



COMMUNITY UTILITES OF INDIANA, INC.

An Affiliate of Utilities, Inc.
2335 Sanders Road
Northbrook, IL 60062

February 12, 2018

Mr. E. Curtis Gassert, Director
Water and Wastewater Division
Indiana Utility Regulatory Commission
101 W. Washington St., Ste. 1500 E
Indianapolis, IN 46204

RE: Community Utilities of Indiana, Inc. – Rules, Regulations and Conditions of Service for Water and Wastewater Service

Dear Mr. Gassert:

Community Utilities of Indiana, Inc. (“CUII”) desires to consolidate and revise its rules and regulations governing the provision of water and wastewater service to its customers. CUII therefore requests approval of the enclosed Rules, Regulations and Conditions of Service for Water and Wastewater service (“Rules”) through the Commission’s 30-day filing procedure in accordance with 170 IAC 1-6. This filing is allowable under the 30-day filing rule because it is a filing of “changes to rules and regulations of the utility” as permitted under 170 IAC 1-6-3(3).

Enclosed are CUII’s proposed Rules for water and wastewater service along with the supporting documentation required under 170 IAC 1-6. We have provided three copies of the schedules and supporting documents to the Office of Utility Consumer Counselor.

CUII has provided notice of the filing as required under 170 IAC 1-6-6. The notice and proofs of publication of such notice are included herein.

Should you have any questions, please do not hesitate to contact me.

Sincerely,

A handwritten signature in black ink, appearing to read "J. Kersey".

Justin. P. Kersey
Vice President – Midwest/Mid-Atlantic Operations
Utilities, Inc.

**VERIFIED STATEMENT IN SUPPORT OF FILING RULES AND REGULATIONS
GOVERNING WATER AND WASTEWATER SERVICE**

TO THE INDIANA UTILITY REGULATORY COMMISSION

1. Community Utilities of Indiana, Inc. under and pursuant to the Public Service Commission Act, as amended, hereby files with the Indiana Utility Regulatory Commission its Rules, Regulations and Conditions of Service for Water and Wastewater service ("Rules").
2. All of the matters and facts stated herein and in the attached exhibits are true and correct. The Rules shall take effect upon final approval by the Commission.
3. All affected customers have been notified as required under 170 IAC 1-6 (list means of written notification: Newspaper publication and posted on Company website) and include copies of any written notifications to this verified statement.

Name: Steve Lubertozzi
Steve Lubertozzi

Title: President

STATE OF Illinois)
)
COUNTY OF Cook)

Steve Lubertozzi personally appeared before me, a Notary Public, in and for said County and State, this 12th day of February, 2018, who after having been duly sworn according to law, stated that he/she is an officer of Community Utilities of Indiana, Inc.; that he/she has read the matters and the facts stated above and in all exhibits attached hereto and that the same are true; and that he/she is duly authorized to execute this instrument for and on behalf of Applicant herein.



Deborah Ring
Notary Public

My Commission expires: 01/29/22

**LEGAL NOTICE OF FILING RULES AND REGULATIONS GOVERNING WATER
AND WASTEWATER SERVICE BY**

Community Utilities of Indiana, Inc.

Notice is hereby given that in February 2018 Community Utilities of Indiana, Inc. ("CUII"), under and pursuant to the Public Service Commission Act, as amended, plans to file its Rules, Regulations and Conditions of Service for Water and Wastewater service ("Rules") for approval by the Indiana Utility Regulatory Commission ("Commission"). These Rules shall govern the provision of water and wastewater service to all CUII customers and will go into effect upon Commission approval, which is expected in March or April 2018.

Objections can be made to the Secretary of the Commission: IURC, 101 W. Washington St., Ste. 1500E, Indianapolis IN 46204 and the Office of Utility Consumer Counselor ("OUCC"): OUCC, 115 W. Washington St., Ste. 1500S, Indianapolis, IN 46204 or at 1-888-441-2494.

Community Utilities of Indiana, Inc.

Page	:	1 of 1	02/15/2018 12:04:21	Ad Number	:	11066657
Order Number	:	20858408	Ad Key	:		
PO Number	:		Salesperson	:	24 - 24 Nicole Muscari	
Customer	:	60076689 Midwest Operations - Utilities, Inc.	Publication	:	The Times	
Contact	:	Diane Arnoux - Administrative	Sectional	:	Classified	
Address1	:	2335 Sanders Road	Sub Section	:	Legal Notices	
Address2	:		Category	:	198 Legal	
City St Zip	:	Northbrook IL 60062	Dates Run	:	02/16/2018-02/16/2018	
Phone	:	(847) 498-0407	Days	:	1	
Fax	:		Size	:	1 x 2.69, 30 lines	
Printed By	:	Nicole Muscari	Words	:	149	
Entered By	:	Nicole Muscari	Ad Rate	:	PP	
Keywords	:	Legal Notice of Filing Rules and Regulations Gover	Ad Price	:	126.00	
Notes	:		Amount Paid	:	126.00	
Zones	:		Amount Due	:	0.00	

**LEGAL NOTICE OF FILING
RULES AND REGULATIONS
GOVERNING WATER AND
WASTEWATER SERVICE BY
Community Utilities of Indiana,
Inc.**

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Community Utilities of Indiana, Inc
2/16 -20858408 -hspaxlp

Diane Arnoux

From: Nicole Muscari <Nicole.Muscari@nwi.com> on behalf of MUN Classcopy <legals@nwi.com>
Sent: Thursday, February 15, 2018 12:06 PM
To: Diane Arnoux
Cc: Justin P. Kersey; Loren Grosvenor; Mike Miller
Subject: RE: Legal Notice of Filing Rules and Regulations Governing Water and Waste Water Service By: Community Utilities of Indiana, Inc.
Attachments: (PAID) Legal Notice of Filing Rules and Regulations Governing Water and Waste Water Service By Community Utilities of Indiana, Inc..pdf

Thank you for your payment!
Please see the attached copy of your notice showing paid.



Lee Virtual Terminal

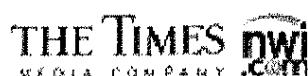
Your transaction was approved.

Transaction ID: 150216A14-29859196-2A8E-4168-ABBF-D3E86976083A
Transaction Time: 02/15/2018 01:03:59 PM
Terminal ID: 0009512676298164
Approval Code: 00787G

Order Number:
Payment ID: 34741020
Amount: 126.00
Description: Payment for order: 20858408
First Name:
Last Name:
Merchant Customer ID:
Company:

Thanks,

Nicole Muscari
Legal Publication Clerk
o. 219.933.3292 | f. 219.933.3334 | e. [legals@nwitimes.com or legals@nwi.com]
601 W. 45th Ave. Munster, IN 46321



[PAY YOUR BILL \(https://utilitiesinc.firstbilling.com/Account/Login.aspx?ReturnUrl=/\)](https://utilitiesinc.firstbilling.com/Account/Login.aspx?ReturnUrl=/)

Community Utilities of Indiana, Inc.

(/indiana)



Customer Service

[Home \(..../indiana\)](#) / [Customer Service \(./customer-service\)](#) / [Customer Notices \(customer-notices\)](#)

Customer Notices

[Legal Notice of Filing Rules and Regulations \(/docs/default-source/indiana/legal-notice-of-filing-rules-and-regulations-governing-water-and-wastewater.pdf?sfvrsn=2\)](#)

[Customer Service \(/indiana/contact-us/customer-contact\)](#)

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[Employment Opportunities \(https://www2.appone.com/Search/Search.aspx?ServerVar=utlitiesinc.appone.com\)](#)



Logo

Request

History

Assets

FAQs

Messages

Profile

Diane Amoux

Ticket 15647

Report Date 2/12/18 8:15 pm

Status Closed

Location Miller

Department Midwest Operations

Request Type 02. General Request or Question

Request Detail I need to add a Legal notice to our Indiana Website. Can someone help me with this?
I need to get this done asap please.

Tech Daryl Si

Contact Phone Number* 847-404-0157

General Request* New Access Request Modified Access Request Other (specify below)

If other

see above



Attachments

Add File

Notes	Date	Name	Note Text	
	2/13/18 2:01 pm	Daryl Si	Request has been completed. 10 days ago	# 23347
	2/13/18 9:34 am	Diane Amoux	Hi Daryl, I have attached the Legal Document that needs to be put up on our Indiana webpage for customers to be able to see. I attached it to the original help desk ticket and have also attached it here. Thank you for your help - Have a great day. <LEGAL NOTICE OF FILING RULES AND REGULATIONS GOVERNING WATER AND WASTEWATER SERVICE BY PDF>	
	2/13/18 9:26 am	Joe Sills	LEGAL NOTICE OF FILING RULES AND REGULATIONS GOVERNING WATER AND WASTEWATER .PDF (132.3 KB) 10 days ago Transferring ticket to Daryl 10 days ago	# 444H # 23342

Add Note

Carbon Copy (Cc): mike, miller, dsi@uiwater.com

 Enabled

RULES, REGULATIONS AND CONDITIONS OF SERVICE

WATER

COMMUNITY UTILITIES OF INDIANA, INC.

2335 Sanders Road

Northbrook, Illinois 60062

Applies to the following Territories:

Twin Lakes Division, Indiana Water Service Division and Water Service Company of Indiana
Division

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RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

GENERAL INFORMATION

1. RULES AND REGULATIONS GOVERNING TERMS AND CONDITIONS OF SERVICE

- A. The supplying of water, including the extension of mains and the making of connections thereto, by Community Utilities of Indiana, Inc., shall be subject to the following Rules, Regulations, and Conditions of Service, and its charges for and the cost of water service shall be at the rates specified in rate schedules filed from time to time by the Company with, and approved by, the Indiana Utility Regulatory Commission. Every customer, upon signing an application for any service rendered by the Company, or upon the taking of water service, shall be bound by these Rules, Regulations, and Conditions of Service and such rate schedules. The failure of the Company to enforce any of the terms of these Rules and Regulations shall not be deemed a waiver of its right to do so.
- B. The latest published Commission Rules which apply to privately-owned water companies, shall be and hereby are made, by reference, a part of these Rules and Regulations.

2. DEFINITIONS

- A. "Company" means Community Utilities of Indiana, Inc., acting through its officers, managers or other duly authorized employees or agents.
- B. "Cross-connection" means any direct or indirect connection between a Customer's piping system having a service connection to the Company and any other piping or plumbing systems, or a vessel such as, but not limited to, compressed gas cylinders, sinks, toilets, drains, and other pressurized or unpressurized liquid or gaseous containers, which contain, or which could contain, any substance other than water supplied by the Company. Cross-connection also means any use of water supplied by the Company which permits, or which could permit, backflow of water or any other substance into the Company's mains.
- C. "Customer" means an individual, firm, corporation, municipality, government agency or other entity that has agreed, orally or otherwise, to pay for water utility service received from the Company.
- D. "Customer's service pipe" means that portion of the service pipe between the curb stop at or near the property line and the premises to be supplied.
- E. "Main" means the supply pipe, owned and maintained by the Company, to which service connections are attached to supply water to one or more customers.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

F. "Meter" means a device owned by the Company which measures and records the quantity of water supplied to a customer.

G. "Owner(s)" means a person, firm, corporation or association having an ownership interest in any premises or property which is, or is about to be, supplied with water service by the Company.

