-1-2. The purpose was to encourage counties to develop a coordinated local criminal justice system in order to divert offenders from imprisonment which would be funded, at least in part, by the state.

Community Corrections provides 3 critical roles in the criminal justice system:

- **Sentence Alternative:** Community Corrections is community based supervision that serves as a sentencing or placement alternative to incarceration which allows restricted privileges to access the community while providing evidence-based interventions to establish long term behavior change.
- **Re-Entry & Transitional Services:** Community Corrections agencies are also able to contract with the Indiana Department of Correction (IDOC) in order to provide re-entry services for IDOC's Work Release Program and the Community Transition Program.
- **Intermediate Sanction:** As an important element of best practices, Community Corrections serves as an intermediate sanction for Parole, Probation, Courts, and other community based supervision or programs. The Levels of Supervision within a Community Corrections agency are often the basis for a graduated sanction process by phasing into more restrictive Levels of Supervision in lieu of a revocation, jail, or return to prison.

Community Corrections & Justice Reinvestment Grants

The Community Corrections Division, in partnership with state and local criminal justice agencies and community corrections advisory boards, work collaboratively to develop community supervision programs. These are utilized at a county level to supervise, sanction and treat offenders in the community as an alternative to incarceration at the state level.

With the passage of the House Enrollment Act (HEA) 1006 in 2016, as a result of Level 6 felons no longer being eligible for the Department of Correction, the Indiana Legislature appropriated an additional $25 million to the Community Corrections Division grant funding. Grants are now eligible to additional local criminal justice entities included within the Community Corrections Advisory Board Collaboration Plan. These entities include Probation Departments, Court Recidivism Reduction Programs, Prosecutor's Diversion Programs, and Jail Treatment Programs in need of supplemental funding in order to address the influx of Level 6 felons. In addition to the awarded grant funding, the entities use other state, federal and local funds to support their operations.

Grant entities funded must utilize evidence-based practices focused on reducing offender risk, which reduces the likelihood of new crime and improves public safety. This includes using cognitive behavioral programs, effective intervention strategies, and treatments that have been researched and are proven to have a positive impact on recidivism and reforming offenders.

FY2019 REQUESTED FUNDING

ENTITY TYPE		FY2019 GRANT FUNDING REQUESTED	NUMBER OF NEW STAFF REQUESTED	NUMBER OF APPLICANTS
Community Corrections	$	65,116,024.40	114	77
Court Recidivism Reduction Program	$	3,542,958.64	18	31
Jail Treatment	$	2,757,485.24	13	42
Probation	$	6,502,397.72	27	8
Prosecutors Diversion	$	743,958.64	2	26
Grand Total	**$**	**78,662,824.64**	**174**	**184**

Chart 1 Data Source: \\state.in.us\file1\DOC\Shared\DOC3\COA\DATA\DOC Shared\Community Correction\CC Grant Information\FY 19\FY 2019 Funding RequestsFY2019 Funding Requested by Series.xlsx

FY2019 AWARDED FUNDING

ENTITY TYPE	FY2019 GRANT FUNDING AWARDED	NUMBER OF ENTITIES
Community Corrections	$ 55,031,084.00	77
Court Recidivism Reduction Program	$ 3,164,638.00	20
Jail Treatment	$ 2,574,130.00	31
Probation	$ 5,352,577.00	37
Prosecutors Diversion	$ 733,565.00	8
Grand Total	**$ 66,855,994.00**	**173**

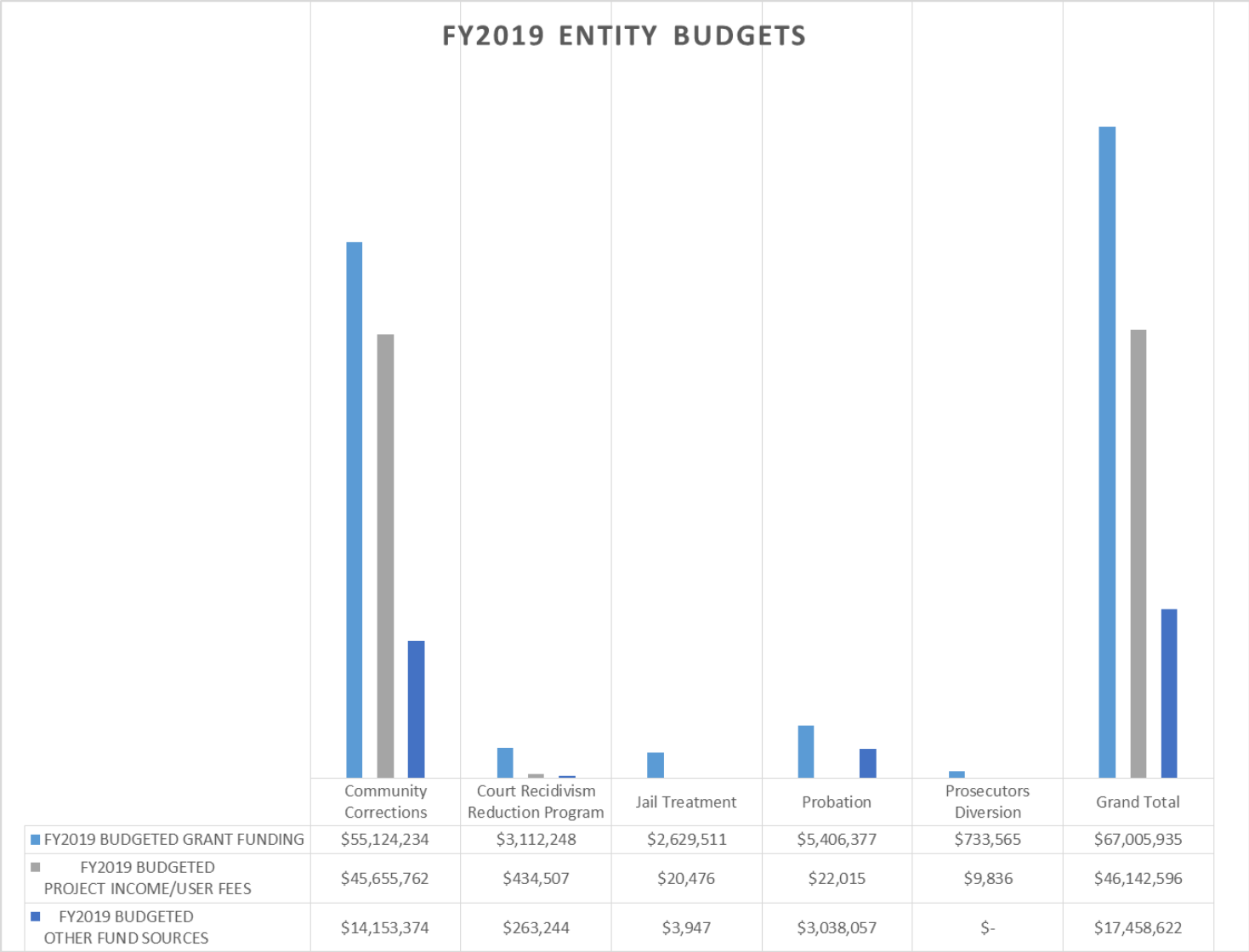
Data Source: \\state.in.us\file1\DOC\Shared\DOC3\COA\DATA\DOC Shared\Community Correction\CC Grant Information\FY 19\FY2019 Total Grant Funding Awards.xlsx

