

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

PRINTED: 05/28/2019

FORM APPROVED

OMB NO. 0938-039

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER 15G745		X2) MULTIPLE CONSTRUCTION A. BUILDING 00 B. WING		X3) DATE SURVEY COMPLETED 04/26/2019	
NAME OF PROVIDER OR SUPPLIER RES CARE SOUTHEAST INDIANA				STREET ADDRESS, CITY, STATE, ZIP COD 16611 SIMA GRAY RD HENRYVILLE, IN 47126			
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W 0000 Bldg. 00	<p>This visit was for a focused fundamental recertification and state licensure survey.</p> <p>Dates of survey: April 23, 25 and 26, 2019.</p> <p>Facility Number: 011663 Provider Number: 15G745 AIM Number: 200902020</p> <p>This state finding is in accordance with 460 IAC 9. Quality Review of this report completed by #15068 on 5/8/19.</p>		W 0000				
W 9999 Bldg. 00	<p>State Findings:</p> <p>The following Community Residential Facilities for Persons with Developmental Disabilities rule was not met:</p> <p>460 IAC 9-3-4 Active Treatment Services.</p> <p>(b) The provider shall obtain day services for each resident which: (1) meet the criteria and certification requirements established by the division of aging and rehabilitative services for all day service providers; (2) meet the resident's active treatment needs set forth in the resident's individual program plan as determined by the interdisciplinary team conference with preference for services in the least restrictive environment.</p> <p>This state rule was not met as evidenced by:</p> <p>Based on observation, record review and</p>		W 9999	<p>The Program Manager will ensure the QIDP (Qualified Intellectual Disabilities Professional) continues to pursue Active Treatment Services for clients that meet the criteria and certification requirements established by the division of aging and rehabilitative services for day service providers. The facility will pursue day service placement for clients in the least restrictive environment as determined by the Interdisciplinary Team. The QIDP will contact local Day Service Providers and other volunteer organizations until appropriate day service activities are identified and clients have been accepted. The QIDP and Program Manager will meet weekly to discuss the status of</p>		05/19/2019	

LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

Any deficiency statement ending with an asterisk (*) denotes a deficiency which the institution may be excused from correcting providing it is determined other safeguards provide sufficient protection to the patients. (see instructions.) Except for nursing homes, the findings stated above are disclosable following the date of survey whether or not a plan of correction is provided. For nursing homes, the above findings and plans of correction are disclosable following the date these documents are made available to the facility. If deficiencies are cited, an approved plan of correction is requisite to continued program participation.

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	<p>interview, the facility failed to meet the active treatment needs pertaining to day services programming for 2 of 2 sampled clients (#1 and #2), plus two additional clients (#3 and #4), to ensure the clients attended an outside day service program.</p> <p>Findings include:</p> <p>Observations were conducted on 4/23/19 from 12:50 PM until 5:36 PM and on 4/25/19 from 5:45 AM until 8:00 AM at the facility where clients #1, #2, #3, and #4 lived. Client #1 was home with staff #2 and did not attend a day program. Client #1 was doing his laundry then he went to his bedroom alone. Client #2 left the home with staff #1 to pick up clients #3 and #4 from GED/Graduate Equivalency Diploma class. Clients #2, #3 and #4 did not go to a day program. Clients #2, #3, and #4 arrived back to the facility with staff #1 at 2:06 PM. Clients #3 and #4 left the facility at 2:15 PM with staff #3 and #2 to go to the local [recreation center] to swim. Client #1 continued to do activities in his room and complete his laundry. Client #2 prepared the evening meal with assistance by staff #6. Clients #3 and #4 came back to the facility at 4:55 PM. Clients #1, #2, #3 and #4 had the evening meal at 5:00 PM.</p> <p>Observations were conducted on 4/25/19 from 5:45 AM until 8:00 AM. Clients #1, #2, #3, and #4 did not go to an outside day program or workshop.</p> <p>1. Review of client #1's record on 4/23/19 at 1:15 PM indicated an Individual Support Plan/ISP dated 2/28/19. The ISP indicated client #1 was referred for Extensive Support Needs (ESN) level of care. "His sister, [name], is his legal guardian. His mother, [name], is also very involved in his</p>				<p>Day Program Placement input from the Residential Manager, Behaviorist, Nurse, and Area Supervisor will be used to find a placement.</p> <p>Persons Responsible, Program Manager, QIDP, Nurse, Area Supervisor, Residential Manager</p>		

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	<p>care. [Client #1] came from a home in [town, state], where he was living in an apartment as a part of supported living services provided by [agency]. He has full mobility and has effective use of all extremities. [Client #1] is able to verbalize his wants and needs effectively. [Client #1] is self-sufficient with regard to eating, but needs assistance in making healthy choices. [Client #1] needs assistance in preparing meals as he cannot use the stove. [Client #1] can dress himself on his own. [Client #1] typically does not require frequent prompts to clean his immediate environment (i.e. his bedroom), but needs assistance or prompts with washing dishes, cleaning the bathroom, processing laundry, and bed making. [Client #1] is able to make phone calls without assistance. He is capable of making purchases in the community; however, he needs assistance with financial management. He is capable of self-administration of medication, yet required assistance in ensuring that his medications are refilled. He can learn most basic skills using repetition, but often loses interest in the use of this method. [Client #1] receives psychotropic medications to assist him in managing negative behaviors." The ISP did not give contraindication for client #1 to attend an outside day service.</p> <p>An interview was conducted with QIDP/Qualified Intellectual Disabilities Professional #1 on 4/25/19 at 10:55 AM. The interview indicated client #1 had no community day service placement and he was difficult to motivate. Client #1 was in the process of participating in vocational rehabilitation services which meant he visited job sites. The client would scream and refuse to participate in the job activities and state the places were "Too loud." Client #1 did not have a community job or attend a workshop at the time of the survey. Client</p>						