H. "Premises" means the whole or part of a dwelling, building, or structure owned, leased or operated by a single legal entity located on a single parcel or contiguous parcels of real estate and receiving water service as approved by the Company. Examples include:

- (1) A building under one roof owned or leased by one party and occupied as a residence, or for business, industrial, or commercial purposes; or
- (2) A group or combination of buildings owned or leased by one party, occupied by one family, or one corporation or firm, or as a place of business, or for manufacturing or industrial purposes, or as a hospital or other public institution; or
- (3) One side of a double house having a solid vertical partition wall; or
- (4) A building owned or leased by one party containing more than one apartment and having one entrance and using one hall in common; or
- (5) A building owned or leased by one party having a number of apartments, offices or lofts which are rented to tenants; or
- (6) A public building such as a town hall, school house, or fire engine house; or
- (7) A single lot, park, playground, or campsite; or
- (8) Each house or building in a row having party walls, i.e., townhouses/condominiums.

I. "Private fire service connection" means a pipe, with appurtenances owned by the customer, which is used to conduct water from the main to the customer's private fire protection system.

J. "Remote meter reading device" means a water meter register installed outside of buildings or structures on the customer's premises and connected to the meter installed inside the customer's premises.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- K. "Revenue" means funds collected from customers in accordance with rate schedules filed from time to time by the Company with the Indiana Utility Regulatory Commission.
- L. "Seasonal service" means service supplied to customers whose water needs are primarily seasonal or who require increased water service for equipment or processes operated only for part of the year.
- M. "Service connection" means a pipe with appurtenances used to conduct water from the main to and including the curb stop or meter well at or near the property line. "Service connections" may serve one or more customers at Company option; provided that each customer shall have its own curb stop or other means of control as approved by the Company.
- N. "Standby service" means service supplied for standby or breakdown purposes or to supplement the customer's water supply.
- O. "Temporary service" means service supplied for temporary purposes and to housing without permanent foundations for which the period of service is usually less than one year, except as covered otherwise.
- P. "Tenant" means anyone occupying any premises or property under lease, oral or written, from the Owner and obtaining water service from the Company's mains.
- Q. "Yearly service" means service supplied to customers who require water during twelve (12) months of each year.

3. APPLICATIONS FOR AND INSTALLATIONS OF SERVICE CONNECTIONS

- A. Application for a new service connection or application for water service through an existing service connection shall be made in writing, in person or by electronic means at the office of the Company by the prospective customer on forms furnished by the Company. The application for water service shall, in general, clearly outline the class, scope and type of use to be made of the service.
- B. If, for the convenience of the applicant, an application is accepted orally, via telephone or otherwise, the taking of water service shall constitute a contract between the applicant and the Company, obligating the applicant as a customer to pay for, and the Company to furnish, service as specified herein and to comply with all applicable provisions of the Company's Rules, Regulations, and Conditions of Service. If the application is accepted orally, the customer shall, if requested by the Company, sign a written application. A telephone application for service will not be accepted from a third party who will not be the customer.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

C. Any change in the identity of the contracting customer at a premises shall require a new application and the Company may, after reasonable notice, discontinue the water supply pursuant to Rule 17 hereof until such new application has been made and accepted.

4. CUSTOMER'S LIABILITY FOR CHARGES

A. A customer who has applied for water service to a premises shall be held liable for all water service furnished to such premises until such time as the customer notifies the Company to discontinue the customer's service or until service for a new customer is established at the premises.

B. The Company shall have the right to bill for call-out service requested by the customer or service necessitated by the customer's negligence where work after regular business hours or overtime is involved at the applicable labor, vehicle and overhead rate. Labor costs shall be calculated on the following basis:

Minimum Call-outs: The charge for an after-hours service call shall reflect a minimum of two hours for the individual called out at the designated rate of pay. In no instance will the Company bill a customer for more than its actual cost to the Company of performing the call-out service in an efficient manner nor will this Rule supersede any fixed charges embodied in other Rules within these Rules, Regulations, and Conditions of Service.

5. SERVICE CONNECTIONS

A. Service connections will only be made when (i) the premises to be served abuts a public right-of-way or easement in which a main is already installed, or (ii) after installation of a main in the abutting public street pursuant to Rule 26, 27, or 28 hereof; provided, however, that service connections will be made to mains not located in the public street abutting the premises in special cases where permitted, in the judgment of the Company, by sound engineering principles.

B. The size and location of the service connection shall be determined by the Company on the basis of information given on the customer's application. The minimum size for any service connection hereafter installed shall be the size required by the International Plumbing Code as adopted by Indiana under 675 IAC 16. The kind of material to be used for service connections shall be determined by the Company.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- C. Except as otherwise provided in the Company's Rules, Regulations and Conditions of Service, service connections from the main to the curb stop at or near the property line, for yearly services, shall be furnished, installed and maintained at the Company's expense. The Company will not be required to replace any existing service connections with larger pipe unless the customer has previously laid pipe of a size adequate to accommodate the service demanded from the property line to the premises.
- D. To the extent permitted under the Commission's Rules, whenever, at the request for service by an owner or tenant, a service connection is provided through which service is not immediately and continuously desired, said property owner or tenant shall pay in advance to the Company the estimated cost of providing, placing and constructing the service pipe and accessories, but said owner or tenant shall be entitled to a refund without interest whenever water service is begun for such part of the expense as the Company is hereunder required to assume. Such refund shall be the cost of said service pipe and accessories.
- E. All service connections shall be laid below the appropriate frost line for the area as determined by the Company.

6. CUSTOMER'S SERVICE PIPES

- A. The customer's service pipe between the property line and the structures on the premises to be supplied shall be furnished and installed by the customer at the customer's expense and risk. The pipe shall be of a size not smaller than the service connection, and the minimum size shall be in accordance with the International Plumbing Code as adopted by Indiana. The type of material used for the service pipe shall be approved by the Company.
- B. The service pipe shall be placed in accordance with applicable Indiana regulations and the Commission's Rules. Customer's service pipe shall be laid to the property line, at a point designated by an authorized employee of the Company before the Company shall be required to allow connecting such service pipe with the service connection.
- C. Service pipes in structures shall be located in the parts thereof best protected from frosts. In structures where there are no basements, the pipes shall be installed in such a manner as reasonably to protect said pipes from freezing.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- D. The Company shall provide one water service connection for any one building on the Owner's lot. The Owner shall obtain a separate water service connection for each living unit in a multiple housing unit where each living unit has its own separate entrance on grade and could have individualized ownership. Each separate water service connection shall be separately metered and billed. Each multiple housing building where each living unit does not have its own separate entrance on grade and could have individualized ownership, shall have one water connection per building, with the meter placed in a meter room accessible from a common hallway, separate outside entrance on grade, or outside of the Owner's premises. Each building in a commercial premise such as a strip mall shall have one water service connection and one meter regardless of the number of establishments. Each building on a public authority lot shall have one water service connection and one meter.
- E. A water service connection shall not be installed where any portion of the Customer's service pipe must pass through lands, buildings, or parts of buildings which are not the property of the applicant, or across a platted lot to serve another platted lot, each owned by the same customer.
- F. The customer shall make all changes in the customer's service pipe required on account of changes of grade, relocation of mains or other causes created by the customer.
- G. No non-metered attachment to the customer's service pipe shall be made between the meter and the street main. Only the Company or its authorized agent is permitted to make connections to its mains. Nothing may be attached to the Company's service lines other than by an authorized employee or agent of the Company.
- H. Each metered service shall be supplied through a separate curb stop or other means of control as approved by the Company.
- I. Any repairs or maintenance necessary on the customer's service pipe or any pipe or fixture in or upon the customer's premises shall be performed by the customer at the customer's expense and risk. Such pipes and fixtures shall be kept and maintained in good condition and free from all leaks. Failure to maintain the pipes in good condition and free from leaks could result in the Company disconnecting service pursuant to Rule 17 hereof.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- J. For meters placed within a customer's structure, the customer shall cause to be placed, at the customer's expense, a shut-off valve on the service pipe at or near the inlet to the meter and another shut-off valve on the service pipe at or near the outlet side of the meter. For meters placed within a meter vault, the customer shall install a shut-off valve, at the customer's expense, within the customer's structure (and the Company may install at its expense such additional shut-off valves as the Company deems appropriate). For a meter within the customer's structure or within a meter vault, a backflow device may be required at the option of the Company and at the customer's expense. If so required, it will be inserted at a point approved by the Company on the house plumbing to protect the meter from excess heat and pressure due to heating water, and to protect the distribution system.
- K. Where it becomes necessary to thaw a frozen service pipe, and it cannot be determined where it is frozen, and the Company, at the customer's request, undertakes to thaw the same, one half (1/2) of the cost thereof shall be paid by the customer. Before undertaking to thaw the same, the Company will require the customer to sign a waiver of claim for damage against the Company thereby absolving the Company from any and all responsibility for any damage that might result from the act of thawing the customer's frozen service pipe.

7. WINTER CONSTRUCTION

No new service pipes or extensions of mains will be installed during winter conditions (when frost is in the ground), unless the customer agrees to pay all extra expense incurred by the Company for such installation.

8. CROSS-CONNECTIONS

- A. Each customer, owner, and/or tenant of a premises receiving water service shall prevent backflow of water or any other substance into the water mains of the Company from any cause within such premises and shall prevent and remove all cross-connections. No customer, owner, and/or tenant shall make, cause, allow, or permit any cross-connection on such premises or any backflow of water or any other substance from such premises to the Company's mains.
- B. An approved reduced pressure principle backflow prevention device ("RPZ") shall be installed on:
 - (1) Each commercial, industrial, and public authority service line except for those establishments which have only drinking fountains, restrooms, and kitchen facilities.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- (2) All other customers' service lines when the following conditions exist:
 - a. The premises has an auxiliary water supply;
 - b. The premises has an underground lawn sprinkler system; or
 - c. The premises has a reported history of cross-connections being established or re-established.
- (3) All fire protection systems connected to the Company's water supply when any of the following conditions exist:
 - a. The system contains antifreeze, fire retardant, or other chemicals;
 - b. Water is pumped into the system or can be pumped into the system from another service;
 - c. Water flows into the fire safety system by gravity from a non-potable service; or
 - d. There is a connection whereby water can be pumped into the fire safety system from any other source.

C. Any RPZ required by these rules shall be approved by either the Foundation for Cross-Connection Control and Hydraulic Research at the University of Southern California, American Water Works Association, American Society of Sanitary Engineers, or American National Standards Institute, or certified by the National Standards Institute or the National Sanitation Foundation to be in compliance with applicable industry specifications.