FY2019 FUNDING SOURCES AND OPERATIONS ALLOCATION

ENTITY TYPE	FY2019 BUDGETED GRANT FUNDING	FY2019 TOTAL BUDGET ALL FUND SOURCES	% OF GRANT FUNDED SUPPORT
Community Corrections	$ 55,124,234	$ 114,933,370	48%
Court Recidivism Reduction Program	$ 3,112,248	$ 3,810,000	82%
Jail Treatment	$ 2,629,511	$ 2,653,934	99%
Probation	$ 5,406,377	$ 8,466,449	64%
Prosecutors Diversion	$ 733,565	$ 743,401	99%
Grand Total	**$ 67,005,935**	**$ 130,607,153**	

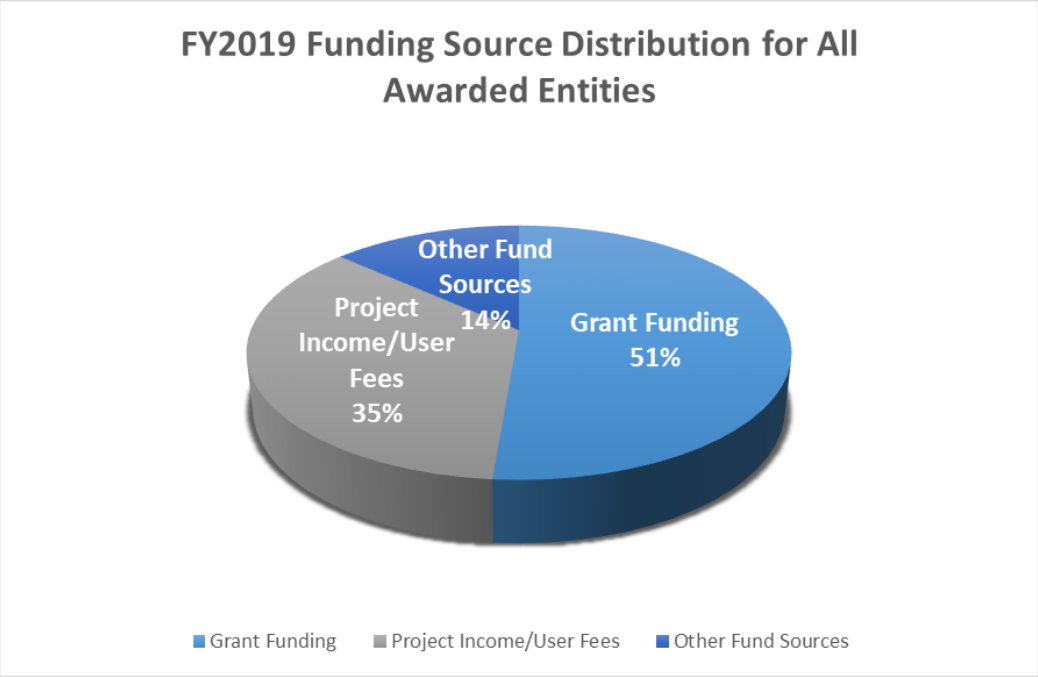
Source:\\state.in.us\file1\DOC\Shared\DOC3\COA\DATA\DOC Shared\Community Correction\Fiscal\FY 19 Entity Budget and Expenses.xlsx

NOTE: BUDGETED AMOUNT INCREASED DUE TO SOME COUNTIES TRANSFERING OTHER FUND SOURCES INTO THE GRANT FUND DUE TO COUNTY PAYROLL POLICIES. ANY FUNDING TRANSFERRED INTO THE GRANT FUND IS RECORDED AND CONSIDERED GRANT FUNDING AND REQUIRED TO BE RETURNED IF NOT EXPENDED. ANY TRANSFERS OUT OF THE ESTABLISHED GRANT FUND ARE STRICTLY PROHIBITED.