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	<p>#1 was waiting for paperwork to be completed and did not attend a day program at the time of the survey.</p> <p>2. Client #2's record was reviewed on 4/23/19 at 3:34 PM. The review indicated an ISP dated 3/20/19 which indicated:</p> <p>"[Client #2] is a [age] male who has resided at an Extensive Support Needs home since 2010. He is energetic, friendly, and enjoys socializing and sharing his interest including watching Barney, Sesame Street, and Alf, listening to Raffi music, and making notes for his mother. [Client #2] also enjoys talking about his family often and likes to draw family members in notes he makes with staff. [Client #2] is highly social and easily excited. Often, this contributes to [Client #2] yelling and invading other's personal boundary space. [Client #2] likes to make other people laugh and will often be seen laughing to himself when he is in a pleasant mood. [Client #2] enjoys going into the community regularly and seems to enjoy going to [restaurants], to a movie theater, to the park, and to [shopping]. [Client #2] enjoys one-on-one attention from staff and becomes agitated when he is not receiving staff attention. [Client #2] has the ability to display appropriate behaviors for a lengthy period of time when frequent interaction is provided to him by staff. [Client #2] can be difficult to understand at times but does not mind when other people ask him to repeat what he is saying."</p> <p>An interview was conducted with QIDP #1 on 4/25/19 at 7:15 AM. The interview indicated client #2 had exhibited behaviors which had gotten him dismissed from a day program. The interview indicated client #2 did not attend a day program at the time of the survey.</p>						

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	<p>3. Client #3's ISP, dated 2/20/19, was reviewed on 4/23/19 at 2:20 PM. The review indicated client #3's Diagnosis, included but was not limited to, mild level of intellectual disability.</p> <p>"[Client #3] [age], a [race] male and he presents with historical diagnoses of mild intellectual handicap, history of fetal alcohol syndrome, history of ADHD, emotional disturbance, asthma, and a history of depression. Though short on stature...[Client #3] brings many new monumental challenges to the ESN homes in [town, state]...Active treatment [Client #3] needs constant verbal prompts for him to complete his chores. [Client #3] will not complete his chores independently. He requires constant interactions with staff to complete tasks at hand. [Client #3] presents new challenges to the ESN homes through his many different behaviors. Through supervision, the development of skills and attitude, ESN will assist [Client #3] in achieving his future endeavors...</p> <p>The interdisciplinary team recommends that he have supervision while participating in community activities, as he has not acquired safe pedestrian skills. [Client #3] requires structure for leisure time activities. The interdisciplinary team has reviewed the comprehensive assessments and determined that currently, due to the level of needs and training required and his unwillingness to transfer some skills to other environments or settings. [Client #3] needs continued placement and active treatment services...."</p> <p>An interview was conducted with QIDP #1 on 4/25/19 at 7:15 AM. The interview indicated client #3 was difficult to motivate. The interview indicated client #3 did not attend a day program at the time of the survey.</p> <p>4. Client #4's record was reviewed on 4/26/19 at</p>						

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	<p>9:30 AM. The review indicated an ISP dated 3/28/19 which indicated:</p> <p>"[Client #4] has full mobility and has effective use of all extremities. [Client #4] is able to verbalize his wants and needs effectively; however he needs assistance in determining priority of needs over wants. [Client #4] is self-sufficient with regard to eating, needs assistance in making healthy choices. [Client #4] needs assistance in preparing meals that require cooking using the stove. [Client #4] can dress himself on his own, requires prompt to wear appropriate and clean clothing. [Client #4] requires frequent prompting's to complete activities of daily life, particularly bathing, brushing, and wearing clean clothes. [Client #4] can complete toileting without assistance. [Client #4] would be able to complete some basic household chores given assistance to guide him on appropriate methods. [Client #4] is able to make phone calls without assistance, needs monitoring so as to prevent inappropriate or frequent calls. He is capable of making purchases in the community; however, he needs assistance with financial management as he cannot count funds correctly often and does not understand budgeting. [Client #4] needs assistance in scheduling and attending medical appointments. He relies on others to obtain his medication and their administration. [Client #4] receives psychotropic medications to assist him in managing negative behaviors, but often refuses to take his medication. He can learn most basic skills using one-on-one repetitive training. [Client #4] needs significant training in order to generalize skills to the real world setting. When in the community exercise caution, [Client #4] may engage in unsafe behaviors such as talking to strangers or revealing personal information. [Client #4] needs to learn safe, appropriate social and sexual interaction. [Client #4] has some</p>						

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	<p>vocational experience but needs to acquire vocational skills to sustain employment."</p> <p>Client #4 and staff #5 were interviewed on 4/25/19 at 7:25 AM. Client #4 indicated he wanted to go to workshop to make money. Staff #5 indicated client #4 had behaviors which kept him from meeting workshop criteria. Staff #5 and client #4 indicated his behaviors stemmed from being bored. The client needed to be busy at the workshop to decrease his behaviors.</p> <p>9-3-4(b)(1)(2)</p>						