D. Installation of an RPZ shall be made in accordance with applicable regulations of the Indiana Pollution Control Board and Indiana Department of Environmental Management, and only as specified by the Foundation for Cross-Connection Control and Hydraulic Research at the University of Southern California, American Water Works Association, American Society of Sanitary Engineers, or American National Standards Institute. In addition, installation shall be subject to the following conditions:

- (1) The device shall be accessible for maintenance and testing.
- (2) Minimum clearances recommended by the manufacturer shall be used.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- (3) The device shall be protected against flooding and freezing.
- (4) Relief ports shall not be plugged. A drain which will remain free-flowing under all conditions shall be provided.
- (5) A collection system with an air gap under the relief port drain shall be installed with ceiling level units.
- (6) No reduction shall be made in the size of the relief port drain.
- (7) A bypass and second RPZ shall be installed if there is only one service line and the water service cannot be interrupted. Bypass lines with RPZ shall not be installed.

E. It shall be the duty of the customer, owner, and tenant of any premises on which an RPZ is installed to inspect, test, maintain, and repair the device at least annually or more frequently if recommended by the manufacturer. However, the Company shall have the right to inspect, test, and approve an RPZ device before it is placed into service, and at such times thereafter as may be deemed necessary by the Company.

- (1) Inspection, testing, maintenance, and repair shall be performed by a person who has been approved by the Indiana Environmental Protection Agency as competent to service the device. Proof of approval shall be in writing.
- (2) Each RPZ shall have a tag attached listing the date of most recent test, name of inspector, and type and date of repairs.
- (3) A maintenance log shall be maintained and shall include:
 - a. Date of each test.
 - b. Name and approval number of person approving test.
 - c. Test results.
 - d. Repairs or servicing required.
 - e. Repairs and date completed.
 - f. Servicing performed and date completed.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- (4) Whenever RPZ are found to be defective, they shall be repaired or replaced at the Customer's expense without delay.
- (5) RPZ shall not be bypassed, made inoperative, or removed.
- (6) After the test, the information in (3) above shall be submitted to the Company on the form provided by the Company for its records.

F. Installation, inspection, testing, and maintenance of RPZ and reports to the Company shall be at the Customer's expense.

G. Where a booster pump has been installed on the service to or within any premises, such pump shall be equipped with a lower pressure cut-off device designed to shut off the booster pump when the pressure in the service line on the suction side of the pump drops to 20 psi or less. It shall be the duty of the Customer to maintain the lower pressure cut-off device in properly working order and to certify to the Company, at least once a year, that the device is operable.

H. On request of a representative of the Company, the Customer shall furnish information regarding the piping system(s) or water use within the Customer's premises. The Customer's premises shall be accessible at all reasonable times to Company representatives for the verification of information submitted by the Customer to the Company regarding cross-connection and RPZ installation and maintenance results and for the verification of compliance with these rules.

9. METERS AND METER INSTALLATIONS

- A. All new services shall be metered, and the Company shall have the right to require a meter on any existing service pipe and to charge for water service by meter measurement.
- B. The owner or customer shall provide at their expense a convenient clean, dry, safe place, not subject to a great variation in temperature, so that the meter will be properly protected from freezing and other hazards. The meter shall be located for easy accessibility for installation, maintenance, reading, and disconnection.
- C. Acceptable meter locations, so long as they meet the preceding requirements, include basements, utility rooms, furnace rooms, meter rooms, and garages. Unacceptable locations include crawl spaces, closets, and under lavatory or kitchen sinks. Meter boxes are acceptable only for mobile homes without permanent foundations, buildings without heat, existing buildings that did not have water service and do not have an adequate location for a water meter, and lawn or garden watering systems.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- D. The Company shall have the right to determine the type and size of meter to be installed and location of same. Meters shall be placed at suitable locations within structures; provided, however, that, at the customer's expense, the Company may require or the customer may elect to provide a meter vault at a location acceptable to the Company. Meter vaults will be furnished, installed and maintained by the customer at the customer's expense. Meters will be protected by the customer from freezing or other damage.
- E. All meters to be used by the Company shall be furnished, installed, maintained and removed by the Company and shall remain its property. After a meter is installed by the Company, a customer shall not tamper with, alter, repair or remove the meter or allow anyone other than the Company to do so. Any plumbing, piping, or structural modification which could result in the relocation of the meter or impact accessibility must be first approved by the Company.
- F. The Company will maintain its meters at its expense insofar as ordinary wear is concerned. Damage due to freezing, hot water or external causes that could have been prevented by ordinary precautions on the customer's part shall be paid for by the customer. The amount charged for repair will be the actual cost of maintenance and labor, including testing and overhead expenses.
- G. At the option of the Company, a remote meter reading device may be installed at an accessible location if desirable to facilitate meter reading.
- H. All installations of new services and house plumbing in premises shall leave such horizontal space next to the entrance shutoff for the installation of meters as required by the International Plumbing Code as adopted by Indiana.
- I. Each premises shall be supplied through a separate meter or meters. Meter settings shall conform to installation standards as provided by the Company.
- J. The customer shall promptly notify the Company of any defect in, or damage to, the meter, its connections or housing.
- K. The customer shall bear the cost of any relocation, removal, or modification of any meters or appurtenances. Any relocation, removal, or modification of meters and appurtenances requested by a customer will only be made if and to the extent it is approved by the Company.
- L. If the customer desires additional meters for showing internal division of the supply, they shall be furnished, installed and maintained at the customer's expense.

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M. The Company reserves the right to remove and test any meter at any time and to install another meter in the place of the one removed. When a meter is removed for a test at the request of a Customer, the Company may reinstall the same meter after testing.

10. COMPANY DOES NOT PROVIDE FIRE PROTECTION

- A. The Company does not assume liability as an insurer of property or persons and does not furnish any special service, pressure, capacity or facility with respect to public or private fire services. In addition, the Company does not warrant any level of flow or pressure at or from its public hydrants.
- B. All materials for private fire services shall be approved by the Company, and the services must be provided with suitable valves outside of the structure under the Company's exclusive control. The entire cost and expense of installing a private fire service connection shall be borne by the customer. Said service shall be used exclusively for the extinguishment of fires and no connection for domestic, commercial or industrial use may be attached to a fire service.
- C. Customers desiring private fire service must consult, before installation, with the Company as to the availability of mains, pressure, etc. No private fire service connection (other than for a single-family residence) will be made on a water main of less than six inches (6") in diameter.
- D. Requests for the installation of public fire hydrants must be made by an ordinance specifying the exact locations of the required fire hydrants.
- E. Public fire hydrants will be installed and maintained by the Company at its expense. Title to the hydrants, valves and fire service connections is vested in the Company and the same will at all times remain the Company's sole property.
- F. Fire hydrants paid for by the customers installed on Company mains that are to be located in a public street or right-of-way will be installed at the applicant's expense. Title to the hydrants, valves and fire service connection is vested in the Company and the same will at all times remain the Company's sole property.
- G. Private fire hydrants to be located upon or within the applicant's premises will be installed at the applicant's expense including the cost of the fire service connection. The fire service connection from main to point of use, including the fire hydrant located on the premises of the applicant, will be owned and maintained by the applicant.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- H. The applicant for public and private fire hydrants will be obligated to pay the applicable tariff charge in accordance with the rate schedules in effect at the time and to comply with all applicable provisions of these Rules, Regulations and Conditions of Service.
- I. Fire service connections for private fire hydrants which are installed at the applicant's expense in accordance with Rule 10(G) and (H) will be installed by the Company. The charge to the applicant will include the exact cost of labor, materials and overhead expenses.
- J. No person or party may take water from any hydrant (public or private) except authorized persons or parties for fire purposes and no fire hydrant (public or private) may be used for any purpose except the extinguishment or prevention of fire unless the Company's prior written approval is obtained.
- K. A fire service connection to be used to supply water to a fire system utilizing automatic sprinkler heads or standpipe will be installed by the Company and connected to the Company's water system at the applicant's expense. The Company reserves the right to size the connection and to specify all materials used. The fire service connection so installed will remain the applicant's sole property and will be maintained by the applicant at its expense.
- L. The applicant for a fire service connection to supply water to automatic sprinkler heads or standpipes will be obligated to pay the applicable rate for such service in accordance with the rate schedules in effect at the time and to comply with all applicable provisions of these Rules, Regulations and Conditions of Service.
- M. Fire service connections are to be used only for the extinguishment of fires. The Company reserves the right to require a detector check valve or a meter style backflow preventer to be installed, maintained and annually inspected at the customer's expense on a fire service connection to ensure that water is not being used for purposes other than extinguishment of fires and that contamination of the potable water system will not occur. If the Company determines that water from a fire service connection is used in contravention of these Rules, Regulations and Conditions of Service, such usage shall be subject to the usage charge specified in the Metered Service tariff or such other charge as the Commission may approve for the estimated volume of water used.

11. **SEASONAL, STANDBY AND TEMPORARY SERVICE CONNECTIONS**

To the extent permitted by the Commission's Rules, the customer shall bear the entire cost of the service connection and customer's service pipe for seasonal, standby and temporary service.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

12. METER TEST AND TEST FEES

- A. Upon the customer's request, the Company shall test the meter supplying water to the customer making the request in accordance with 170 IAC 6-1-11 or other applicable Commission Rules. The cost of the meter test shall be paid by the Company or customer, as applicable, as outlined in 170 IAC 6-1-11.
- B. Any customer may request that the Company make a special test of the accuracy of the meter supplying water to such customer, which test shall be made in accordance with the Commission's Rules.
- C. The customer or customer's designee has the right to witness the test but in the event the customer or designee chooses not to be present, the customer shall agree to abide by the results of the test.
- D. The Company may at any time remove any meter for routine tests, repairs or replacement.

13. METERS REGISTERING FAST OR SLOW BEYOND ALLOWABLE LIMITS

Billing adjustments due to meter errors shall be made in accordance with 170 IAC 6-1-14 or other applicable Commission Rules.

14. METERS NOT REGISTERING

Billing adjustments due to a meter failing to register shall be made in accordance with the Commission's Rules.

15. CUSTOMER SERVICE CHARGES

- A. Unless otherwise allowed under the Company's Rules and Regulations or the Commission's Rules, no credit shall be allowed for any cause unless the customer has requested discontinuance of service and the water has been shut off by the Company for the period involved.
- B. If for any reason service is discontinued before the expiration of one (1) month from commencement of service, a bill for at least the customer service charge for one (1) month will be rendered.

