

Louisville - Southern Indiana Ohio River Bridges Project
Progress Report Number 8
January 1, 2007 – June 30, 2007
Implementation of the Section 106 Memorandum of Agreement

The Section 106 Memorandum of Agreement (MOA)¹ for the Louisville – Southern Indiana Ohio River Bridges Project (Project) was executed on April 1, 2003. Attachment A provides a list of the signatories to the MOA and concurring parties. Included in the MOA was a stipulation providing for the preparation of a Progress Report (Stipulation IX). The following is the Eighth Progress Report and covers the January 1, 2007 through June 30, 2007 reporting period (Reporting Period).

There was continued activity on implementation of mitigation measures during the Reporting Period. The Indiana Department of Transportation (INDOT) continued work to finalize the scopes of work and contracts for the Section Design Consultants (SDCs) in Section 3 (Indiana Downtown) and Section 6 (Indiana East End). This will be discussed in more detail in Part I of this report.

Activity during the Reporting Period on implementation of mitigation measures in the MOA included preparing and convening meetings of the Indiana and Kentucky Historic Preservation Advisory Teams (IHPAT/KHPAT), continuing the Historic Preservation Plan (HPP) process for Butchertown, Phoenix Hill, the Old Jeffersonville Historic District, the Swartz Farm Rural Historic District and the Country Estates of River Road/River Road Corridor (CE RR/RRC), final development of the Smart Growth Conference Report and undertaking various archaeological investigations. All activities are described in more detail in later sections of this report.

PART I – OVERALL PROJECT STATUS

INDOT and the Kentucky Transportation Cabinet (KYTC) executed the prime contract for Community Transportation Solutions – General Engineering Consultant (CTS-GEC) on April 20, 2005. A description of each section and the progress of each SDC are provided in the following summary.

Section 1 Kentucky Downtown Approach (including Kennedy Interchange) – Ongoing coordination with agencies and organizations continued through this Reporting Period. Early in the Reporting Period, Kentucky Transportation Associates (KTA) met with the Louisville Metro Public Arts Commission to discuss possible options for the display of public art along the surface streets throughout the areas surrounding the interchange. This recommendation was included in the HPPs for Butchertown and Phoenix Hill. KTA prepared and presented an overview of the Waterfront Park Impact Reduction Study to the Waterfront Development Corporation on February 28, 2007. The focus of this presentation was to show visuals and costs for three of the five alternative structures studied to cross the

¹ A copy of the Section 106 MOA can be obtained by accessing the Project Website; the URL address is located at http://www.kyinbridges.com/pdfs/rod_d.pdf

Great Lawn. As required by the Final Environmental Impact Statement (FEIS) and Record of Decision (ROD), KTA presented Joint Inspection plans for the interchange to the Bi-State Historic Consultation Team (BSHCT) on March 13, 2007, the KHPAT on March 29, 2007, Phoenix Hill Neighborhood Association on April 4, 2007 and the combined Regional Advisory Committee (RAC) and Area Advisory Team (AAT) on May 15, 2007. Included in the presentation was an overview of key decisions made on several project studies and issues as well as the right of way impacts on the project. On May 24, 2007, a presentation was made to the Clifton Community Council relative to the possible noise wall along I-64 from Mellwood Avenue to the railroad overpass. Work continued on the bridge plans for the entire interchange with an emphasis on the section of I-65 from north of Broadway to Liberty Street (Phase 1A). A final report for the Witherspoon/Geiger Street extension study was submitted during the previous Reporting Period. This study evaluated various alternatives for the relocation of the Witherspoon Extension. KTA has continued to provide support services for this issue during this Reporting Period.

Section 2

Downtown Bridge (using Alternative C-1) – Michael Baker Corporation received its contract modification notice to proceed on April 30, 2007 to perform additional engineering, environmental and public involvement tasks.

Engineering

Roadway

The geometric alignments within the Section 2 project limits for northbound and southbound I-65, the northbound Court Street Exit ramp, the northbound collector-distributor ramp adjacent to I-65 and the southbound Court Street exit ramp were evaluated. A geometric plan sheet was produced for review and approval.

Surveys

The underwater survey field work for the for both the Downtown and East End bridge locations was started. This information will be used to verify the river bed elevations within an existing hydraulic model received from others. The model will then be used to perform the river hydraulic analysis for use in obtaining the necessary permits.

The topographic survey field work of the existing John F. Kennedy (JFK) Bridge was started. This information will be used to determine what bridge deck improvements are required once the bridge is converted to six lanes of southbound traffic only. The existing bridge currently has four northbound and three southbound lanes.

The geotechnical staking for land bores was completed.

Geotechnical

A boring plan was submitted and approved for 15 borings in the Ohio River and 18 land borings.

Maintenance of Traffic

A study of the proposed temporary cross-over bridge within the Indiana bridge approaches has begun. This study will look at the minimum design speed and the roadway geometrics to help determine the limits of the temporary bridge.

Structures

A structural evaluation of three different bridge types for the Indiana approaches has begun. These alternates will be presented to the AAT, the KHPAT and the IHPAT for input in the next Reporting Period. The three alternatives will be included in the Advanced Situation Folder submittal for review.

A long span design criteria document has been started. This document will establish the parameters for the structural analysis and design of the cable-stayed bridge.

Public Involvement

Internal team meetings have taken place to discuss what will be presented for the upcoming AAT and HPAT workshops.

The public involvement plan was prepared and submitted for approval.

Section 3 Indiana Downtown Approach – No direct environmental or mitigation efforts were initiated by SDC 3 during this Reporting Period.

Section 4 Kentucky East End Approach –During the Reporting Period, Exploratory Tunnel and Access Ramp Plans were completed and submitted for a summer letting. These plans were presented to the KHPAT and to the public in an Open House held June 5, 2007.

Section 4 Mainline Mid-level Plans were completed, submitted, and a review meeting held May 9 and 10, 2007.

Coordination continued with the Louisville Water Company and the appropriate environmental resource agencies to develop strategies for working within the Wellhead Protection Zone and evaluating the possible impacts to the sludge lagoon.

The Draft Span Arrangement Study was completed and submitted for review.

The Draft Aesthetic Design Guidelines (ADG) were submitted to the Bi-State Management Team (BSMT) and to the KHPAT for comment. Two separate Working Sessions were held with the KHPAT to discuss the ADG comments on February 7 and 21, 2007.

Preliminary Line and Grade Plans and Draft Aesthetic Design Guidelines were presented to the public at an Open House on February 22, 2007.

Section 5 East End Bridge (using Alternative A-15) –

Pier Placement

Section 5 has contracted with the Seamen's Church Institute (SCI) to evaluate the navigational effects of the Recommended Downtown and East End Bridges. SCI will run a navigation simulation using barge tow pilots to solicit feedback on the placement of the piers at both river crossings. The navigational simulation work is currently scheduled to be completed by December 2007.

Permits and Floodplain Encroachment

Preparation for the next round of coordination has been initiated for Phase 3B Design, and will include meetings to review requirements and constructability. Coordination is currently scheduled to be completed by December 2007. The actual permit applications will be developed in a future phase of this project.

Residential/Commercial Displacements

Planning for additional coordination meetings has been initiated for Phase 3B Design, with meetings currently scheduled to be completed by December 2007.

Historic Preservation

Section 5 has reviewed the INR and draft ADG developed by Section 4 for the East End approach. Continued coordination with Section 4 is planned throughout Phase 3B Design to assure consistency between the two sections. Coordination with the IHPAT and KHPAT will continue in the next Reporting Period as part of Phase 3B Design. A series of three aesthetic element workshops is planned to gather information about context sensitive, historic and aesthetic issues. Dates for the three workshops have been proposed, but are not yet finalized with the BSMT. Section 5 attended regularly scheduled HPAT meetings as requested.

Noise Abatement

Section 5 has contracted with The Technology Group to evaluate potential noise abatement measures for the East End Bridge. The Technology

Group will review research to determine if any state-of-the-art noise mitigation measures and/or bridge modeling techniques for major river bridges are applicable for the selected structure type. As part of Phase 3B Design, Section 5 will also review the results for the existing Section 4 noise model to consider any changed conditions related to the historic property receptors on the Kentucky side of the river. The noise abatement work is currently scheduled to be completed by December 2007.

View Shed

Section 5 has started additional view shed development as part of Phase 3B Design. Daytime and nighttime visualizations of the selected bridge type are currently scheduled to be completed by December 2007.

Landscaping

Section 5 has reviewed the draft ADG prepared by Section 4. Coordination meetings are planned with Section 4 and Section 6 during Phase 3B Design and will likely continue through future phases of this project.

Environmental Matrix

The environmental commitments matrix for the East End Bridge has been maintained and updated to monitor the commitments in relation to Recommended Alternative 3, developed through the four-step Bridge Type Selection Process (BTSP). The matrix has also been updated to reflect Phase 3A Design activities, and is included for review. The matrix will be updated as Phase 3B Design progresses, as well as throughout the remainder of the Design, Right-of-Way, Utilities and Construction phases of this project. The matrix includes references to the MOA, FEIS and ROD for the project, as well as the Guidelines and Parameters developed through the BTSP.

Section 6

Indiana East End Approach – Efforts entailed the continuation of the negotiation process of the design proposal with the INDOT. SDC 6 initiated no direct environmental or mitigation efforts during the Reporting Period.

CTS-GEC, under the management of Mr. John Sacksteder worked during this Reporting Period with the BSMT, which consists of representatives from INDOT, KYTC and FHWA, in the development of a Project Management Plan, project schedule and oversight requirements of the six SDCs to be placed under contract by either INDOT and/or KYTC.

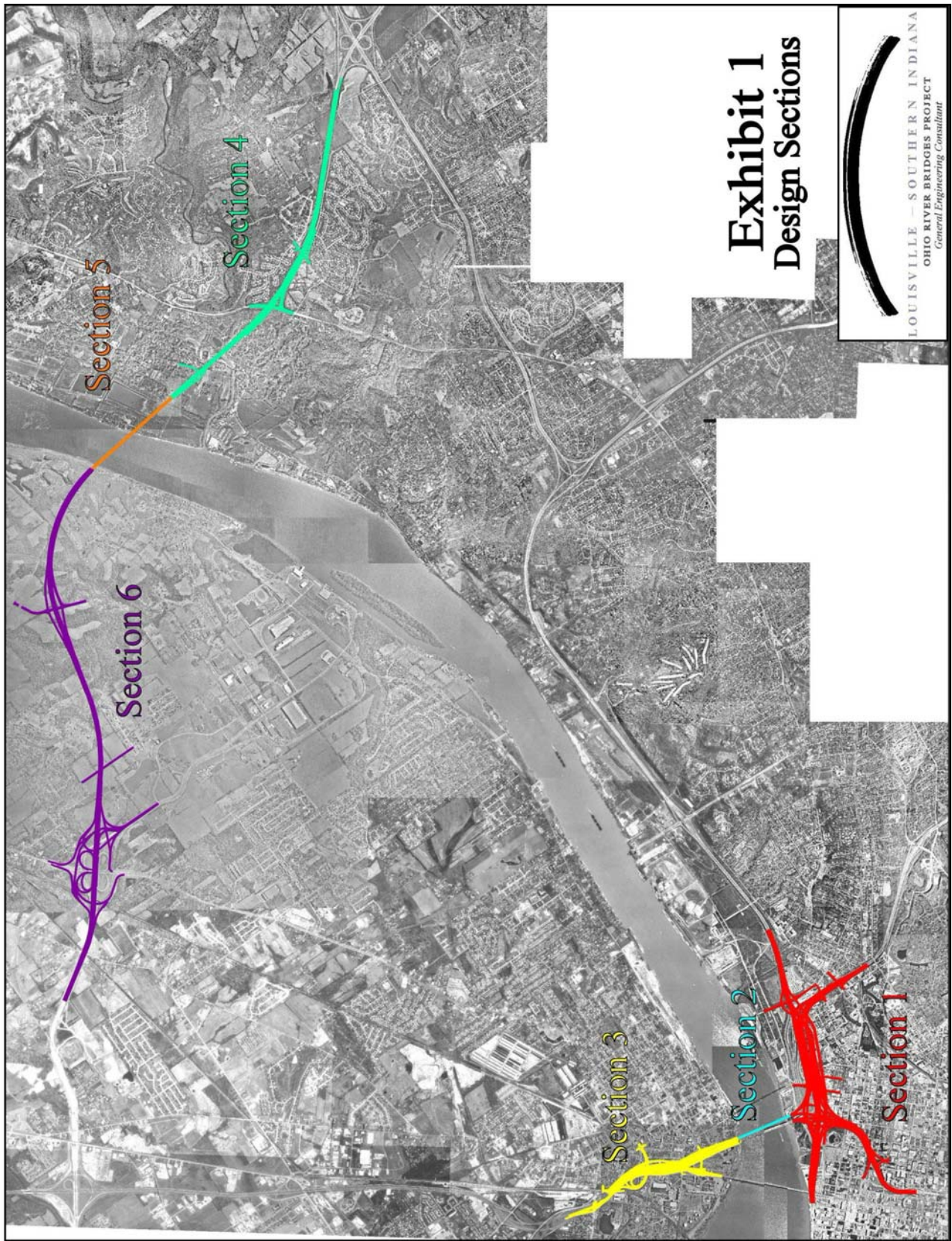


Exhibit 1

Design Sections

LOUISVILLE - SOUTHERN INDIANA
OHIO RIVER BRIDGES PROJECT
General Engineering Consultant

PART II – IMPLEMENTATION OF MITIGATION MEASURES CONTAINED IN THE MOA

Measures to mitigate adverse effects of the Project on historic properties are described in the MOA along with a number of activities to guide the development of project plans and provide for coordination of those activities through an historic preservation advisory team for the Indiana portion of the project and one for the Kentucky portion of the project.

The MOA is divided into the following fourteen (14) stipulations:

- I. Project Coordination
- II. Project Development
- III. Site Specific Mitigation
- IV. Archaeological Resources
- V. Unanticipated Discoveries
- VI. Additional Historic Properties and Effects
- VII. Excess Right of Way
- VIII. Performance Standards
- IX. Progress Reports
- X. Project Modification
- XI. Amendment
- XII. Failure to Comply/Termination
- XIII. Dispute Resolution
- XIV. Duration

An expanded version of this listing is included as Attachment B, to serve as an outline in the preparation of the Progress Reports. A complete definition of each of the specific MOA stipulations is contained in Attachment C. Activities completed toward satisfying the various stipulations of the MOA during the Reporting Period are described in the following information.

STIPULATION I: Project Coordination

Stipulation I.A.2 provides for the Historic Advisory Teams to assist the Bi-State Historic Consultation Team (BSHCT) and the BSMT in developing Project design details to implement the measures stipulated in the MOA. Work continued during the Reporting Period on this stipulation.

Stipulation I.A.3 provides for the Co-chairs to convene meetings of the HPATs, prepare summaries of said meetings and prepare and submit HPAT recommendations to the BSHCT for further action. IHPAT meetings were held on March 15, 2007 (rescheduled from February 14, 2007 due to inclement weather), April 18, 2007 and June 12, 2007. KHPAT meetings were convened on February 15, 2007, March 29, 2007, April 19, 2007, May 11, 2007 (working session) and June 14, 2007. Summaries of each meeting were returned to HPAT members within a reasonable time following each meeting.

Stipulation I.A.9 provides for the convening of meetings of the HPATs by the Co-chairs to review plans, comment, and make specific recommendations regarding Project design scopes of work and details for consideration by the BSHCT. Stipulations I.A.9.a and I.A.9.b describe

meetings prior to development of scopes of work and prior to the selection of contractors for the HPPs and Treatment Plans under Stipulation III. Once the scope of work for the Treatment Plans is developed for the properties identified in the MOA, the respective HPATs continue to be advised accordingly per this stipulation.

Stipulation I.A.9.f describes meetings prior to the design approval for plans for commencement of right-of-way acquisition (about 60%). The KHPAT of March 29, 2007 was a specially scheduled meeting by the Kentucky Co-chairs to discuss this phase of plan development for Section 1.

Stipulation I.A.10 provides for the distribution of materials needed for review by the advisory teams and comments following scheduled meetings. Materials for the meetings held during the Reporting Period were generally limited to information on the preparation of HPPs, the right-of-way plan review (60% plans) for Section 1, aesthetic design guidelines and an update of the exploratory bore for Section 4 and an overview of the underwater archaeological surveys performed in the areas of the river bridges in Sections 2 and 5. Presentations and requests for input during the meetings were supplemented by a 15-day comment period following the meetings to obtain additional input and comment.

Stipulation I.A.11 provides for the development of recommendations by the Co-chairs based on comments provided by the advisory team, for consideration and action by the BSHCT. This was completed after each meeting.

Stipulation I.B. provides for a BSHCT. The BSHCT is to consist of representatives of FHWA, INDOT, KYTC and the respective SHPOs. It defines the roles and responsibilities of the BSHCT, mandates the scheduling of BSHCT coordination meetings and provides a means to advance recommendations of the HPATs to the BSMT for final approval. Ms. Donna Neary, KY SHPO, joined the BSHCT as the Kentucky Co-chair at the April 19, 2007 KHPAT meeting, assuming the duties of Mr. David Morgan, who retired in December 2006.

The BSHCT held three meetings during the Reporting Period on January 16, 2007, March 13, 2007 and May 15, 2007. A recommendation elevated from the BSHCT to the BSMT included concurrence in the Final HPP for Phoenix Hill (April 25, 2007).

Stipulation I.C. provides for a BSMT. The BSMT consists of representatives of INDOT, KYTC and FHWA. It defines the roles and responsibilities of the BSMT, mandates the preparation of Progress Reports as set forth in Stipulation IX of this MOA and provides a means to consider recommendations of the HPATs through the BSHCT for final approval. Subsequent to the BSHCT meeting of May 15, 2007, Mr. Bart Bryant retired from the KYTC; Mr. Matt Bullock, KYTC, replaced Mr. Bryant on the BSMT as the interim (KYTC) representative.

Weekly meetings of the BSMT generally occurred on the Monday of each week and items related to this MOA were brought forth as needed.

Progress Report Number 8 for the Reporting Period of January 1, 2007 through June 30, 2007 is provided in accordance with Stipulation IX.

Stipulation I. D. provides for an Ombudsman for the Indiana portion of the Project and one for the Kentucky portion of the Project. It defines the qualifications for performance as the Project Ombudsman, the roles and responsibilities of the Ombudsman and the location of the office of the Ombudsman for each state.

During the Reporting Period, Mr. Carl Percy began his duties as the Indiana Ombudsman, effective January 2, 2007. Mr. Lee Walker continued to serve as the Kentucky Ombudsman.

STIPULATION II: Project Development

Work initiated on implementation of mitigation measures identified in Section II of the MOA during the Reporting Period follows.

Stipulation II.A. describes the design goal of the Project, which will be used in the preparation of the Project Management Plan, to guide the development of project details.

Stipulation II.B. provides that the views of the public are important and will be solicited and considered at a minimum through each state's normal transportation project development process through informal meetings to be convened by the respective State Transportation Agencies (STA). During the Reporting Period, Area Advisory Team (AAT) and Regional Advisory Committee (RAC) meetings were organized. A combined AAT/RAC meeting was convened for Section 1 (Kennedy Interchange Approach) on May 15, 2007. Section 4 (Kentucky East End) held Open House meetings on February 22, 2007, April 27, 2007 and June 5, 2007.

