

GRANT AGREEMENT

Contract #000000000000000000091252

This Grant Agreement ("Grant Agreement"), entered into by and between the Indiana Utility Regulatory Commission (the "State") and the **Indiana Underground Plant Protection Service** (the "Grantee"), is executed pursuant to the terms and conditions set forth herein. In consideration of those mutual undertakings and covenants, the parties agree as follows:

1. Purpose of this Grant Agreement; Funding Source.

The purpose of this Grant Agreement is to enable the State to award a Grant of \$14,873.13 (the "Grant") to the Grantee for eligible costs of the services or project (the "Project") described in **Exhibits A and B** of this Grant Agreement, which are incorporated fully herein. The funds shall be used exclusively in accordance with the provisions contained in this Grant Agreement and in conformance with Indiana Code § 8-1-26-24 establishing the authority to make this Grant, as well as any rules adopted thereunder. The funds received by the Grantee pursuant to this Grant Agreement shall be used only to implement the Project or provide the services in conformance with this Grant Agreement and for no other purpose.

FUNDING SOURCE:

No federal funds shall be used to fund this grant.

The Underground Plant Protection Account established by Indiana Code § 8-1-26-24 is the state funding source.

2. Representations and Warranties of the Grantee.

A. The Grantee expressly represents and warrants to the State that it is statutorily eligible to receive these Grant funds and that the information set forth in its Grant Application is true, complete and accurate. The Grantee expressly agrees to promptly repay all funds paid to it under this Grant Agreement should it be determined either that it was ineligible to receive the funds, or it made any material misrepresentation on its grant application.

B. The Grantee certifies by entering into this Grant Agreement that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from entering into this Grant Agreement by any federal or state department or agency. The term "principal" for purposes of this Grant Agreement is defined as an officer, director, owner, partner, key employee or other person with primary management or supervisory responsibilities, or a person who has a critical influence on or substantive control over the operations of the Grantee.

3. Implementation of and Reporting on the Project.

A. The Grantee shall implement and complete the Project in accordance with **Exhibit A** and with the plans and specifications contained in its Grant Application, which is on file with the State and is incorporated by reference. Modification of the Project shall require prior written approval of the State.

B. The Grantee shall submit to the State written progress reports until the completion of the Project. These reports shall be submitted upon request by the State and shall contain such detail of progress or performance on the Project as is requested by the State.

4. Term.

This Grant Agreement commences on January 01, 2025 and shall remain in effect through December 31, 2025. Unless otherwise provided herein, it may be extended upon the written agreement of the parties and as permitted by state or federal laws governing this Grant.

5. Grant Funding.

A. The State shall fund this Grant in the amount of \$14,873.13. The approved Project Budget is set forth as **Exhibit B** of this Grant Agreement, attached hereto and incorporated herein. The Grantee shall not spend more than the amount for each line item in the Project Budget without the prior written consent of the State, nor shall the Project costs funded by this Grant Agreement and those funded by any local and/or private share be changed or modified without the prior written consent of the State.

B. The disbursement of Grant funds to the Grantee shall not be made until all documentary materials required by this Grant Agreement have been received and approved by the State and this Grant Agreement has been fully approved by the State.

6. Payment of Claims.

A. If advance payment of all or a portion of the Grant funds is permitted by statute or regulation, and the State agrees to provide such advance payment, advance payment shall be made only upon submission of a proper claim setting out the intended purposes of those funds. After such funds have been expended, Grantee shall provide State with a reconciliation of those expenditures. Otherwise, all payments shall be made thirty five (35) days in arrears in conformance with State fiscal policies and procedures. As required by IC § 4-13-2-14.8, all payments will be by the direct deposit by electronic funds transfer to the financial institution designated by the Grantee in writing unless a specific waiver has been obtained from the Indiana Auditor of State

B. Requests for payment will be processed only upon presentation of a Claim Voucher in the form designated by the State. Such Claim Vouchers must be submitted with the budget expenditure report detailing disbursements of state, local and/or private funds by project budget line items.

C. The State may require evidence furnished by the Grantee that substantial progress has been made toward completion of the Project prior to making the first payment under this Grant. All payments are subject to the State's determination that the Grantee's performance to date conforms with the Project as approved, notwithstanding any other provision of this Grant Agreement.

D. Claims shall be submitted to the State within 10 calendar days following the end of the month in which work on or for the Project was performed. The State has the discretion, and reserves the right, to NOT pay any claims submitted later than 35 calendar days following the end of the month in which the services were provided. All final claims and reports must be submitted to the State within 10 calendar days after the expiration or termination of this agreement. Payment for claims submitted after that time may, at the discretion of the State, be denied. Claims may be submitted on a monthly basis only. If Grant funds have been advanced and are unexpended at the time that the final claim is submitted, all such unexpended Grant funds must be returned to the State.

E. Claims must be submitted with accompanying supportive documentation as designated by the State. Claims submitted without supportive documentation will be returned to the Grantee and not processed for payment. Failure to comply with the provisions of this Grant Agreement may result in the denial of a claim for payment.

7. Project Monitoring by the State.

The State may conduct on-site or off-site monitoring reviews of the Project during the term of this Grant Agreement and for up to ninety (90) days after it expires or is otherwise terminated. The Grantee shall extend its full cooperation and give full access to the Project site and to relevant documentation to the State or its authorized designees for the purpose of determining, among other things:

- A. whether Project activities are consistent with those set forth in **Exhibit A**, the Grant Application, and the terms and conditions of the Grant Agreement;
- B. the actual expenditure of state, local and/or private funds expended to date on the Project is in conformity with the amounts for each Budget line item as set forth in **Exhibit B** and that unpaid costs have been properly accrued;
- C. that Grantee is making timely progress with the Project, and that its project management, financial management and control systems, procurement systems and methods, and overall performance are in conformance with the requirements set forth in this Grant Agreement and are fully and accurately reflected in Project reports submitted to the State.

8. Compliance with Audit and Reporting Requirements; Maintenance of Records.

- A. The Grantee shall submit to an audit of funds paid through this Grant Agreement and shall make all books, accounting records and other documents available at all reasonable times during the term of this Grant Agreement and for a period of three (3) years after final payment for inspection by the State or its authorized designee. Copies shall be furnished to the State at no cost
- B. If the Grantee is a "subrecipient" of federal grant funds under 2 C.F.R. 200.331, Grantee shall arrange for a financial and compliance audit that complies with 2 C.F.R. 200.500 *et seq.* if required by applicable provisions of 2 C.F.R. 200 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements).
- C. If the Grantee is a non-governmental unit, the Grantee shall file the Form E-1 annual financial report required by IC § 5-11-1-4. The E-1 entity annual financial report will be used to determine audit requirements applicable to non-governmental units under IC § 5-11-1-9. Audits required under this section must comply with the State Board of Accounts *Uniform Compliance Guidelines for Examination of Entities Receiving Financial Assistance from Governmental Sources*, <https://www.in.gov/sboa/files/guidelines-examination-entities-receiving-financial-assistance-government-sources.pdf>. Guidelines for filing the annual report are included in **Exhibit C** (Guidelines for Non-governmental Entities).

9. Compliance with Laws.

- A. The Grantee shall comply with all applicable federal, state and local laws, rules, regulations and ordinances, and all provisions required thereby to be included herein are hereby incorporated by reference. The enactment or modification of any applicable state or federal statute or the promulgation of rules or regulations thereunder after execution of this Grant Agreement shall be reviewed by the State and the Grantee to determine whether the provisions of this Grant Agreement require formal modification.
- B. The Grantee and its agents shall abide by all ethical requirements that apply to persons who have a business relationship with the State as set forth in IC § 4-2-6, *et seq.*, IC § 4-2-7, *et seq.* and the regulations promulgated thereunder. **If the Grantee has knowledge, or would have acquired knowledge with reasonable inquiry, that a state officer, employee, or special state appointee, as those terms are defined in IC 4-2-6-1, has a financial interest in the Grant, the Grantee shall ensure compliance with the disclosure requirements in IC § 4-2-6-10.5 prior to the execution of this Grant Agreement.** If the Grantee is not familiar with these ethical requirements, the Grantee should refer any questions to the Indiana State Ethics Commission, or visit the Inspector General's website at <http://www.in.gov/ig/>. If the Grantee or its agents violate any applicable ethical standards, the State may, in its sole discretion, terminate this Grant immediately upon notice to the Grantee. In addition, the Grantee may be subject to penalties under IC §§ 4-2-6, 4-2-7, 35-44.1-1-4, and under any other applicable laws.