	Community Corrections	Court Recidivism Reduction Program	Jail Treatment	Probation	Prosecutors Diversion	Grand Total
FY2019 BUDGETED GRANT FUNDING	$55,124,234	$3,112,248	$2,629,511	$5,406,377	$733,565	$67,005,935
FY2019 BUDGETED PROJECT INCOME/USER FEES	$45,655,762	$434,507	$20,476	$22,015	$9,836	$46,142,596
FY2019 BUDGETED OTHER FUND SOURCES	$14,153,374	$263,244	$3,947	$3,038,057	$-	$17,458,622

FY2019 GRANT FUNDING SOURCES FOR ALL ENTITIES

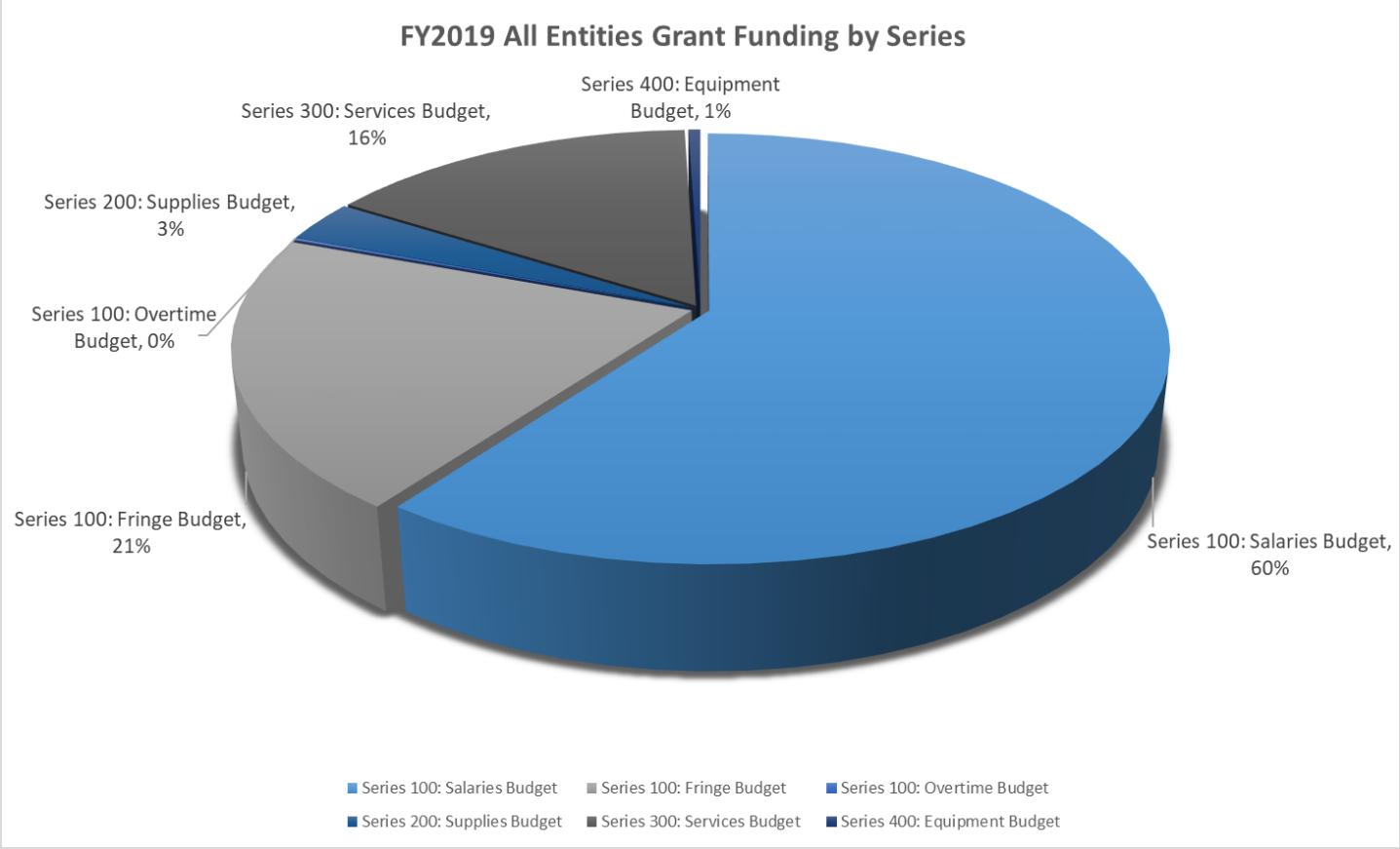


Data: \\state.in.us\file1\DOC\Shared\DOC3\COA\DATA\DOC Shared\Community Correction\Fiscal\FY 19 Entity Budget and Expenses.xlsx

NOTE: THESE FIGURES ARE BASED ON THE FY2019 BUDGET SUMMARY EXHIBITS. THESE REFLECT THE REPORTED TOTAL OPERATING BUDGET FOR THE COMMUNITY CORRECTIONS AND JUSTICE REINVESTMENT GRANT AND MAY NOT REFLECT THEIR ENTIRE ESTABLISHED AGENCIES BUDGETS. ONLY COMMUNITY CORRECTIONS AGENCIES HAVE HISTORICALLY PROVIDED THEIR FULL OPERATIONS BUDGETS.