Stipulation II.C. provides that the roadways, bridges and other Project elements shall be designed and constructed with sensitivity to aesthetic values, historic cultural landscapes, and the historic context, utilizing services of professionals with experience in areas related to historic preservation. Context sensitive solutions are a component of the Aesthetic Design Guidelines prepared by SDC 1 and SDC 4 and presented to the KHPAT on October 19, 2006 and December 14, 2006, respectively. Context sensitive solutions are also a component of the Butchertown, Phoenix Hill and CE RR/RRC HPPs.

Stipulation II.D. provides for the roadway lighting within the viewshed of historic properties and any navigational lighting required on structures included in the Project shall be designed and constructed to minimize the dispersion of light beyond the right-of-way and include state of the art techniques. Lighting techniques are a component of the Aesthetic Design Guidelines prepared by SDC 1 and SDC 4 and presented to the KHPAT on October 19, 2006 and December 14, 2006, respectively. Lighting is also a component of the Butchertown, Phoenix Hill and CE RR/RRC HPPs. All of the SDCs are reviewing the current state-of-the-art technology and will continue to do so until the project letting.

Stipulation II.E. describes activities to minimize adverse effects of noise on historic properties in accordance with state and federal noise regulations, policies and guidance. Noise barriers are still in consideration along I-64 in the area of Clifton, and a meeting with the neighborhood was held on May 24, 2007; comments were requested by June 14, 2007. Additional coordination with the neighborhood association is ongoing. A coordination meeting has been scheduled in the next Reporting Period for resolution.

The Noise Barrier Analysis for Section 4 was approved by the BSMT on December 4, 2006. SDC 4 will hold community meetings in the next Reporting Period with the affected neighborhoods to discuss possible design concepts for proposed noise barriers in the following Reporting Period.

Stipulation II.F. describes the actions for preparation of HPPs. The seven historic properties for which HPPs are to be developed were identified in Stipulation II.F.2 (a through g). During the Reporting Period, development of the HPPs for the Swartz Farm Rural Historic District (HD) and the Old Jeffersonville HD continued. For the Swartz Farm HPP, the draft HPP was discussed at the March 15, 2007 IHPAT meeting. A second draft of the HPP was presented to the IHPAT at the April 18, 2007 meeting. Revisions will be made and approval is expected during the following Reporting Period. For the Old Jeffersonville HPP, an update of changes made to the draft HPP was discussed at the March 15, 2007 IHPAT meeting. A final draft of the HPP was developed and presented to the IHPAT at the June 12, 2007 meeting. Final revisions will be made and approval is expected during the following Reporting Period. Work on the development of the HPP for the Utica Lime Kilns is pending access from the property owner. Coordination with the property owner occurred after the IHPAT of March 15, 2007. The owner insisted that INDOT purchase the entire property for access to be granted. INDOT has indicated that early acquisition of this property would not be pursued. The final draft HPP for Butchertown was presented and discussed in the KHPAT meeting of February 15, 2007; approval is pending and is expected in the next Reporting Period. The Final HPP for Phoenix Hill was approved by the BSMT on April 25, 2007 and distributed to the KHPAT on May 1, 2007. Work elements for this HPP are completed. Similar to the HPPs, KHPAT meetings to discuss the development of the HPP for the CE RR/RRC were held on April 19, 2007, May 11, 2007 and June 14, 2007. It is anticipated that the Final HPP will be completed in the next Reporting Period.

Stipulation II.G. mandates that within five years of the Record of Decision (ROD), the historic preservation documents for Clark County, Indiana and Jefferson County, Kentucky will be updated by a qualified historic preservation consultant with the information developed for the Project. The Indiana SHPO has initiated work to develop a plan for the Clark County Survey update. Work on the development of the Jefferson County Survey is to be completed by CTS-GEC and the notice-to-proceed is pending to initiate this work

Stipulation II.H. mandates that preservation easements shall be established on (those specific) properties identified in Stipulation III in perpetuity by INDOT or KYTC, and held by a local government, local or state preservation organization or other entity as determined by INDOT or KYTC in consultation with the respective SHPO. On April 18, 2007, KYTC met with the property owner and his attorneys to discuss the easement on the Drumanard property. The KYTC attorneys are reviewing the information received from this meeting. Additional negotiations are anticipated in the next Reporting Period.

Stipulation II.I. mandates that for specific properties identified in Stipulation III, documentation required for a NRHP nomination shall be prepared by a qualified historic preservation consultant selected by INDOT or KYTC, upon receipt of written consent from the property owner(s) and within the first three years following the Record of Decision. Six properties were identified in the MOA requiring the preparation of a nomination form, all located in Indiana; as stated above, no further consideration in the historic preservation process will be afforded to the Smith Farm

as the property owners did not indicate a willingness to donate the property. Therefore, the number of NRHP nominations has been reduced to five. The preparation of these NRHP nomination forms is underway by CTS-GEC and INDOT. A scope of work and fee has been negotiated with the INDOT to complete this activity as a supplement to the INDOT/CTS-GEC agreement for the Ohio Falls Car and Locomotive Company HD, the Utica Lime Kilns Multiple Property Listing and the Swartz Farm Rural HD. A notice-to-proceed is anticipated in the next Reporting Period for these properties. In regard to the Colgate – Palmolive HD, a documentation report was prepared in lieu of a nomination form and accepted by the IN SHPO on March 8, 2007. Work elements for this NRHP nomination are completed. For the Train Depot, a nomination form was prepared and sent to the IN SHPO for review in September 2006. The Depot was accepted for listing on the NRHP on March 29, 2007. Work elements for this NRHP nomination are completed.

Stipulation II.N. describes that a “No Work” zone be established on construction plans within the National Register boundaries of those properties specified in Stipulation III of the MOA. During the Reporting Period, design continued on both the main tunnel and an exploratory bore tunnel that will be used to obtain geotechnical information for the main tunnel design. Reconstruction of the exit ramp at US 42 was completed in spring 2007. Areas of No Work (zones) were included in the design plans for this bore construction. The letting for the exploratory tunnel will be August 24, 2007.

Stipulation II.O. describes the process to hold a Smart Growth Conference within three years of execution of the MOA. The Conference was held on September 18 – 20, 2006 at the Louisville Downtown Marriott Hotel. A final report describing the planning efforts for this Conference was prepared and finalized in March 2007. Work elements for this Conference are completed.

STIPULATION III: Site Specific Mitigation

Work continued on the implementation of mitigation measures identified in Section III of the MOA during the Reporting Period. The major effort on measures identified in this section is expected to occur once all six SDCs are under contract and have work underway.

Stipulation III.A.4 requires the INDOT to develop and seek NRHP nomination for the Train Depot. A nomination form was prepared by INDOT and sent to the IN SHPO for review in September 2006. It was submitted to the Indiana Historic Preservation Review Board and elevated for listing on the National Register of Historic Places. The Train Depot was listed on March 29, 2007.

Stipulation III.B.1 provides for the development of documentation to seek a National Register of Historic Places (NRHP) nomination for the Colgate-Palmolive Historic District. A documentation report was prepared and submitted to the IN SHPO on August 31, 2006 in lieu of a nomination form. The property owners declined to provide access to complete the nomination, necessitating the preparation of the documentation report. It was submitted to the IHPAT for review and comment on October 26, 2006; no comments were received by the established deadline of December 1, 2006. On March 8, 2007, the IN SHPO indicated that documentation report satisfied the requirements of Stipulation III.B.1 of the MOA. No further work elements are required.

Stipulation III.C.1 provides for the development of documentation to seek a NRHP nomination for the Ohio Falls and Locomotive Company Historic District. The preparation of the NRHP nomination form is to be developed by CTS-GEC. A scope of work and fee has been negotiated with the INDOT to complete this activity as a supplement to the INDOT/CTS-GEC agreement. A notice to proceed is pending and expected during the next Reporting Period.

Stipulation III.E.1 provides for the preparation of an HPP for the Old Jeffersonville Historic District. During the Reporting Period, development of the HPP for the Old Jeffersonville HD continued. An update of changes made to the draft HPP was discussed at the March 15, 2007 IHPAT meeting. A final draft of the HPP was presented to the IHPAT at the June 12, 2007 meeting. Final revisions will be made and approval is expected during the following Reporting Period.

Stipulation III.E.5 provides that the INDOT and KYTC will minimize the spacing between the proposed new Downtown Bridge and the existing Kennedy Bridge (I-65) consistent with prudent engineering principles. This will be evaluated in future bridge design phases.

Stipulation III.H.1 provides for the preparation of an HPP for the Utica Lime Industry Multiple Property Listing. Work on the development of the HPP for the Utica Lime Kilns is pending access from the property owner. A meeting was held with the property owner on March 15, 2007 after the IHPAT meeting. The property owner denied access unless the entire property was purchased exceeding that needed for the Project. As the property is currently on the market, it was agreed by the Indiana Co-chairs that initiating development of the HPP could be delayed if the property was sold; a new owner may be receptive to providing access. Additionally, adequate time remains in the current contract to continue efforts to complete the HPP.

Stipulation III.H.8. requires the INDOT to develop and seek NRHP nomination for the Utica Lime Kiln Multiple Property Listing. The preparation of the NRHP nomination form is to be developed by CTS-GEC and INDOT. A scope of work and fee has been negotiated with the INDOT to complete this activity as a supplement to the INDOT/CTS-GEC agreement. A notice to proceed is pending and expected during the next Reporting Period.

Stipulation III.I.4. requires the INDOT to develop and seek NRHP nomination for the portion of the Swartz Farm lying to the west of the Project, including the house, barn and associated outbuildings. The preparation of the NRHP nomination form is to be developed by CTS-GEC. A scope of work and fee has been negotiated with the INDOT to complete this activity as a supplement to the INDOT/CTS-GEC agreement. A notice to proceed is pending and expected during the next Reporting Period.

Stipulation III.K.1 provides for the preparation of an HPP for the Butchertown Historic District. Work continued throughout the Reporting Period to develop the HPP. Additional revisions of the Draft HPP were incorporated and it was recommended by the KHPAT at the June 14, 2007 meeting for approval following additional minor changes sent to CTS-GEC by Jim Segrest. It is anticipated that approval of the HPP would occur in the ensuing Reporting Period.

Stipulation III.K.2 details the development of the Witherspoon Extension as part of the HPP. Recommendations on the Extension are included as an element of the Final HPP for Butchertown. The preferred alignment for the extension was finalized when the BSMT approved

an alignment outside of the HD, which decision was challenged through the Dispute Resolution Stipulation (XIII.). The FHWA decision regarding the Dispute Resolution is pending.

Stipulation III.K.3 details the development of embankments or other designs that support aesthetically pleasing noise abatement measures, lighting and landscaping as part of the HPP. Recommendations on these elements are included as an element of the Final HPP for Butchertown. SDC 1 has developed Aesthetic Design Guidelines that will be applied as more detailed design is developed.

Stipulation III.K.11 provides for a study of Mellwood Avenue – Story Avenue to evaluate the elimination of the proposed connector and restoration of two-way traffic flow on Mellwood Avenue and Story Avenue, which Study was completed during the Reporting Period. Recommendations on this connector were included as an element of the Final HPP for Butchertown. On June 4, 2007, FHWA sent a letter to Mayor Abramson advising that the study completes the Project effort. Louisville Metro Government must determine alternate funding to proceed. No further work elements are required.

Stipulation III.K.12 details the development of the streetscape improvements within the historic district as part of the HPP. Recommendations on streetscape improvements are included as an element of the Final HPP for Butchertown. Streetscape concepts are also included in the Aesthetic Design Guidelines prepared by SDC 1. Streetscapes will be developed in more detail, as plans are more complete.

Stipulation III.K.14 details the development of roadway lighting within the viewshed of the historic district as part of the HPP. Recommendations on roadway lighting are included as an element of the Final HPP for Butchertown. Lighting concepts are also included in the Aesthetic Design Guidelines prepared by SDC 1. Lighting plans will be developed in more detail, as plans are more complete.

Stipulation III.L.1 provides for the preparation of an HPP for the Phoenix Hill Historic District. Revisions were made to the HPP and it was finalized and approved by the BSHCT on January 16, 2007 and then elevated to BSMT. Approval from BSMT occurred on April 25, 2007. The Final HPP was sent to the KHPAT members following approval. No further work elements are required.

Stipulation III.L.2 details the development of roadway lighting. Recommendations on roadway lighting are included as an element of the Final HPP for Phoenix Hill. As previously mentioned, lighting concepts are also included in the Aesthetic Design Guidelines prepared by SDC 1. Lighting plans will be developed in more detail, as plans are more complete.

Stipulation III.L.7 details the development of placement of interpretative signage within the historic district as part of the HPP. Recommendations on interpretative signage were included as an element of the Final HPP for Phoenix Hill. Interpretative signing concepts are also included in the Aesthetic Design Guidelines prepared by SDC 1. Interpretative signing plans will be developed in more detail, as plans are more complete.

Stipulation III.L.8 details the development of the streetscape improvements at specific locations within the historic district as part of the HPP. Recommendations on streetscape improvements at

the specific locations defined in the MOA are included as an element of the Final HPP for Phoenix Hill. Streetscape concepts are also included in the Aesthetic Design Guidelines prepared by SDC 1. Streetscapes will be developed in more detail, as plans are more complete.

Stipulation III.M.1 provides for the preparation of an HPP for the CERR/RRC. Work continued throughout the Reporting Period in development of the Draft HPP. The initial draft of the HPP was presented and discussed at the BSHCT meeting of March 13, 2007. This first draft was then presented to the KHPAT at the meeting of April 19, 2007, revised and discussed in a subsequent KHPAT working session on May 19, 2007, with continuing revisions and discussion at the KHPAT meeting of June 14, 2007. It is anticipated that approval of the HPP would occur in the ensuing Reporting Period.

Stipulation III.N.1 provides that the Project across the historic Drumanard property would be contained within a tunnel to minimize adverse effects. During the Reporting Period, design continued on both the main tunnel and an exploratory bore tunnel that will be used to obtain geotechnical information for the main tunnel design. Reconstruction of the exit ramp at US 42 was completed in spring 2007. The letting for the exploratory tunnel will be August 24, 2007.

Stipulation III.N.2 provides that FHWA shall make every effort to acquire or otherwise establish an historic preservation easement for the entire Drumanard historic property. Negotiations with the property owner are continuing to secure this easement, in consultation with the KYTC and the KY SHPO.

Stipulation III.P.1 provides for the preparation of a Treatment Plan for Rosewell to minimize damage to the historic property. The draft edition of the Treatment Plan, which was initiated in April 2006, was supplied to CTS-GEC for review and comment during the Reporting Period. The Treatment Plan will be presented to the BSHCT and BSMT during the next Reporting Period for approval.

STIPULATION IV: Archaeological Resources

The final identification, evaluation and determination of Project effects for archaeological resources were phased because alternatives consisted of large corridors where access was restricted during the EIS phase.

Stipulation IV.A. 1. states that FHWA may withhold or limit public disclosure of information about historic properties. Specific information on archaeological site location and artifacts gleaned during this Reporting Period has not been made public.

Stipulation IV.A.2. FHWA shall ensure that consultation with Indian Tribes is conducted in a manner consistent with 36 CFR 800.2. **Bridges Native American Consultation:** Upon consultation with Indiana FHWA, Mr. Rick Jones, IN SHPO, indicated that NAC for Indiana Project archaeological reports will be handled in accordance with the Kentucky Division - FHWA NAC process. In accordance with that process, only those reports describing prehistoric sites or materials will be subject to NAC. On March 19, 2007, the NAC package was sent by CTS-GEC and the comment period closed April 23, 2007. Official closure of the comment period has been requested from FHWA and is expected in the next Reporting Period. The

Section 4 Phase I report was sent by KYTC, on behalf of FHWA, June 5 2007; comment period closure is expected in the next Reporting Period.

Stipulation IV.A.3. In order to maximize the opportunity to avoid adverse effects, FHWA will make every effort to complete identification and evaluation of archaeological resources at the earliest possible time in Project Design. **Archaeological GIS:** The GIS is being developed to bring together the wealth of historical information available for downtown Louisville only, which will guide the archaeology of the Downtown section. The selected consultant, AMEC, began work on the Bridges Archaeological GIS on July 31, 2006. By December 2006, the general structure of the Archaeological GIS had been finalized. Work continued during the Reporting Period and as of June 2007, archival work with census, city directories, and deeds was on-going; the GIS is scheduled to be finished in August 2007. Formal presentations to the Project Team and the KY SHPO will occur prior to implementation of archaeological prioritization derived from the GIS. **Geotechnical Monitoring for Archaeological Deposits:** In previous Reporting Periods, Cultural Resource Analysts, Inc. (CRAI) monitored samples of clean geotechnical borings for archaeological materials for the proposed Kennedy Bridge Interchange area in Jefferson County. KYTC received a revised report in March 2007; final SHPO concurrence was received June 4, 2007. The information gleaned from this monitoring will be incorporated into the Archaeological GIS to inform both historic and prehistoric probability models. **Hazmat Area Archaeology:** Additional information from geotechnical borings in areas with potential hazardous materials will be available following Phase II testing that will inform potential archaeological investigations in those areas. In some cases, it is likely that hazardous materials will prohibit archaeological testing or testing may need to wait until cleanup has been completed. Field boring logs were provided to KYTC Department of Environmental Analysis by geotechnical consultant, FMSM, in the prior Reporting Period.

Stipulation IV.A.4. In implementing Stipulation IV, FHWA may consult with the parties listed in Attachment F [consulting parties list] and others identified in accordance with 36 CFR 800.2. Consultation with these parties has been conducted as necessary throughout this Reporting Period.

Stipulation IV.A.5. FHWA, in consultation with the SHPOs, Indian Tribes when appropriate and other parties deemed appropriate by FHWA, will take reasonable measures to avoid disinterment and disturbance to human remains and grave goods of religious and cultural significance to Indian Tribes, including modification of the Project. **Human Remains Protocol:** A step-by-step protocol for human remains encountered during archaeological testing was deemed prudent given the potential for human remains in the project setting. The protocol was developed in line with the MOA and in coordination with all consulting Indian Tribes and three FHWA offices (Project Office, Kentucky Division, and Washington, DC). FHWA issued final approval of the protocol on March 9, 2007 and further indicated that the protocol would be utilized for both the Kentucky and Indiana sides of the project. Subsequently, the IN SHPO was notified on March 19, 2007. On June 5, 2007, final copies were mailed to the Native American Tribes by KYTC.