16. CUSTOMER'S DEPOSIT

The Company shall have the right in accordance with the Commission's Rules to require the customer to make a reasonable deposit in advance to secure the prompt payment of bills.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

17. DISCONTINUANCE OF WATER SERVICE

- A. Disconnection of water service shall be handled in accordance with 170 IAC 6-1-16 or other applicable Commission's Rules.
- B. Except as otherwise prohibited in 170 IAC 6-1-16, the Company may discontinue service rendered under any application, contract or agreement with seven (7) days prior written notice to the customer for reasons including, but not limited to:
 - (1) For willful or indifferent waste of water due to any cause.
 - (2) For failure to protect from injury or damage any meter or service connection, or for failure to protect and maintain the customer's service pipe or fixtures on the customer's property in a condition satisfactory to the Company.
 - (3) For molesting or tampering by the customer or others with the customer's knowledge with any meter, connections, service pipe, curb stop, seal or any other appliance of the Company controlling or regulating the customer's water supply.
 - (4) For failure to provide the Company's employees free and reasonable access to the premises supplied for purposes of cross connection inspections or other inspections, or for obstructing the way of ingress to the service pipes, fixtures, meters or other appliances controlling or regulating the customer's water supply.
 - (5) For failure of a customer to establish credit, or to adjust his cash deposit, or for nonpayment of a delinquent water or sewer bill owed to the Company for service furnished to the customer for the same class of service at the same or another location.
 - (6) In case of vacancy of the premises by the customer when no one has assumed responsibility for payment of the bill for service to the premises.
 - (7) For violation of any rule or regulation of the Company for which discontinuance of service is the appropriate penalty.
 - (8) For selling or giving away water or granting privileges to anyone to use water not specifically included in the accepted application.
 - (9) For material misrepresentation in an application as to the property to be supplied or type of service to be supplied or failure to report a change in the type of service.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- (10) When conditions exist due to excessive water use by customers that the integrity of the service to other customers is in danger, the Company reserves the right to discontinue the use or restrict the usage.
- (11) For violation of Rule 18 of these Rules, Regulations and Conditions of Service.
- (12) If the customer is required to install a RPZ in accordance with Rule 8(B), and fails or refuses to install approved backflow or protective devices in accordance with Rule 8(D) or fails or refuses to conduct annual testing of such RPZ in accordance with Rule 8(E), the Company may issue a notice to discontinue water service and may discontinue water service following a reasonable time interval not to exceed thirty (30) days for the customer to show compliance with these Rules

C. Pursuant to 170 IAC 6-1-16(b), the Company may disconnect service without request by the customer and without prior written notice only:

- (1) if a condition dangerous or hazardous to life, physical safety, or property exists;
- (2) upon order by any court, the Commission, or other duly authorized public authority;
- (3) if fraudulent or unauthorized use of water is detected and the Company has reasonable ground to believe the affected customer is responsible for the use; or
- (4) if the Company's regulating or measuring equipment has been tampered with and the Company has reasonable grounds to believe that the affected customer is responsible for the tampering.

D. Discontinuance of the supply of water to a premises for any reason shall not prevent the Company from pursuing any lawful remedy by action at law or otherwise for the collection of moneys due from the customer.

E. The Company reserves the right at any time to shut off the water in the distribution mains as is necessary to cooperate with civil authorities, in case of accident or emergency, for the purpose of making connections, extensions, improvements, alterations, repairs, changes, or for other proper business or utility reasons, and may restrict the use of water to reserve a sufficient supply in its reservoirs for public fire service or other emergencies whenever the public health, safety.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

F. The temporary shutting off of water from any premises for any cause, whether for nonpayment of bills, leaking pipes, fixtures, etc., shall not entitle the customer to a reduction in the amount of the bill during the time of such temporary shut-off. The shutting off of water temporarily shall not cancel a contract for water supply except at the option of the Company or upon written notice from the customer.

18. OUTSIDE WATER RESTRICTIONS

Except as otherwise prohibited by the Commission's Rules, in order to prevent excessive or wasteful consumption of water during drought and/or emergency and so as to protect the ability of the water system to deliver water to all customers at a minimum pressure of 20 psi, the following restrictions shall be observed.

- A. During periods of water shortage, drought or an emergency, the Company, through notification to each customer, will encourage customers to voluntarily practice conservation of water use by restricting lawn sprinkling and other uses.
- B. In the event that voluntary practice by the customers does not reduce the consumption of water sufficiently to allow the utility to provide adequate service, all customers will be placed on an "odd/even" basis. That is, customers whose street addresses end in an odd number may use water outside only on odd numbered calendar days, and conversely, customers whose street addresses end in an even number may use water outside only on even numbered calendar days.
- C. In the event of extended drought, water shortage and/or emergency of such a nature that the ability of the system to deliver water to all customers at a minimum of 20 psi is imperiled, all such outside uses may be banned.
- D. In the event that cessation of outside usage is not sufficient to protect the integrity of the water supply, the Company may file more stringent requirements with the Indiana Utility Regulatory Commission in accordance with the Commission's Rules.
- E. Enforcement of these outside water conservation regulations shall follow the procedures noted in Rule 18.

19. RECONNECTION CHARGE

When it has been necessary to discontinue water service to any premises because of a violation of any Rule or Regulation or on account of nonpayment of any bill, or any other reason outlined herein, the fee for reconnection shall be the amount stated in the applicable tariffs on file with the Commission, together with any arrears that may be due the Company for charges against the customer. The Company may require that all past due amounts be repaid before service is restored.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

20. RENEWAL OF WATER SERVICE AFTER DISCONTINUANCE

When water service to a customer has been terminated for any reason other than temporary vacancy of the premises, it will be renewed only after the conditions, circumstances or practices that caused the water service to be discontinued are corrected to the Company's satisfaction.

21. BILLS FOR WATER SERVICE

- A. Water bills will be rendered monthly to all customers of the Company and will include the minimum information as required by the Commission's Rules.
- B. Customers are responsible for furnishing the Company their correct address. Failure to receive bills will not be considered an excuse for nonpayment nor permit an extension of the date when the account would be considered delinquent.
- C. Bills and notices relating to the Company or its business will be mailed or delivered to the mailing address entered in the customer's application unless the Company receives notice in writing by the customer of any change of address.
- D. The Company will not be bound by bills rendered under mistake of fact as to the quantity of service rendered and, if the Company bills a customer in error, it shall be permitted to submit a corrected bill at a later date in accordance with the Commission's rules.
- E. Each customer's service shall be considered separately in rendering bills for service, unless two or more service lines are installed solely for the convenience of the Company.
- F. Where customer, facilities, service, or fire protection charges are applicable, the Company shall in its initial and final bill to any customer include such charges as prorated. For the initial bill, charges will be prorated from that date prior to said initial billing period when the customer first became responsible to pay for water service to the specific premises. For the final bill, charges will be prorated from the first day of the billing period until that date on which the customer is no longer responsible to pay for water service to the specific premises.

22. ABATEMENTS AND REFUNDS

There shall be no abatement of the customer charge, in whole or in part, by reason of the customer's extended absence unless service has been discontinued at the customer's request, and no abatement shall be made for leaks or for water wasted by improper or damaged service pipes or fixtures belonging to the customer.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

23. INTERRUPTIONS IN WATER SUPPLY

- A. The Company does not guarantee a sufficient or uniform pressure or an uninterrupted supply of water, and customers are cautioned to provide sufficient storage of water where an absolutely uninterrupted supply must be assured, such as, but not limited to, for use in steam boilers, domestic hot water systems, gas or diesel engines, medical equipment or medical needs.
- B. The Company shall make all reasonable efforts to eliminate interruption of service and, when such interruption does occur, will endeavor to re-establish service with the shortest possible delay. Whenever the service is interrupted for the purpose of working on the distribution system or the station equipment, the Company will attempt to notify in advance all customers affected by such interruption whenever it is possible to do so.
- C. In the event the customer's service is interrupted for a reason other than the act of the customer or the condition of customer controlled equipment, and the customer's service remains interrupted for more than two (2) days after being reported or found to be out of order, the Company shall make appropriate adjustments or refunds to the Customer as required under 170 IAC 6-1-14.

24. DAMAGE TO COMPANY PROPERTY

In case of damage to the Company's property on the customer's premises and/or damage, including obstruction, burying and filling thereof, to the curb stop installation or service connection to the customer, the cost of repair shall be billed to and paid by the customer in the manner pertaining to billings for water service.

25. EXTENSION OF MAINS

Unless other terms and conditions are formally approved by the Commission, the Company shall extend its water mains from the end of existing mains on the following terms and conditions:

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- A. Upon application being made for an extension of a water main, the Company shall determine the necessary size, location and characteristics of the main and of all valves, fittings and other appurtenances, and shall estimate the cost of the proposed extension, including pipe, valves, fittings, all other appurtenances and other materials and all other costs such as labor, permits, etc., including the Company's expense for supervision, engineering, insurance, tools and equipment, accounting and other overhead expenses. Costs of extensions made under this Rule shall be computed or estimated on the basis of an eight (8) inch main unless the Company determines that a larger or smaller main is reasonably necessary to serve the customer, in which event the cost, computed or estimated, shall be based on the size of the main determined reasonably necessary. In special cases, the Company may make exceptions to the size of the main to comply with good engineering principles.
- B. All main extension costs shall be computed or estimated in accordance with the Commission's Rules. Main extension costs shall be borne by the Company or the customer, as applicable, as outlined in the Commission's Rules.
- C. All mains, valves, fittings and other appurtenances and materials installed in accordance with this Rule shall be and remain the Company's sole property.
- D. The Company shall have the right to further extend its mains from and beyond the terminus of each main extension made under this Rule. The applicant making a deposit hereunder shall not be entitled to any refund on account of any other or further extension or the attachment of any services or hydrants to any other or further extension.
- E. If the Company desires to install a main larger than eight inches (8") in diameter for a purpose other than the applicant's service requirements, then the Company shall pay the additional cost of the larger main as determined by the Company.
- F. All water main extensions, except those that may be installed by the Company without the necessity of a contract, will be made by contract with the applicant in accordance with the conditions of this Rule as outlined above or in accordance with the Commission's Rules.
- G. Any main extension agreement made pursuant to this Rule and the right to refund thereunder shall not be assigned by the applicant without the Company's prior written consent.

In those cases where service connections are installed in advance of paving, the cost of such services shall be included in the deposit for the main extension. The total cost of each such service shall be refunded immediately to the applicant who made the deposit upon a customer being connected to such a pre-installed service.

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- H. If the customer desires to use or does use large amounts of water over short periods of time so as to place unreasonable demands upon the Company's facilities, or if the customer desires greater assurance of continuous water supply or higher pressure for private fire protection or industrial uses than that supplied by the Company's facilities, the customer shall, at the customer's own expense, install such elevated tanks, booster pumps or other facilities as may be appropriate and as may be approved by the Company. If attached directly to facilities of the Company, such facilities shall be owned, operated and maintained by the Company.
- I. Pursuant to 170 IAC 6-1.5-33, for any main extension, the applicant shall be required to pay the cost of the main extension, and the full gross-up state and federal taxes associated with the cost of the extension and the applicant shall receive refunds as provided in sections 170 IAC 6-1.5-36 and 37 of the Commission's Rules.