FY2019 ALL ENTITIES GRANT FUNDING BUDGETS BY SERIES ALLOCATION

Series Type	Community Corrections	Court Recidivism Reduction Program	Jail Treatment	Prosecutors Diversion	Probation
Series 100: Salaries Budget	61%	53%	42%	59%	62%
Series 100: Fringe Budget	20%	24%	11%	24%	29%
Series 100: Overtime Budget	0%	0%	0%	0%	0%
Series 200: Supplies Budget	3%	6%	4%	7%	2%
Series 300: Services Budget	15%	16%	43%	11%	7%
Series 400: Equipment Budget	0%	1%	0%	0%	1%

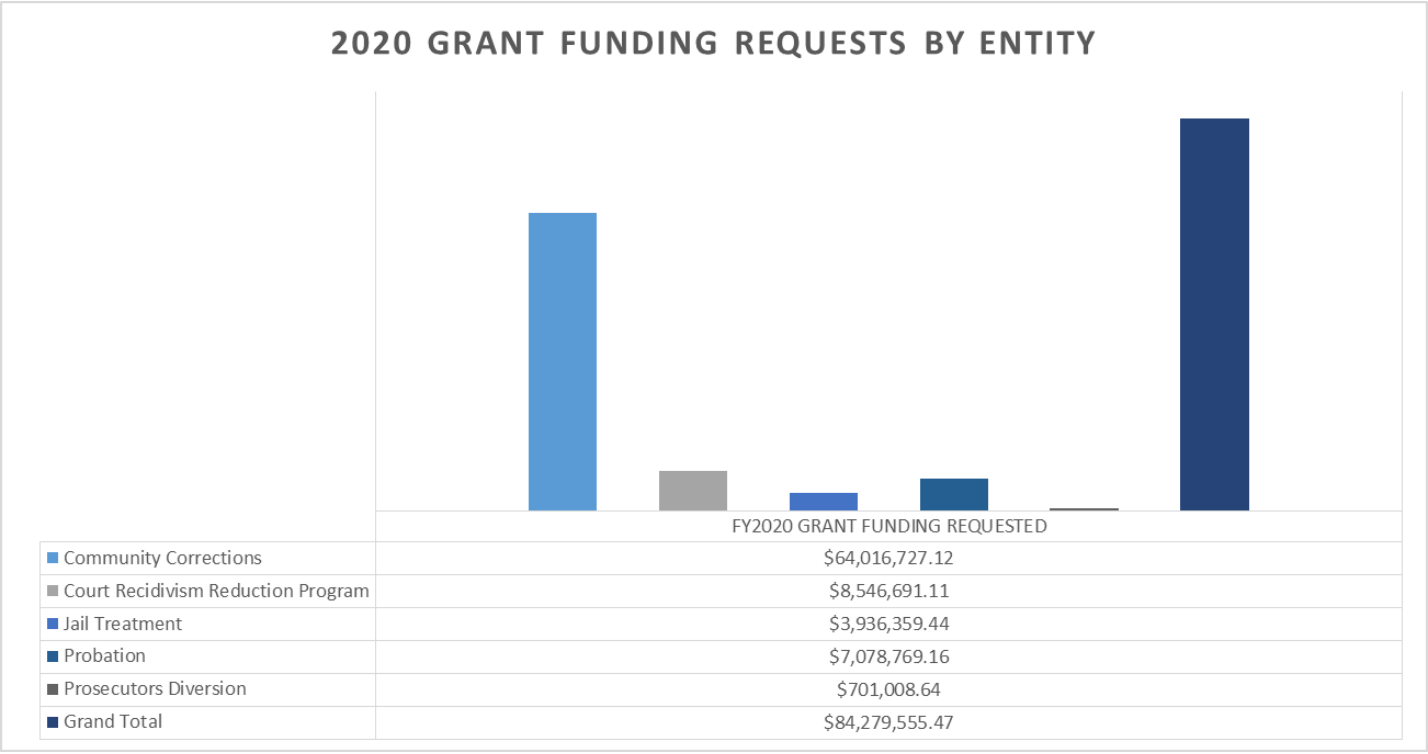


2020 GRANT FUNDING REQUESTS

Grant applications were due in March 2019 as this round of grant applications was originally designed to cover the FY2020. Utilizing the state's fiscal year has been challenging to county entities as their local government's typically run on a calendar year. This made reconciliation of the funds difficult. As a result, a proposal to transfer the grant timeline was made and approved which now allows grant reporting to operate on a calendar year. Payments derived from FY2019 awards were extended for an additional 6 months and will expire December 31, 2019. The time period for the next grant will begin on January 1, 2020 and end on December 31, 2020.

Grant applications were submitted and received through the state's online grant application system, IntelliGrants. This year was the first year the online system was utilized. Each entity type was required to submit a budget, problem statement, and program proposal in order to be considered for grant funding. The proposal needed to include the target population, planning and implementation strategies, evidence-based services, and goals for the program. Community Corrections and Probation agencies were required to submit details of supervision and evidence-based programs for review and consideration for grant funding.

The table below displays the amount of grant funding requested for 2020 by each entity.



	FY2020 GRANT FUNDING REQUESTED
Community Corrections	$64,016,727.12
Court Recidivism Reduction Program	$8,546,691.11
Jail Treatment	$3,936,359.44
Probation	$7,078,769.16
Prosecutors Diversion	$701,008.64
Grand Total	$84,279,555.47

COMPARISON OF FY2019 GRANT FUNDING REQUESTS TO 2020 REQUESTS

From FY2019, requested grant funding increased by 7% for 2020.

ENTITY TYPE	FY2019 GRANT FUNDING REQUESTED	FY2020 GRANT FUNDING REQUESTED	ADDITIONAL REQUESTS
Community Corrections	$ 65,116,024.40	$ 64,016,727.12	$ (1,099,297.28)
Court Recidivism Reduction Program	$ 3,542,958.64	$ 8,546,691.11	$ 5,003,732.47
Jail Treatment	$ 2,757,485.24	$ 3,936,359.44	$ 1,178,874.20
Probation	$ 6,502,397.72	$ 7,078,769.16	$ 576,371.44
Prosecutors Diversion	$ 743,958.64	$ 701,008.64	$ (42,950.00)
Funding Requests Grand Totals	**$ 78,662,824.64**	**$ 84,279,555.47**	**$ 5,616,730.83**

Data Source: \\state.in.us\file1\DOC\Shared\DOC3\COA\DATA\DOC Shared\Community Correction\CC Grant Information\2020\2020 Funding Recommendations\2020 Grant Recommendations Master Spreadsheet 09242019.xlsx

COMPARISON OF FY2019 GRANT FUNDING AWARDED TO 2020 GRANT FUNDING REQUESTS

Awarding all requested funding would have approximately a 26% impact to the current state appropriation and would require an additional $17M added to the appropriation.