Stipulation IV.B.1-2. States that before letting any type of Project construction in the APE or selecting sites for ancillary activities associated with the Project, FHWA shall complete the identification and evaluation of archaeological resources for inclusion in the NRHP in accordance with applicable Federal and state standards and guidelines listed in Stipulation

VIII.B. and lists examples of ancillary areas. **Accelerated Section 1 Archaeological Exploration:** Phase I archaeological survey of the accelerated portion of Section 1 was conducted in 2006. As a result of this survey, three previously unrecorded sites were found: 15JF716, 15JF717 and 15JF718. All three are comprised of the remains of historic occupations of the area from the mid-1800s to the present. The final phase of archaeological excavation began on November 6, 2006 and concluded on December 14, 2006. The SHPO issued a conditional clearance letter on March 5, 2007 pending submittal of the final report. In June 2007, the consultant requested and was granted an extension until October 2007 to deliver the report. No further fieldwork will be required for the accelerated portion. **Section 2 and 5 Underwater Archaeology:** On October 19, 2006, a sonar survey was completed by Mainstream Divers of Paducah, Kentucky in cooperation with the University of Kentucky-Program for Archaeological Studies (UK-PAR). A full report was received from UK-PAR on February 2, 2007 describing two possible sites in Section 2 and one possible site in Section 5; all three were recommended for follow up work to determine if they were cultural or natural features. Following review and revision, a final report was sent to the KY SHPO on May 10, 2007 and to the IN SHPO on June 27, 2007; final concurrence was received from the KY SHPO on June 12, 2007. Comments from the IN SHPO are pending and expected in the next Reporting Period. Additional diving investigation to define site affiliations is pending in August 2007. **Section 4 Archaeological Exploration:** Final Phase I survey completed in 2006 identified two previously unrecorded archaeological sites (15JF719 and 15JF720) and enlarged the boundaries of one previously recorded site (15JF678). A report was received at KYTC on April 17, 2006 recommending all sites along with Rosewell (15JF679) for Phase II study. Following review and edits, a KY SHPO concurrence letter was issued on October 16, 2006. A final scope has been negotiated by KYTC and approved by BiState on March 5, 2007. Lochner is working to secure landowner permissions. **Section 4 Waste Areas:** Right of way at the I-71/Gene Snyder interchange and right of way at Exit 14 on I-71 were cleared prior to this report; no additional waste areas have been cleared during this period. **Rosewell Treatment Plan Archaeology:** As a by-product of the structural assessment of the house, archaeologists investigated a small portion of the basement in early May 2006. The report is pending. As a result of this work, the entire basement of the house has been identified as a sensitive resource and no work can occur in the basement (not even concrete removal or repair) without prior archaeological investigation.

Stipulation IV.B. 3. States that any human remains and grave good encountered should be treated in a sensitive, respectful and careful manner, avoiding disinterment and photography if at all possible. Also, it states that the SHPOs, Indian Tribes, and any other party deemed appropriate by FHWA will be notified within 48 hours if remains that may be significant to Indian Tribes are identified. No human remains were identified during this period.

Stipulation IV.B.4. A Plan(s) for the identification and evaluation of archaeological resources will be developed by FHWA in consultation with the SHPOs, Indian Tribes when appropriate, and other parties deemed appropriate by FHWA. **Archaeological Plan:** After consultation, the Project team agreed that the plan should address auxiliary areas (waste, borrow, staging, etc), the Archaeological GIS, SDC 2 and 5 underwater surveys and SDC 4 Phase II activities. The plan shall also include or make reference to the Human Remains Protocol developed for this Project and may address artifact conservation and final curation. Work continued on development of the Plan during this period. **Artifact Curation Policy:** On August 3, 2006, a draft curation policy that would sample certain materials was submitted to the KY SHPO. On November 27, 2006, the policy was distributed for comment, and on January 25, 2007, a meeting was held with the

KY SHPO to resolve final comments. A final approved document from the KY SHPO is expected by summer 2007. **Artifact Conservation:** Further information concerning potential conservation facilities and associated charges is pending.

Stipulation IV.B.5. FHWA shall prepare and distribute final Identification and Evaluation reports in accordance with Stipulation IV.F. On June 15, 2007, the final Sonar Report was sent to CTS-GEC and the Kentucky Office of the State Archaeologist (OSA).

Stipulation IV.C.1-6. States that studies shall be completed with a level of effort consistent with 36 CFR 800.4 and provide enough information to determine which archaeological resources are eligible for the NRHP. Indian Tribes will be consulted for their special expertise and FHWA shall notify Indian Tribes and other parties who so request of eligibility determinations. Provides mechanisms for dispute resolution regarding eligibility and states that any party notified of determinations have 15 days to object in writing. All studies conducted during this Reporting Period have been completed in compliance with 36 CFR 800.4 and have provided sufficient information to make decisions. No eligibility determination disputes have occurred during this period.

Stipulation IV.D.1-3. FHWA shall, in consultation with SHPOs, Indian Tribes when appropriate, and other parties deemed appropriate by FHWA, determine if the Project will adversely affect archaeological resources determined eligible for inclusion in the NRHP and, if adverse impacts cannot be avoided, shall develop a treatment plan in accordance with Stipulation IV.E. Any dispute regarding the determination of effects on eligible archaeological resources shall be resolved in accordance with Stipulation XIII Dispute Resolution. No sites were determined eligible for the NRHP during this period.

Stipulation IV.E. 1-6. FHWA shall, in consultation with SHPOs, Indian Tribes when appropriate, and other parties deemed appropriate by FHWA, develop a treatment plan for NRHP eligible sites that cannot be avoided. Implementation of the treatment plan must be completed for each site prior to the initiation of any Project construction activities that could affect the site. Treatment of human remains will be determined by FHWA on a site-by-site basis through consultation with SHPOs, Indian Tribes when appropriate and other parties deemed appropriate by FHWA. FHWA shall provide ACHP, SHPOs, Indian Tribes who have expressed interest in the site, and other parties deemed appropriate by FHWA 30 calendar days to review and provide comment on final Treatment Plans(s). Following the implementation of a treatment plan, FHWA may elect to monitor construction activities in selected portions of the right of way. No treatment plans were developed during this Reporting Period. In addition, no locations where treatment plans were implemented have gone to construction or been subjected to monitoring.

Stipulation IV.F.1-5. FHWA shall ensure that all archaeological work is performed or supervised by person(s) meeting the *Secretary of the Interior's Professional Qualification Standards* in archaeology and that the results of all work are reported in a professional manner consistent with SHPO standards for each state. Sensitive information regarding archaeological sites, human remains and grave goods shall be kept confidential from the public; the ACHP, SHPOs, Indian Tribes, and other parties deemed appropriate by FHWA shall have 30 days to comment on any such reports that are developed. All work performed during this Reporting Period was performed or directly supervised by individuals who meet or exceed the *Secretary of*

the Interior's Professional Qualification Standards. In addition, all sensitive information was kept confidential during this period.

Stipulation IV.G. 1-3. Upon completion of work, FHWA shall provide copies of final reports to SHPOs, State Transportation Authorities, and Indian Tribes when appropriate. In consultation with SHPO, FHWA shall prepare final reports for dissemination to the public libraries, educational institutions, and other repositories in Jefferson and Clark Counties and Indian Tribes as appropriate; however, FHWA will ensure disseminated reports do not contain sensitive information regarding human remains and grave goods, or the location, character and ownership of archaeological sites. In order to adhere to measures outlined in the MOA concerning the protection of detailed information about archaeological sites, only those reports detailing the results of treatment plans will be disseminated in accordance with this stipulation. The final report concerning the treatment of (Kentucky) Sites 15Jf717 and 15Jf718 will be treated in accordance with this stipulation when ready.

STIPULATION V: Unanticipated Discoveries

No previously unidentified property was discovered during the Reporting Period nor was a previously identified historic property affected in an unanticipated manner.

STIPULATION VI: Additional Historic Properties and Effects

Preliminary waste sites for the exploratory tunnel bore were identified and the environmental review of these sites will continue in 2007, as needed. The quarry pond proposed waste area at Old Henry Road/Gene Snyder Interchange was cleared archaeologically by KYTC on March 15, 2007.

STIPULATION VII: Excess Right of Way

Work on development of plan details and identification of the right of way required for construction and operation of the Project was initiated during the Reporting Period. Excess right of way will be identified, as plans become more complete. Final right-of-way plans are expected in 2007 for Section 1; preliminary right-of-way plans were discussed with the KHPAT in a specially scheduled meeting on March 29, 2007. Preliminary right-of-way plans are anticipated to be completed in the next Reporting Period for Section 4. Two hardship requests were received in Section 4 and appraisals were initiated on these properties.

STIPULATION VIII: Performance Standards

The FHWA shall ensure that the performance of all cultural resources work required in implementing this MOA shall be performed by or under the supervision of individuals that meet the *Secretary of the Interior's Professional Qualification Standards*. All work performed during this Reporting Period was performed or directly supervised by individuals who meet or exceed the *Secretary of the Interior's Professional Qualification Standards*.

STIPULATION IX: Progress Reports

Progress Report Number 8 for the Reporting Period of January 1, 2007 through June 30, 2007 was developed in accordance with Stipulation IX and will be sent to the signatories, concurring parties and HPAT members (identified in Attachment A). Prior to this Progress Report, the following Progress Reports have been provided:

Report No. 1 for April 1, 2003 – December 31, 2003 was sent on July 21, 2004
Report No. 2 for January 1, 2004 – June 30, 2004 was sent on September 20, 2004
Report No. 3 for July 1, 2004 – December 31, 2004 was sent on April 22, 2005
Report No. 4 for January 1, 2005 – June 30, 2005 was sent on October 10, 2005
Report No. 5 for July 1, 2005 – December 31, 2005 was sent on February 20, 2006
Report No. 6 for January 1, 2006 – June 30, 2006 was sent on November 28, 2006
Report No. 7 for July 1, 2006 – December 31, 2006 was sent on May 9, 2007

STIPULATION X: Project Modification

No actions occurred during the Reporting Period causing the need for a modification of the MOA.

STIPULATION XI: Amendment

No signatory to the MOA requested an amendment during the Reporting Period.

STIPULATION XII: Failure to Comply/Termination

No signatories to the MOA identified any concerns during the Reporting Period that the terms of the MOA cannot be or are not being carried out.

STIPULATION XIII: Dispute Resolution

One written objection was filed on April 27, 2007 from the Butchertown Neighborhood Association (BNA) requesting the invocation of the dispute resolution stipulation of the MOA. The grounds for the request centered on the Witherspoon Extension, the Section 1 Side Barriers and the BSMT Decision Making process. The request was advanced to the FHWA for resolution, which will continue in the next Reporting Period.

STIPULATION XIV: Duration

The MOA is in effect through April 1, 2023.

PART III – PROJECT MILESTONES

Coordination with the HPATs in the development of plan details to implement mitigation measures is identified in the MOA at a number of project milestones, including:

Preliminary Plans (about 30%),
Right of Way Plans (about 60%)
Final Plans (about 90%)

During the Reporting Period, the BSMT continued work on the overall Project Management Plan, the Initial Financial Plan, including a schedule for the likely activities to be included in the Project. A final document is to be prepared by the BSMT for approval by the FHWA in fall 2007.

Attachment D (following) provides a schedule with milestones anticipated for the Project in the next Reporting Period. It has been developed in more detail and will continue to be revised as a part of the future preparation of this Progress Report.

ATTACHMENT A

SIGNATORIES:

Federal Highway Administration

Advisory Council on Historic Preservation

Indiana State Historic Preservation Officer

Kentucky State Historic Preservation Officer

INVITED SIGNATORIES:

Indiana Department of Transportation

Kentucky Transportation Cabinet

CONCURRING PARTIES:

American Indian Movement – Mobile Chapter (MO-AIM)

Butchertown Neighborhood Association

City of Prospect

The Eastern Band of Cherokee Indians

The Shawnee Tribe

The Delaware Nation

ATTACHMENT B

SECTION 106 MEMORANDUM OF AGREEMENT OUTLINE OF DOCUMENT CONTENT

- I. Project Coordination
 - A. Advisory Teams
 - B. Bi-State Historic Consultation Team
 - C. Bi-State Management Team
 - D. Ombudsmen
- II. Project Development
 - A. Project Goals
 - B. Public Involvement
 - C. Context Sensitive Solutions
 - D. Roadway Lighting
 - E. Noise Abatement
 - F. Historic Preservation Plans
 - G. Survey Updates
 - H. Historic Preservation Easements
 - I. National Register Documentation and Nomination
 - J. Streetscape Improvements
 - K. Interpretative Signage
 - L. Blasting and Vibration
 - M. Timing of Construction Activities
 - N. No-Work Zones
 - O. Smart Growth Conference
 - P. Education and Interpretation
- III. Site Specific Mitigation
 - A. Train Depot – Indiana
 - B. Colgate-Palmolive Historic District
 - C. Ohio Falls and Locomotive Historic District
 - D. George Rogers Clark Memorial Bridge
 - E. Old Jeffersonville Historic District
 - F. INAAP Igloo Storage Historic District
 - G. Lentz Cemetery
 - H. Lime Kilns within the Utica Lime Industry Multiple Property Listing
 - I. Swartz Farm Rural Historic District
 - J. Trolley Barn
 - K. Butchertown Historic District
 - L. Phoenix Hill Historic District
 - M. Country Estates Historic District/River Road Corridor
 - N. Drumanard
 - O. Allison-Barrickman House
 - P. Rosewell
 - Q. Belleview
- IV. Archaeological Resources
 - A. Implementation Standards

- B. Identification
- C. Evaluation
- D. Assessment of Effects
- E. Treatment
- F. Qualifications and Reporting
- G. Distribution of Final Reports
- V. Unanticipated Discoveries
- VI. Additional Historic Properties and Effects
- VII. Excess Right of Way
- VIII. Performance Standards
- IX. Progress Reports
- X. Project Modification
- XI. Amendment
- XII. Failure to Comply/Termination
- XIII. Dispute Resolution
- XIV. Duration

**ATTACHMENT C
MOA COMMITMENT TRACKING TABLE**

(Note - the intent of Attachment C is to provide an summary of commitments made in the Project MOA and provide a status of each. For the complete description of each commitment, please refer to the Project MOA)

STIPULATION I - PROJECT COORDINATION																	
Status	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline				Product & Implementation		
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
U		CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC	A	1	3	Advisory Teams Convene IHPAT and KHPAT	HPATs	December 2003	December 2023	December 31, 2003 (to convene initial HPATs)	IHPAT - December 16, 2003 KHPAT - December 17, 2003	None	Bi-monthly HPAT meetings to be held in addition to special sessions, which are scheduled at the discretion of the BSHCT.	Three IHPAT and five KHPAT (including one working session in May 2007) meetings were convened during the Reporting Period.
D		CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC		2	3	Advisory Teams will assist the BSHCT and BSMT in developing Project design details to implement measures stipulated in the MOA	HPATs	December 2003	December 2023	Duration	Ongoing	None	BSHCT to engage HPATs for recommendations. Recommendations considered by BSHCT at bi-monthly meetings and elevated to BSMT for final decision.	IHPAT & KHPAT consulted during the reporting period on the Joint Inspection Review Plans prepared for Section 1 and the US 42 ramp relocation and exploratory tunnel in Section 4.
D	INDOT/ INSHPO/ KYTC/ KHC	NR	NR	NR	INDOT/ KYTC/ INSHPO/ KHC		3	3	Each Advisory Team will be Co-chaired by a representative of the respective STA and SHPO	HPATs	December 2003	December 2023	Duration	IHPAT - December 16, 2003 KHPAT - December 17, 2003	None	The respective STA's and SHPO's to ensure proper representation on the BSHCT.	Each Advisory Team has designated Co-chairs as mandated. No modifications in the assignment of the Co-chair position occurred during the Reporting Period.
C		CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC		4	3	Representatives of the following will be invited by FHWA and IHPAT Co-chairs to participate on the IHPAT: City of Jeffersonville Historic Preservation Commission, Clark County, City of Jeffersonville, Town of Utica, Jeffersonville Main Street Association, Clarksville Historical Society, Town of Clarksville, Clark County Historian, Rose Hill Neighborhood Association, Historic Landmarks Foundation of Indiana and Jeff-Clark Preservation, Inc.	HPATs	December 2003	December 2003	December 2003	December 16, 2003	None	IHPAT composition is complete. Each contributing organization of the IHPAT is responsible to ensure there is proper representation at IHPAT events.	No modifications to the representation of the IHPAT occurred during the Reporting Period.
C		CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC		5	3	Representatives of the following will be invited by FHWA and KHPAT Co-chairs to participate on the KHPAT: Louisville/Jefferson County Metro Government Historic Preservation Office, Louisville/Jefferson County Metro Government, Butchertown Neighborhood Association, City of Prospect, Phoenix Hill Neighborhood Association, River Fields, Inc., National Trust for Historic Preservation	HPATs	December 2003	December 2003	December 2003	December 17, 2003	None	KHPAT composition is complete. Each contributing organization to the KHPAT is responsible to ensure there is proper representation at KHPAT events.	No modifications to the representation of the KHPAT occurred during the Reporting Period.
NI		CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC		6	4	Additional participants may be invited to participate on the Advisory Teams at the discretion of the Advisory Team Co-chairs.	HPATs	December 2003	December 2023	Duration	Ongoing	None	Formal requests made to be considered by the BSHCT with final decision made by the BSMT.	There were no requests during the Reporting Period of additional participants on either the IHPAT or KHPAT.
NI		NR	NR	NR	INDOT/ KYTC/ INSHPO/ KHC		7	4	ACHP may participate as it sees fit on an ad-hoc basis.	HPATs	December 2003	December 2023	Duration	Ongoing	None	FHWA to engage, as appropriate by the BSHCT and BSMT.	ACHP did not participate during the Reporting Period
C	INDOT/ INSHPO/ KYTC/ KHC	CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC		8	4	The Co-chairs will convene the Advisory Teams for an initial organizational kick-off meeting to establish process and procedure for operation of the Advisory Teams.	HPATs	December 2003	December 2023	As soon as practical	IHPAT - December 16, 2003 KHPAT - December 17, 2003	None	Complete	Refer to A.1 above
D	INDOT/ INSHPO/ KYTC/ KHC	CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC		9	4	Co-chairs will convene additional meetings at the following times to review plans, comment & make recommendations	HPATs	December 2003	December 2023	Duration	Ongoing	None	Bi-monthly HPAT meetings to be held in addition to special sessions, which are scheduled at the discretion of the BSHCT.	See following page, below.

STIPULATION I - PROJECT COORDINATION (Cont.)