C. The Grantee certifies by entering into this Grant Agreement that neither it nor its principal(s) is presently in arrears in payment of taxes, permit fees or other statutory, regulatory or judicially required payments to the State. The Grantee agrees that any payments currently due to the State may be withheld from payments due to the Grantee. Additionally, payments may be withheld, delayed, or denied and/or this Grant suspended until the Grantee is current in its payments and has submitted proof of such payment to the State.

D. The Grantee warrants that it has no current, pending or outstanding criminal, civil, or enforcement actions initiated by the State, and agrees that it will immediately notify the State of any such actions. During the term of such actions, the Grantee agrees that the State may suspend funding for the Project. If a valid dispute exists as to the Grantee's liability or guilt in any action initiated by the State or its agencies, and the State decides to suspend funding to the Grantee, the Grantee may submit, in writing, a request for review to the Indiana Department of Administration (IDOA). A determination by IDOA shall be binding on the parties. Any disbursements that the State may delay, withhold, deny, or apply under this section shall not be subject to penalty or interest.

E. The Grantee warrants that the Grantee and any contractors performing work in connection with the Project shall obtain and maintain all required permits, licenses, registrations, and approvals, and shall comply with all health, safety, and environmental statutes, rules, or regulations in the performance of work activities for the State. Failure to do so may be deemed a material breach of this Grant Agreement and grounds for immediate termination and denial of grant opportunities with the State.

F. The Grantee affirms that, if it is an entity described in IC Title 23, it is properly registered and owes no outstanding reports to the Indiana Secretary of State.

G. As required by IC § 5-22-3-7:

- (1) The Grantee and any principals of the Grantee certify that:
 - (A) the Grantee, except for de minimis and nonsystematic violations, has not violated the terms of:
 - (i) IC § 24-4.7 [Telephone Solicitation Of Consumers];
 - (ii) IC § 24-5-12 [Telephone Solicitations]; or
 - (iii) IC § 24-5-14 [Regulation of Automatic Dialing Machines];
 in the previous three hundred sixty-five (365) days, even if IC 24-4.7 is preempted by federal law; and
 - (B) the Grantee will not violate the terms of IC § 24-4.7 for the duration of this Grant Agreement, even if IC §24-4.7 is preempted by federal law.
- (2) The Grantee and any principals of the Grantee certify that an affiliate or principal of the Grantee and any agent acting on behalf of the Grantee or on behalf of an affiliate or principal of the Grantee, except for de minimis and nonsystematic violations,
 - (A) has not violated the terms of IC § 24-4.7 in the previous three hundred sixty-five (365) days, even if IC § 24-4.7 is preempted by federal law; and
 - (B) will not violate the terms of IC § 24-4.7 for the duration of this Grant Agreement even if IC § 24-4.7 is preempted by federal law.

10. Debarment and Suspension.

A. The Grantee certifies by entering into this Grant Agreement that it is not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from entering into

this Grant by any federal agency or by any department, agency or political subdivision of the State. The term "principal" for purposes of this Grant Agreement means an officer, director, owner, partner, key employee or other person with primary management or supervisory responsibilities, or a person who has a critical influence on or substantive control over the operations of the Grantee.

B. The Grantee certifies that it has verified the suspension and debarment status for all subcontractors receiving funds under this Grant Agreement and shall be solely responsible for any recoupments or penalties that might arise from non-compliance. The Grantee shall immediately notify the State if any subcontractor becomes debarred or suspended, and shall, at the State's request, take all steps required by the State to terminate its contractual relationship with the subcontractor for work to be performed under this Grant Agreement.

11. Drug-Free Workplace Certification.

As required by Executive Order No. 90-5, April 12, 1990, issued by the Governor of Indiana, the Grantee hereby covenants and agrees to make a good faith effort to provide and maintain a drug-free workplace. Grantee will give written notice to the State within ten (10) days after receiving actual notice that the Grantee, or an employee of the Grantee in the State of Indiana, has been convicted of a criminal drug violation occurring in the workplace. False certification or violation of the certification may result in sanctions including, but not limited to, suspension of grant payments, termination of the Grant and/or debarment of grant opportunities with the State of Indiana for up to three (3) years.

In addition to the provisions of the above paragraphs, if the total amount set forth in this Grant Agreement is in excess of \$25,000.00, the Grantee certifies and agrees that it will provide a drug-free workplace by:

A. Publishing and providing to all of its employees a statement notifying them that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the Grantee's workplace and specifying the actions that will be taken against employees for violations of such prohibition; and

B. Establishing a drug-free awareness program to inform its employees of (1) the dangers of drug abuse in the workplace; (2) the Grantee's policy of maintaining a drug-free workplace; (3) any available drug counseling, rehabilitation, and employee assistance programs; and (4) the penalties that may be imposed upon an employee for drug abuse violations occurring in the workplace; and

C. Notifying all employees in the statement required by subparagraph (A) above that as a condition of continued employment the employee will (1) abide by the terms of the statement; and (2) notify the Grantee of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction; and

D. Notifying in writing the State within ten (10) days after receiving notice from an employee under subdivision (C)(2) above, or otherwise receiving actual notice of such conviction; and

E. Within thirty (30) days after receiving notice under subdivision (C)(2) above of a conviction, imposing the following sanctions or remedial measures on any employee who is convicted of drug abuse violations occurring in the workplace: (1) take appropriate personnel action against the employee, up to and including termination; or (2) require such employee to satisfactorily participate in a drug abuse assistance or rehabilitation program approved for such purposes by a federal, state or local health, law enforcement, or other appropriate agency; and

F. Making a good faith effort to maintain a drug-free workplace through the implementation of subparagraphs (A) through (E) above.

12. Employment Eligibility Verification.

As required by IC § 22-5-1.7, the Grantee hereby swears or affirms under the penalties of perjury that:

- A. The Grantee has enrolled and is participating in the E-Verify program;
- B. The Grantee has provided documentation to the State that it has enrolled and is participating in the E-Verify program;
- C. The Grantee does not knowingly employ an unauthorized alien.
- D. The Grantee shall require its contractors who perform work under this Grant Agreement to certify to Grantee that the contractor does not knowingly employ or contract with an unauthorized alien and that the contractor has enrolled and is participating in the E-Verify program. The Grantee shall maintain this certification throughout the duration of the term of a contract with a contractor.

The State may terminate for default if the Grantee fails to cure a breach of this provision no later than thirty (30) days after being notified by the State.

13. Funding Cancellation.

As required by Financial Management Circular 3.3 and IC § 5-22-17-5, when the Director of the State Budget Agency makes a written determination that funds are not appropriated or otherwise available to support continuation of performance of this Grant Agreement, it shall be canceled. A determination by the Director of the State Budget Agency that funds are not appropriated or otherwise available to support continuation of performance shall be final and conclusive.

14. Governing Law.

This Grant Agreement shall be governed, construed, and enforced in accordance with the laws of the State of Indiana, without regard to its conflict of laws rules. Suit, if any, must be brought in the State of Indiana.

15. Information Technology Accessibility Standards.

Any information technology related products or services purchased, used or maintained through this Grant must be compatible with the principles and goals contained in the Electronic and Information Technology Accessibility Standards adopted by the Architectural and Transportation Barriers Compliance Board under Section 508 of the federal Rehabilitation Act of 1973 (29 U.S.C. §794d), as amended.

16. Insurance.

The Grantee shall maintain insurance with coverages and in such amount as may be required by the State or as provided in its Grant Application.