Entity Type	FY2019 GRANT FUNDING AWARDED	2020 REQUESTED FUNDING IMPACT	2020 REQUESTED FUNDING IMPACT
Community Corrections	$ 55,031,084.00	$ 8,985,643.12	16%
Court Recidivism Reduction Program	$ 3,164,638.00	$ 5,382,053.11	170%
Jail Treatment	$ 2,574,130.00	$ 1,362,229.44	53%
Probation	$ 5,352,577.00	$ 1,726,192.16	32%
Prosecutors Diversion	$ 733,565.00	$ (32,556.36)	-4%
Grand Total	**$ 66,855,994.00**	**$ 17,423,561.47**	**26%**

Data Source: \\state.in.us\file1\DOC\Shared\DOC3\COA\DATA\DOC Shared\Community Correction\CC Grant Information\2020\2020 Funding Recommendations\2020 Grant Recommendations Master Spreadsheet 09242019.xlsx

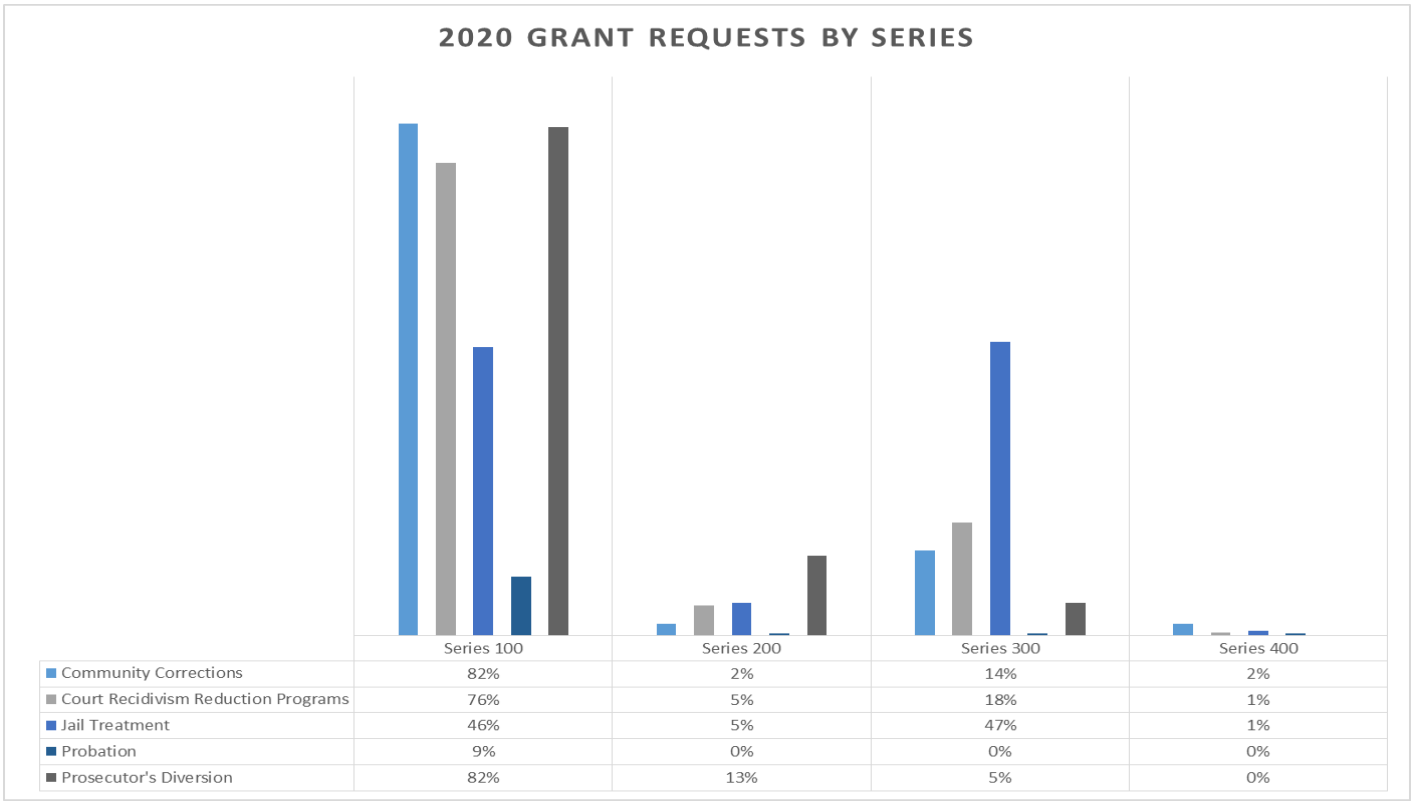
2020 STAFF REQUESTS

ENTITY TYPE	NUMBER OF STAFF CURRENTLY FUNDED FULL TIME	NUMBER OF STAFF CURRENTLY FUNDED PART TIME	NUMBER OF NEW REQUESTS FULL TIME STAFF	NUMBER OF NEW REQUESTS PART TIME STAFF
Community Corrections	1,306	390	319	76
Court Recidivism Reduction Programs	109	44	20	5
Jail Treatment	70	12	9	1
Probation	280	11	53	0
Prosecutors Diversion	10	1	0	0
Grand Total	**1,775**	**458**	**401**	**82**