Status ¹	Taskmaster(s) & Lead				MOA Reference			Stakeholders & Commitment Description		Duration & Deadline				Product & Implementation			
	FHWA/STW/SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
C		CTS	R	NR	INDOT/ KYTC/ INSHPO/ KHC		a	4	Prior to development of scopes of work for the HPPs	HPATs	March 2004	July 2004	None Established	IHPAT - July 14, 2004 KHPAT - July 15, 2004	None	HPP scopes of work to be presented to HPATs by consultants. HPAT recommendation to be considered by BSHCT and elevated to BSMT for final decision.	1) Draft HPP scopes of work presented at the 5/19/04 IHPAT and 5/20/04 KHPAT. 2) Final HPP scopes of work presented at the 7/14/04 IHPAT and 7/15/04 KHPAT.
U		CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC		b	4	Prior to selection of contractors for preparation of HPPs and Treatment Plans	HPATs	December 2003	December 2003	None Established	HPPs - July 14-15, 2004 Rosewell Treatment Plan - December 8, 2005	None	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	1) CTS-GEC was selected as the contractor to prepare the HPPs at the 7/14/04 IHPAT and 7/15/04 KHPAT. 2) At the 12/8/05 KHPAT HWL was selected to prepare the Treatment Plan for Rosewell.
C		CTS	NR	All	INDOT/ KYTC/ INSHPO/ KHC		c	4	Prior to development of scopes of work for the section design consultants	HPATs	December 2003	December 2003	None Established	Sect. 1 & 4 - September 14, 2004 Sect. 3&6 - October 27, 2004 Sect. 2&5 - July 21, 2005	None	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	1) KTA (SDC 1) and HWL (SDC 4) presented a scope overview at 9/14/04 KHPAT. 2) BFS (SDC 3) and IAP (SDC 6) presented a scope overview at 10/27/04 IHPAT. 3) MB (SDC 2) and PB (SDC 5) provided a joint scope overview at the 7/20/05 IHPAT and the 7/21/05 KHPAT.
U		CTS	R, GP	All	INDOT/ KYTC/ INSHPO/ KHC		d	4	Prior to the preliminary plan field check inspections (about 30%)	HPATs	Varies according to section	1) Section 1 - 9/22/05 2) Section 4 - 10/19/06 3) Section 4 (US 42 Ramp Relocation & Exploratory Tunnel) - 9/22/05	None Established	1) Section 1 - 9/22/05 2) Section 4 - 10/19/06 3) Section 4 (US 42 Ramp Relocation & Exploratory Tunnel) - 9/22/05	None	Plans to be presented to HPATs by SDCs. HPAT recommendation to be considered by BSHCT and elevated to BSMT for final decision.	1) KTA presented the Preliminary Line and Grade plans (approx. 30%) at KHPAT meeting of 9/22/05. 2) HWL presented Preliminary Line and Grade plans (approx. 30%) at KHPAT of 10/19/06. 3) HWL presented 30% plans for the US 42 ramp relocation and exploratory tunnel at the KHPAT of 9/22/05.
U		CTS	R, GP	All	INDOT/ KYTC/ INSHPO/ KHC		e	4	After preliminary plans are revised, to report on how comments were addressed (about 30%)	HPATs	Varies according to section	1) Section 1 - 10/25/05 2) Section 4 - 12/14/06	None Established	1) Section 1 - 10/25/05 2) Section 4 - 12/14/06	None	Plans to be presented to HPATs by SDCs. HPAT recommendation to be considered by BSHCT and elevated to BSMT for final decision.	1) KTA presented updated Preliminary Line and Grade plans (approx. 30%) at KHPAT meeting of 10/25/05. 2) HWL presented updated Preliminary Line and Grade plans (approx. 30%) at KHPAT of 12/14/06. 3) No revisions to US 42 ramp relocation & exploratory tunnel plans.
U		CTS	R, GP	All	INDOT/ KYTC/ INSHPO/ KHC		f	4	Prior to design approval (about 60%)	HPATs	Varies according to section	1) Section 1 - 3/29/07 2) Section 4 (US 42 Ramp Relocation & Exploratory Tunnel) - 2/16/06	None Established	1) Section 1 - 3/29/07 2) Section 4 (US 42 Ramp Relocation & Exploratory Tunnel) - 2/16/06	None	Plans to be presented to HPATs by SDCs. HPAT recommendation to be considered by BSHCT and elevated to BSMT for final decision.	1) KTA presented Joint Inspection Plans (approx. 60%) at special KHPAT of 3/29/07. 2) HWL presented 60% plans for the US 42 ramp relocation and exploratory tunnel at the KHPAT of 2/16/06.
U		CTS	R, GP	All	INDOT/ KYTC/ INSHPO/ KHC		g	4	Prior to the final plan inspections (about 90%)	HPATs	Varies according to section	1) Section 4 (US 42 Ramp Relocation & Exploratory Tunnel) - 4/27/06	None Established	1) Section 4 (US 42 Ramp Relocation & Exploratory Tunnel) - 4/27/06	None	Plans to be presented to HPATs by SDCs. HPAT recommendation to be considered by BSHCT and elevated to BSMT for final decision.	1) HWL presented 90% plans for the US 42 ramp relocation and exploratory tunnel at the KHPAT of 4/27/06.
NI		CTS	R, GP	All	INDOT/ KYTC/ INSHPO/ KHC		h	4	After final plans are completed, to report on how comments were addressed	HPATs	Varies according to section	December 2003	None Established		None	Plans to be presented to HPATs by SDCs. HPAT recommendation to be considered by BSHCT and elevated to BSMT for final decision.	No revisions to US 42 ramp relocation & exploratory tunnel plans were necessary.
						B		4	Bi-State Historic Consultation Team								
D	INDOT/ INSHPO/ KYTC/ KHC	CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC/FHWA		1	4	BSHCT shall consist of representatives of FHWA, INDOT, KYTC and the respective SHPOs	None Identified	December 2003	December 2003	Duration	Ongoing	None	INDOT, KYTC, KHC, INSHPO and FHWA to ensure appropriate representation on the BSHCT.	Ms. Donna Neary took over as the KY SHPO in April 2007 and as the KHPAT Co-chair in June 2007. No other modifications to the BSHCT occurred during the Reporting Period.
D	INDOT/ INSHPO/ KYTC/ KHC	CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC		2	5	BSHCT will advise the Bi-State Management Team as to appropriate design & construction approaches so as to comply with the MOA	None Identified	December 2003	December 2003	Duration	Ongoing	None	Bi-monthly BSHCT meetings held to ensure proper implementation of process.	Condition has been met and will continue to be met the duration of the Project. Items carried forward by the BSHCT to the BSMT were given adequate consideration and decisions rendered.
D	INDOT/ KYTC	NR	NR	NR	INDOT/ KYTC/ INSHPO/ KHC		3	5	BSHCT may make final decisions as delegated by the E State Management Team	None Identified	December 2003	December 2003	Duration	Ongoing	None	Bi-monthly BSHCT meetings held to ensure proper implementation of process.	Stipulation not implemented during the Reporting Period, but will be considered through the duration of the Project.

STIPULATION I - PROJECT COORDINATION (Cont.)

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline				Product & Implementation		
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
D	INDOT/ INSHPO/ KYTC/ KHC	CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC	4	5	5	BSHCT shall convene to consider recommendations provided by the HPATs and prepare recommendations to the BSMT	None Identified	December 2003	December 2023	Duration	Ongoing	None	Bi-monthly BSHCT meetings held to ensure proper implementation of process.	The BSHCT met three times during the Reporting Period. Recommendations carried forward to the BSMT, as appropriate.
D	INDOT/ INSHPO/ KYTC/ KHC	CTS	NR	NR	INDOT/ KYTC/ INSHPO/ KHC	5	5	5	BSHCT to ensure comments & recommendations given full consideration in preparing its recommendations to the Bi-State Management Team	None Identified	December 2003	December 2023	Duration	Ongoing	None	Bi-monthly BSHCT meetings held to ensure proper implementation of process.	Condition has been met and will continue to be met the duration of the Project.
						C		5	Bi-State Management Team								
D	INDOT/ KYTC/ FHWA	NR	NR	NR	INDOT/ KYTC/ FHWA	1	5	5	Bi-State Management Team to consist of representatives of INDOT, the KYTC & FHWA who shall have authority for final approval of actions.	None Identified	December 2003	December 2023	Duration	Ongoing	None	INDOT, KYTC, and FHWA to ensure appropriate representation on the BSMT.	Mr. Matt Bullock assumed the position as interim Project Manager for KYTC and BSMT member. No other modifications to the BSMT occurred during the Reporting Period.
D	INDOT/ KYTC/ FHWA	NR	NR	NR	INDOT/ KYTC/ FHWA	2	5	5	Bi-State Management Team to ensure consultant services procured include professionals with the appropriate experience to implement provisions of MOA.	None Identified	December 2003	December 2023	Duration	Ongoing	None	Weekly meetings of the BSMT to be held to ensure work is carried out by qualified professionals.	Condition has been met and will continue to be met the duration of the Project.
D	INDOT/ KYTC/ FHWA	CTS	NR	NR	CTS	3	5	5	Bi-State Management Team to prepare progress reports as set forth in Stipulation IX.	None Identified	December 2003	December 2023	Duration	Ongoing	None	BSMT to ensure consultant prepares regular progress reports every six months. Reports to be reviewed by BSHCT and elevated to BSMT for approval.	Condition to be met the duration of the Project.
D	INDOT/ KYTC/ FHWA	CTS	NR	NR	INDOT/ KYTC/ FHWA	4	5	5	Bi-State Management Team to give full consideration to recommendations of BSHCT for incorporation into final plans.	None Identified	December 2003	December 2023	Duration	Ongoing	None	Weekly meetings of the BSMT to be held to consider the recommendations of the BSHCT.	Condition has been met and will continue to be met the duration of the Project.
						D		5	Ombudsmen								
D	INDOT/ KYTC	CTS	NR	NR	INDOT/ KYTC	1	5	5	Bi-State Management Team to ensure retention of two Ombudsmen	None Identified	December 2003	December 2023	Duration	KY - November 1, 2004 IN - January 1, 2007	None	INDOT and KYTC to interview qualified candidates and make appropriate selection.	1) KY Ombudsman - Mr. Lee Walker was selected and began work on 11/1/04. 2) IN Ombudsman - On 1/2/07, Mr. Carl Pearcy began work.
D	INDOT/ KYTC	CTS	NR	NR	INDOT/ KYTC	2	5	5	Ombudsmen should have demonstrated communication & conflict resolution skills, as well as a working knowledge of historic preservation practices as related to the goals of the Project.	None Identified	December 2003	December 2023	Duration	Ongoing	None	INDOT and KYTC to ensure the selected individuals have the appropriate qualifications.	The Ombudsmen have undergone training to satisfy this stipulation.
D	INDOT/ KYTC	CTS	NR	NR	INDOT/ KYTC	3	5	5	Ombudsmen will be responsible for communicating with the public and investigating reported problems on all aspects of the Project. Will report to the Bi-State Management Team.	None Identified	December 2003	December 2023	Duration	Ongoing	None	Ombudsmen will be involved with meetings of the HPATs and BSHCT to stay current with historic aspects of the Project. Ombudsmen will have regular office hours to facilitate public concerns.	The Ombudsmen continued to communicate with the public and investigate potential problems.
D	INDOT/ KYTC	CTS	NR	NR	INDOT/ KYTC	4	5	5	Ombudsmen will be located in an office(s) within or close to the Project area.	None Identified	December 2003	December 2023	Duration	KY - November 2004 IN - January 2007	None	INDOT and KYTC to ensure Ombudsmen located within or close to Project area.	Mr. Walker's office is located adjacent to the CTS-GEC office, which is in close proximity to the Project area. Mr. Pearcy's office is located in the Quartermaster Depot in Jeffersonville, which is in the Project area.
D	INDOT/ KYTC	CTS	NR	NR	INDOT/ KYTC	5	5	5	Reasonable efforts will be made to locate the offices of the ombudsmen in historic properties.	None Identified	December 2003	December 2023	Duration	KY - November 2004 IN - Pending selection	None	INDOT and KYTC to evaluate reasonableness of locating Ombudsmen in historic properties. Decision will be based upon public accessibility from the Downtown and East End Sections.	Reasonable efforts were made to locate the KY Ombudsman in a historic property. The office of the IN Ombudsman is located in the historic Quartermaster Depot in Jeffersonville, Indiana.
D	FHWA/ INDOT/ KYTC	CTS	NR	NR	INDOT/ KYTC	6	5	5	Roles & responsibilities of the Ombudsmen to be further defined in the management plan of the Project.	None Identified	December 2003	December 2023	Duration	August 2006 (Update)	None	INDOT and KYTC to ensure roles and responsibilities defined in Management Plan	Project Management Plan Updated August, 2006.

KEY:

1 - Status				
C - Completed	U - Underway	D - Duration of Project	NR - Not Required	NI - Not Yet Initiated

2 - Taskmasters				
STAs	INDOT - Indiana Department of Transportation		KYTC - Kentucky Transportation Cabinet	
Federal Agency	FHWA - Federal Highway Administration			
SHPOs	INSHPO - Indiana State Historic Preservation Office		KHC - Kentucky Heritage Council	
GEC	R - Ratio Architects	CTS - Community Transportation Solutions	A - ASC Group	GP - Gray and Pape
KY SDCs	L - HW Lochner		KTA - (Kentucky Transportation Associates	
IN SDCs	BFS - Butler, Fairman and Seufert		IAP - Indiana Approach Partners	
SDCs 2 & 5	PB - Parson Brinkerhoff		MB - Michael Baker	
	NR - Not Required			

STIPULATION II - PROJECT DEVELOPMENT

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline				Product & Implementation		
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
D		CTS	R, GP	All	CTS	A		6	Project goal is to avoid adverse effects to the environment and historic properties to the maximum extent possible.	HPATs	December 2003	December 2023	Duration	Ongoing		Continued coordination with HPATs, AATs, RAC and SDCs.	Ongoing
D		CTS	R, GP	All	INDOT/ KYTC	B		6	Informational meetings convened by respective STAs for public views	City of Jeffersonville, Clark County Commissioners, Metro Government and General Public	December 2003	December 2023	None established	Ongoing		Public to be engaged at the discretion of the BSMT	A combined AAT/RAC was held on 5/15/06. Open houses were held in Section 4 on 2/22/07, 4/27/07 and 6/5/07.
D		CTS	R, GP	All	CTS/ SDCs	C		7	The roadway, bridges, and other Project elements shall be designed and constructed with sensitivity to aesthetic values, historic cultural landscapes and the historic context.	HPATs	September 2004	December 2012	None established	Ongoing		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Design Guidelines developed for Sections 1 and 4; Historic Preservation Plans / Immediate Needs Reports consider elements as well.
D		CTS	R, GP	All	CTS/ SDCs	D		7	Roadway lighting within the viewshed of historic properties & navigational lighting designed and constructed to minimize dispersion of light beyond highway right-of-way and include state-of-the-art techniques and systems.	HPATs	September 2004	December 2012	None established	Ongoing		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	To be established for site specific properties indicated in Stipulation III
D		CTS	R, GP	All	CTS/ SDCs	E		7	Design Project so as to minimize adverse noise effects on historic properties in accordance with state and federal noise regulations, policies, and guidance, including special consideration of enhanced noise abatement measures for historic properties	HPATs	September 2004	December 2012	None established	Ongoing		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	1) In Section 1, barriers still being considered adjacent to the Clifton Neighborhood. A meeting with the neighborhood occurred on 5/24/07. 2) Noise Barrier Analysis prepared for Section 4 approved by BSMT on 12/6/06. Community meetings to be held during the ensuing period.
						F		7	HPPs prepared for historic properties and districts	HPATs	July 2004	April 2008	Prior to Preliminary Field Check Plans		HPP	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	To be established for site specific properties indicated in Stipulation III
U		CTS	R, GP	NR	CTS		1	8	HPPs to meet the following provisions	HPATs	July 2004	April 2008	None established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	
C		CTS	R, GP	NR	CTS/ INDO/ KYTC/ KHC/ INSHPO		a	8	Scopes of Work to be developed in consultation with the respective HPATs.	HPATs	March 2004	July 2004	None established	July 2004		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See Stipulation I.A.9 a update
U		CTS	R, GP	NR	CTS		b	8	To be developed in consultation with owners of historic properties and/or related neighborhoods.	HPATs	July 2004	April 2008				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Condition considered throughout development of the HPP. Several meetings have occurred with stakeholders of historic properties where HPPs are being developed.
U		CTS	R, GP	NR	CTS		c	8	Consent to be obtained prior to entry on privately owned property.	HPATs	July 2004	April 2008				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Obtained as needed
U		CTS	R, GP	NR	CTS		d	8	To consider and build upon existing studies and plans.	HPATs, City of Jeffersonville, Clark County Commissioners and Metro Government	July 2004	April 2008				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Condition considered throughout development of the HPP.
U		CTS	R, GP	NR	CTS		e	8	Be developed in cooperation with the appropriate local government and approved by the respective SHPO.	HPATs, City of Jeffersonville, Clark County Commissioners and Metro Government	July 2004	April 2008				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Condition considered throughout development of the HPP. Louisville Metro Government & City of Jeffersonville have been involved in the development of the respective HPPs.
U		CTS	R, GP	NR	CTS		f	8	To recognize the unique character, context, and historic significance of each resource/ area. Identify ways to protect and enhance the historic qualities.	HPATs	July 2004	April 2008				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Condition considered throughout development of the HPP.
U		CTS	R, GP	NR	CTS		g	8	To consider land use, transportation patterns & other urban/suburban planning issues	HPATs, City of Jeffersonville, Clark County Commissioners and Metro Government	July 2004	April 2008				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Condition considered throughout development of the HPP.
NI		CTS	R, GP	NR	CTS		h	8	Present to relevant city/county governments & KIPDA for incorporation into local land use planning processes.	HPATs, City of Jeffersonville, Clark County Commissioners and Metro Government	July 2004	April 2008				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	HPPs have not yet been presented to the identified agencies. Phoenix Hill HPP to be present along with Butchertown HPP, once approved.

STIPULATION II - PROJECT DEVELOPMENT (Cont.)

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline				Product & Implementation		
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
U		CTS	R, GP	NR	CTS		2	8	HPPs to be prepared for the following properties:				Prior to Preliminary Field Check Plans		HPP	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	
U		CTS	R, GP	NR	CTS		a	8	Old Jeffersonville Historic District	IHPAT	March 2006	September 2007	Prior to Preliminary Field Check Plans		HPP	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Development of Draft HPP continued during the Reporting Period. Draft C of the HPP was discussed at the IHPAT meetings of 3/14/07 and 6/12/07.
NI		CTS	R, GP	NR	CTS		b	8	Township of Utica Historic Lime Industry	IHPAT, Lime Kiln Owners	May 2006	April 2008	Prior to Preliminary Field Check Plans		HPP	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Awaiting property owner permission prior to accessing property to initiate development of the HPP.
U		CTS	R, GP	NR	CTS		c	8	Swartz Farm Rural Historic District	IHPAT, Swartz Farm and Swartz-Voight-Marble House Owners	January 2006	November 2007	Prior to Preliminary Field Check Plans		HPP	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Development of Draft HPP continued during the Reporting Period. Draft B of the HPP was discussed at the IHPAT meetings of 3/14/07 and 4/18/07.
NR		CTS	R, GP	NR	CTS		d	8	James Smith Rural Historic Farmstead	IHPAT	October 2005	August 2006	Prior to Preliminary Field Check Plans	August 7, 2006	HPP	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Owners elected to not donate the portion of the property determined eligible for the NRHP by not responding by the established deadline, June 14, 2006.
U		CTS	R, GP	NR	CTS		e	8	Butchertown Historic District	KHPAT, Butchertown Business Association	November 2004	April 2007	Prior to Preliminary Field Check Plans		HPP	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	The Final HPP was presented to the KHPAT at the meeting of 2/15/07, approval is pending.
C		CTS	R, GP	NR	CTS		f	8	Phoenix Hill Historic District	KHPAT, Phoenix Hill Neighborhood Association	November 2004	April 2007	Prior to Preliminary Field Check Plans	April 25, 2007	HPP	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Final HPP approved by the BSMT on 4/25/07 and distributed to the KHPAT on 5/1/07.
U		CTS	R, GP	NR	CTS		g	8	Country Estates of River Road River Road Corridor	KHPAT	April 2005	October 2007	Prior to Preliminary Field Check Plans		HPP	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Development of Draft HPP continued during the Reporting Period. The Draft HPP was discussed at the KHPAT meetings of 3/14/07 and 4/19/07 and 6/14/07. A work session was also held on 5/11/07.
						G		9	Survey Updates				Within five years following ROD		Revised Clark County Interim Report and Jefferson County Inventory	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	
NI	INDOT	NR	NR	NR	INSHPO/INDOT		1	9	Clark County Interim Report and Indiana Historic Sites and Structures Inventory of Clark County	Clark County Commissioners and INSHPO	June 2007	March 2008	September 6, 2008		Revised Clark County Interim Report	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	The INSHPO is to complete the update to the Clark County Interim Report.
NI	KYTC	NR	NR	NR	CTS		2	9	The Jefferson County Inventory and Survey of Historic Sites in Kentucky	Metro Government and KHC	August 2007	April 2008	September 6, 2008		Revised Jefferson County Inventory	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	CTS-GEC is currently being sought for the completion of the Jefferson County Inventory.
U		CTS	NR	BFS, IAP, KTA & HWL	INDOT/ KYTC	H		9	Historic Preservation Easements to be placed on properties identified in Stipulation III in perpetuity	INSHPO and KHC	January 2009	January 2011	None established		Preservation Easement	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	To be established for site specific properties indicated in Stipulation II.
NI	INDOT	CTS	R, GP	NR	INDOT/CTS	I		4	Preparation of NRHP documentation and nomination of properties identified in Stipulation III.	INSHPO	April 2006	April 2008	Within first three years following the ROD (September 2006)		Completed NRHP Form	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Refer to Stipulation III.A.4, III.B.1, III.C.1, III.H.8, III.I.4 and III.I.9.d.
U		CTS	R, GP	All	KTA/INDOT	J		10	Streetscape improvements to be designed in consultation with the respective SHPCs and occur within the public right-of-way. Obtain right-of-way title holder approval prior to use.	HPATs	March 2008	March 2012	None established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	To be established for site specific properties indicated in Stipulation III.
NI		CTS	R, GP	All	INDOT/KTA/L/IAP	K		10	Interpretative signage to be placed within the right-of-way of public streets or on easements to explain significance of the historic properties. Obtain right-of-way title holder approval prior to use.	HPATs	March 2008	March 2012	None established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	To be established for site specific properties indicated in Stipulation II.