26. EXTENSION OF MAINS - OTHER

- A. An applicant for extension of a water main from the end of an existing main may, if the applicant so elects, construct the main extension at the applicant's expense in accordance with specifications approved by the Company. The Company shall have the right to approve the design of the main and all materials used. The Company also shall have the right to inspect the main at applicant's expense, during construction to assure compliance with the specifications approved by the Company.
- B. At such time as the applicant completes construction of the main extension, applicant shall, with the consent and approval of the Company, transfer title to the main extension to the Company.
- C. The applicant and Company shall agree upon the cost to the applicant of the main extension in accordance with the Commission's Rules.
- D. During the first ten (10) years after transfer to the Company of title to the main extension, if the extension abuts property that the original applicant does not own or have an interest in, and if during such ten-year period, the owner or tenant of such property requests water service, the Company shall make a refund(s) to the original applicant in accordance with the Commission's Rules.
- E. All mains, valves, fittings and other appurtenances and materials installed in accordance with this Rule shall be and remain the Company's sole property.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- F. The Company shall have the right to further extend its mains from and beyond the terminus of each main extension made under this Rule. Unless otherwise permitted under the Commission's Rules, the applicant constructing a main hereunder shall not be entitled to any refund on account of any other or further extension or the attachment of any services or hydrants to any other or further extension.
- G. Any main extension agreement made pursuant to this Rule and the right to refund thereunder shall not be assigned by the applicant without the Company's prior written consent.
- H. Pursuant to 170 IAC 6-1.5-33, for any main extension, the applicant shall be required to pay the cost of the main extension, and the full gross-up state and federal taxes associated with the cost of the extension and the applicant shall receive refunds as provided in sections 170 IAC 6-1.5-36 and 37 of the Commission's Rules.

27. EXTENSION OF MAINS - SPECIAL

- A. Mains may be extended under the terms of Rule 27(B) through (F), in those areas where all of the following conditions exist:
 - (1) All lands abutting the dedicated public way or easement along which the extension is to be made are subdivided into lots not more than one acre in size.
 - (2) No one individual, partnership or corporation or an affiliated group of individuals, partnerships and/or corporations owns or has an interest in more than twenty percent (20%) of the lots to be improved by the extension.
 - (3) At least eighty percent (80%) of the lots to be improved would be reasonably expected to take service from the extension within ten (10) years of the date of its completion.
- B. The Company shall bear the full initial cost of the extension.
- C. The total cost of the extension, including all labor, material, engineering, supervision and direct construction overheads shall be divided by eighty percent (80%) of the total number of lots to be improved by the extension. The figure thus derived shall be considered the "per lot cost" of the water main improvement.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- D. Extensions made under this Rule shall generally be made with pipe eight inches (8") in diameter, except that in special cases exceptions can be made by the Company to comply with sound engineering principles. If the Company desires to make extensions of mains with pipe larger than eight inches (8") in diameter, although not required to do so by sound engineering principles, the additional cost of the larger pipe shall be deducted from the total cost before computing the "per lot cost" as described in Paragraph 29(C).
- E. Any customer making application for water service from the main extension will be required to make a "Contribution in Aid of Construction" in accordance with the Company's election under the Commission's Rules.
- F. Extensions installed pursuant to this Rule shall be and remain the Company's property.

28. WATER FOR BUILDING AND CONSTRUCTION PURPOSES

Water for building or construction purposes may, at the Company's option, be furnished by meter measurement or on a negotiated flat rate basis, but whether by meter or flat rate, the minimum charge stated in the applicable tariffs on file with the Commission will be payable in advance. The flat rate will be determined by the Company, depending upon the size of the construction work contemplated. Water for building or construction purposes shall be discharged through a hose or pipe directly upon the material to be wet or into a barrel or other container and not upon the ground or into or through a ditch or trench, and all use of water by other than the customer or for any purposes or upon any premises not described or stated in the customer's application must be prevented by the customer.

29. GENERAL

- A. No person shall turn the water on or off at any street valve, corporation stop, curb stop or other street connection, or disconnect or remove any meter without the Company's consent. Penalties provided by law for any such action will be rigidly enforced.
- B. No person shall open any hydrant, except for the legitimate purpose of extinguishment of fire, without the Company's prior written consent.
- C. Where two or more customers are supplied through a single service pipe, any violation of the Rules, Regulations, and Conditions of Service of the Company by either or any of such customers shall be considered a violation by all, and the Company may take such action as may be taken for a single customer committing the violation; provided, however, that each customer affected shall be given 30 days' notice of such violation.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

- D. All compressor type refrigeration units having standard rated capacities of over one and one-half (1 1/2) tons shall be equipped with evaporative coolers and condensers, water cooling towers, spray ponds or other water cooling equipment so that all water from the mains is used for make-up purposes only.
- E. The Company recognizes that at times the customer's service pipe and plumbing system is used as an electrical grounding electrode for electrical circuits and devices. This method of grounding involves an element of danger and is therefore discouraged to be used in residences served by the Company.
- F. Employees or agents of the Company are expressly forbidden to demand or accept any compensation for any service rendered to the Company's customers except as covered in these Rules, Regulations, and Conditions of Service.
- G. The Company reserves the right at any time to alter, amend, change or add to these Rules, Regulations, and Conditions of Service or to substitute other Rules, Regulations, and Conditions of Service, subject to the approval of Indiana Utility Regulatory Commission or other regulatory body having jurisdiction.
- H. No representative, employee or agent of the Company has the right to alter or waive any of these Rules, Regulations, and Conditions of Service without the consent or approval of the Indiana Utility Regulatory Commission or other regulatory body having jurisdiction thereof.
- I. No employee or agent of the Company shall have the right or authority to bind the Company by any promise, agreement or representation contrary to the letter or intent of these Rules, Regulations, and Conditions of Service.
- J. All employees of the Company whose duty compels them to enter the customer's premises shall, upon request, show their credentials or other evidence of authority.
- K. In order to maintain proper and sufficient pressure in the distribution system for fire protection and other purposes, the Company reserves the right, at all times, to determine, limit and regulate, in a reasonable and nondiscriminatory manner, the maximum amounts of water any customer may use.

RULES, REGULATIONS AND CONDITIONS OF SERVICE - WATER

30. CUSTOMER COMPLAINTS

- A. A customer may complain at any time prior to disconnection to the Company about any bill, a security deposit, a disconnection notice, or any other matter relating to the Company's service and may request a conference about such matters. The complaints may be made in person, in writing, or by completing a form available from either the Commission or from the Company at its business office. A complaint shall be considered filed upon receipt by the Company, except mailed complaints shall be considered filed as of the postmark date. In making a complaint or requesting a conference (hereinafter "complaint"), the customer shall state his/her name, service address and the general nature of his/her complaint.
- B. The procedures for the resolution of customer complaints are set forth in the Commission's Rules, 170 IAC 16 *et al.*, as such may be amended from time to time.
- C. Upon receiving each such complaint, the Company will investigate the matter, confer with the customer when requested and notify him/her, in writing, of its proposed disposition of the matter. Such written notification will advise the customer that he/she may, within seven days following the date on which such notification is mailed, request a review of the Company's proposed disposition by the Commission. If the customer requests a special Meter reading, the first reading of the customer's Meter by the Company during its investigation shall not be subject to the charge for a special Meter reading prescribed in the Company's Rate Schedules. Subsequent readings, however, if requested by the customer, will be subject to the charge.
- D. In accordance with the Commission's Rules pursuant to 170 IAC 16 *et al.*, if the customer is receiving service at the time the complaint is received by the Company, his/her service will not be disconnected until at least ten days after the date on which the Company mails the notification of its proposed disposition of the matter to the customer.
- E. If the customer desires review of the Company's proposed disposition, he/she must submit a written request to the Commission in accordance with the Commission's Rules as set forth in 170 IAC 16-1-5. The Company will continue service to customer pending disposition of a complaint in accordance with the Commission's Rules.
- F. This rule does not preclude the right to file a complaint with the Commission as permitted by the Commission's Rules and/or by statute.

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31. CONTRIBUTIONS

For any monetary or property contribution made to the Company, the applicant shall be required to pay to the Company, the full gross-up state and federal taxes associated with the value of the contribution.

32. LIABILITY OF COMPANY

- A. The Company shall in no event be liable for any damage or inconvenience caused by reason of any break, leak or defect in the customer's service pipe or fixtures.
- B. The Company shall not be liable for damages of any kind or character for any deficiency in pressure, for failure of water supply, for the bursting or breaking of any mains, services, service branches, stops, valves or fixtures, wherever located, for any deficiency in any attachment to mains, services, service branches or any other facilities used by the Company, for any other interruption of water supply caused by breaking of machinery, stoppage for repairs or for any reason or occurrence beyond the reasonable control of the Company. The Company shall not be liable for any damage to the property of customers, owners, their lessees or licensees, those in possession of the premises or others caused by any of the foregoing reasons or by fire or otherwise resulting from the total or partial failure of water service or pressure failure or for any reason to provide sufficient water or any facilities for fire protection or for any other cause beyond the reasonable control of Company. The Company also will not be responsible for damage caused by changes in water quality that may be occasioned by cleaning of pipes, reservoirs or standpipes, or the opening or closing of any gates or hydrants or any other cause when the same is not due to lack of reasonable care on the part of the Company.

COMMUNITY UTILITIES OF INDIANA, INC.
LAKE, PORTER, JASPER AND NEWTON COUNTIES, INDIANA

I.U.R.C. No. S-1
Cover Sheet

RULES, REGULATIONS AND CONDITIONS OF SERVICE

SEWER

COMMUNITY UTILITIES OF INDIANA, INC.

2335 Sanders Road

Northbrook, Illinois 60062

Applies to the following Territories:

Twin Lakes Division and Water Service Company of Indiana Division

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INTRODUCTION

The supplying of sewer service, including the extension of sewers and the making of connections thereto, by Community Utilities of Indiana, Inc. shall be subject to the following Rules, Regulations, and Conditions of Service, and its charges for and the cost of sewer service shall be at the rates specified in rate schedules filed from time to time by the Company with, and approved by, the Indiana Utility Regulatory Commission. Every Customer, upon signing an application for any sewer service rendered by the Company, or upon the taking of sewer service, shall be bound by these Rules, Regulations, and Conditions of Service and such rate schedules.

1. DEFINITIONS

- A. “BOD₅” (denoting Biochemical Oxygen Demand). BOD₅ measurements are used as a measure of the organic strength of wastes in water. It is the quantity of oxygen used in the biochemical oxidation of organic matter under standard laboratory procedures in five (5) days at 20°C (68°F), expressed in milligrams per liter or parts per million.
- B. “Collection Sewer” means the sewer main and facilities located in the street, avenue, alley or dedicated easement adjacent to the property to be supplied with sewer service and serving such property and others in the immediate vicinity thereof.
- C. “Company” means Community Utility of Indiana, Inc., acting through its officers, managers or other duly authorized employees or agents.
- D. “Company Sewer Lateral” means that portion of the sewer system from the Collection Sewer to the property line.
- E. “Cooling Water” means the water discharged from any system of condensation, air conditioning, cooling, refrigeration or other, but which shall be free from odor and oil. It shall contain no polluting substances that would produce BOD₅ or Suspended Solids each in excess of ten (10) milligrams per liter.
- F. “Customer” means an individual, firm, corporation, municipality, government agency or other entity that has agreed, orally or otherwise, to pay for sewer utility service received from the Company.