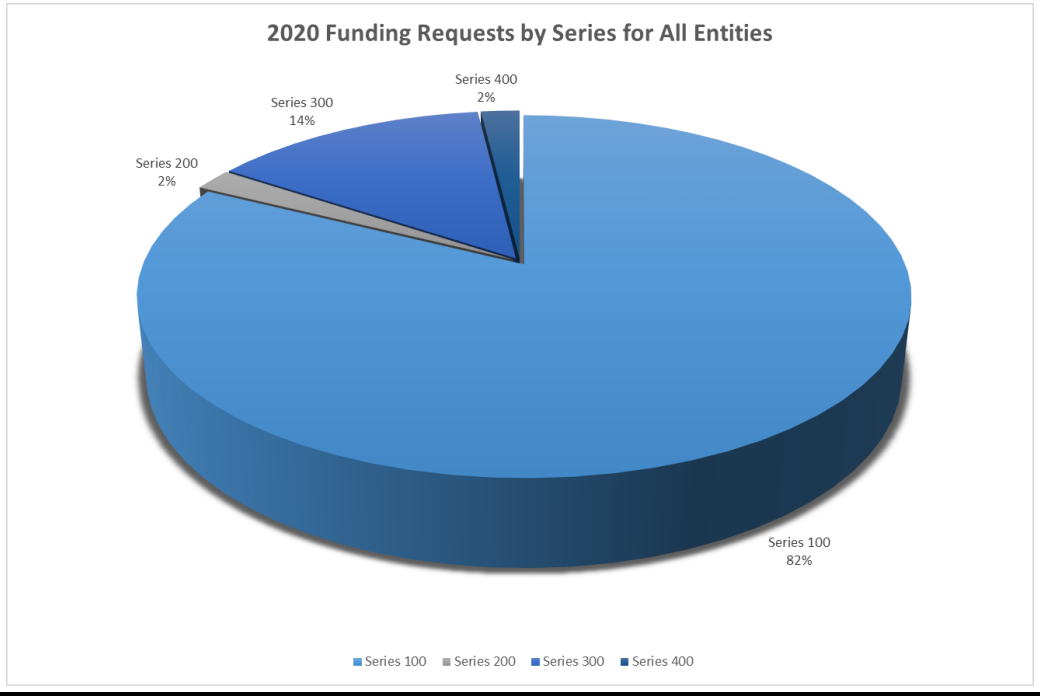
The types are of staff that are requested include the following:

- Risk and Responsivity Assessors
- Case Managers
- Program Managers
- Program Instructors
- Licensed treatment staff
- Field staff
- Residential Officers
- Quality Assurance Managers

2020 GRANT FUNDING REQUESTS BY SERIES FOR ALL ENTITIES



	Series 100	Series 200	Series 300	Series 400
Community Corrections	82%	2%	14%	2%
Court Recidivism Reduction Programs	76%	5%	18%	1%
Jail Treatment	46%	5%	47%	1%
Probation	9%	0%	0%	0%
Prosecutor's Diversion	82%	13%	5%	0%



2020 NEW ENTITY REQUEST PROPOSALS

COUNTY	ENTITY TYPE	PROPOSED PROGRAM	2020 REQUESTS	NOTES
Clark	Court Recidivism Reduction Program	Problem Solving Court (per IOCS)	$ 146,450.00	IOCS Certified
Howard	Court Recidivism Reduction Program	Drug Court	$ 30,000.00	IOCS Certified
Knox	Court Recidivism Reduction Program	Alcohol and Drug Program	$ 68,610.00	
Pulaski	Court Recidivism Reduction Program	Veterans Court	$ 125,900.00	
St. Joseph	Court Recidivism Reduction Program	Mental Health Court	$ 235,792.50	
Vanderburgh	Court Recidivism Reduction Program	Mental Health Court	$ 479,575.00	
Cass	Jail Treatment	Therapeutic Community (MRT/ Cognitive Programming)	$ 110,598.00	
Harrison	Jail Treatment	Re-Entry Substance Abuse Program	$ 88,770.00	
Howard	Jail Treatment		$ 114,920.00	
Jasper	Jail Treatment		$ 149,500.00	
Pulaski	Jail Treatment	Therapeutic Community (MRT/ Cognitive Programming)	$ 228,065.00	
Shelby	Jail Treatment	Pre-Trial services	$ 52,244.00	
Marshall	Probation		$ 130,042.00	
Washington	Probation		$ 49,735.00	

EXPIRED ENTITIES AS OF 6/30/2019

ENTITIES NOT APPLYING	REASON	FY2019 GRANT AWARD
Wayne JT	Too much reporting required	$ 24,500.00
St Joseph CRRP	No longer fit CRRP definition; Applied under Probation	$ 59,808.00
Jay JT	Low participation	$ 49,200.00
Brown JT	Too much reporting required	$ 15,000.00
Clark CRRP	No longer fit CRRP definition	$ 61,500.00
Johnson Probation	No longer needed grant funding	$ 145,452.00

COST OF LIVING PROPOSAL

As part of the grant agreement each grant entity receives a fiscal audit. The amount of unspent grant funds are documented and invoiced. The Department then reallocates those funds as part of Justice Reinvestment for programs who have been compliant with the grant requirements and demonstrate positive outcomes in their Performance Metrics. In FY2019, approximately $3.4M of unspent grant funds was reinvested into program proposals that meet the eligibility requirements. This grant cycle, no additional grant funds were appropriated.

In FY2019, approximately 84% of the grant funding was dedicated to Series 100 i.e. all the personnel expenses. The grant applications displayed a need to fulfill the increasing annual insurance increases, as well as meeting mandatory salary schedules for probation officers for unified community corrections agencies and for any probation departments receiving support funding under this grant. As a result, the division has proposed to keep the prior year's funding recommendations with an additional cost of living adjustment as a response to the application's need trends.