STIPULATION II - PROJECT DEVELOPMENT (Cont.)

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline				Product & Implementation		
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
U		CTS	NR	All	CTS	L	1	10	Blasting & Vibration Ensure construction blasting/vibration plans and bridge pier construction plans are developed by the contractor(s).	Owners of properties identified in MOA for blasting and vibration plans.	January 2006	December 2016	Prior to construction	US 42 Ramp Reloc - May 2006	Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	Blasting and vibration plan completed for the US 42 ramp relocation; to be completed for the exploratory tunnel.	
U				All	SDCs / CTS		2	10	Plans to be coordinated with the contract documents, special provisions and notes included in the construction plans.	HPATs	January 2006	December 2016		US 42 Ramp Reloc - May 2006	Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	Contract documents for the US 42 ramp relocation included provisions for blasting and vibration.	
U		CTS	NR	NR	INDOT/ KYTC/ FHWA		3	10	BSMT to determine whether damage has occurred as a result of Project activities.	Owners of properties identified in MOA for blasting and vibration plans.	January 2006	December 2023	As needed	US 42 Ramp Reloc - April 2007	Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	FHWA and KYTC present during the blasting associated with the US 42 ramp relocation.	
NI		CTS	NR	NR	INDOT/ KYTC		4	11	INDOT/KYTC shall be responsible for repair of any blast and vibration damage to historic properties. Repairs to be carried out in accordance with the Secretary's Standards.	Owners of properties identified in MOA for blasting and vibration plans.	January 2006	December 2023	Prior to entry		Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	No damages to historic properties identified.	
U		CTS	NR	All	INDOT/ KYTC		5	11	Obtain consent for entry of privately owned property to access monitoring equipment or damage repair.	Owners of properties identified in MOA for blasting and vibration plans.	January 2006	December 2023	Prior to entry		Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	Consent obtained as needed. Construction of ramp relocation occurred in existing right-of-way. No consent for entry necessary.	
U		CTS	NR	All	SDCs	M		11	Include in the Project contracts provisions that limit construction activities and construction noise during specific periods of time.	HPATs	January 2006	December 2016	None established	US 42 Ramp Reloc - May 2006	Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	None required this Reporting Period	
U		CTS	NR	All	SDCs	N		11	Establish no-work zones on construction plan within the NRP boundaries of properties identified in Stipulation III.	HPATs	January 2006	December 2012	None established	US 42 Ramp Reloc - May 2006	Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	None required this Reporting Period	
C		CTS	NR	NR	CTS	O		11	Sponsor a Smart Growth Conference for the Louisville - Southern Indiana region to inform local governments about the opportunities of smart growth.	City of Jeffersonville, Clark County Commissioners, Metro Government and General Public	June 2005	September 2006	September 2006		Complete	Work activity is complete.	
						P		12	Education & Interpretation								
U	FHWA / INSHPO / KHC	NR	NR	NR	FHWA		1	12	Ensure that sensitive information about the location and nature of archaeological resources identified in the APE are not disclosed.	INSHPO and KHC	December 2003	December 2023	None established		Coordination with INSHPO, KHC and STAs to ensure sensitivity.	Condition to be met the duration of the Project.	
NI		CTS	R, A, GP	NR	CTS		2	12	Develop a Popular Report, in plain English intended for general public, describing history, historic properties and archaeological resources identified in the APE. Draft copy to be provided to parties for 30-day review; comments to be considered in final report.	HPATs and Native American Tribes	June 2008	June 2010	None established		Consult with the BSHCT, HPATs and Native American Tribes to develop report. HPAT recommendations considered by BSHCT and elevated to BSMT for approval. Copies distributed to SHPOs, stakeholders, libraries and museums in Broad APE.	Work activity has not been initiated	
C		CTS	R, GP	NR	CTS		3	12	Develop an exhibit for display in the museum to be established at the Trolley Barn. Exhibit to showcase local history and the historic properties identified during the Project within a regional context and educate visitors about the Federal regulatory process.	HPATs, ACHP and Native American Tribes	February 2004	September 2004	Every effort to have exhibit completed for presentation at the National Trust for Historic Preservation Conference held in Louisville in 2004.	September 2004	Trolley Barn Exhibit	Complete	Exhibit displayed at the National Trust for Historic Preservation Annual Conference held in September/October 2004. Final exhibit to be placed in Trolley Barn upon its rehabilitation.
C		CTS	NR	NR	CTS		a	12	Exhibit is to be prepared by a professional in the development of museum exhibits.	HPATs, ACHP and Native American Tribes	February 2004	September 2004		September 2004	Complete	Exhibit prepared by professionals with concurrence of the BSHCT.	
C		CTS	R, GP	NR	CTS		b	12	During preparation parties will have opportunities to review and provide comments on the proposed exhibit.	HPATs, ACHP and Native American Tribes	February 2004	September 2004		September 2004	Complete	HPATs were provided an opportunity to comment on Draft exhibit.	

KEY:

1 - Status					
C - Completed	U - Underway	D - Duration of Project	NR - Not Required	NI - Not Yet Initiated	
2 - Taskmasters					
STAs	INDOT - Indiana Department of Transportation		KYTC - Kentucky Transportation Cabinet		
Federal Agency	FHWA - Federal Highway Administration				
SHPOs	INSHPO - Indiana State Historic Preservation Office		KHC - Kentucky Heritage Council		
GEC	R - Ratio Architects	CTS - Community Transportation Solutions	A - ASC Group	GP - Gray and Pape	
KY SDCs	L - HW Lochner	KTA - Kentucky Transportation Associates			
IN SDCs	BFS - Buller, Fairman and Seufert		IAP - Indiana Approach Partners		
SDCs 2 & 5	PB - Parson Brinkerhoff	MB - Michael Baker			
	NR - Not Required				

STIPULATION III - SITE SPECIFIC MITIGATION																	
Status	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline				Product & Implementation		
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	A	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
									13 Train Depot								
NI		CTS	R	BFS	INDOT		1	13	Streetscape improvements	City of Jeffersonville and IHPAT	January 2009	January 2011	None Established		SDC 3 to develop plan and coordinate with IHPAT and general public.	Work activity has not been initiated	
C	INDOT	NR	NR	NR	INDOT		2	13	Good faith effort to be made in acquiring site at fair market value from a willing seller.	INSHPO, IHPAT	June 2005	December 2005	None Established	December 2005	Complete	INDOT is in possession of the Train Depot.	
NI	INDOT	CTS	NR	BFS	INDOT		a	13	Make available during the construction period to house personnel assigned to the Project & the Indiana Ombudsman.	None Identified	January 2010	December 2023	None Established		SDC 3 to develop rehabilitation plan and coordinate with IHPAT and general public.	Work activity has not been initiated	
NI	INDOT	CTS	NR	BFS	INDOT		b	13	Any work to rehabilitate to be done in accordance with the Secretary of Interior Standards.	INSHPO, IHPAT	January 2010	January 2012	None Established		Construction to occur in accordance with the Secretary of Interior Standards.	Rehabilitation to occur as part of the SDC 3 contract, which is presently pending.	
NI	INDOT	CTS	NR	BFS	INDOT		c	13	Place preservation easement on property & sell at fair market value or donate to a local government.	INSHPO and IHPAT	January 2012	January 2013	None Established		INDOT to place preservation easement on property & sell at fair market value or donate to a local government.	Development of an easement to be completed as part of the SDC 3 contract, which is presently pending.	
NR		NR	NR	NR	INDOT		3	13	If unable to acquire, implement alternative mitigation measures.	None Identified	N/A	N/A	None Established	N/A	N/A	The implementation of this alternative is not necessary as INDOT has successfully acquired the property.	
C		INDOT	NR	BFS	INDOT		4	13	Document and seek NRHP nomination.	INSHPO	December 2005	September 2006	September 2006	March 29, 2007	Completed NRHP Nomination Form	Complete	The Train Depot was listed in the NRHP on 3/29/07.
NI		CTS	R	BFS	INDOT		5	13	Develop and place interpretative signage.	INSHPO, IHPAT and City of Jeffersonville	March 2010	March 2012	None Established		SDC will develop signage plan as part of the construction documents.	Development of interpretative signage to be completed as part of the SDC 3 contract, which is presently pending.	
									13 Colgate-Palmolive Historic District								
C		INDOT	NR	NR	INDOT		1	13	Document and seek NRHP nomination	INSHPO, IHPAT and town of Clarksville	March 2010	March 2012	September 2006	March 8, 2007	Completed NRHP Nomination Form	Complete	Archival documentation prepared by INDOT deemed appropriate by INSHPO to satisfy stipulation on 3/8/07.
NI		CTS	R	BFS	INDOT		2	14	Develop and place interpretative signage	INSHPO, IHPAT and town of Clarksville	March 2010	March 2012			SDC will develop signage plan as part of the construction documents.	Development of interpretative signage to be completed as part of the SDC 3 contract, which is presently pending.	
									14 Ohio Falls Car and Locomotive Company Historic District								
NI		CTS	R	NR	CTS		1	14	Document and seek NRHP nomination	INSHPO, IHPAT and town Clarksville	July 2007	April 2008	September 2006		Completed NRHP Nomination Form	CTS-GEC to develop NRHP Nomination for review and approval for listing by the INSHPO and the Keeper of the Register.	CTS-GEC is currently being sought for the completion of the NRHP form for this property.
NI		CTS	R	BFS	INDOT		2	14	Develop and place interpretative signage	INSHPO, IHPAT and town of Clarksville	March 2010	March 2012	None Established		SDC will develop signage plan as part of the construction documents.	Development of interpretative signage to be completed as part of the SDC 3 contract, which is presently pending.	
NI		CTS	R	BFS	INDOT		3	14	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	INSHPO, IHPAT and town of Clarksville	March 2012	December 2023	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	Work activity has not been initiated
									14 George Rogers Clark Memorial Bridge								
NI	INDOT	CTS	R	BFS	INDOT		1	14	Avoid displacement of the historic bridge pylons on the IN approach to the bridge	IHPAT and City of Jeffersonville	January 2010	January 2012	None Established		Avoid displacement of the historic bridge pylons on the IN approach to the bridge	Work activity has not been initiated	
NI		CTS	NR	BFS	INDOT		2	14	If displacement cannot be avoided, develop and implement a Treatment Plan to minimize damage to the original contributing elements.	IHPAT and City of Jeffersonville	January 2012	January 2014	None Established		If displacement cannot be avoided, develop and implement a Treatment Plan to minimize damage to the original contributing elements.	Work activity has not been initiated	
NI		CTS	NR	BFS	INDOT		3	15	Relocate pylons in a way to ensure protection of the NRHP designation.	IHPAT and City of Jeffersonville	January 2014	January 2023	None Established		Relocate pylons in a way to ensure protection of the NRHP designation.	Work activity has not been initiated	
									15 Old Jeffersonville Historic District								
U		CTS	R	NR	CTS		1	15	Develop a HPP	City of Jeffersonville, INSHPO and IHPAT	March 2006	September 2007	Prior to Preliminary Field Check Plans		HPP	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Development of Draft HPP continued during the Reporting Period. Draft C of the HPP was discussed at the IHPAT meetings of 3/14/07 and 6/12/07.

STIPULATION III - SITE SPECIFIC MITIGATION (Cont.)

Status	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Description			Duration & Deadline				Product & Implementation		
	FWHA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments	
NI	INDOT	CTS	R	NR	CTS	2		15	Present HPP to the City of Jeffersonville for potential use as a component of the City's Comprehensive Plan.	City of Jeffersonville	November 2007	June 2008	None Established			Upon approval by the BSMT, HPP to be presented to City of Jeffersonville for consideration and adoption.	The City of Jeffersonville has been and will continue to be involved in the development of this HPP. Once completed it will be presented to the City.	
NI		CTS	R	BFS	INDOT	3		15	Design and construct streetscape improvements within the HD between Spring Street and the Project.	City of Jeffersonville and IHPAT	January 2009	January 2011	None Established		Streetscape Plan for improvements to the designated areas	SDC 3 to develop plan and coordinate with IHPAT and general public.	Awaiting issuance of design contract to Section 3.	
U		CTS	R	BFS	INDOT	4		15	Design and construct pedestrian friendly facilities within IN ROW under the new bridge and existing I-65 bridge.	City of Jeffersonville	January 2009	December 2023	None Established			Coordination with City of Jeffersonville and the Indiana Greenway Commission, if required.	The Ohio River Greenway is currently under development by the City of Jeffersonville.	
U		CTS	R	MB	MB	5		15	Minimize spacing between the existing bridge and the proposed downtown bridge consistent with prudent engineering principles.	City of Jeffersonville and IHPAT	September 2007	January 2009	None Established			SDC 2 to coordinate with the IHPAT and City of Jeffersonville during the design process to ensure distance between the two bridges is minimized.	To be evaluated further in future advancement of the bridge design.	
NI		CTS	R	BFS	INDOT	6		15	Develop and implement highway signage plan that minimizes the number of overhead directional signs on the approaches.	City of Jeffersonville and IHPAT	January 2009	January 2011	None Established			Signage plan to be presented to IHPAT during development of the design. IHPAT recommendation to be considered by BSHCT and elevated to BSMT for approval.	Awaiting issuance of design contract to Section 3.	
NI		CTS	R	BFS	CTS	7		15	Relocate five contributing structures (115 Fort St., 116 Fort St., 502 W. Market St., 432 W. Riverside Dr. and 502 W. Riverside Dr.) that would otherwise be demolished by the Project.	City of Jeffersonville and IHPAT	May 2007	December 2023	None Established			IHPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Efforts initiated during the Reporting Period to determine feasibility of moving structures over the floodwall. Coordination to be undertaken with owners to gain access to interior of structures and determine the ability of each to withstand the move.	
NI	INDOT	CTS	R	BFS	CTS	a		16	Make a reasonable effort to purchase vacant lots within the district from a willing seller at fair market value. House to be moved & placed on new foundations in accordance with approaches recommended in Moving Historic Buildings. Relocated houses to be sold at fair market value.	INSHPO	January 2008	January 2010	During the Acquisition Phase			IHPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Work activity has not been initiated.	
NI	INDOT	CTS	NR	BFS	INDOT	b		16	Place preservation easement on relocated houses.	INSHPO and IHPAT	January 2009	January 2011	None Established		Preservation Easement	IHPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Work activity has not been initiated.	
NI	INDOT	NR	NR	NR	INDOT	c		16	Stipulate through a covenant the purchaser must occupy house for five years and maintain in accordance with preservation standards.	INSHPO and City of Jeffersonville	January 2009	January 2011	None Established			INDOT to coordinate with INSHPO to establish covenant language. Once agreed upon, covenant with City of Jeffersonville.	Work activity has not been initiated.	
NI	INDOT	CTS	R	NR	INDOT	8		16	If unable to obtain suitable property within the district, prepare & implement a marketing plan for relocation by others at a nominal fee.	INSHPO	January 2009	January 2011	None Established		Marketing Plan	If necessary, plan to be developed in consultation with INSHPO.	Need to invoke stipulation not yet determined.	
NI	INDOT	CTS	NR	BFS	INDOT	a		16	Plan to include information about the buildings.	continued	continued	continued	continued	continued	continued	continued	Work activity has not been initiated.	
NI	INDOT	CTS	NR	BFS	INDOT	b		16	Upon INSHPOs agreement, INDOT to implement for a minimum of six months.	continued	continued	continued	continued	continued	continued	continued	Work activity has not been initiated.	
NI	INDOT/ INSHPO	NR	NR	NR	INDOT	c		16	INDOT to review with INSHPO all offers prior to acceptance.	continued	continued	continued	continued	continued	continued	continued	Work activity has not been initiated.	
NI	INDOT	CTS	R	NR	INDOT	9		16	If no acceptable offer made that conforms to the requirements for rehabilitation & maintenance, may donate property to a local government	INSHPO and IHPAT	January 2009	January 2011	None Established			If no acceptable offer made that conforms to the requirements for rehabilitation & maintenance, may donate property to a local government.	Implementation of activity not yet warranted	
NI		CTS	R	BFS	INDOT	10		16	Design and construct roadway lighting in the viewshed of district as set forth in Stipulation II.D.	IHPAT	January 2009	January 2012	None Established			IHPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Awaiting issuance of design contract to Section 3.	
NI		CTS	R	BFS	INDOT	11		16	Implement noise abatement measures as set forth in Stipulation II.E.	IHPAT	January 2009	January 2012	None Established			IHPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Awaiting issuance of design contract to Section 3.	
NI	INDOT	CTS	R	BFS	INDOT	12		16	Timing of construction activities shall be scheduled to limit construction activities which may result in additional impacts to the district.	IHPAT	January 2009	January 2012	None Established			Provision to be included in the construction specs for the contractor	Awaiting issuance of design contract to Section 3.	

STIPULATION III - SITE SPECIFIC MITIGATION (Cont.)