17. Nondiscrimination.

Pursuant to the Indiana Civil Rights Law, specifically IC § 22-9-1-10, and in keeping with the purposes of the federal Civil Rights Act of 1964, the Age Discrimination in Employment Act, and the Americans with Disabilities Act, the Grantee covenants that it shall not discriminate against any employee or applicant for employment relating to this Grant with respect to the hire, tenure, terms, conditions or privileges of employment or any matter directly or indirectly related to employment, because of the employee or applicant's: race, color, national origin, religion, sex, age, disability, ancestry, status as a veteran, or any other characteristic protected by federal, state, or local law ("Protected Characteristics"). Furthermore, Grantee certifies compliance with applicable federal laws, regulations, and executive orders prohibiting discrimination based on the Protected Characteristics in the provision of services.

The Grantee understands that the State is a recipient of federal funds, and therefore, where applicable, Grantee and any subcontractors shall comply with requisite affirmative action requirements, including reporting, pursuant to 41 CFR Chapter 60, as amended, and Section 202 of Executive Order 11246 as amended by Executive Order 13672.

18. Notice to Parties.

Whenever any notice, statement or other communication is required under this Grant, it will be sent by E-mail or first class U.S. mail service to the following addresses, unless otherwise specifically advised.

A. Notices to the State shall be sent to:

For payment notices and invoices:

Attn: Director of Operations
Indiana Utility Regulatory Commission
101 West Washington Street, Suite 1500E
Indianapolis, Indiana 46204
dakosco@urc.in.gov

For legal notices:

Beth E. Helene, General Counsel
Indiana Utility Regulatory Commission
101 West Washington Street, Suite 1500E
Indianapolis, Indiana 46204
generalcounsel@urc.in.gov

B. Notices to the Grantee shall be sent to:

Lauryn Luckey
Education and Outreach Manager
Indiana 811
1433 Holey Moley Way Greenwood, IN 46143
lluckey@indiana811.org

As required by IC § 4-13-2-14.8, payments to the Grantee shall be made via electronic funds transfer in accordance with instructions filed by the Grantee with the Indiana Auditor of State.

19. Order of Precedence; Incorporation by Reference.

Any inconsistency or ambiguity in this Grant Agreement shall be resolved by giving precedence in the following order: (1) this Grant Agreement, (2) Exhibits prepared by the State, (3) Invitation to Apply for Grant; (4) the Grant Application; and (5) Exhibits prepared by Grantee. All of the foregoing are incorporated fully herein by reference.

20. Public Record.

The Grantee acknowledges that the State will not treat this Grant as containing confidential information, and the State will post this Grant on the transparency portal as required by Executive Order 05-07 and IC § 5-14-3.5-2. Use by the public of the information contained in this Grant shall not be considered an act of the State.

21. Termination for Breach.

A. Failure to complete the Project and expend State, local and/or private funds in accordance with this Grant Agreement may be considered a material breach, and shall entitle the State to suspend grant payments, and to suspend the Grantee's participation in State grant programs until such time as all material breaches are cured to the State's satisfaction.

B. The expenditure of State or federal funds other than in conformance with the Project or the Budget may be deemed a breach. The Grantee explicitly covenants that it shall promptly repay to the State all funds not spent in conformance with this Grant Agreement.

22. Termination for Convenience.

Unless prohibited by a statute or regulation relating to the award of the Grant, this Grant Agreement may be terminated, in whole or in part, by the State whenever, for any reason, the State determines that such termination is in the best interest of the State. Termination shall be effected by delivery to the Grantee of a Termination Notice, specifying the extent to which such termination becomes effective. The Grantee shall be compensated for completion of the Project properly done prior to the effective date of termination. The State will not be liable for work on the Project performed after the effective date of termination. In no case shall total payment made to the Grantee exceed the original grant.

23. Travel.

No expenses for travel will be reimbursed unless specifically authorized by this Grant.

24. Federal and State Third-Party Contract Provisions.

There are no federal funds provided by this Grant.

**25. Provision Applicable to Grants with tax-funded State Educational Institutions:
"Separateness" of the Parties.**

The State acknowledges and agrees that because of the unique nature of State Educational Institutions, the duties and responsibilities of the State Educational Institution in these Standard Conditions for Grants are specific to the department or unit of the State Educational Institution. The existence or status of any one contract or grant between the State and the State Educational Institution shall have no impact on the execution or performance of any other contract or grant and shall not form the basis for termination of any other contract or grant by either party.

THE REMAINDER OF THIS PAGE HAS BEEN INTENTIONALLY LEFT BLANK

Non-Collusion, Acceptance

The undersigned attests, subject to the penalties for perjury, that the undersigned is the Grantee, or that the undersigned is the properly authorized representative, agent, member or officer of the Grantee. Further, to the undersigned's knowledge, neither the undersigned nor any other member, employee, representative, agent or officer of the Grantee, directly or indirectly, has entered into or been offered any sum of money or other consideration for the execution of this Grant Agreement other than that which appears upon the face hereof. **Furthermore, if the undersigned has knowledge that a state officer, employee, or special state appointee, as those terms are defined in IC § 4-2-6-1, has a financial interest in the Grant, the Grantee attests to compliance with the disclosure requirements in IC § 4-2-6-10.5.**

Agreement to Use Electronic Signatures


I agree, and it is my intent, to sign this Contract by accessing State of Indiana Supplier Portal using the secure password assigned to me and by electronically submitting this Contract to the State of Indiana. I understand that my signing and submitting this Contract in this fashion is the legal equivalent of having placed my handwritten signature on the submitted Contract and this affirmation. I understand and agree that by electronically signing and submitting this Contract in this fashion I am affirming to the truth of the information contained therein. I understand that this Contract will not become binding on the State until it has been approved by the Department of Administration, the State Budget Agency, and the Office of the Attorney General, which approvals will be posted on the Active Contracts Database: <https://secure.in.gov/apps/idoa/contractsearch/>.

In Witness Whereof, the Grantee and the State have, through their duly authorized representatives, entered into this Grant Agreement. The parties, having read and understood the foregoing terms of this Grant Agreement, do by their respective signatures dated below agree to the terms thereof.

**INDIANA UNDERGROUND PLANT PROTECTION SER
Regulatory Commission**

Indiana Utility

Signed by:
By: 
507C2929E2B749B...

Signed by:
By: 
02320387E0D1414...

Title: Executive Director

Title: Chairman

Date: 2/11/2025 | 11:05 EST

Date: 2/21/2025 | 16:47 EST

	<p>Electronically Approved by: Department of Administration</p> <p>By: _____ (for) Brandon Clifton, Commissioner</p>
<p>Electronically Approved by: State Budget Agency</p> <p>By: _____ (for) Chad Ranney, State Budget Director</p>	<p>Approved as to Form and Legality: Office of Attorney General</p> <p><i>Form approval has been granted by the Office of the Attorney General pursuant to IC 4-13-2-14.3(e) on February 13, 2024 FA 24-02</i></p>

↩ **Exhibit A**

Applications: Kids Safe Digging Program

Profile

ghales@indiana811.org

Application Project Title

Kids Safe Digging Program

Organization Name

Indiana 811

START BUILDING YOUR APPLICATION BELOW THIS LINE.

Date

01/27/2025

Grantee Information

Name

Text

Laurn Luckey

Title (if applicable)

Text

Education and Outreach Manager

Entity Type (person, for-profit, non-profit, state, city, or township government, other - please specify)

Text

non-profit - 501(c)6

Business or Government Name (if applicable)

Text

Indiana 811

Phone Number

Text

317-501-2615

Address for Notices

Text Box

1433 Holey Moley Way Greenwood, IN 46143

Address Matching W-9 and Direct Deposit Forms

Text Box

see above

Email

Text

lluckey@indiana811.org

Company Website (if applicable)

Text

indiana811.org

Alternate Contact: Name, Phone, Email

Text Box

Is the applicant past due on any Indiana Code Ch. 8-1-26 fines or training requirements as issued by the IURC?