The cost of living impact is calculated by adding 5% of the Series 100 budgeted allocation, as outlined in their FY2019 executed contract, which is approximately $2.7M. According to the Monthly Financial Reports and fiscal audit invoices, approximately $3.2M was unspent in Series 100 and returned to the Department. Reinvesting these funds into the cost of living proposal results in a net neutral impact. The 5% increase is only applicable to those entities who were awarded funding in FY2019 and budgeted the grant funds for Series 100. The Department is recommending limits of 2.5% to salary line item and 2.5% to fringe. However, due to influx of fringe increase, the entire 5% may be allocated to the fringe line item.

IMPACTED ENTITY TYPE	SERIES 100 GRANT FUNDING BUDGETED	SERIES 100 GRANT FUNDING EXPENSES	SERIES 100 CARRYOVER	% VARIANCE BUDGET EXPENSES	5% COST OF LIVING INCREASE
Community Corrections	$ 45,722,366.00	$ 44,080,418.00	$ 1,641,948.00	96%	$ 2,286,118.31
Court Recidivism Reduction Program	$ 2,411,746.00	$ 2,003,146.00	$ 408,601.00	83%	$ 120,587.31
Jail Treatment	$ 1,382,946.00	$ 1,193,304.00	$ 189,642.00	86%	$ 69,147.30
Probation	$ 4,916,520.00	$ 4,085,626.00	$ 830,894.00	83%	$ 245,826.00
Prosecutors Diversion	$ 602,643.00	$ 470,893.00	$ 131,750.00	78%	$ 30,132.15
Grand Total	**$ 55,036,221.00**	**$ 51,833,387.00**	**$ 3,202,835.00**	**94%**	**$ 2,751,811.07**