Status	Taskmaster(s) & Lead					MOA Reference		Stakeholders & Commitment Description		Duration & Deadline				Product & Implementation			
	FHW/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
NI		CTS	R	BFS	INDOT	13		17	Develop and implement a blasting/vibration plan as set forth in Stipulation I.L.	INSHPO, IHPAT and City of Jeffersonville	January 2012	December 2016	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	Awaiting issuance of design contract to Section 3.
						F		17	INAAP Igloo Storage Historic District								
NI		CTS	R	IAP	INDOT	1		17	Develop and implement a blasting/vibration plan as set forth in Stipulation I.L. Plan to include provisions for blast monitoring & repair if the Department of the Army grants access.	INSHPO, IHPAT, Clark County Commissioners and the Department of the Army	January 2012	December 2016	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	Awaiting issuance of design contract to Section 6.
						G		17	Lentz Cemetery								
NI		CTS	R	IAP	INDOT	1		17	Develop and implement a blasting/vibration plan as set forth in Stipulation I.L.	INSHPO, IHPAT, Clark County Commissioners and town of Utica	January 2012	December 2016	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	Awaiting issuance of design contract to Section 6.
NI		CTS	R	IAP	INDOT	2		17	Design the section of roadway adjacent to the cemetery to minimize noise to the property and complement the refinement with landscaping within the public right-of-way.	INSHPO and IHPAT	January 2008	January 2010	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Awaiting issuance of design contract to Section 6.
						H		17	Lime Kilns within the Utica Lime Industry Multiple Property Listing								
NI		CTS	R, GP	NR	CTS	1		17	Develop a HPP to include a Context Study focusing on the development of the lime industry. Develop and publish a pamphlet for public distribution presenting the results of the lime industry	town of Utica, INSHPO and IHPAT	May 2005	December 2008	Prior to Preliminary Field Check Plans		HPP & Lime Kiln Pamphlet	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Initiation of work activities is pending authorization to access the property by the owner.
NI		CTS	R	NR	INDOT	2		17	Prepare a condition report of the resources, which includes photographs, to establish a baseline condition.	INSHPO	January 2012	December 2016	Prior to initiating construction activities within 1,000 feet of the lime kilns			Prior to construction, INDOT would assess condition of kilns and document. Documentation to be developed in coordination with the INSHPO.	Work activity has not been initiated.
NI		CTS	R	IAP	INDOT	3		18	Develop and implement a blasting/vibration plan as set forth in Stipulation I.L. To be developed for kilns identified as IE-HC-48002, IE-HC-48003 and IE-HC-48004.	INSHPO and IHPAT	January 2012	December 2016	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	Awaiting issuance of design contract to Section 6.
NI		CTS	R	IAP	INDOT	4		18	Delineate a "no-work zone" around the three lime kilns, noted above, which is to extend 100 feet from the kilns.	IHPAT	January 2008	January 2010	None Established			Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	Awaiting issuance of design contract to Section 6.
NI	INDOT	CTS	R	NR	INDOT	5		18	Repair any damage caused by the Project to the lime kilns	owners of Lime Kilns, INSHPO, and IHPAT	December 2016	December 2023	None Established			Upon completion of construction, INDOT will evaluate the condition of the kilns and repair, as appropriate	No damages have occurred as a result of the Project
NI	INDOT	NR	NR	NR	INDOT	6		18	Acquire Lime Kiln IE-HC-48004; place a preservation easement on the property and sell it to a preservation organization or donate it to a local government	INSHPO and IHPAT	January 2008	January 2010	During the Right-of-Way Phase			Lime kiln to be acquired during the right-of-way phase.	Work activity has not been initiated.
NI		CTS	R	IAP	INDOT	7		18	Develop and design an interpretive marker along Utica Pike describing the importance of the lime industry & significance of the kilns.	INSHPO, IHPAT and town of Utica	January 2009	January 2010	None Established		Interpretive Marker	SDC will develop interpretive marker as part of the construction documents. Content of the marker to be coordinated with the IHPAT and INSHPO.	Awaiting issuance of design contract to Section 6.
NI		CTS	GP	IAP	CTS	8		18	Document and seek NRHP nomination	INSHPO, IHPAT and town of Utica	July 2007	April 2008	September 2006		Completed NRHP Nomination Form	CTS-GEC to develop NRHP Nomination for review and approval for listing by the INSHPO and the Keeper of the Register.	CTS-GEC is currently being sought for the completion of the NRHP form for this property.
						I		18	Swartz Farm Rural Historic District								
U		CTS	R	NR	CTS	1		18	Develop thematic context study for agriculture in Clark County, Indiana and surrounding counties to assist in future nominations in the region.	Clark County Commissioners, INSHPO, IHPAT and City of Jeffersonville	January 2006	April 2008	Prior to Preliminary Field Check Plans		HPP Context Study	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Development of Draft HPP continued during the Reporting Period. Draft B of the HPP was discussed at the IHPAT meetings of 3/14/07 and 4/18/07.
U	INDOT	NR	NR	NR	INDOT	2		18	Purchase the portion of Swartz Farm lying on the west side of the Project	None Identified	January 2006	February 2008	None Established			INDOT Land Acquisition to negotiate with owner to purchase.	INDOT is currently in the process of negotiating the acquisition with the next of kin. Survey of property is complete.
NI		CTS	R	IAP	INDOT	3		19	Develop and implement a blasting/vibration plan as set forth in Stipulation I.L.	INSHPO and IHPAT	January 2012	December 2016	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	Awaiting issuance of design contract to Section 6.

STIPULATION III - SITE SPECIFIC MITIGATION (Cont.)

Status ¹	Taskmaster(s) ² & Lead					MOA Reference		Stakeholders & Commitment Description		Duration & Deadline			Product & Implementation				
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
NI		CTS	GP	NR	CTS		4	19	Document and seek NRHP nomination of the remaining farmstead	Clark County Commissioners, INSHPO, IHPAT and City of Jeffersonville	July 2007	April 2008	September 2006		Completed NRHP Nomination Form	CTS-GEC to develop NRHP Nomination for review and approval for listing by the INSHPO and the Keeper of the Register.	CTS-GEC is currently being sought for the completion of the NRHP form for this property.
NI		CTS	R	IAP	INDOT		5	19	Delineate a "no-work zone" around remaining Swartz farmstead	IHPAT	January 2009	January 2012	None Established			Coordination with SDCs, STAs, INSHPO, KHC and HPATs.	Awaiting issuance of design contract to Section 6.
NI	INDOT	CTS	NR	IAP	INDOT		6	19	Place a preservation easement on property and sell to a historic preservation organization or donate to a local government or it can be sold to anyone who would accept the preservation easement.	INSHPO and IHPAT	January 2009	January 2011	None Established		Preservation Easement	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Awaiting issuance of design contract to Section 6.
NI		CTS	NR	IAP	INDOT		7	19	Prepare archival documentation for Central Passage House	IHPAT and INSHPO	January 2009	January 2011	Prior to the Central Passage House demolition		Archival Document of resource	Prior to demolition, archival documentation to be prepared in coordination with the IHPAT and INSHPO.	Awaiting issuance of design contract to Section 6.
NI		CTS	R	IAP	INDOT		8	19	Develop and implement a landscaping plan to minimize visual impacts of the Project.	IHPAT	June 2008	June 2010	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Awaiting issuance of design contract to Section 6.
NR	NR	NR	NR	NR	NR		9	19	Accept by donation and preserve the portion of the James Smith Farm that was determined eligible for the NRHP.	N/A	N/A	N/A	None Established	N/A	Complete (Withdrawn)		During the Reporting Period additional coordination with the owners occurred. A deadline of June 14, 2006 was established for a decision on the donation of the property to INDOT. As no response was received by the deadline, the BSHCT will recommend abandonment of the stipulated measures during the next Reporting Period.
NR	NR	NR	NR	NR	NR		a	19	Develop and implement a plan to stabilize the property	N/A	N/A	N/A	None Established	N/A	Stabilization Plan	Complete (Withdrawn)	Work activity no longer required due to refusal by the owner to donate the property.
NR	NR	NR	NR	NR	NR		b	19	Place a preservation easement on property and sell to a historic preservation organization or donate to a local government	N/A	N/A	N/A	None Established	N/A	Preservation Easement	Complete (Withdrawn)	Work activity no longer required due to refusal by the owner to donate the property.
NR	NR	NR	NR	NR	NR		c	19	Prior to sale or donation, fund exterior rehabilitation of the structure	N/A	N/A	N/A	None Established	N/A		Complete (Withdrawn)	Work activity no longer required due to refusal by the owner to donate the property.
NR	NR	NR	NR	NR	NR		d	19	Develop HPP	N/A	N/A	N/A	Prior to Preliminary Field Check Plans	N/A	HPP	Complete (Withdrawn)	Work activity no longer required due to refusal by the owner to donate the property.
NR	NR	NR	NR	NR	NR		e	20	Document and seek NRHP nomination and listing	N/A	N/A	N/A	None Established	N/A	Completed NRHP Nomination Form	Complete (Withdrawn)	Work activity no longer required due to refusal by the owner to donate the property.
							J		20 Trolley Barn								
U	FHWA/ KYTC	NR	NR	NR	KYTC		1	20	Rehabilitation shall be developed and implemented in consultation with the KY-SHPO	Owner and KHC	April 2003	December 2023				Rehabilitation shall be developed and implemented in consultation with the KY-SHPO	Rehabilitation of the Trolley Barn is underway. An outlay of the annual funding allotted is included in the ROD.
							K		20 Butchertown Historic District								
U		CTS	R, GP	NR	CTS		1	20	Develop a HPP, which shall develop a thematic context to assist with future nominations in the region. Plan is to be coordinated with latest development plans available from Metro Government.	KHPAT	November 2004	April 2007	Prior to Preliminary Field Check Plans		HPP	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	The Final HPP was presented to the KHPAT at the meeting of 2/15/07; approval is pending.
U		CTS	R, GP	KTA	KTA		2	20	Develop the Witherspoon Extension in accordance with provisions of HPP as the principal east-west route through the district and implement measures that encourage north-south traffic through the district to use Clay Street and Frankfort Avenue.	KHPAT, Butchertown Neighborhood Association and Metro Government	December 2006	December 2007				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	The BSMT decided to advance the Witherspoon alignment that does not encroach upon the boundary of the historic district.
U		CTS	R, GP	KTA	KTA		3	20	Design and construct roadway sections along north side of district using embankments or other designs that support aesthetically pleasing noise abatement measures, lighting and landscaping that maintain the context of the district.	KHPAT and Metro Government	December 2010	December 2023				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Recommendations on these elements are included as part of the Final HPP.

STIPULATION III - SITE SPECIFIC MITIGATION (Cont.)

Status	Taskmaster(s) & Lead					MOA Reference		Stakeholders & Commitment Description		Duration & Deadline				Product & Implementation			
	FHWA/STA/SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
NI	KYTC	CTS	NR	KTA	KYTC	4		20	Edison House - Provide funding for the exterior rehabilitation and conduct a noise study to determine whether interior noise abatement measures are justified.	Owner and KHPAT	December 2010	December 2023	During development of detailed plans			KTA to conduct noise readings and review in accordance with Secretary of Interior Standards.	Work activity has not been initiated
NI	KYTC	CTS	NR	KTA	KYTC	5		21	Wesley House - Provide funding for the exterior rehabilitation and conduct a noise study to determine whether interior noise abatement measures are justified.	Owner and KHC	December 2010	December 2023	During development of detailed plans			KTA to conduct noise readings and review in accordance with Secretary of Interior Standards.	Work activity has not been initiated
NI		CTS	NR	KTA	KTA	6		21	St. Joseph's Church - Conduct a noise study for St. Joseph's Church to determine whether interior noise abatement measures are justified for the Church, Day Care Center and School.	Owner and KHC	December 2010	December 2023	During development of detailed plans			KTA to conduct noise readings and review in accordance with Secretary of Interior Standards.	Work activity has not been initiated
NI		CTS	NR	KTA	KTA	7		21	Franklin Street Baptist Church - Conduct a noise study for Franklin Street Baptist Church to determine whether interior noise abatement measures are justified.	Owner and KHC	December 2010	December 2023	During development of detailed plans			KTA to conduct noise readings and review in accordance with Secretary of Interior Standards.	Work activity has not been initiated
NI		CTS	NR	KTA	KTA	8		21	Marcus Lindsay Methodist Church - Conduct a noise study for Marcus Lindsay Methodist Church to determine whether interior noise abatement measures are justified.	Owner and KHC	December 2010	December 2023	During development of detailed plans			KTA to conduct noise readings and review in accordance with Secretary of Interior Standards.	Church is presently undergoing renovation. Identification of intended use not yet known.
NI		CTS	NR	KTA	KTA	9		21	Grace Immanuel United Church of Christ - Conduct a noise study for Grace Immanuel United Church of Christ to determine whether interior noise abatement measures are justified.	Owner and KHC	December 2010	December 2023	During development of detailed plans			KTA to conduct noise readings and review in accordance with Secretary of Interior Standards.	Work activity has not been initiated
NI		CTS	R, GP	KTA	CTS	10		22	Grocers Ice and Cold Storage Company - Provide a plan for adaptive reuse the property; if plan cannot be developed, develop documentation of the property and proceed to demolish the structure once the documentation has been accepted.	Owner, Metro Government and KHPAT	September 2007	April 2008				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Work activity has not been initiated
C		CTS	NR	KTA	KTA	11		22	Mellwood Avenue/Story Avenue Connection - Conduct a study of the Connector in order to evaluate the elimination of this proposed connector and restoration of two-way traffic flow on these two roads.	Metro Government and KHPAT	January 2004	January 2006	During development of detailed plans	June 4, 2007	Completed		Recommendations on this element are included as part of the Final HPP. Study completed by SDC 1 approved by BSMT. Metro Government informed on 6/4/07 alternative funding would be needed to implement, if desired.
U		CTS	R, GP	KTA	KTA	12		22	Design and construct streetscape improvements within the entire district in accordance with provisions of the HPP and the KHCs streetscape design guidelines.	KHC and KHPAT	March 2008	December 2023			Streetscape Plan for improvements to the designated areas	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Recommendations on streetscape improvements at specific locations are included as part of the Final HPP. Development of specific streetscape plans have not been initiated.
NI		CTS	R, GP	KTA	KTA	13		22	Design and construct traffic calming measures on existing streets in the district with attention to the expansion of east downtown Louisville and Phase Two of Waterfront Park.	Metro Government, Waterfront Development Corp. and KHPAT	December 2010	December 2023				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Work activity has not been initiated
U		CTS	R, GP	KTA	KTA	14		22	Design and construct roadway lighting within the viewshed of the district.	KHPAT and Metro Government	December 2010	December 2023				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Recommendations on roadway lighting are included as part of the Final HPP.

STIPULATION III - SITE SPECIFIC MITIGATION (Cont.)

Status	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline			Product & Implementation		Remarks & Comments	
	FWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product		Implementation Plan
U			R, GP	KTA	KTA	15		22	Implement noise abatement measures as set forth in Stipulation II.E where the Project is expected to adversely effect the district	KHPAT	December 2005	December 2023				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Barriers still being considered by SDC 1 adjacent to the Clifton Neighborhood. A meeting with the neighborhood occurred on 5/24/07
NI		CTS	R, GP	KTA	KTA	16		22	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	KHC and KHPAT	December 2008	December 2023	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Work activity has not been initiated
						L		22	Phoenix Hill Historic District								
C		CTS	R, GP	KTA	CTS	1		22	Develop a HPP, which shall develop a thematic context to assist with future nominations in the region. Plan is to be coordinated with latest development plans available from Metro Government.	KHC, KHPAT and Metro Government	November 2004	April 2007	Prior to Preliminary Field Check Plans	April 25, 2007		Complete	Final HPP approved by the BSMT on 4/25/07 and distributed to the KHPAT on 5/1/07.
U		CTS	R, GP	KTA	KTA	2		23	Design and construct roadway lighting as set forth in Stipulation II.D.	KHPAT and Metro Government	December 2005	December 2023				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Recommendations on roadway lighting are included as part of the Final HPP.
NI	KYTC	CTS	R, GP	KTA	KYTC	3		23	Work with the owner to relocate the Baer Fabrics Company within the HD or a suitable facility within the Phoenix Hill neighborhood	Owner and Phoenix Hill Neighborhood Association	January 2009	January 2011				Work with the owner to relocate the Baer Fabrics Company within the HD or a suitable facility within the Phoenix Hill neighborhood.	Work activity has not been initiated
NI		CTS	R, GP	KTA	CTS	4		23	Develop a treatment plan for rehabilitation of the Vermont American Buildings, explore options for reuse and rehabilitate the exterior of the building if recommended in the treatment plan	KHPAT and Metro Government	September 2007	April 2008			Treatment Plan	CTS to coordinate with SHPO in development of Treatment Plan. HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Work activity has not been initiated
NI		CTS	NR	KTA	KTA	5		23	St. John's Church - Conduct a noise study for St. John's Church to determine whether interior noise abatement measures are justified.	Owner and KHC	December 2012	December 2023	During development of detailed plans			KTA to conduct noise readings and review in accordance with Secretary of Interior Standards.	Work activity has not been initiated
NI		CTS	NR	KTA	KTA	6		23	Refuge in Kentucky Church - Conduct a noise study for Refuge in Kentucky Church to determine whether interior noise abatement measures are justified for the Church, Day Care Center and School.	Owner and KHC	December 2012	December 2023	During development of detailed plans			KTA to conduct noise readings and review in accordance with Secretary of Interior Standards.	Work activity has not been initiated
NI		CTS	R, GP	KTA	KTA	7		23	Place interpretive signage within the district	Metro Government KHPAT and KHC	December 2012	December 2023			Interpretive Signage	SDC will develop signage plan as part of the construction documents.	Recommendations on interpretive signage were included as an element of the Final HPP and the PL & G plans prepared by SDC 1.
U		CTS	R, GP	KTA	KTA	8		23	Streetscape improvements along Main Street and Market Street from Floyd Street to Clay Street and in the area under the I-65 Bridge in accordance with provisions of the HPP and the KHC's streetscape design guidelines	Metro Government and KHPAT	March 2008	March 2012			Streetscape Plan for improvements to the designated areas	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Recommendations on streetscape improvements at specific locations are included as part of the Final HPP. Development of specific streetscape plans have not been initiated.
NI		CTS	R, GP	KTA	KTA	9		23	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	Metro Government and KHPAT	January 2008	December 2020	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Work activity has not been initiated
						M		24	Country Estates Historic District / River Road Corridor								
U		CTS	R, GP	L	CTS	1		24	Develop a HPP, which shall specifically consider and develop recommendations for the following:	City of Prospect, Metro Government and KHPAT	April 2005	October 2007	Prior to Preliminary Field Check Plans			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Development of Draft HPP continued during the Reporting Period. The Draft HPP was discussed at the KHPAT meetings of 3/14/07 and 4/19/07 and 6/14/07. A work session was also held on 5/11/07.
U		CTS	R, GP	L	CTS	a		24	Country Estates of River Road Historic District, including individually listed NRHP properties within the district	City of Prospect, Metro Government and KHPAT	April 2005	October 2007				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See M.1 update, above
U		CTS	R, GP	L	CTS	b		24	James T. Taylor / James W. Chandler House	City of Prospect, Metro Government and KHPAT	April 2005	October 2007				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See M.1 update, above

STIPULATION III - SITE SPECIFIC MITIGATION (Cont.)