Radio Button

NO

If yes, please explain:

Text Box

Select YES to confirm you understand that any training paid for with a UPPA Fund grant does NOT satisfy a penalty approved under the Indiana 811 Law, Ind. Code ch.8-1-26:

Radio Button

YES - I understand

Grant Signatory Information

Name, Title, and email of the person who will sign this grant for you/your company if approved (if it is the same person listed under Name above, write "see above")

Text Box

Justin Sell
Executive Director
jsell@indiana811.org

Grant Project Scope

What is the estimated start date for this project?

Date

01/01/2025

What is the length of time for this project?

Text

The project will conclude December 31, 2025

Describe the specific details of your proposed project. Why did you select this project? What, in detail, do you intend to do? How will this project improve underground utility safety?

Text Box

1. Indiana 811 is planning to complete a children's educational program for 4th graders throughout the state of Indiana. The 4th grade age range was recommended by Nation Energy Foundation, a former education partner, as the best age group to retain and promote messaging after a presentation.

2. Indiana 811 proposes a plan for the development and promotion of an energy safety 811 education program targeted to elementary age students, with the name of Indiana 811 Kids Safe Digging Program. Indiana 811 approaches the project with great enthusiasm and the belief that the need is extremely important and timely, and that great potential and opportunity exists.

3. Indiana 811 Kids Safe Digging Program is projected to present, with Indiana 811 Education and Outreach Teams support, at 20 schools in 4th grade classrooms throughout the state of Indiana in 2025. Indiana 811 will be developing pre & post surveys, kid's friendly packets, and teacher folders. Indiana 811 will complete all the outreach to coordinate the teams presentations with the 20 participating schools. The 20 schools will be divided between the entire state of Indiana with a goal of 6 schools in northern IN, 8 schools in central IN, and 6 schools in southern IN.

Presenters of this program will be:

Lauryn Luckey - Education and Outreach Manager

Mason Hubner - Education and Outreach Specialist

Maddie McCaughey - INPAA Program Manager

Johnna Bingham - Education and Outreach Specialist

McKennah Heckman - Education and Outreach Specialist

Students and teachers will be provided with 811 safety-focused educational training in both a presentation and materials form. Indiana 811's instructional materials will be provided to participating teachers and students. Teachers will receive a teacher folder and a \$25 Amazon e-gift card "mini grant" to promote safe digging within their classrooms. Indiana 811 will also design the pre and post surveys for the Indiana 811 Team to distribute and collect. Indiana 811 will evaluate the surveys and compile data to be reviewed at the conclusion of the program. (July 2025 and December 2025) Indiana 811 will design an 811, kid friendly, all utility encompassing tri-fold to be left with students. Indiana 811 will design a poster contest for students to participate in, with parent approval. Once we receive parent approval Indiana 811 owns all poster artwork after submission.

Indiana 811 will develop an online survey for guardians to take via a QR code on the take home flyers regarding their 811 knowledge. We will incentive them to take the survey by selecting at random a survey taker to win a \$100 Amazon e gift card.

You may upload files to support your proposal here

File Upload

2025 Grant_Application 2.docx

Provide a schedule and/or list of milestones for your proposed project

Text Box

Indiana 811 will begin the creation of the project February 1, 2025.

Indiana 811 Education and Outreach Team will begin traveling and presenting the Indiana 811 Kids Safe Digging Program Spring of 2025. The project goal is 10 schools in the spring and 10 schools in the fall with two poster contests for each semester.

The project will be completed December 31, 2025.

Indiana 811 will have a final report about our project December 31, 2025

You may upload a pre-prepared schedule here.

File Upload

Ind. Code 8-1-26-24 establishes the Underground Plant Protection Account (UPPA) to provide funding for programs established and administered by

the Indiana Utility Regulatory Commission (IURC) as listed below. Choose which category your project will be:

Radio Button

A public awareness program concerning buried utility protection.

Please describe your target audience for this project

Who will this project benefit? (ex. your employees, the public, the excavation community, etc.)

Text

The next generation of homeowners, and hopefully they will promote this message in their household

Is there a target range, industry, or other demographic your project is targeting?

Text Box

This project will benefit the affected public, more specifically the teacher, parent, grandparent, legal guardian, and student. Indiana 811 Kids Safe Digging Program will provide a teacher packet for teachers and a take-home pamphlet for students. The content of the pamphlets will cover contacting 811 before digging and relate to all utilities - natural gas, electricity, sewer, water and communications (TV, cable, telephone).

If targeting businesses or people outside of your company, how did you choose who to target?

Text Box

Through conversations with a former education partner, NEF, Indiana 811 decided that the 4th grade students are most receptive to the 811 Before You Dig messaging and is the most likely candidate to promote this message to their household

Please provide a description of how your program's results will be measured to determine the effectiveness of your project.

Text Box

We will be completing pre and post surveys during each classroom visit evaluating the knowledge of 811. Once we complete the visits, we will compile all surveys and evaluate the effectiveness of the message and will have a full report of the results by December 31, 2025.

Grant history

Have you done a similar project in the past?

Radio Button

Yes

If yes

Were any lessons learned from the last project?

Text Box

Yes! We learned that this project was a huge success and there was a high demand for it in Indiana. 2022: Spring & Fall semester pre and post surveys showed an increase of 811 awareness by 38% and an overall understanding of who Indiana 811 is by 65%. 2023: Spring semester pre and post surveys showed an increase of 811 awareness by 35% and an overall understanding of who Indiana 811 is by 62%. We saw a growth in total number of students from 2022 to 2023. We had 1155 students in 2022 and 1436 in 2023, and 1112 in 2024. 2024: Spring semester pre and post surveys showed an increase of 811 awareness by 32% and an overall understanding of who Indiana 811 is by 55%. We anticipate there to be total student growth in 2025.

In 2024, we observed that our partnership with NEF led to several repeat schools, resulting in a decline in our numbers. Consequently, we have decided that it would be in Indiana 811's best interest to bring the Indiana 811's Kid's Safe Digging Program in-house and discontinue our partnership with NEF.

Explain how the scope of your current request is different from the last project and how you intend to implement the lessons you learned.

Text Box

The cost for this program has decreased. We have taken the program in house and will no longer be partnering with NEF. We found that they were creating several scheduling issues for Indiana 811 and lacked when it came to proper communication with the school and Indiana 811. We are confident with the consistent year over year interest that bringing this program in house and utilizing a school contact list that we purchase we will maintain the same numbers as years previous.

If this grant requires completing online training first, you must upload proof of completion. For example, requests for training require completing the

free, online training at
www.SafeDigIndiana.com

File Upload

Metrics Information

How did you learn about the UPPA Fund?

Radio Button

Other

If "other", please list where you heard about the UPPA Fund.

Text

Darby Miller

What is your current role in excavation or pipeline safety?

Text

Lauryn - I am the Director of Education and Outreach with Indiana 811. I manage the Education and Outreach team and all Indiana 811 marketing. The E&O team outreaches to Indiana 811 members and various stakeholders in Indiana to educate them about safe digging and 811.

List any other measures your company/organization has or intends to take related to public awareness, training/education, and incentives for buried utility safety:

Text Box

INPAA - Pipeline safety

Education and Outreach Team - Damage prevention

Damage Prevention Councils

Indiana 811 Call Center

Is there any other information you'd like reviewers to consider?

Text Box

DO NOT TOUCH BELOW THIS LINE.

Average Score

Budget Worksheet

View Budget Worksheet

<https://portal.ecivis.com/#!/peerBudget/27343D84-5758-4574-8071-FAC8A961A319>

Application Goals

View Application Goals

<https://portal.ecivis.com/#!/peerGoals/B355BFC1-6D26-449E-9973-2C76503A3FEA>

of Reviews

0

of Denials

0

Presentational Text

Date

Year

Applications: File Attachments

File Upload

2025 Grant_Application 2.docx

Exhibit B

Grant Application # _____ (for IURC use only)

Images for review:

Tote Bags for Supplies for Teachers:



Handouts for students that correlates to our Hard Hat Explorers theme this year.