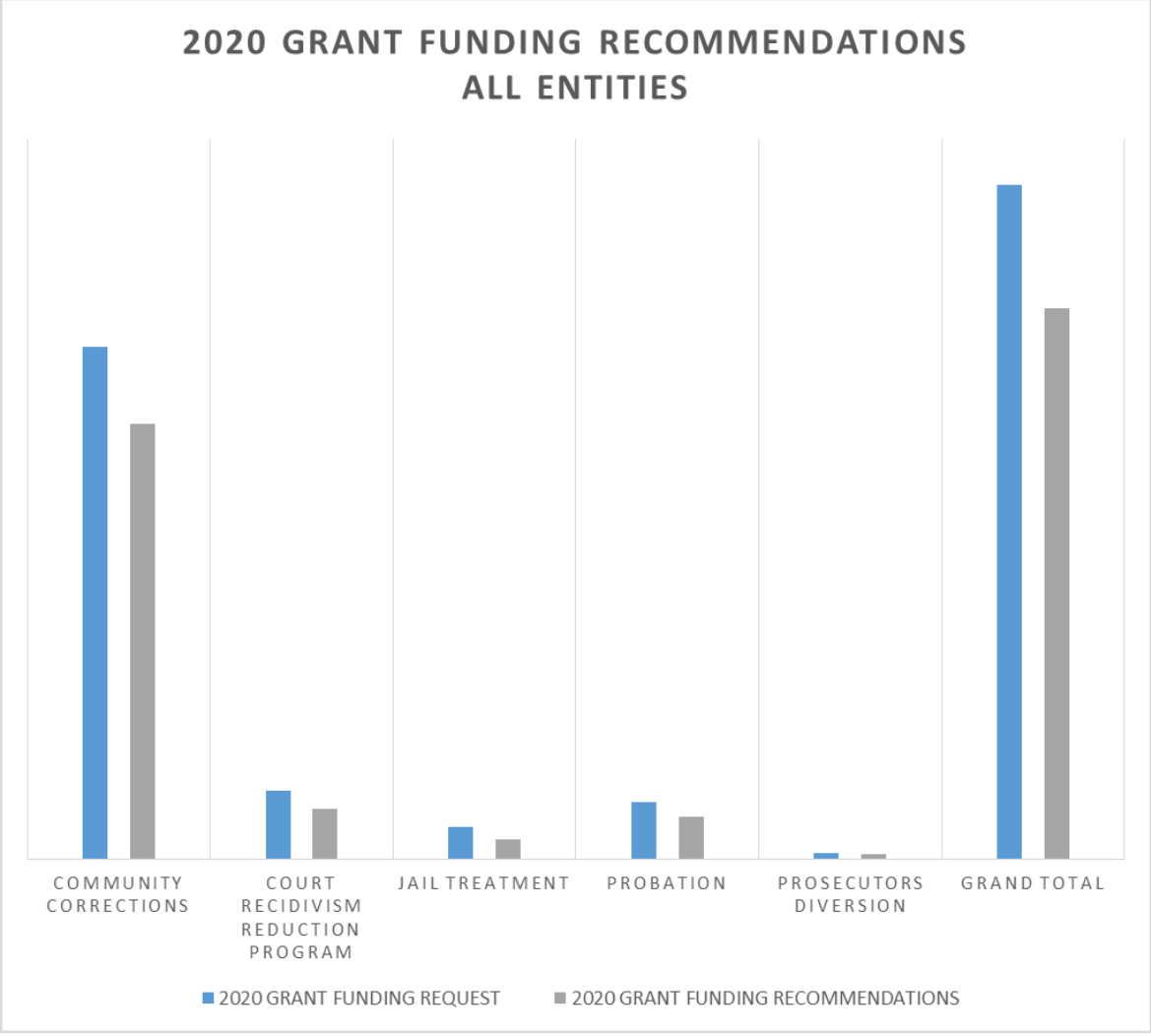
PROPOSED WORK RELEASE/RESIDENTIAL CENTERS

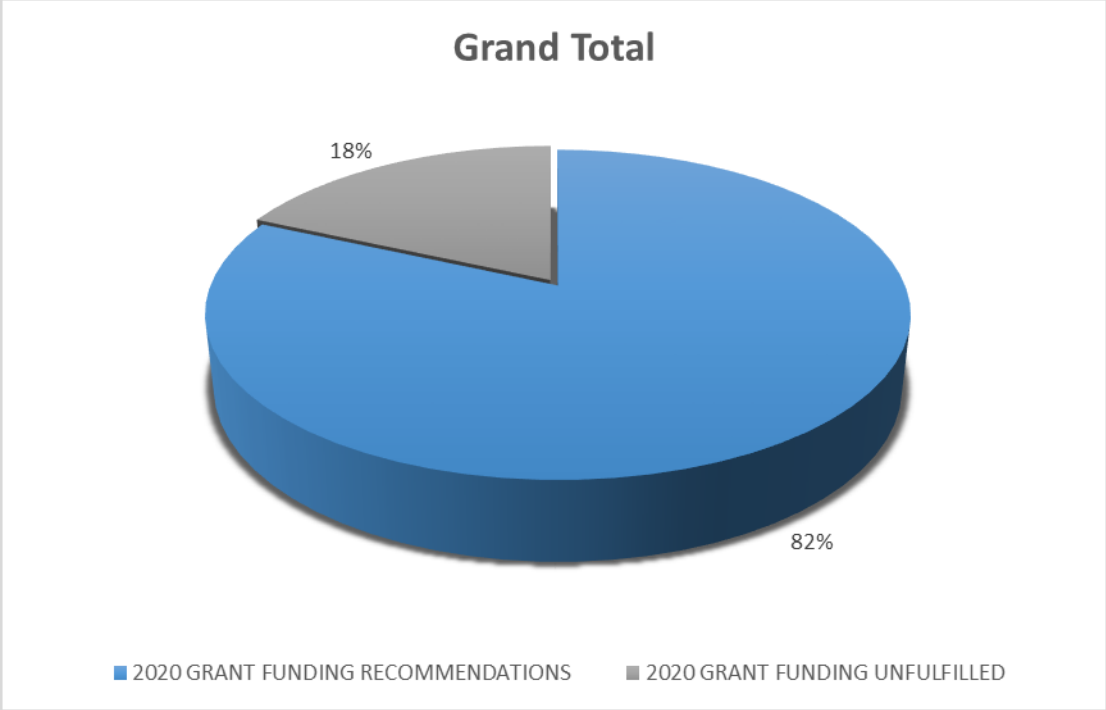
COUNTY	PROPOSAL COST	STATUS	NOTES
Allen	Unknown	Recommendation to approved modified budget when plans officially begin	Pending county approval and building occupancy within the jail.
DeKalb	$759,580	Recommendation to fund pending site visit and analysis of the budget request will match projected population.	Applied in 2020 and originally scheduled to open this fall. Opening date pending and county approval.
Huntington	Unknown		Pending building purchase
Jackson/Jennings	$1,200,900	Recommendation to fund pending site visit and analysis of the budget request will match projected population.	Applied in 2020
	$1,960,480		

2020 FUNDING RECOMMENDATIONS SUMMARY

For this proposed grant cycle, we were able to fulfill 82% of the total grant funding requests.

ENTITY TYPE	2020 GRANT FUNDING REQUEST	2020 GRANT FUNDING RECOMMENDATIONS
Community Corrections	$ 64,016,727.12	$ 54,389,516.03
Court Recidivism Reduction Program	$ 8,546,691.11	$ 6,221,868.94
Jail Treatment	$ 3,936,359.44	$ 2,456,034.30
Probation	$ 7,078,769.16	$ 5,226,457.86
Prosecutors Diversion	$ 701,008.64	$ 578,719.60
Grand Total	**$ 84,279,555.47**	**$ 68,872,596.73**





LIMITATIONS

The largest barriers for implementing performance based incentive funding are the following:

- Lack of consistent data shared statewide
- Entity standards are across multiple state agencies and are in development
- Review and scoring of the grants has been the primary responsibility of the IDOC Community Corrections Division
- Annual grant review cycles
- Long term sustainability

PROPOSED SOLUTIONS

- Establish a review committee with state agency partners
  - The goal of the committee is to create a consistent collaborative application design, review methodology, and scoring of both the application and the collaboration plan
- Evidence Based Decision Making State Team
  - The team will continue to finalize and implement the purpose, standards, and statewide metrics for each eligible entity type
- Establish a pilot or planning requirements for new entity grants

RECIDIVISM

The most recent recidivism rate for Community Corrections is 11.7%.

This is calculated based on those who had been released from Community Corrections supervision in 2016 and returned to IDOC within three years.

For those who scored 90% and above on the FY2018 programmatic site assessment results, 85% had a recidivism rate of 15% or lower.

The previous site assessments were adopted from "Implementing Evidence-based Practices" checklist from the Carey Group.

The site assessments are categorized into the following topics:

- Cultural Alignments & Organizational Supports
- Assessments
- Effective Staff / Participant Interactions
- Continuum of Programming
- Quality Assurance

Indiana Department of Correction Methodology:

- Community Corrections Quarterly Submissions pulled into a 2016 timeframe
- Completed individuals pulled into a 2016 release files
- 2016 release file ran against 2016, 2017, and 2018 admission files for IDOC.

Please note, Indiana does not have unique identifier for offenders. Identification for match is conducted through first name, last name, and social security number.

Date Source: \\state.in.us\file1\DOC\Shared\DOC3\COA\DATA\DOC Shared\Community Correction\CC Data\Recidivism\ CC Recidivism_2019.xlsx