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline				Product & Implementation		
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
U		CTS	R, GP	L	CTS		c	24	Merriwether House	City of Prospect, Metro Government and KHPAT	April 2005	October 2007				HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See M.1 update, above
U		CTS	R, GP	L	CTS		d	24	Upper River Road Bridge over Harrods Creek	City of Prospect, Metro Government and KHPAT	April 2005	October 2007	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See M.1 update, above
U		CTS	R, GP	L	CTS		e	24	Harrods Creek Village Historic District	City of Prospect, Metro Government and KHPAT	April 2005	October 2007	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See M.1 update, above
U		CTS	R, GP	L	CTS		f	24	Schildnecht House	City of Prospect, Metro Government and KHPAT	April 2005	October 2007	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See M.1 update, above
U		CTS	R, GP	L	CTS		g	24	Determan House	City of Prospect, Metro Government and KHPAT	April 2005	October 2007	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See M.1 update, above
U		CTS	R, GP	L	CTS		h	24	Allison-Barrickman House	City of Prospect, Metro Government and KHPAT	April 2005	October 2007	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See M.1 update, above
U		CTS	R, GP	L	CTS		i	24	St. Francis in the Fields Church	City of Prospect, Metro Government and KHPAT	April 2005	October 2007	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See M.1 update, above
U		CTS	R, GP	L	CTS		j	24	Bellevue	City of Prospect, Metro Government and KHPAT	April 2005	October 2007	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See M.1 update, above
U		CTS	R, GP	L	CTS		k	24	Rosewell	City of Prospect, Metro Government and KHPAT	April 2005	October 2007	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	See M.1 update, above
U		CTS	R, GP	L	L		2	24	Design and construct proposed roadways, bridges and tunnels from I-71 to the OH River, taking into consideration the cultural landscapes that are important contributing elements to the district.	City of Prospect, Metro Government and KHPAT	January 2004	December 2015	None Established			Design and construct proposed roadways, bridges and tunnels from I-71 to the OH River, taking into consideration the cultural landscapes that are important contributing elements to the district.	Design Guidelines & Draft HPP recommend roadway, bridge & tunnel design considering cultural landscapes.
U		CTS	R, GP	L	L		3	24	Design and construct roadway lighting as set forth in Stipulation II.D.	City of Prospect, Metro Government and KHPAT	January 2008	December 2015	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Recommendations on roadway lighting are included as part of the Draft HPP.
U		CTS	R, GP	L	L		4	24	Implement noise abatement measures where the Project noise is expected to affect the listed and eligible properties as set forth in Stipulation II.E.	City of Prospect, Metro Government and KHPAT	January 2010	December 2015	None Established			HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Noise Barrier Analysis prepared for Section 4 approved by BSMT on 12/6/06. Community meetings to be held during the ensuing period.
U		CTS	R, GP	L	L		5	24	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	City of Prospect, Metro Government and KHPAT	January 2006	December 2010	Complete prior to initiation of construction		Blasting/Vibration Plan to be included in the Final Construction Documents	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Blasting and vibration plan completed for the exploratory tunnel and US 42 ramp relocation.
U		CTS	R, GP	L	L		6	25	Define a "no-work zone" within the NHP boundaries of the listed and eligible properties.	City of Prospect, Metro Government and KHPAT	January 2006	December 2010	None Established			Define a "no-work zone" within the NHP boundaries of the listed and eligible properties.	No work zones identified in the US 42 ramp relocation and exploratory tunnel plans.
N																	
U		CTS	R, GP	L	FHWA		1	25	Project through this property to be contained in a tunnel so as to limit adverse effects.	Owner, KYTC, KHC and KHPAT	April 2003	December 2023	None Established			Project through this property to be contained in a tunnel so as to limit adverse effects.	Tunnel through this property remains a component of Section 4.
U		CTS	R, GP	L	KYTC		2	25	Acquire or establish a historic preservation easement for the entire Drumanard historic property	Owner and KHC	January 2005	December 2008	None Established			Acquire or establish a historic preservation easement for the entire Drumanard historic property	Development of a draft preservation easement is under preparation by SDC 4.
U		CTS	R, GP	L	FHWA		3	25	If acquiring a preservation easement is not possible, FHWA will acquire the property, place the required easement on the property and transfer to KYTC who shall seek to sell the property at fair market value or donate to a	Owner and KHC	December 2008	December 2009	None Established			If acquiring a preservation easement is not possible, FHWA will acquire the property, place the required easement on the property and transfer to KYTC who shall seek to sell the property at fair market value or donate to a	Development of a draft preservation easement is under preparation by SDC 4.
U		CTS	R, GP	L	L		4	25	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L. Monitor the historic buildings to preclude impacts due to blasting.	KHPAT and KHC	January 2006	December 2010	Plan to be completed prior to initiation of construction. Monitoring to occur during construction activities.		Blasting/Vibration Plan to be included in the Final Construction Documents	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Blasting and vibration plan completed for the exploratory tunnel and US 42 ramp relocation.

STIPULATION III - SITE SPECIFIC MITIGATION (Cont.)

Status ¹	Taskmaster(s) & Lead					MOA Reference		Stakeholders & Commitment Description		Duration & Deadline				Product & Implementation			
	FHW/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
						O											
NI		CTS	R, GP	L	KYTC		1	25	Acquire a historic preservation easement for the Allison-Barrickman house on the track of land within the NRHP boundary and an easement on the property bounded by US 42, KY 841, the Allison-Barrickman property and Wolf Pen Branch Road	Owner and KHC	January 2009	January 2011	None Established		Acquire a historic preservation easement for the Allison-Barrickman house on the track of land within the NRHP boundary and an easement on the property bounded by US 42, KY 841, the Allison-Barrickman property and Wolf Pen Branch Road	Work activity has not been initiated	
U		CTS	R, GP	L	L		2	25	Implement noise abatement measures as set forth in Stipulation II.E.	KHPAT	January 2010	December 2015	None Established		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	SDC 4 completed a noise barrier analysis during the prior Reporting Period. It is pending review by the BSMT.	
U		CTS	R, GP	L	L		3	26	Define a "no-work zone" within the NRHP boundary of the property.	KHPAT and KHC	January 2006	December 2010	None Established		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	No work zones identified in the US 42 ramp relocation and exploratory tunnel plans.	
U		CTS	R, GP	L	L		4	26	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	KHPAT and KHC	January 2006	December 2010	Complete prior to initiation of construction	Blasting/Vibration Plan to be included in the Final Construction Documents	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Blasting and vibration plan completed for the exploratory tunnel and US 42 ramp relocation.	
						P		26	Rosewell								
U		CTS	NR	L	L		1	26	Develop and implement a Treatment Plan to minimize damage to the property.	KHPAT and KHC	January 2006	January 2008	None Established	Treatment Plan	Develop and implement a Treatment Plan to minimize damage to the property.	A Draft Treatment Plan has been developed and will be presented to the KHPAT in the ensuing period.	
C	KYTC	NR	NR	NR	KYTC		2	26	Make a reasonable attempt to acquire the property at fair market value.	None Identified	N/A	N/A	None Established	November 30, 2005	Complete	KYTC acquired the property on 11/30/05. KYTC to take possession on 4/1/06.	
NI		CTS	R, GP	L	KYTC		3	26	Place a preservation easement on the property and then sell to a local historic preservation organization or other acceptable party or donate to a local government.	Owner, KHPAT and KHC	January 2008	December 2009	Immediately following acquisition		Place a preservation easement on the property and then sell to a local historic preservation organization or other acceptable party or donate to a local government.	Work activity has not been initiated	
U		CTS	R, GP	L	L		4	26	Design and construct roadway lighting as set forth in Stipulation II.D.	KHPAT, KHC and City of Prospect	January 2009	December 2015	None Established		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Recommendations on roadway lighting are included as part of the Draft HPP.	
U		CTS	R, GP	L	L		5	26	Implement noise mitigation measures as set forth in Stipulation II.E.	KHPAT, KHC and City of Prospect	January 2009	December 2015	None Established		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Noise Barrier Analysis prepared for Section 4 approved by BSMT on 12/6/06. Community meetings to be held during the ensuing period.	
NI		CTS	R, GP	L	L		6	26	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	KHPAT, KHC and City of Prospect	January 2006	December 2010	Complete prior to initiation of construction	Blasting/Vibration Plan to be included in the Final Construction Documents	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Work activity has not been initiated	
NI		CTS	R, GP	L	L		7	26	Define a "no-work zone" within the NRHP boundary of the property.	KHPAT, KHC and City of Prospect	January 2006	December 2010	None Established		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Work activity has not been initiated	
						Q		26	Bellevue								
U	1	CTS	R, GP	L	L		1	26	Design Ohio River Bridge and embankment adjacent to the property taking into account the cultural landscape associated with this property. Consider context sensitive landscaping and other visual treatments on or adjacent to the NRHP boundary, with owner consent and maintenance.	Owner, KHPAT and KHC	January 2005	December 2015	None Established		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Recommendations on these elements are included as part of the Draft HPP.	
U	2	CTS	R, GP	L	L		2	27	Design and construct roadway lighting within the watershed of Bellevue as set forth in Stipulation II.D.	KHPAT and KHC	January 2008	December 2015	None Established		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Recommendations on roadway lighting are included as part of the Draft HPP.	
U	3	CTS	R, GP	L	L		3	27	Implement noise mitigation measures as set forth in Stipulation II.E.	KHPAT and KHC	January 2010	December 2015	None Established		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Noise Barrier Analysis prepared for Section 4 approved by BSMT on 12/6/06. Community meetings to be held during the ensuing period.	
NI	4	CTS	R, GP	L	L		4	27	Develop and implement a blasting/vibration plan as set forth in Stipulation II.L.	KHPAT and KHC	January 2006	December 2010	Complete prior to initiation of construction	Blasting/Vibration Plan to be included in the Final Construction Documents	HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Work activity has not been initiated	
NI	5	CTS	R, GP	L	L		5	27	Define a "no-work zone" within the NRHP boundary of the property.	KHPAT and KHC	January 2006	December 2010	None Established		HPAT recommendations to be considered by BSHCT and elevated to BSMT for final decision.	Work activity has not been initiated	

KEY:

1 - Status	U - Underway	D - Duration of Project	NR - Not Required	NI - Not Yet Initiated
2 - Taskmasters				
STAs	INDOT - Indiana Department of Transportation	KYTC - Kentucky Transportation Cabinet		
Federal Agency	FHWA - Federal Highway Administration			
SHPOs	INSHPO - Indiana State Historic Preservation Office	KHC - Kentucky Heritage Council		
GEC	R - Ratio Architects	CTS - Community Transportation Solutions	A - ASC Group	GP - Gray and Pape
KY SDCs	L - HW Lochner	KTA - Kentucky Transportation Associates		
IN SDCs	BFS - Butler, Fairman and Seufert	IAP - Indiana Approach Partners		
SDCs 2 & 5	PB - Parson Brinkerhoff	MB - Michael Baker		
	NR - Not Required			

STIPULATION IV - ARCAEOLOGICAL RESOURCES*																
Status ¹	Taskmaster(s)F & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline			Product & Implementation		Remarks & Comments
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	
						A			Implementation Standards							
U	KYTC	CTS	A	NR	FHWA		1	27	In implementing Stipulation IV, FHWA may withhold or limit public disclosure of information about historic properties.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Sensitive information pertaining to location & content of archaeological resources withheld from public disclosure.
U	KYTC	CTS	A	NR	FHWA		2	27	FHWA to ensure consultation with Indian Tribes is conducted in a manner consistent with 36 CFR 800.2(c)(2)(i)(A), (B) & (C).	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Only those reports describing prehistoric sites or materials will be subject to NAC. During the period a Phase II report in Section 6 and Phase I report in Section 4 were distributed for NAC.
U	KYTC	CTS	A	NR	FHWA		3	27	FHWA to make every effort to complete identification & evaluation of archaeological resources at the earliest possible time in Project design.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	1) Development Archaeological GIS ongoing, anticipated to be completed during the ensuing period. 2) Report documenting monitoring of geotechnical borings for archaeological deposits received KYSHPO concurrence on 6/4/07.
U	FHWA	CTS	NR	NR	FHWA		4	27	In implementing Stipulation IV, FHWA may consult with the parties identified in Attachment F of the MOA.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	FHWA consulted archaeological information with parties it deemed appropriate.
NI	KYTC	CTS	A	NR	FHWA		5	27	FHWA will take reasonable measures to avoid disinterment & disturbance to human remains and grave goods of religious & cultural significance to Indian Tribes.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	During the Reporting Period no human remains or grave goods were encountered. On 3/9/07, FHWA issued final approval of the Human Remains Protocol to be used in Indiana and Kentucky.
						B			Identification				None Established			
U	KYTC	CTS	A	NR	FHWA		1	28	Before letting any type of Project in the APE or selecting sites for ancillary activities associated with the Project, FHWA shall complete the identification & evaluation of archaeological resources for inclusion in the NRHP	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	1) Phase I archaeological survey of the accelerated portion of Section 1 was conducted in 2006. Conditional clearance was received from the KY SHPO on 3/5/07 pending a final report. 2) On 6/12/07, KYSHPO issued concurrence in findings of underwater survey completed in October 2006. Concurrence from INSHPO is pending. Diving investigation of three anomalies to occur during the ensuing period.
U	KYTC	CTS	A	NR	FHWA		2	28	Examine all locations where ground-disturbing are proposed or where they may occur within temporary right-of-way.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Condition to be met the duration of the Project.
U	KYTC	CTS	A	NR	FHWA		3	28	To ensure the sensitive, respectful and careful treatment of human remains and grave goods, FHWA to be guided by ACHP's Policy Statement Regarding Treatment of Human Remains and Grave Goods and where appropriate other guidelines.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Refer to IV.A.5, above.
U	KYTC	CTS	A	NR	FHWA		a	28	Make every reasonable effort to avoid disinterment or disturbance of human remains and grave goods.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Condition to be met the duration of the Project.

STIPULATION IV - ARCAEOLOGICAL RESOURCES (Cont.)

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Descriptor		Duration & Deadline				Product & Implementation		Remarks & Comments
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	
NI	KYTC	CTS	A	NR	FHWA		b	28	Make a reasonable and good faith effort to determine the location, cultural/temporal period, and cultural affiliation of human remains and/or grave goods without any removal or destructive analysis of remains. FHWA may remove remains for study if determined in consultation with KHC & Indian Tribes that information cannot be obtained without disturbance.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	During the Reporting Period, no human remains or grave goods were encountered.	
NI	KYTC	CTS	A	NR	FHWA		c	28	Ensure human remains and/or grave goods are not photographed or subject to invasive study.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	During the Reporting Period, no human remains or grave goods were encountered.	
NI	KYTC	CTS	A	NR	FHWA		d	28	Provide written notification & documentation of discovery to the appropriate SHPO, Indian Tribes & other parties determined appropriate within 48 hours.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	During the Reporting Period, no human remains or grave goods were encountered.	
NI	KYTC	CTS	A	NR	FHWA		4	29	Develop a Plan(s) for the identification & evaluation of archaeological resources.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Plan(s) for the identification & evaluation of archaeological resources.	Same as Description	Plan(s) to be developed as needed.
NI	KYTC	CTS	A	NR	FHWA		a	29	FHWA to provide the SHPOs, Indian Tribes and other parties determined appropriate 30 days to review & comment on Plan(s); final Plan(s) to address comments.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Draft Plan(s) for the identification & evaluation of archaeological resources.	Same as Description	Condition to be met the duration of the Project when plans are provided.
NI	KYTC	CTS	A	NR	FHWA		b	29	If no response received from the above parties in 30 days, FHWA may proceed with Plan(s).	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Plan(s) for the identification & evaluation of archaeological resources.	Same as Description	Condition to be met the duration of the Project when plans are provided.
NI	KYTC	CTS	A	NR	FHWA		c	29	Any dispute regarding Plan(s) to be resolved in accordance with Stipulation XIII.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Condition to be met the duration of the Project when plans are provided.	
U	KYTC	CTS	A	NR	FHWA		5	29	FHWA to prepare & distribute final Identification & Evaluation reports in accordance with Stipulation IV.F.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Condition to be met the duration of the Project when documentation is developed & determined appropriate by FHWA.	
							C	29	Evaluation	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description		
U	KYTC	CTS	A	NR	FHWA		1	29	Studies completed pursuant to Stipulation IV.B to demonstrate a level of effort consistent with 36 CFR 800.4(b)(1) and provide FHWA with information to determine NRHP eligibility.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	All studies conducted during this Reporting Period have been completed in compliance with 36 CFR 800.4 and have provided sufficient information to make decisions. No eligibility determination disputes have occurred during this period.	
U	KYTC	CTS	A	NR	FHWA		2	29	Archaeological resources considered eligible for the NRHP by FHWA to be treated in accordance with Stipulations IV.D & IV.E.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Refer to IV.C.1, above.	
NI	KYTC	CTS	A	NR	FHWA		3	29	FHWA to seek a formal determination of NRHP eligibility from Keeper of NRHP if disagreement between FHWA & SHPO.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Refer to IV.C.1, above.	
U	KYTC	CTS	A	NR	FHWA		4	29	No further action necessary for archaeological resource that FHWA & the SHPO agree are not eligible for the NRHP.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Refer to IV.C.1, above.	
NI	KYTC	CTS	A	NR	FHWA		5	29	FHWA to notify Indian Tribes and other parties whom so request of findings of eligibility.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Refer to IV.C.1, above.	
NI	KYTC	CTS	A	NR	FHWA		6	29	FHWA to reconsider its determination if a Party objects to FHWA's determination of eligibility in writing within 15	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Refer to IV.C.1, above.	
							D	29	Assessment of Effects								

STIPULATION IV - ARCAEOLOGICAL RESOURCES (Cont.)

Status ¹	Taskmaster(SF & Lead)					MOA Reference			Stakeholders & Commitment Descriptor		Duration & Deadline			Product & Implementation		Remarks & Comments
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	
U	KYTC	CTS	A	NR	FHWA		1	30	FHWA to determine if Project will adversely affect NRHP eligible archaeological resources in consultation with the SHPO, Indiana Tribes when appropriate, & other parties deemed appropriate by FHWA.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	No sites were determined eligible for the NRHP during the Reporting Period.
NI	KYTC	CTS	A	NR	FHWA		2	30	If FHWA determines Project may adversely affect NRHP eligible archaeological resources, reasonable efforts to be made to avoid/minimize adverse effect. If it's not possible to avoid disturbance, FHWA to treat resources in accordance with Stipulation IV.E.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	FHWA has not determined that any archaeological sites discovered would be adversely affected.
NI	KYTC	CTS	A	NR	FHWA		3	30	Disputes regarding the determination of effects on archaeological resources to be resolved in accordance with Stipulation XIII.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	No disputes regarding determination of effects on archaeological resources occurred during the Reporting Period.
						E		30	Treatment	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	
NI	KYTC	CTS	A	NR	FHWA		1	30	If FHWA determines that an adverse effect cannot be avoided or minimized, a treatment plan will be developed & implemented to mitigate such effects on a site-by-site basis. At a minimum, treatment plan is to include:	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Treatment plans to be implemented prior to initiation of any Project construction activities within a segment that could affect that site.		Same as Description	During the Reporting Period, no human remains or grave goods were encountered.
NI	KYTC	CTS	A	NR	FHWA		a	30	Description of the historic properties or portions of historic properties where treatment will be carried out.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above
NI	KYTC	CTS	A	NR	FHWA		b	31	Description of any historic property or portion thereof that will be adversely affected without treatment for such action.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above
NI	KYTC	CTS	A	NR	FHWA		c	31	Methods for site preservation/ protection.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above
NI	KYTC	CTS	A	NR	FHWA		d	31	Research questions to be addressed through data recovery.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above
NI	KYTC	CTS	A	NR	FHWA		e	31	Field methods to be used with an explanation of their relevance & applicability.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above
NI	KYTC	CTS	A	NR	FHWA		f	31	Methods to be used in data analysis, data management & dissemination of data, including a schedule.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above
NI	KYTC	CTS	A	NR	FHWA		g	31	Plans for treatment of human remains & grave goods.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above
NI	KYTC	CTS	A	NR	FHWA		h	31	Plans for curation of recovered materials & records.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above
NI	KYTC	CTS	A	NR	FHWA		i	31	Provisions for reburial of human remains & grave goods where appropriate.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above
NI	KYTC	CTS	A	NR	FHWA		j	31	Plans for public education & interpretation.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above
NI	KYTC	CTS	A	NR	FHWA		k	31	Plans for keeping the SHPOs, Indian Tribes and other appropriate parties informed of work progress & findings.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above
NI	KYTC	CTS	A	NR	FHWA		l	31	Schedule for the submission of reports & other deliverables.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.1 update, above

STIPULATION IV - ARCAEOLOGICAL RESOURCES (Cont.)