Kids Super Star Totes:



Exhibit B

Grant Application # _____ (for IURC use only)

Magnifying Reader:



Color Changing Pencils:



Exhibit B

Grant Application # _____ (for IURC use only)

The take home flyer that explains the tolerance zone and shows the color code card for parents to read.



Exhibit B

Grant Application # _____ (for IURC use only)

This is a sample of what NEF did for IN811 Kids Leave behind pamphlet in 2023.

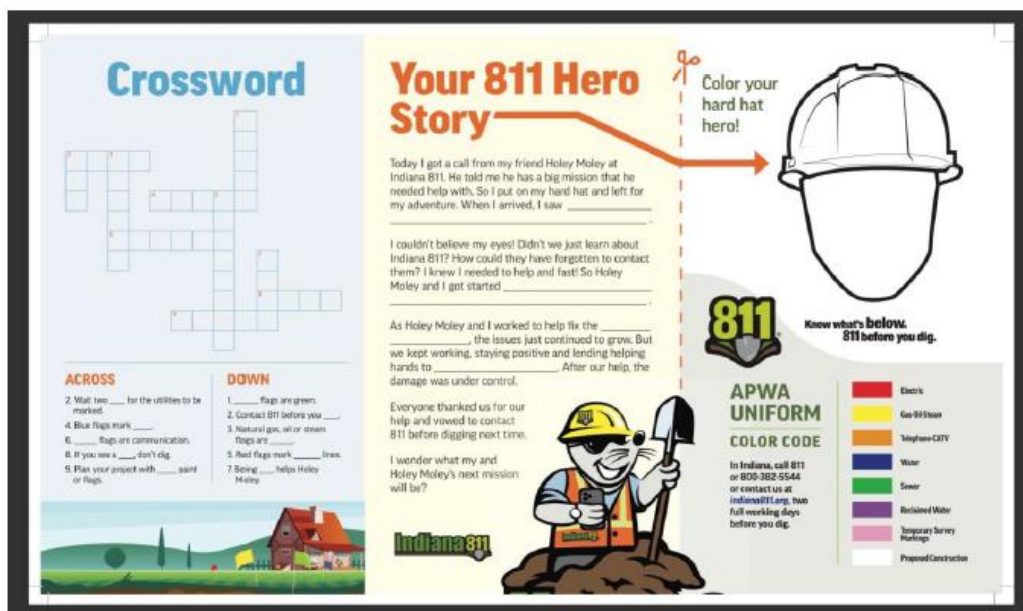
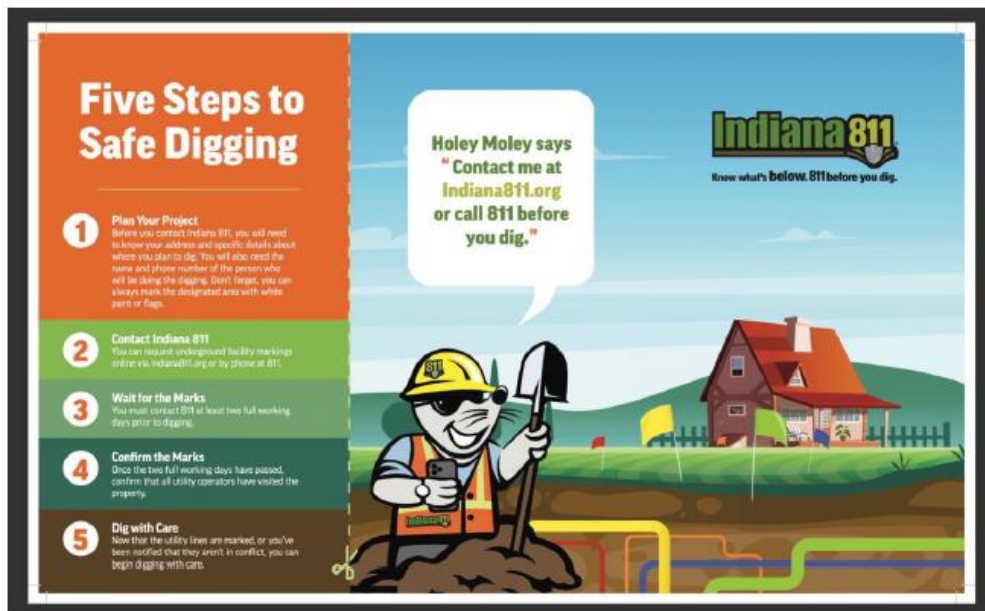


Exhibit B

Grant Application # _____ (for IURC use only)

Sample of the poster contest from 2022 that allows us to own the artwork after submission. We then can use this artwork however we would like. The student will draw, color, paint, ext. their artwork on the other side of this cardstock paper that we will leave with the teachers, post presentation. The teachers will have the students take this home, complete their poster, get it signed by the parents, and send it in for a chance to win an iPad Mini.

INTRODUCTION

The Indiana 811 Kids Safe Digging Poster Contest is a student poster contest sponsored by Indiana 811 and developed by the National Energy Foundation (NEF). The purpose of the contest is to combine a student's originality and artistic talent with their ability to share the important message of **Know what's below. Contact 811 before you dig.**

Students can think about underground utilities, talk to adults about those issues and take action when digging. They understand the ways to protect themselves, their families, and communities by utilizing 811 to mark underground utilities when planning to dig. Contacting 811 is fast, free, easy, but most importantly **it's the law.**

The 811 law applies to everyone: professional contractors, businesses, and even homeowners. Simply put, the law is **contact 811 before you dig.** This easy practice helps protect our communities and provides damage prevention to all utilities below ground.

Indiana has a system of marking property with colored flags and/or paint to reference above the ground where utilities are located below. When 811 is contacted, local member utilities are notified and send a locator to the site to mark underground utilities. This required practice helps those who plan to dig to avoid hitting underground utilities.

This contest provides teachers an easy way to incorporate safety education into classroom curriculum. Our role is to help students understand the reasons why contacting 811 is an important part of damage prevention. For more information on 811 go to indiana811.org.



JUDGING AND PRIZES

Entries will be judged on how effectively students communicate the theme **Know what's below. Contact 811 before you dig.** Projects should express the student's ideas in a positive and constructive design. Points will be awarded for posters promoting the idea: **Contact 811 before you dig.**

Entries will be evaluated by a panel of judges comprised of educators and experts from Indiana 811. By entering this contest, entrants agree to be bound by the decisions of the judges, which are final.

- One grand prize winner will be selected.
- The winner will be notified by June 2022 for spring presentations and September 2022 for fall presentations.
- Prizes are not transferable, substitutable, or exchangeable for cash. The contest sponsors, at their discretion, may substitute a prize of equal or greater value.
- The grand prize winner (1) will be awarded:
 - An iPad iPad 9th Generation, 64 GB, Wi-Fi only, Engraved: Contact 811 Before You Dig, OtterBox Symmetry Series 360 Case



Exhibit B

Grant Application # _____ (for IURC use only)

Step 1: Create a unique poster.

Step 2: Write an explanation.

Step 3: Fill out the official entry form and submit.

HOW TO ENTER

- Teachers provide each student with a poster board that includes an entry form printed on the back. The form must be completed and include the parent/guardian signature.
- An entire class does not have to participate. If one teacher has several classes, all students and classes may enter the contest.
- Teachers give deadlines and challenge students to produce their own poster design.
- All entries from a class should be sent together. Submit students' entries in the postage paid envelope provided in the contest packet. (Indiana 811 assumes no responsibility for damages incurred during the shipping process.)
- **If your school is closed** and the students need to submit their entry online, contact Lauryn Luckey at lluckey@indiana811.org for help.