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- G. "Customer Sewer Lateral" means that portion of the sewer system extending from the property line to the Premises or property to be served.
- H. "Garbage" means every refuse accumulation of solid animal, fruit or vegetable matter that attends the preparation, use, cooking, dealing in or storing of food and from the handling, storage and sale of produce.
- I. "Overhead Plumbing" means any sanitary waste fixtures, including, but not limited to, those on the first floor, which are either at least three (3) feet above the rim elevation of the nearest sanitary sewer manhole or discharged into a gas-tight and vented sump from which the waste is lifted and discharged into the building gravity sewer lateral system by automatic pump equipment.
- J. "Owner(s)" means a person, firm, corporation or association having an ownership interest in any Premises or property which is, or is about to be, supplied with sewer service by the Company.
- K. "Premises" means the whole or part of a dwelling, building, or structure owned, leased or operated by a single legal entity located on a single parcel or contiguous parcels of real estate and receiving sewer service as approved by the Company. Examples include:
 - (1) A building under one roof owned or leased by one party and occupied as a residence, or for business, industrial, or commercial purposes; or
 - (2) A group or combination of buildings owned or leased by one party, occupied by one family, or one corporation or firm, or as a place of business, or for manufacturing or industrial purposes, or as a hospital or other public institution; or
 - (3) One side of a double house having a solid vertical partition wall; or
 - (4) A building owned or leased by one party containing more than one apartment and having one entrance and using one hall in common; or
 - (5) A building owned or leased by one party having a number of apartments, offices or lofts which are rented to Tenants; or
 - (6) A public building such as a town hall, school house, or fire engine house; or

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- (7) A single lot, park, playground, or campsite; or
- (8) Each house or building in a row having party walls, i.e., townhouses/condominiums.

L. “Suspended Solids” means solids that either float on the surface of, or are in suspension in, water, sewage or other liquids and that are removable by laboratory filtering.

M. “Tenant” means anyone occupying any Premises or property under lease, oral or written, from the Owner and obtaining sewer service from the Company’s sewer mains.

2. CUSTOMER SEWER LATERAL CONNECTIONS

- A. All applications for Customer Sewer Lateral connections must be made on a form furnished by the Company by the person or parties desiring the same, must state the correct lot(s), block and street number of the Premises or property to be supplied and must be signed by the Owner of the Premises or the Owner’s duly authorized agent. For the convenience of the applicant, an application may be accepted orally, via telephone or otherwise, provided that such application is signed, upon request, by the Owner or the Owner’s duly authorized agent. An inspection fee in the amount specified in tariffs on file with the Commission shall be paid to the Company at the time the application is filed. Upon acceptance by the Company of the applicant’s request for service, such application shall constitute a contract between the applicant as a Customer and the Company.
- B. The Customer or Owner shall bear all costs and expenses incident to the installation and connection of the Customer Sewer Lateral. The Customer or Owner shall indemnify the Company for any loss or damage that may directly or indirectly be occasioned by the installation of the Customer Sewer Lateral.
- C. A Customer Sewer Lateral connection shall not be used to supply more than a single Premises or property without the Company’s consent. Old Customer Sewer Laterals may be used in connection with new buildings only when they are found, on examination and testing by the Company, to meet all requirements of this Section.
- D. The Company will provide sewer service wherever a Collection Sewer is adjacent to the Premises or property to be served.

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- E. Building sewer service connections will not be installed where any portions of the connection must pass through lands, buildings or parts of buildings which are not property of the applicant unless applicant in writing assumes the liability thereof.
- F. The Owner/Customer is responsible for all leaks, breaks, blockages, and repairs in the Customer Sewer Lateral. If leaks in the Customer Sewer Lateral are not repaired within a reasonable time, the Owner/Customer will be in violation of these Rules, Regulations, and Conditions of Service and subject to the penalties thereby imposed, including discontinuance of water and sewer service.
- G. The Customer Sewer Lateral shall be as specified in the International Plumbing Code as adopted by Indiana. The Customer Sewer Lateral connections shall be installed in accordance with the Company's specifications, maintained and renewed by the Customer. Whenever the excavation for a Customer Sewer Lateral is made in unstable ground, the material for such connection (lateral and backfill) shall be as approved by the Company.
- H. In laying or installing the Customer Sewer Lateral, the following specifications must be observed by the applicant:
 - (1) All joints and connections shall be gas-tight and water-tight.
 - (2) The diameter of such Customer Sewer Lateral shall be not less than the diameter stated in the Indiana Plumbing Code.
 - (3) The slope of the Customer Sewer Lateral service shall be not less than the level stated in the Indiana Plumbing Code.
 - (4) The depth of such Customer Sewer Lateral shall be sufficient to afford protection against breakage or damage from heavy vehicles moving on the surface of the ground over or adjacent to such connection and to afford protection against frost.
 - (5) The Customer Sewer Lateral shall be laid at uniform grade and in straight alignment insofar as possible, and any changes in direction shall be made only with properly curved pipe and fittings, or as in accordance with the Indiana Plumbing Code.

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- (6) The Customer Sewer Lateral shall be laid so as to permit gravity flow of sewage to the Company Sewer Lateral.
- (7) All excavations for the installation of a Customer Sewer Lateral shall be open trench work in accordance with ASTM Specification (C-12-19), unless otherwise approved by the Company, and no backfill shall be replaced until the sewer pipes laid therein have been inspected and approved by a duly authorized agent or employee of the Company.
- (8) It shall be a violation of these Rules, Regulations, and Conditions of Service for any plumber, drain layer, contractor or any other person constructing a Customer Sewer Lateral connection to leave such connection open, unsealed or incomplete in such manner that will permit storm or surface water to enter into any Collection Sewer. All such openings shall be tightly sealed at all points whenever work is not actually in progress on such Customer Sewer Lateral connection.
- (9) The Customer Sewer Lateral must be located at least the minimum horizontal distance from any water pipe as required in 410 IAC 6-10.1 or other applicable rule.
- (10) All excavations for Customer Sewer Lateral installations shall be adequately guarded with barricades and lights so as to protect the public from hazard. Streets, sidewalks, parkways and other public property disturbed in the course of the work shall be restored in a manner satisfactory to the Company.
- (11) All new buildings in the service area with basements, floors, rooms or occupancy area below an elevation of three feet (3') above the highest manhole serving the Premises shall have Overhead Plumbing, or such plumbing as is otherwise approved by the Company.
- (12) All new construction in the service area shall require installation of a sewage cleanout on the exterior of the building along with a check valve, unless otherwise approved by the Company. Both, cleanout and check valve need to be maintained in proper working condition by the customer.

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- I. The specifications for making and laying Customer Sewer Laterals set forth in Section 2, Rules G and H shall be applicable to buildings having normally not more than ten (10) occupants. If the Customer Sewer Lateral connection is intended to furnish sewer service to a building that will normally have more than ten (10) occupants, the size and kind of sewer pipe, slope and other specifications shall be approved by the Company at the time the application for connection is made.
- J. The Company will not permit any connection to be made to a Collection Sewer unless the applicant has complied with the terms and provisions of the applicable Rules contained in this Section.
- K. The Company will maintain the Collection Sewer and the Company Sewer Lateral connection.

3. APPLICATIONS FOR SEWER SERVICE

- A. All applications for sewer service must be made on a form provided by the Company. Upon acceptance thereof, such application shall constitute a contract between the applicant as a Customer and the Company.
- B. If, for the convenience of the applicant, an application is accepted orally, via telephone or otherwise, the taking of sewer service shall constitute a contract between the applicant and the Company, obligating the applicant as a Customer to pay for, and the Company to furnish, sewer service as specified herein and to comply with all applicable provisions of the Company's Rules, Regulations, and Conditions of Service. If the application is accepted orally, the Customer shall, if requested by the Company, sign a written application. A telephone application for sewer service will not be accepted from a third party who will not be the Customer.
- C. A new application must be made upon any change in tenancy where the Tenant has contracted for the sewer service or by the new Owner upon any change in ownership where the Owner has contracted for such service. Where more than one Tenant is served through a Customer Sewer Lateral connection, the application for the sewer service must be made by the Owner of the property.
- D. The Company may require a reasonable deposit from the Customer to secure payment of charges for services if the Company determines that Customer or applicant does not meet the criteria for creditworthiness set forth in the Commission's Rules.

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- E. No agreement for sewer service will be entered into by the Company with any applicant until all arrears and charges due by such applicant for sewer or water service of the same class supplied by the Company shall have been paid.
- 4. **BILLS FOR SEWER SERVICE**
 - A. A Customer who has applied for sewer service to a Premises shall be held liable for all sewer service furnished to such Premises until such time as the Customer notifies the Company to discontinue the Customer's sewer service or until sewer service for a new Customer is established at the Premises. A temporary discontinuance of water or sewer service for a period of less than six (6) months does not constitute a discontinuance of sewer service.
 - B. Sewer bills will be rendered monthly to all customers of the Company and shall contain the minimum information as required in the Commission's Rules.
 - C. Customers are responsible for furnishing the Company their correct address. Failure to receive bills will not be considered an excuse for nonpayment nor permit an extension of the date when the account would be considered delinquent.
 - D. Bills and notices relating to the Company or its business will be mailed or delivered to the mailing address entered in the customer's application unless the Company receives notice in writing by the customer of any change of address.
 - E. The Company will not be bound by bills rendered under mistake of fact as to the quantity of service rendered and, if the Company bills a Customer in error, it shall be permitted to submit a corrected bill at a later date in accordance with the Commission's Rules.
 - F. Each customer's service shall be considered separately in rendering bills for service, unless two or more service lines are installed solely for the convenience of the Company.
 - G. Where customer, facilities, or service charges are applicable, the Company shall in its initial and final bill to any customer include such charges as prorated. For the initial bill, charges will be prorated from that date prior to said initial billing period when the customer first became responsible to pay for water service to the specific premises. For the final bill, charges will be prorated from the first day of the billing period until that date on which the customer is no longer responsible to pay for sewer service to the specific premises.

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5. RULES GOVERNING SEWER SERVICE

A. No Customer, Owner, or Tenant of Premises receiving sewer service shall discharge, cause to be discharged, allow to be discharged or permit to be discharged any storm water, surface water, roof run-off, surface drainage, groundwater drainage, footing drainage, window well drainage, driveway drainage, garage floor drainage, patio drainage, downspout drainage, crawl space drainage, non-sanitary basement floor drainage, non-sanitary sump pump drainage, Cooling Water, unapproved industrial process water, or any other non-sanitary sewage drainage into the Collection Sewer or into the Customer Sewer Lateral so as to reach said Collection Sewer. No Customer, Owner, or Tenant of Premises receiving sewer service shall connect, cause to be connected, allow to be connected or remain connected or permit to be connected or remain connected, any sump pump or other pumping device for draining window wells, footings, patios, garages, driveways, downspouts, crawl spaces or other non-sanitary drainage areas, or any footing, window well, driveway, patio, garage, downspout or other non-sanitary sewage drain to the Collection Sewer or to any building sewer service line which connects to said Collection Sewer.