Status ¹	Taskmaster(SF & Lead)					MOA Reference			Stakeholders & Commitment Descriptor		Duration & Deadline			Product & Implementation		Remarks & Comments
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	
NI	KYTC	CTS	A	NR	FHWA	2		31	Plans addressing treatment of human remains & grave goods to be guided by the ACHP's Policy Statement <u>Regarding Treatment of Human Remains & Grave Goods</u> and other guidelines where appropriate. FHWA to be also be guided by:	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	During the Reporting Period, no human remains or grave goods were encountered.
NI	KYTC	CTS	A	NR	FHWA		a	31	Burials that will not be threatened or disturbed by the Project shall not be disinterred or disturbed through scientific study.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.2 update, above
NI	KYTC	CTS	A	NR	FHWA		b	31	If disturbance cannot be avoided, FHWA to determine if scientific study of human remains, grave goods & the burial matrix will be conducted. FHWA's decision must be supported by an explicit set of definitive & justified questions on a case-by-case basis.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.2 update, above
NI	KYTC	CTS	A	NR	FHWA		c	32	Human remains and funerary objects of religious & cultural significance to Indian Tribes may be photographed only when FHWA in consultation with the SHPOs, Indiana Tribes where appropriate & other appropriate parties determines that it is called for & justified in a site-specific treatment plan to support scientific study.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.2 update, above
NI	KYTC	CTS	A	NR	FHWA		d	32	Disinterred human remains and/or grave goods should be reburied as soon as possible in a timeframe, location & manner determined appropriate by FHWA.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	See E.2 update, above
NI	KYTC	CTS	A	NR	FHWA		3	32	Human remains & grave goods to be made available for reburial to appropriate party or responsible official 30 days from determination.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	During the Reporting Period, no human remains or grave goods were encountered.
NI	KYTC	CTS	A	NR	FHWA		4	32	FHWA to provide ACHP, the SHPOs, Indian Tribes who've expressed interest in the site, other parties deemed appropriate by FHWA, 30 days to comment on treatment plans. FHWA to ensure the final plan(s) take comments into consideration.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	No treatment plans requiring review & comment by the identified parties were prepared during the Reporting Period.
NI	KYTC	CTS	A	NR	FHWA		5	32	Disputes regarding the treatment plan(s) to be resolved in accordance with Stipulation XIII.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	No disputes regarding the treatment plans occurred.
NI	KYTC	CTS	A	NR	FHWA		6	32	After implementing stipulations for treatment, FHWA may elect to monitor construction activities in selected portions of right-of-way. Monitoring to be conducted by qualified archaeologist.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	During the Reporting Period, no monitoring of the implementation of treatment plan(s) occurred.
						F		33	Qualifications & Reporting	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	
U	FHWA	NR	NR	NR	FHWA		1	33	Work carried out is to be performed by or under the direct supervision of a person(s) meeting Secretary of the Interior's Professional Qualification Standards in archaeology & has supervisory experience in prehistoric & historic archaeology of the lower Ohio Valley.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Condition to be met the duration of the Project.

STIPULATION IV - ARCAEOLOGICAL RESOURCES (Cont.)

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Descriptor		Duration & Deadline				Product & Implementation		Remarks & Comments
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	
U	KYTC	CTS	A	NR	FHWA	2		33	FHWA to ensure results of archaeological studies are presented in professionally written reports meeting standards established by the SHPOs.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Condition to be met the duration of the Project.	
D	INDOT/ KYTC	CTS	A	NR	FHWA	3		33	Sensitive information regarding the nature & location of human remains/grave goods & the location, character & ownership of archaeological sites is kept confidential from the public.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Condition to be met the duration of the Project.	
D	INDOT/ KYTC	CTS	A	NR	FHWA	4		33	Parties to have 30 days after confirmed receipt to review & comment on reports. FHWA to address comments in final reports.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	None Established		Same as Description	Condition to be met the duration of the Project when documentation is provided.	
D	INDOT/ KYTC	CTS	A	NR	FHWA	5		33	Disputes regarding report(s) to be resolved in accordance with Stipulation XIII.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	When Appropriate		Same as Description	No disputes regarding the archaeological reports prepared during the Reporting Period occurred.	
D	INDOT/ KYTC	CTS	A	NR	FHWA	G		33	Distribution of Final Reports	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	When Appropriate		Same as Description		
D	INDOT/ KYTC	CTS	A	NR	FHWA	1		33	FHWA to provide copies of final reports to respective SHPOs, STAs & Indian Tribes, when appropriate.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Upon Completion of Work		Same as Description	Condition to be met the duration of the Project when documentation is provided.	
D	INDOT/ KYTC	CTS	A	NR	FHWA	2		33	FHWA to prepare sufficient copies of final reports for dissemination to public libraries, educational institutions & other repositories in Jefferson & Clark Counties.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023			Same as Description	Condition to be met the duration of the Project when documentation is provided.	
D	INDOT/ KYTC	CTS	A	NR	FHWA	3		33	FHWA to ensure that final archaeological reports for public dissemination do not contain sensitive information.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023			Same as Description	Sensitive information pertaining to location & content of archaeological resources withheld from public disclosure.	

¹ - KYTC has procured the services of Cultural Resource Analysts (CRA), a consultant under a statewide contract, to perform archaeological investigations for Sections 1 and 4, as well as within the Ohio River floodplain in Kentucky. ASC Group will perform archaeological investigations in Sections 3 and 6, as well as within the Ohio River floodplain in Indiana.

STIPULATION V - UNANTICIPATED DISCOVERIES

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Descriptor		Duration & Deadline				Product & Implementation		Remarks & Comments
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	
NI	INDOT/ KYTC	CTS	NR	NR	FHWA	A		34	KYTC or INDOT to ensure work within 50 ft. around the area of an unanticipated discovery ceases until a treatment plan is developed & implemented.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Duration of Project		KYTC or INDOT to ensure work within 50 ft. around the area of an unanticipated discovery ceases until a treatment plan is developed & implemented.	No unanticipated discoveries were uncovered during the Reporting Period.	
NI	INDOT/ KYTC	CTS	NR	NR	FHWA	B		34	FHWA to make all reasonable measures to avoid property until conclusion of consultation with SHPO, Indian Tribes and other appropriate parties.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Duration of Project		FHWA to make all reasonable measures to avoid property until conclusion of consultation with SHPO, Indian Tribes and other appropriate parties.	No unanticipated discoveries were uncovered during the Reporting Period.	
NI	INDOT/ KYTC	CTS	NR	NR	FHWA	C		34	FHWA shall contact SHPO, Indian Tribes and other appropriate parties within 48 hours and provide written details of discovery.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Within 48 hours		FHWA shall contact SHPO, Indian Tribes and other appropriate parties within 48 hours and provide written details of discovery.	No unanticipated discoveries were uncovered during the Reporting Period.	
NI	INDOT/ KYTC	CTS	NR	NR	FHWA	D		34	INDOT or KYTC shall conduct an on-site evaluation of discovery to determine eligibility, effects and possible treatment measures.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Within 48 hours, or at the earliest opportunity thereafter		INDOT or KYTC shall conduct an on-site evaluation of discovery to determine eligibility, effects and possible treatment measures.	No unanticipated discoveries were uncovered during the Reporting Period.	
NI	INDOT/ KYTC	CTS	NR	NR	FHWA	E		34	Develop appropriate Treatment Plan(s) for discovered historic property.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Duration of Project		Consult with the BSHCT, HPATs and Native American Tribes to develop report. HPAT recommendations considered by BSHCT and elevated to BSMT for approval.	No unanticipated discoveries were uncovered during the Reporting Period.	

STIPULATION V - UNANTICIPATED DISCOVERIES (Cont.)																	
Status ¹	Taskmaster(s) ² & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline			Product & Implementation			
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
NI	INDOT/ KYTC	CTS	NR	NR	FHWA	F		34	Ground-disturbing work may commence at sites determined ineligible for NRHP.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Duration of Project			Coordination with INSHPO, KHC and STAs to ensure site clearances	No unanticipated discoveries were uncovered during the Reporting Period.
NI	INDOT/ KYTC	CTS	NR	NR	FHWA	G		34	Parties shall invoke provisions for dispute resolution should consensus on eligibility not be reached.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Duration of Project			As required, provisions of dispute resolution to be followed (Stipulation XIII).	No unanticipated discoveries were uncovered during the Reporting Period.

STIPULATION VI - ADDITIONAL HISTORIC PROPERTIES AND EFFECTS																	
Status ¹	Taskmaster(s) ² & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline			Product & Implementation			
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
NI	INDOT/ KYTC	CTS	NR	NR	FHWA	A		35	INDOT or KYTC shall consult with FHWA, SHPOs, Indian Tribes and other parties to determine if other historic properties in addition to those already identified or through Stipulation IV will be affected by the Project.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Before approval of sites for staging, wetland mitigation, borrow or waste, dredge disposal, or other construction are approved.			INDOT or KYTC shall consult with FHWA, SHPOs, Indian Tribes and other parties to determine if other historic properties in addition to those already identified or through Stipulation IV will be affected by the Project.	No additional historic properties requiring consultation were identified during the Reporting Period.
NI	INDOT/ KYTC	CTS	NR	NR	FHWA	B		35	Where historic properties are adversely effected, FHWA shall consult with INDOT and/or KYTC, SHPO, Indian Tribes and other appropriate parties to minimize or mitigate effects.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Duration of Project			Where historic properties are adversely effected, FHWA shall consult with INDOT and/or KYTC, SHPO, Indian Tribes and other appropriate parties to minimize or mitigate effects.	No additional historic properties requiring consultation were identified during the Reporting Period.
NI	INDOT/ KYTC	CTS	NR	NR	FHWA	C		35	If agreement on measures to mitigate the adverse effect, FHWA will ensure those measures are implemented. Resolve disputes in accordance with Stipulation XIII.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Duration of Project			As required, provisions of dispute resolution to be followed (Stipulation XIII).	No additional historic properties requiring consultation were identified during the Reporting Period.

STIPULATION VII - EXCESS RIGHT OF WAY																	
Status ¹	Taskmaster(s) ² & Lead					MOA Reference			Stakeholders & Commitment Description		Duration & Deadline			Product & Implementation			
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
NI	INDOT/ KYTC	CTS	NR	NR	FHWA			35	If Project should result in excess right-of-way to be disposed, the FHWA shall consult appropriate SHPO to determine whether the disposal or sale would have an adverse effect on historic properties.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Duration of Project			If Project should result in excess right-of-way to be disposed, the FHWA shall consult appropriate SHPO to determine whether the disposal or sale would have an adverse effect on historic properties.	No excess right-of-way was determined necessary during the Reporting Period.

STIPULATION VIII - PERFORMANCE STANDARDS

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Descriptor		Duration & Deadline				Product & Implementation		
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
U	INDOT/ KYTC	CTS	NR	NR	FHWA	A		35	FHWA shall ensure all cultural resources work carried out will be carried out by or under the direct supervision of individuals that meet the Secretary of the Interior's Professional Qualification Standards.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Duration of Project		FHWA shall ensure all cultural resources work carried out will be carried out by or under the direct supervision of individuals that meet the Secretary of the Interior's Professional Qualification Standards.	Condition to be met the duration of the Project.	
U	INDOT/ KYTC	CTS	NR	NR	FHWA	B		35	FHWA shall ensure all historic preservation resource work carried out will be guided by the most recent version of the following standards.	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Duration of Project		FHWA shall ensure all historic preservation resource work carried out will be guided by the most recent version of the following standards.	Condition to be met the duration of the Project.	
U	INDOT/ KYTC	CTS	NR	NR	FHWA	1		35	The Secretary of Interior: Standards and Guidelines for Archaeology and Historic Preservation	HPATs, IN SHPO, KY SHPO and Native American Tribes	April 2003	December 2023	Duration of Project		FHWA shall ensure all historic preservation resource work carried out will be guided by the most recent version of the following standards:	See M update, above	

STIPULATION VIII - PERFORMANCE STANDARDS (Cont.)

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Descriptor		Duration & Deadline				Product & Implementation		
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
U	INDOT/ KYTC	CTS	NR	NR	continued	2		35	Advisory Council on Historic Preservation: Treatment of Archaeological Properties: A Handbook	continued	continued	continued	continued		continued	See M update, above	
U	INDOT/ KYTC	CTS	NR	NR	continued	3		36	Indiana Guidelines: Division of Historic Preservation and Archaeological Guidelines; most recent version of the Indiana Archaeological Guidelines updating the Guidebook for Indiana Historic Sites	continued	continued	continued	continued		continued	See M update, above	
U	INDOT/ KYTC	CTS	NR	NR	continued	4		36	Kentucky Guidelines: Specifications for Conducting Fieldwork and Preparing Cultural Resource Assessment Reports; most recent version of Kentucky State Historic Preservation Office	continued	continued	continued	continued		continued	See M update, above	

STIPULATION IX - PROGRESS REPORTS

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Descriptor		Duration & Deadline				Product & Implementation		
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
U		CTS	NR	NR	CTS	A		36	Progress reports detailing implementation of the measures stipulated within the MOA and providing advanced notice of milestones.	IHPAT, KHPAT, Signatories and Concurring Parties of MOA	April 2003	December 2023	Every six (6) months until all phases of the project are complete	Report No. 1 - 7/21/04 Report No. 2 - 9/20/04 Report No. 3 - 4/22/05 Report No. 4 - 10/10/05 Report No. 5 - 2/20/06 Report No. 6 - 11/28/06	Six Month Progress Report	After the expiration of the Six Month Period, Progress Report to be developed and distributed within three months of close of Reporting Period.	Report No. 7 - 5/9/07
C		CTS	NR	NR	CTS	B		36	First progress report to be distributed six months following the execution of the MOA.	IHPAT, KHPAT, Signatories and Concurring Parties of MOA	April 2003	December 2023	October 2003	July 21, 2004	Six Month Progress Report	Complete	First progress report was provided on 7/21/04
U		CTS	NR	NR	CTS	C		36	Progress report to identify the status of each stipulation outlined in the MOA & of associated documents.	IHPAT, KHPAT, Signatories and Concurring Parties of MOA	April 2003	December 2023			Six Month Progress Report	Progress report to identify the status of each stipulation outlined in the MOA & of associated documents.	Condition to be met the duration of the Project.

STIPULATION X - PROJECT MODIFICATIONS

Status ¹	Taskmaster(s) & Lead					MOA Reference			Stakeholders & Commitment Descriptor		Duration & Deadline				Product & Implementation		
	FHWA/ STA/ SHPO	GEC	Sub-consultant	SDC	Current Lead	Item	Sub Items	Pg. No.	Description	Identified Stakeholders	Anticipated Start Date	Anticipated Completion Date	MOA Deadline	Actual Completion Date	Product	Implementation Plan	Remarks & Comments
NI	N/A	CTS	NR	NR	INDOT and KYTC			36	If the Project is significantly modified and additional effects to historic properties are considered the FHWA will consult with the signatories to determine if the MOA should be amended.	IHPAT, KHPAT, Signatories and Concurring Parties of MOA	April 2003	December 2023	Duration of Project		If the Project is significantly modified and additional effects to historic properties are considered the FHWA will consult with the signatories to determine if the MOA should be amended.	No significant modifications to the Project occurred during the Reporting Period.	

KEY:

1 - Status					
C - Completed	U - Underway	D - Duration of Project	NR - Not Required	NI - Not Yet Initiated	
2 - Taskmasters					
STAs	INDOT - Indiana Department of Transportation		KYTC - Kentucky Transportation Cabinet		
Federal Agency	FHWA - Federal Highway Administration				
SHPOs	INSHPO - Indiana State Historic Preservation Office		KHC - Kentucky Heritage Council		
GEC	R - Ratio Architects	CTS - Community Transportation Solutions	A - ASC Group	GP - Gray and Pape	
KY SDCs	L - HW Lochner	KTA - Kentucky Transportation Associates			
IN SDCs	BFS - Butler, Fairman and Seufert	IP - Indiana Approach Partners			
SDCs 2 & 5	PB - Parson Brinkerhoff	MB - Michael Baker			
	NR - Not Required				

ATTACHMENT D PROGRESS REPORT SCHEDULE

Pursuant to Stipulation IX of the Section 106 MOA, the following schedule and milestones are anticipated for the Project in the next Reporting Period:

Section 1 – Kennedy Interchange

- Butchertown Final HPP Approval – Fall 2007
- Butchertown Dispute Resolution Conclusion – Fall 2007
- Coordination Meeting for resolution of noise barriers along I-64 in the vicinity of Clifton – Winter 2007
- Accelerated Section Archaeological Exploration Report – Fall 2007

Section 2 – Downtown Bridge

- Present Structural Evaluation of three different Bridge Types for the Indiana Approached to the AAT, IHPAT and KHPAT for input – December 2007
- Context Sensitive Design Workshops with IHPAT and KHPAT – Summer and Fall 2007
- Underwater Archaeological Survey to Determine River Bed Anomalies – Summer 2007

Section 3 – Downtown Indiana

- Old Jeffersonville Final HPP Approval – Fall 2007
- Ohio Falls Car and Locomotive HD Nomination – Continuing development of the NRHP nomination for review and approval – Fall 2007

Section 4 – Kentucky East End

- Country Estates of River Road/River Road HPP – Final HPP for Approval in Fall 2007
- Final Rosewell Treatment Plan Review – Fall 2007
- Exploratory Tunnel and Approach Construction Letting – Fall 2007
- Preliminary R/W Plans – Fall 2007
- Community Meetings with affected neighborhoods to discuss Noise Barrier Analysis and possible design concepts for the proposed noise barriers – Winter 2007
- Drumanard property easement negotiations – Winter 2007

Section 5 – East End Bridge

- Context Sensitive Design Workshops with IHPAT and KHPAT – Summer and Fall 2007

- Underwater Archaeological Survey to Determine River Bed Anomalies – Summer 2007
- Permits and Floodplain Encroachment meetings to review requirements and constructability - December 2007
- Seamen’s Church Institute (SCI) Navigational Simulation – November 2007
- Additional coordination meetings for Phase 3B Design – December 2007
- Evaluate potential noise abatement measures – December 2007
- Additional viewshed development showing daytime and nighttime visualizations of the selected bridge type - December 2007.

Section 6 – Indiana East End

- Swartz Farm Rural HD Final HPP Approval – Fall 2007
- Utica Historic Lime Kilns HPP – Property owner access ongoing; Draft HPP to follow access permission.
- Swartz Farm Rural Historic District NRHP Nomination Form – Continuing development of the NRHP nomination for review and approval – Fall 2007
- Ohio Falls Car and Locomotive Company Historic District NRHP Nomination Form – Continuing development of the NRHP nomination for review and approval – Fall 2007
- Utica Historic Lime Kilns NRHP Nomination Form – Development of the NRHP nomination pending permission to access property by landowner

Project Wide Activities –

- Amendment to Biological Assessment – Field Surveys to be completed - Fall 2007
- IN SHPO Underwater Archaeology report comments – Winter 2007
- Archaeological GIS – Fall 2007