ELIGIBILITY REQUIREMENTS

- All entries must be original work, either hand drawn or computer generated. Hard copy entry required; electronic files will not be accepted but may be requested after judging.
- No syndicated, copyrighted or clip art images can be used.
- All entries must be postmarked on or before June 10, 2022 for spring presentations or September 30, 2022 for fall presentations.
- Open to students in fourth grade.
- Entries must be the work of an individual, not a group or team.
- Employees of Indiana 811, including their immediate families, household members and dependents may enter but are not eligible to win prizes.
- All rules and requirements must be followed or automatic disqualification will result.

ADDITIONAL INFORMATION

- Because the contest is designed to encourage total classroom participation, the teacher should submit all entries together. However, it is not necessary for all students to enter.
- All entries and all rights thereto become the property of sponsors and will not be returned.
- By entering this contest, entrants grant the sponsors and their designees the right, unless prohibited by law, to reproduce and publish entries in any way and format in all forms of media (publications, websites) without compensation, for the purpose of publicity in any and all manner or media, now or hereafter, throughout the world in perpetuity.
- Odds of winning depend on the number of entries received, and no purchase is necessary to enter.
- The sponsor is not responsible for lost, late, incomplete, or illegible entries or notifications.
- The sponsor shall not be liable for, and participants and their parent/guardian agree to hold sponsors harmless from, any injury, loss, damage, costs, or expense arising directly or indirectly in connection with this contest or prize. Except as noted, all expenses associated with the prize are the sole responsibility of the parents or legal guardians of the prize recipient.
- The sponsor reserves the right to cancel, suspend or modify this competition. Should causes beyond its control prevent the successful administration, winner selection, or prize award.
- Contest is subject to all federal, state and local laws and regulations and is void where prohibited by law. By entering, participants agree to the contest rules and requirements.
- The contest is sponsored and funded by Indiana 811.

Exhibit B Grant Application # _____(for IURC use only)

Pre and Post Survey with Spring 2024 Findings -
Spring semester pre and post surveys showed an increase of 811 awareness by 32% and an overall understanding of who Indiana 811 is by 55%

Indiana 811 Kids Safe Digging Program

Pre/Post Survey Summary Report Fall 2024

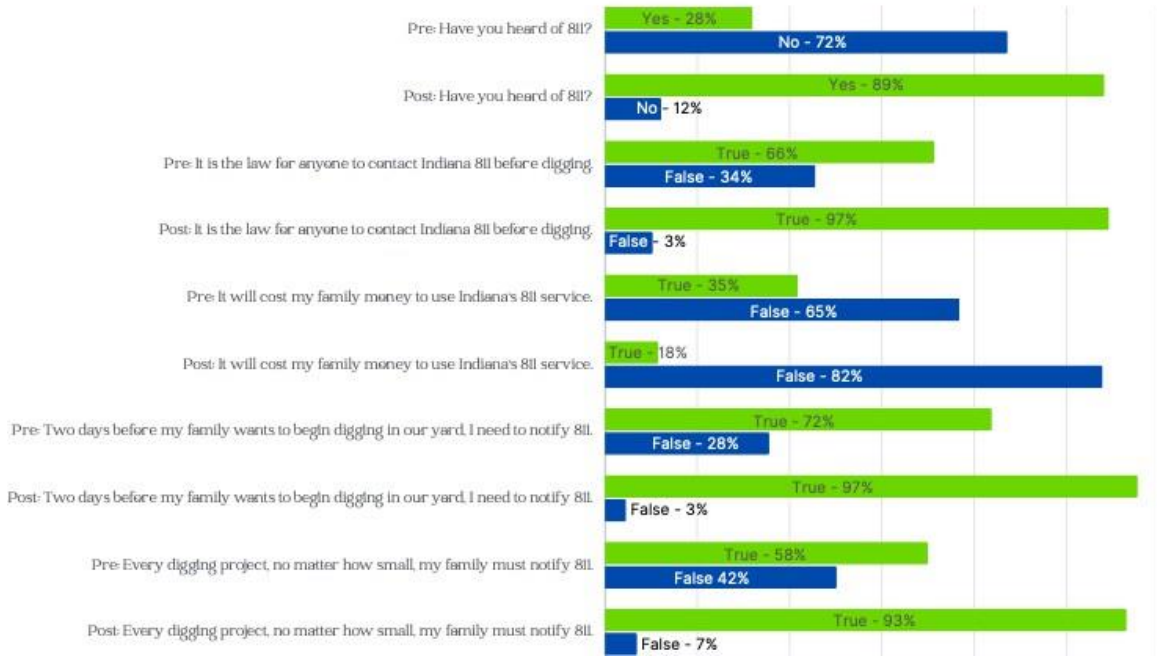
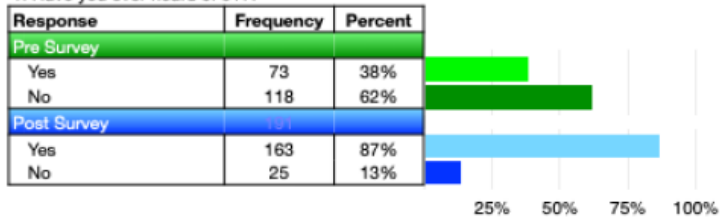


Exhibit B

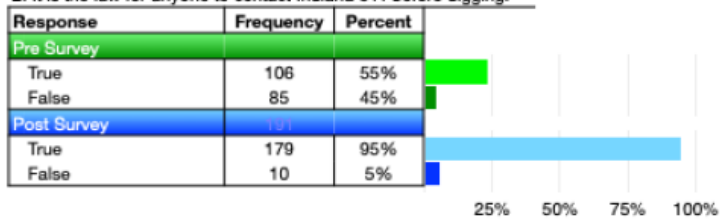
Grant Application # _____ (for IURC use only)

Indiana 811 Kids Safe Digging Program Pre/Post Survey Summary Report

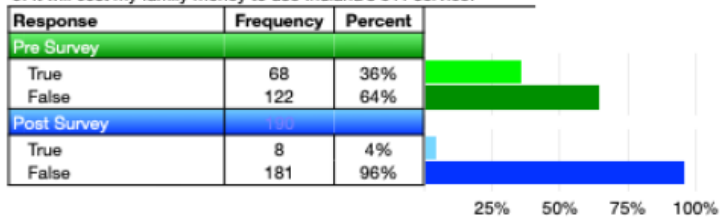
1. Have you ever heard of 811?



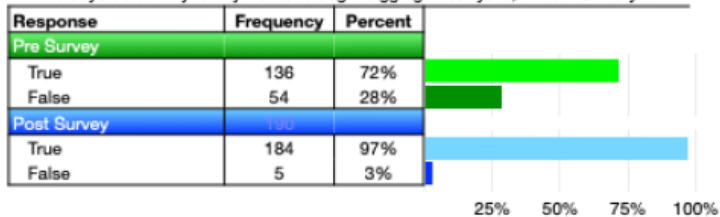
2. It is the law for anyone to contact Indiana 811 before digging.



3. It will cost my family money to use Indiana's 811 service.



4. Two days before my family wants to begin digging in our yard, I need to notify 811.



5. Every digging project, no matter how small, my family must notify 811.

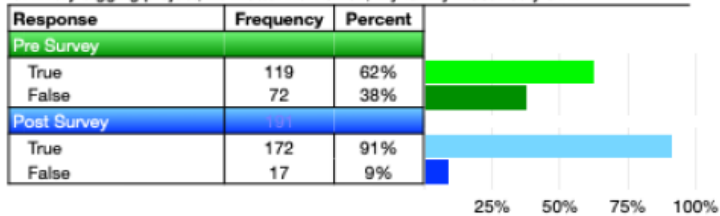


Exhibit B

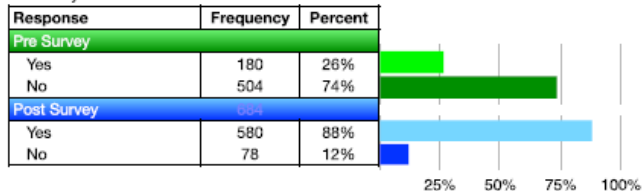
Grant Application # _____ (for IURC use only)

Pre and Post Survey with Spring 2023 Findings -

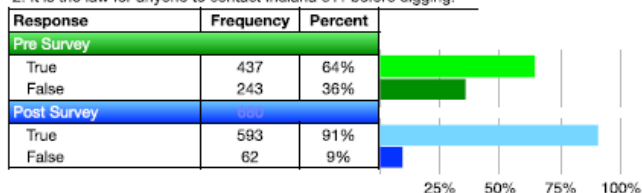
Spring semester pre and post surveys showed an increase of 811 awareness by 35% and an overall understanding of who Indiana 811 is by 62%

**Indiana 811 Kids Safe Digging Program
Pre/Post Survey Summary Report spring 2023**

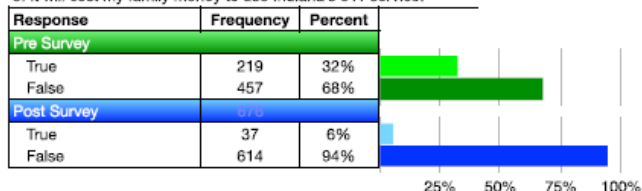
1. Have you ever heard of 811?