B. Except with written permission from the Company, neither the applicant nor any Tenant of the Premises shall discharge or cause to be discharged into the Customer Sewer Lateral connection or into the Collection Sewer any of the following described waters or wastes:

- (1) Any liquid or vapor having a temperature higher than one hundred fifty degrees Fahrenheit (150°F).
- (2) Any water or waste that may contain more than one hundred (100) parts per million by weight of fat, oil or grease.
- (3) Any gasoline, benzene, naphtha, fuel oil or other flammable or explosive liquid, solid or gas.
- (4) Any Garbage that has not been properly shredded through a disposal unit or other shredding device, with no particle greater than one-half (1/2) inch in any dimension.
- (5) Any ashes, cinders, sand, mud, straw, shavings, metal, glass, tar, wood or any other solid or viscous substance capable of causing obstruction to the sewers, mains or outlets or interference with the proper operation of said sewer system.

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- (6) Any water or waste having a toxic or poisonous substance in sufficient quantity so as to constitute a hazard to humans or animals, so as to injure or interfere with any sewage treatment process, or so as to create any hazard in the receiving waters of the sewage treatment plant.
- (7) Any noxious or malodorous gas or substance capable of creating a public nuisance.
- (8) Any water or wastes containing in excess of two (2) milligrams per liter of cyanides as CN.
- (9) Any water or wastes that contain phenols in excess of five tenths (0.50) milligrams per liter.
- (10) Any water or waste containing more than two hundred fifty (250) parts per million by weight of Suspended Solids.
- (11) Any water or waste containing more than two hundred (200) parts per million by weight of BOD₅.
- (12) Any water or waste having a pH less than five (5.5) or greater than nine (9.0), or having any other corrosive property capable of causing damage or hazard to structures, pipes, equipment and personnel of the sewer system. The term "pH" as used in this subparagraph shall mean the logarithm of the reciprocal of the weight of hydrogen ions in grams per liter of solution.

C. Grease, oil and sand interceptors shall be provided by Customer when, in the opinion of the Company, they are necessary for the proper handling of liquid wastes containing grease in excessive amounts, or any flammable wastes, sand, or other harmful ingredients except that such interceptors shall not be required for private living quarter or dwelling units. All interceptors shall be of a type and capacity approved by the Company and shall be located as to be readily and easily accessible for cleaning and inspection. Grease and oil interceptors shall be constructed of impervious materials capable of withstanding abrupt and extreme changes in temperature, they shall be of substantial construction, water tight and equipped with easily removable covers which, when bolted in place, shall be gas tight and water tight. Where installed, all grease, oil, and sand interceptors shall be maintained by the customer, at his sole expense, in continuously efficient operation at all times. If requested, the Customer shall provide evidence, such as invoices, that interceptors are cleaned and maintained regularly.

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D. The basic standard for all measurements, tests and analyses of the characteristics of waters and wastes to which reference is made herein shall be determined in accordance with the latest edition of "Standard Methods for the Examination of Water and Wastewater," as prepared and published jointly by the American Public Health Association, the American Water Works Association and the Water Pollution Control Federation, or some other method mutually agreed upon and approved by the State of Indiana or the Indiana Department of Environmental Management.

Samples for analyses shall be (1) a grab sample, (2) a composite sample consisting of three (3) grab samples collected at appropriate intervals, or (3) a twenty-four-hour (24-hour) composite sample collected and proportioned according to time and flow. One or more of the above samples, as determined by the Company to be representative, shall be collected for analyses.

E. Neither the applicant nor any Tenant of the Premises or property shall discharge, or cause to be discharged, into the Customer Sewer Lateral or into the Collection Sewer any "industrial wastes" consisting of solids, liquids or gaseous wastes resulting from any industrial or manufacturing operation or process, or from the development of any natural resource, without first obtaining written permission for such discharge from the Company, and from any regulatory authority or governmental unit having jurisdiction over such a discharge of wastes.

F. Where necessary in the Company's opinion, the Owner shall provide, at the Owner's expense, such preliminary treatment as may be necessary to (1) reduce the concentration of BOD₅ to two hundred (200) parts per million (daily average) and the Suspended Solids to two hundred fifty (250) parts per million (daily average), (2) reduce objectional characteristics or constituents to within the maximum limits provided for in these Rules, Regulations, and Conditions of

Service and/or (3) control the quantities and rates of discharge of such waters or wastes. Plans, specifications and any other pertinent information relating to proposed preliminary sewer treatment facilities and the operational records thereof shall be submitted for the approval of the Company and the appropriate agency of the State of Indiana, and no construction of such facilities shall commence until said approvals are obtained in writing.

Where preliminary sewer treatment facilities are provided for any waters or wastes, they shall be maintained continuously in satisfactory and effective operation by the Owner at the Owner's expense and within the limitations set forth by these Rules, Regulations, and Conditions of Service. Copies of all operational records shall be filed with the Company.

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- G. Either the applicant or any Tenant of Premises or properties served by a Customer Sewer Lateral carrying industrial or commercial wastes and discharging the same into a Collection Sewer shall install a suitable control manhole in the Customer Sewer Lateral to facilitate observation, sampling and measuring of such wastes. The Company may also require the installation of automatic sampling and flow measuring devices when deemed necessary to obtain representative samples. Such required manhole and sampling device shall be publicly accessible and safely located, constructed in accordance with plans approved by the Company and installed and maintained at the expense of the applicant or Tenant of Premises or property to whom sewer service is supplied.
- H. Water pressure ejectors or siphons or Overhead Plumbing sewer installations shall not be installed for the discharging of the sewage or waste unless adequately protected against back siphonage.

6. SEWER SERVICE GENERAL CONDITIONS

- A. Sewer service will not be furnished where the Customer Sewer Lateral is broken, obstructed, inferior, defective, leaky or imperfect so that sewage or drainage escapes into surrounding soil or into adjacent Premises or ground or surface water or other matter enters the sewer. When such conditions are discovered, the Company reserves the right to discontinue sewer service unless immediate repairs or replacements are made. Such replacements or repairs shall be made by, and at the expense of, the applicant.
- B. Title to the Collection Sewers and the Company Sewer Laterals from the Collection Sewers to the property line is vested in the Company and it shall at all times remain the Company's sole property and shall not be trespassed upon or interfered with in any way.

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- C. Where two or more Customers are supplied through a single Customer Sewer Lateral, any violation of the Rules, Regulations, and Conditions of Service of the Company by either or any of such Customers shall be considered as a violation by all and the Company may take such action as may be taken for a single Customer committing the violation; provided that any notice of such action which is required for a single Customer shall be given to each Customer affected.
- D. The Customer shall provide the Company's employees free and reasonable access to the Premises or property served for purposes including, but not limited to, inspection of drains, sump pump discharges, down spouts, footing and basement drainage, and surface draining, and the performance of non-destructive tests (for example, smoking, dye testing, etc.) to determine compliance with this Section and Section 5 -- Rules Governing Sewer Service. All employees of the Company whose duty compels them to enter the Customer's Premises, or property shall, upon request, show their credentials or other evidence of authority.

7. DISCONTINUANCE OF SERVICE

- A. Except as otherwise prohibited in the Commission's Rules, the Company may discontinue service rendered under any application, contract or agreement with seven (7) days prior written notice to the Customer for reasons, including, but not limited to:
 - (1) For failure to protect and maintain the Customer Sewer Lateral or other fixtures on the Customer's property in a condition satisfactory to the Company, and consistent with Section 2 of these Rules, Regulations and Conditions of Service and the provisions of the Indiana Plumbing Code.
 - (2) For molesting or tampering by Customer or others with the Customer's knowledge with the Company Sewer Laterals, manholes or connections.
 - (3) For violation of the Rules Governing Sewer Service set forth in Section 5 of these Rules, Regulations and Conditions of Service.
 - (4) For failure to provide the Company's employees free and reasonable access to the Premises or property served for purposes of connection inspections or other inspections, or for obstructing the way of ingress to Customer or Company Sewer Laterals, fixtures, or other appliances.

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- (5) For failure of a Customer to establish credit, or to adjust his cash deposit, or for nonpayment of a delinquent sewer bill owed to the Company for sewer service furnished to the Customer for the same class of sewer service at the same or another location.
- (6) In case of vacancy of the Premises by the Customer when no one has assumed responsibility for payment of the bill for sewer service to the Premises.
- (7) For material misrepresentation in an application as to the Premises or property to be supplied or type of sewer service to be supplied or failure to report a change in the type of sewer service.
- (8) When continuation of sewer service to the Customer creates conditions that jeopardize the integrity of the sewer service provided to other Customers.

B. Pursuant to the Commission's Rules, the Company may disconnect service without request by the customer and without prior written notice only:

- (1) if a condition dangerous or hazardous to life, physical safety, or property exists;
- (2) upon order by any court, the Commission, or other duly authorized public authority;
- (3) if fraudulent or unauthorized use of water is detected and the Company has reasonable ground to believe the affected customer is responsible for the use; or
- (4) if the Company's regulating or measuring equipment has been tampered with and the Company has reasonable grounds to believe that the affected customer is responsible for the tampering.

C. The Company reserves the right, at any time, to temporarily discontinue sewer service for the purpose of making repairs or extensions. The Company will attempt to give reasonable notice, to the extent practicable, to all Owners to be affected by the discontinuance, provided, however, that the Company is not required to give notice of discontinuance.

D. Owners or Customers requesting temporary discontinuance of sewer service for repairs within their property will be charged a sum equal to the costs to the Company for disconnecting and restoring sewer service.

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- E. Discontinuance of sewer service to a Premises or property under the provisions of this Rule shall not prevent the Company from pursuing any lawful remedy by action at law or otherwise for the collection of moneys due.
- F. The temporary shutting off of sewer from any premises for any cause, whether for nonpayment of bills, prohibited connection, leaking pipes, etc., shall not entitle the customer to a reduction in the amount of the bill during the time of such temporary shut-off. The shutting off of sewer temporarily shall not cancel a contract for sewer collection except at the option of the Company or upon written notice from the customer.
- G. Restoration of service or reconnection of a Customer Sewer Lateral connection will be made at the Company's discretion after the Customer has:
 - (1) paid all unpaid bills for sewer service;
 - (2) made a deposit to ensure future payment of bills;
 - (3) reimbursed the Company for any labor, material and associated restoration costs involved in disconnecting (including, but not limited to the cost of time and material associated with installation of an elder valve) and reconnecting service; and
 - (4) corrected any condition found in violation of any applicable provision of these Rules, Regulations, and Conditions of Service.

8. CERTIFICATE OF COMPLIANCE WITH RULE 5(A)

- A. The Company has the right to give written notice to Customers to extend to each such Customer a period of thirty (30) days from the date of such notice to make an appointment at a mutually convenient time for inspection by the Company of the Customer's Premises or property to determine whether the Customer is in compliance with Rule 5(A). The Company reserves the right to give such notices and to schedule such appointments on an area basis to accommodate availability of personnel.