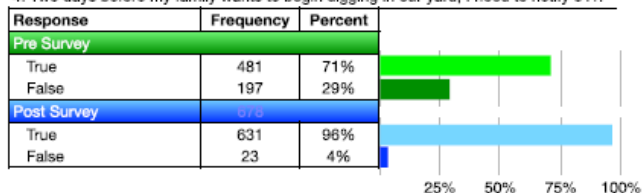
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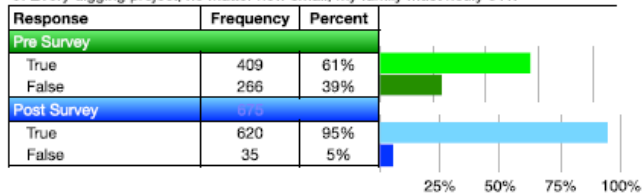


Exhibit B Grant Application # _____ (for IURC use only)

2023 Fall

Total number of students outreached to in 2023 is 1436. Fall semester pre and post surveys showed an increase of 811 awareness by 36% and an overall understanding of who Indiana 811 is by 63%

Indiana 811 Kids Safe Digging Program

Pre/Post Survey Summary Report Fall 2023

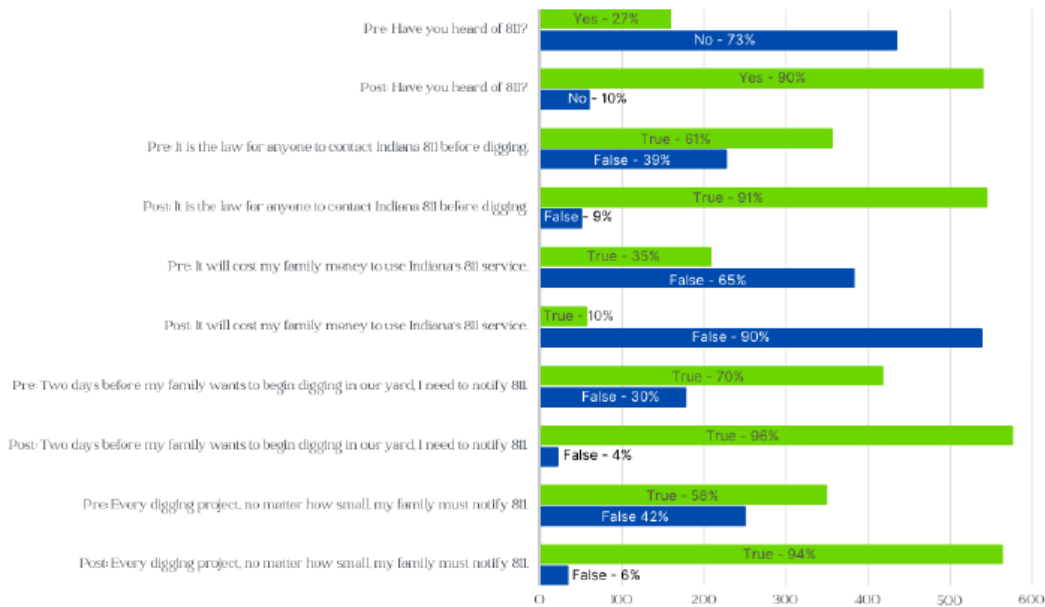


Exhibit B

Grant Application # _____ (for IURC use only)

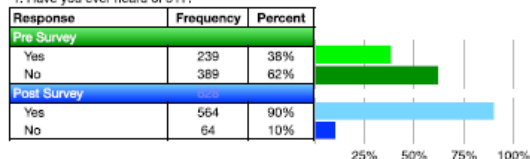
2022 Review:

Total number of students outreached to in 2022 was 1155.

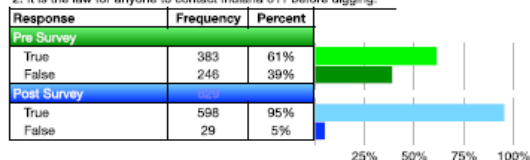
Spring & Fall semester pre and post surveys showed an increase of 811 awareness by 38% and an overall understanding of who Indiana 811 is by 65%

**Indiana 811 Kids Safe Digging Program
Pre/Post Survey Summary Report**

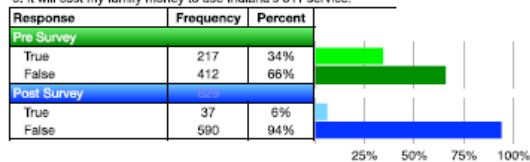
1. Have you ever heard of 811?



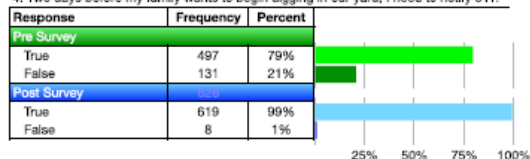
2. It is the law for anyone to contact Indiana 811 before digging.



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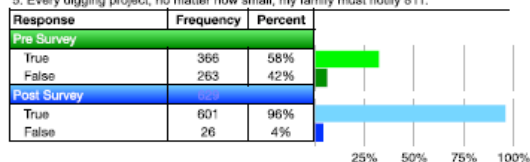
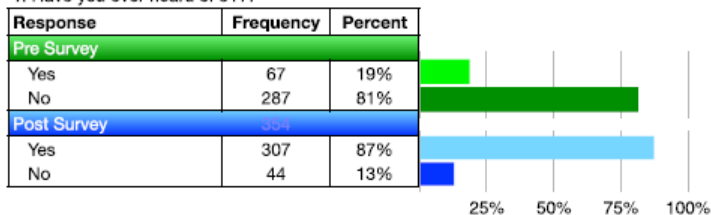


Exhibit B

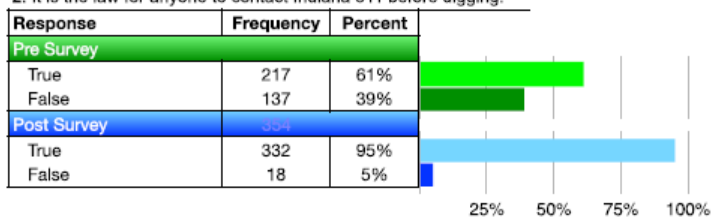
Grant Application # _____ (for IURC use only)

Indiana 811 Kids Safe Digging Program Pre/Post Survey Summary Report fall 2022

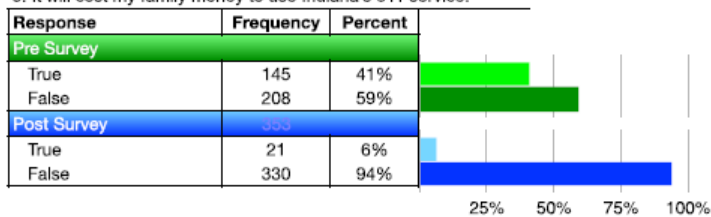
1. Have you ever heard of 811?



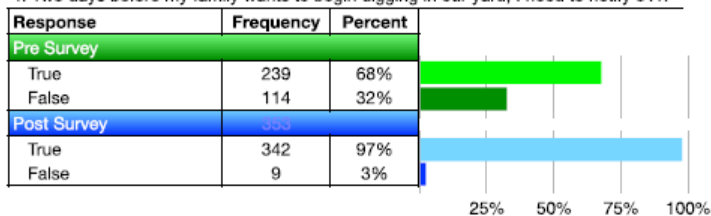
2. It is the law for anyone to contact Indiana 811 before digging.



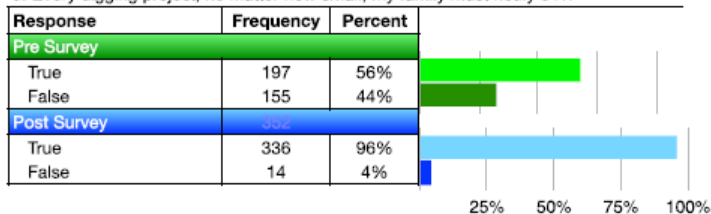
3. It will cost my family money to use Indiana's 811 service.



4. Two days before my family wants to begin digging in our yard, I need to notify 811.



5. Every digging project, no matter how small, my family must notify 811.



Profile: ghales@indiana811.org

Applicant Information

Tell us about you.

Linked Applicant

ghales@indiana811.org

First name

Grace

Last name

Hales

Email (Do not edit or delete, eCivis purposes)

ghales@indiana811.org

Applicant Type

Organization

Title

Communication and Social Media Specialist

Company/Organization

Indiana 811

Fax

Doing Business As (DBA)

Company/Organization Website

indiana811.org

Address

1433 Holey Moley Way

Address 2

City

Greenwood

County

Johnson

State

Indiana

Zip Code

46143

Telephone Number

3175012615

Extension

Organization Information

Provide the information for the organization applying.

Organization Name

Indiana 811

Doing Business As (DBA)

Organization Type

Nonprofit Organization

Taxpayer Identification Number (TIN)

123456789

Does this organization have a Unique Entity ID (UEI) issued by the System for Award Management (SAM.gov)?

No

DUNS

Does this organization have an additional federal or state identifier?

No

Organization Address

Address

1433 Holey Moley Way Greenwood, IN 46143

Address 2

City

Greenwood

County

Johnson

State

Indiana

Zip

46143

Telephone Number

Extension

Are you the Authorized Representative for this Organization?

Yes

Region

Are you the Business/Finance Contact for this Organization?

No

Enter the information for the person who leads the organization's finances e.g., Chief Financial Officer (CFO) or Treasurer.

Name

Rhonda Teague

Title

Director of Finance

Organization Name

Indiana 811

Doing Business As (DBA)

Email

rteague@indiana811.org

Telephone Number

3178931468

Extension

If applicable, enter the additional federal or state identifier for the Business/Finance Contact's organization.

Are you the Lead Organizational Grant Administrator for this Organization?

No

The Lead Organizational Grant Administrator is the person who leads the organization's grants activities.

Name

Laurn Luckey

Title

Director of Education and Outreach

Organization Name

Indiana 811

Doing Business As (DBA)

Email

lluckey@indiana811.org

Telephone Number*

3175012615

Extension

If applicable, enter the additional federal or state identifier for the Lead Organizational Grant Administrator's organization.

Budget: 73808124

Application

Kids Safe Digging Program

Please use the following link to view the application budget. This link will open in a new window.

<https://portal.ecivis.com/peerBudget/27343D84-5758-4574-8071-FAC8A961A319>

Budget Status

Not Approved

Budget Report

Passthrough Agency: State of Indiana
 Program: Underground Plant Protection Account (UPPA) Fund
 Project Name/Title: Kids Safe Digging Program
 Org Name: Indiana 811
 Stage: Pre-Award

Report Date: 01/28/2025
 Requested By: Gracie Hales
ghales@indiana811.org

Budget Items

Category	Title	Description	Units	Unit Cost	Extended Cost	Direct Cost	Indirect Cost	GL Account	Cost Share	Type
Personnel										
	Personnel	Personnel	0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	Direct Cost
Personnel Total			0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
Fringe Benefits										
	Fringe Benefits	Fringe Benefits	0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	Direct Cost
Fringe Benefits Total			0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
Travel										
	Fuel	Fuel reimbursement for 811 Education&Outreach team as they travel to and from presentations	0	\$0.00	\$0.00	\$500.00	\$0.00		\$0.00	Direct Cost
Travel Total			0	\$0.00	\$0.00	\$500.00	\$0.00		\$0.00	
Equipment										
	Equipment	Equipment	0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	Direct Cost
Equipment Total			0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
Supplies										
	4 Imprint Leave behinds	totes, pencils, coloring tote set, kids magnifying glass, stickers, folders	0	\$0.00	\$0.00	\$9,089.13	\$0.00		\$0.00	Direct Cost
	Brainstorm Print Handouts	Supporting documents	0	\$0.00	\$0.00	\$2,584.00	\$0.00		\$0.00	Direct Cost
	Teacher and Principle Contact List	list of emails of 4th grade teachers/vice principals in Indiana	0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	Direct Cost
Supplies Total			0	\$0.00	\$0.00	\$11,673.13	\$0.00		\$0.00	
Contractual										
	Contractual	Contractual	0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	Direct Cost
Contractual Total			0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
Grant Program/Grant Agreement(s)										
	Grant Program/Grant Agreement(s)	Grant Program/Grant Agreement(s)	0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	Direct Cost
Grant Program/Grant Agreement(s) Total			0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
Construction										
	Construction	Construction	0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	Direct Cost
Construction Total			0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
Other										
	Amazon Gift Cards	Each teacher will receive a "mini grant" in the form of a \$25 amazon e-gift card to use within their classroom. We are expecting 5 teachers per school, with \$25 per teacher, and 20 schools in total	0	\$0.00	\$0.00	\$2,500.00	\$0.00		\$0.00	Direct Cost
	Amazon Gift Card- Parent	Indiana 811 will develop an online survey for guardians to take via a QR code regarding their 811 knowledge. We will incentive them by selecting at random a survey taker to win a \$100 Amazon gift card. There will be one winner in spring and one in fall.	0	\$0.00	\$0.00	\$200.00	\$0.00		\$0.00	Direct Cost
Other Total			0	\$0.00	\$0.00	\$2,700.00	\$0.00		\$0.00	
Indirect Cost										
	Indirect Cost	Indirect Cost	0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	Direct Cost
Indirect Cost Total			0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
Other										
Other										
	Other		0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
Other Total			0	\$0.00	\$0.00	\$0.00	\$0.00		\$0.00	
Grant Total										
Grant Total			0	\$0.00	\$0.00	\$14,873.13	\$0.00		\$0.00	

Budget Report, Created by Gracie Hales, ghales@indiana811.org, 01/28/2025
 Source: eCivis Portal
<http://www.ecivis.com/>

EXHIBIT C -- Annual Financial Report for Non-governmental Entities

Guidelines for filing the annual financial report:

- 1) Filing an annual financial report called an Entity Annual Report (E-1) is required by IC 5-11-1-4. This is done through Gateway which is an on-line electronic submission process.
 - a. There is no filing fee to do this.
 - b. This is in addition to the similarly titled Business Entity Report required by the Indiana Secretary of State.
 - c. The E-1 electronical submission site is found at <https://gateway.ifionline.org/login.aspx>
 - d. The Gateway User Guide is found at <https://gateway.ifionline.org/userguides/E1guide>
 - e. The State Board of Accounts may request documentation to support the information presented on the E-1.
 - f. Login credentials for filing the E-1 and additional information can be obtained using the notforprofit@sboa.in.gov email address.
- 2) A tutorial on completing Form E-1 online is available at https://www.youtube.com/watch?time_continue=87&v=nPpgtPcdUcs
- 3) Based on the level of government financial assistance received, an audit may be required by IC 5-11-1-9.