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- (1) Should an inspection take place and the Company find compliance with Rule 5(A), the Company will issue a Certificate of Compliance for the Premises or property.
- (2) Should an inspection take place and the Company find non-compliance with Rule 5(A), the Company will give written notice to the Customer describing the non-compliance and stating that the Customer shall have a period of sixty (60) days from the date of such notice to achieve compliance with Rule 5(A) and to make an appointment for another inspection by the Company.
 - a. Should a reinspection show compliance, the Company will issue a Certificate of Compliance for the Premises or property.
 - b. Should a reinspection show non-compliance, the Company will give written notice to the Customer describing the non-compliance and the Company may disconnect water service, sewer service or both, until such Customer is in compliance with Rule 5(A) and receives a Certificate of Compliance.
 - c. Should the Customer fail to achieve compliance and make an appointment within the sixty (60) day period referred to in subparagraph (2) above, the Company may disconnect sewer service, until such Customer is in compliance with Rule 5(A) and receives a Certificate of Compliance.
- (3) Should a Customer fail to make an appointment for inspection within the time period set forth in this Rule, or fail to permit inspection at the appointed date and time or within any time period set forth in this Rule, the Company shall give written notice of such failure. In the event that within thirty (30) days of the date of such notice the Customer fails to make an appointment for inspection, or fails to permit inspection at the appointed date and time or within said thirty (30) day period, as the case may be, the Company may disconnect sewer service, until such Customer is in compliance with Rule 5(A) and receives a Certificate of Compliance.

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- (4) In the event of disconnection of sewer service pursuant to Rule 5(A), reconnection of service shall be made only pursuant to Rule 5(A) and other applicable provisions of the tariffs of the Company or the Commission's Rules, including the provisions for payment of service reconnection charges.
- (5) Any and all work, labor or materials required to enable compliance with Rule 5(A) shall be performed by and provided by the Customer, Owner or Tenant and shall be at no cost to the Company. Whether compliance exists shall be the sole determination of the Company. However, in the event this determination is disputed by the Customer, Owner or Tenant, the Company will accept a then current written opinion of a professional engineer registered in the State of Indiana that the Premises are in compliance with Rule 5(A), such opinion to be submitted to the Company by the Customer, Owner or Tenant and without cost to the Company. No such opinion, however, shall be accepted in lieu of an inspection.
- (6) Upon the issuance of a Certificate of Compliance and its acceptance by the Customer, the Company shall have the right to make inspection at reasonable hours and upon appointment for the purpose of determining whether compliance has been maintained.
- (7) No determination by the Company that compliance exists and no engineering opinion to such effect as referred to in subparagraph 5 above shall bar subsequent inspection under the Company's Rules, Regulations, and Conditions of Service, or subsequent determination of non-compliance, or enforcement of the Company's Rules, Regulations, and Conditions of Service for non-compliance not discovered by the Company in any prior inspection or arising subsequently.
- (8) No determination of compliance or non-compliance by the Company and no engineering opinion as to compliance as referred to in subparagraph 5 above shall bar the enforcement by the Company of any rights and remedies it may have under law, including its tariffs.
- (9) The Company will inspect all new structures prior to commencement of water and sewer service thereto to determine compliance with Rule 5(A)

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or Rule 2, Paragraph H.11. If and when the Premises are in compliance, the Company shall issue a Certificate of Compliance. No sewer service shall be rendered to such Premises or property unless the Owner, Customer, or Tenant thereof shall have been issued a Certificate of Compliance which is in effect.

- (10) Non-compliance with Rule 5(A) exists when any connections or facilities are found by the Company that will permit storm water, surface water, groundwater, or other non-sanitary sewage drainage to enter into the sanitary sewer, regardless of whether actual flow is observed.
- (11) Should the Company find non-compliance after issuance of a Certificate of Compliance, the certificate shall be immediately voided and without legal effect. The Company will then give written notice to the Customer describing the non-compliance and stating that the Customer shall have a period of thirty (30) days from the date of such notice to achieve compliance with Rule 5(A) and to make an appointment for another inspection by the Company. At the time said reinspection is conducted, the Customer will be required to provide the Company with a certified statement from a licensed plumber verifying that the infraction resulting in the non-compliance status has been corrected in a manner permanent in nature that would make the possibility of reoccurrence highly improbable.

9. EXTENSION OF SEWERS

- A. Unless other terms or conditions are formally approved by the Commission, the Company shall extend its sewers in accordance with the Commission's Rules and on the following terms and conditions:
 - (1) Collection Sewers will be extended at locations acceptable to the Company only on public ways, alleys or easements that have been dedicated in such a manner as to clearly provide the Company with the perpetual right to own, operate and maintain a sanitary sewer system therein and in which grades have been established.
 - (2) Upon application being made for an extension of a sewer, the Company shall determine the size of sewer and shall estimate the cost of the proposed extension, including pipe, lift stations, manholes, fittings, portions of Customer Sewer Lateral

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under proposed pavements, all other materials and all other costs such as labor, permits, the expenses incurred by the Company for supervision, engineering, insurance, tools and equipment, accounting and other overhead expenses.

- (3) The cost of a main extension shall be determined by the Company pursuant to the Commission's Rules outlined in 170 IAC 8.5-4. Extensions made under this Rule shall generally be made with pipe eight inches (8") in diameter, except that in special cases exceptions can be made by the Company to comply with sound engineering principles; provided, however, that such sewer extensions shall in no event be less than six inches (6") in diameter. If the Company desires to make extensions of sewer with pipe larger than eight inches (8") in diameter, although not required to do so by sound engineering principles, the additional cost of the larger pipe shall be deducted from the total cost before computing the "per lot cost" as described in Section 10, Paragraph C.
- (4) Extensions made under this Rule shall be and remain the sole property of the Company.
- (5) The Company reserves the right to further extend its sewers from and beyond the terminus of each sewer extension made under this Rule. The applicant making a deposit hereunder shall not be entitled to any refund on account of any other or further extension or the attachment of any sewer services to any other or further extension.
- (6) The Company may require a contract with the depositor outlining any or all of the above terms and conditions.
- (7) Pursuant to 170 IAC 6-1.5-33, for any main extension, the applicant shall be required to pay the cost of the main extension, and the full gross-up state and federal taxes associated with the cost of the extension and the applicant shall receive refunds as provided in sections 170 IAC 6-1.5-36 and 37 of the Commission's Rules.

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10. EXTENSION OF SEWERS - SPECIAL

- A. Sewers may, at the discretion of the Company, be extended under the terms of Section 10, Paragraphs B through F in those areas where all of the following conditions exist:
 - (1) All lands abutting the dedicated public way or easement along which the extension is to be made are subdivided into lots not more than one acre in size.
 - (2) No one individual, partnership or corporation or an affiliated group of individuals, partnerships and/or corporations owns or has an interest in more than twenty percent (20%) of the lots to be improved by the extension.
 - (3) At least eighty percent (80%) of the lots to be improved would be reasonably expected to take sewer service from the extension within ten (10) years of the date of its completion.
- B. The Company shall bear the full initial cost of the extension.
- C. The total cost of the extension, including all labor, material, engineering, supervision and direct construction overheads shall be divided by eighty percent (80%) of the total number of lots to be improved by the extension. The figure thus derived shall be considered the "per lot cost" of the sewer improvement.
- D. Extensions made under this Rule shall generally be made with pipe eight inches (8") in diameter, except that in special cases exceptions can be made by the Company to comply with sound engineering principles; provided, however, that such sewer extensions shall in no event be less than six inches (6") in diameter. If the Company desires to make extensions of sewer with pipe larger than eight inches (8") in diameter, although not required to do so by sound engineering principles, the additional cost of the larger pipe shall be deducted from the total cost before computing the "per lot cost" as described in Section 10, Paragraph C.
- E. Any Customer making application for sewer service from the sewer extension will be required to make a "Contribution in Aid of Construction" in accordance with the Company's election under the Commission's Rules, as applicable.
- F. Extensions installed pursuant to this Section 10 shall be and remain the property of the Company.

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11. GENERAL CONDITIONS

- A. The Company reserves the right at any time to alter, amend, change or add to these Rules, Regulations, and Conditions of Service or to substitute other Rules, Regulations, and Conditions of Service, subject to the approval of the Indiana Commerce Commission or other regulatory body having jurisdiction.
- B. No representative, employee or agent of the Company has the right to alter or waive any of these Rules, Regulations, and Conditions of Service without the consent or approval of the Indiana Utility Regulatory Commission or other regulatory body having jurisdiction thereof.
- C. No employee or agent of the Company shall have the right or authority to bind the Company by any promise, agreement or representation contrary to the letter or intent of these Rules, Regulations, and Conditions of Service.

12. CUSTOMER COMPLAINTS

- A. A customer may complain at any time prior to disconnection to the Company about any bill, a security deposit, a disconnection notice, or any other matter relating to the Company's service and may request a conference about such matters. The complaints may be made in person, in writing, or by completing a form available from either the Commission or from the Company at its business office. A complaint shall be considered filed upon receipt by the Company, except mailed complaints shall be considered filed as of the postmark date. In making a complaint or requesting a conference (hereinafter "complaint"), the customer shall state his/her name, service address and the general nature of his/her complaint.
- B. The procedures for the resolution of customer complaints are set forth in the Commission's Rules, 170 IAC 16 *et al.*, as such may be amended from time to time.

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- C. Upon receiving each such complaint, the Company will investigate the matter, confer with the customer when requested and notify him/her, in writing, of its proposed disposition of the matter. Such written notification will advise the customer that he/she may, within seven days following the date on which such notification is mailed, request a review of the Company's proposed disposition by the Commission. If the customer requests a special Meter reading, the first reading of the customer's Meter by the Company during its investigation shall not be subject to the charge for a special Meter reading prescribed in the Company's Rate Schedules. Subsequent readings, however, if requested by the customer, will be subject to the charge.
- D. In accordance with the Commission's Rules pursuant to 170 IAC 16 *et al.*, if the customer is receiving service at the time the complaint is received by the Company, his/her service will not be disconnected until at least ten days after the date on which the Company mails the notification of its proposed disposition of the matter to the customer.
- E. If the customer desires review of the Company's proposed disposition, he/she must submit a written request to the Commission in accordance with the Commission's Rules as set forth in 170 IAC 16-1-5. The Company will continue service to customer pending disposition of a complaint in accordance with the Commission's Rules.
- F. This rule does not preclude the right to file a complaint with the Commission as permitted by the Commission's Rules and/or by statute.

13. CONTRIBUTIONS

For any monetary or property contribution made to the Company, the applicant shall be required to pay to the Company, the full gross-up state and federal taxes associated with the value of the contribution.

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14. LIABILITY OF COMPANY

The Company shall not be liable for damages of any kind or character for any deficiency or failure of sewer service, for the blockage or breaking or sewer overload of any Collection Sewer, wherever located, for any deficiency in any Company or Customer Sewer Lateral, attachment or fixtures to any Collection Sewer, or any other facility used by the Company, or for any other interruption of sewer service caused by breaking of machinery, stopping for repairs or for any reason or occurrence beyond the reasonable control of the Company. The Company shall not be liable for any damage to any property caused by any of the foregoing reasons or for any other cause beyond the reasonable control of the Company.