

**ORDER 2023-91
IN RE SETTLEMENT AGREEMENT**

**WSI US, LLC d/b/a WYNNBET
23-WSI-01**

After having reviewed the attached Settlement Agreement, the Indiana Gaming Commission hereby:

APPROVED

APPROVES OR DISAPPROVES

the proposed terms of the Settlement Agreement.

IT IS SO ORDERED THIS THE 15th DAY OF JUNE, 2023.

THE INDIANA GAMING COMMISSION:



Milton O. Thompson, Chair

ATTEST:



Charles Cohen, Commissioner

STATE OF INDIANA
INDIANA GAMING COMMISSION

IN RE THE MATTER OF:)	
)	SETTLEMENT
WSI US, LLC d/b/a WYNNBET)	23-WSI-01
)	

SETTLEMENT AGREEMENT

The Indiana Gaming Commission (“Commission”) by and through its Executive Director Greg Small and WSI US, LLC d/b/a WynnBet (“Wynn”), (collectively, the “Parties”) desire to enter into this settlement agreement (“Agreement”) prior to the initiation of a disciplinary proceeding pursuant to 68 IAC 13-1-18(a). The Parties stipulate and agree that the following facts are true:

FINDINGS OF FACT

1. 68 IAC 27-2-16(d) provides except as outlined in this section, occupational licensing of an employee, independent contractor, agent or subagent of a sports wagering operator shall be governed by IC 4-33-8 and 68 IAC 2-3.
2. 68 IAC 2-3-9.2(b)(2)(C) requires the occupational licensee to notify the Commission when the occupational licensee transfers to another position.
3. 68 IAC 2-3-9.2(c) requires the notification must be submitted to the Commission within fifteen (15) days of the occurrence of the change or action.
4. On December 21, 2020, the Commission issued a Directive to Sports Wagering Operators on Sports Wagering Occupational Licensing.
5. On June 9, 2021, the Commission issued a Directive to Sports Wagering Operators on Sports Wagering Occupational Licensing.
6. On February 3, 2023, the Commission was notified of a position change for a Staff Accountant. The Staff Accountant changed positions to a Senior Staff Accountant on September 27, 2021. Wynn failed to timely submit the title change in a timely manner.
7. On February 3, 2023, the Commission was notified of a position change for an Assistant Controller. The Assistant Controller changed positions to a Revenue Controller on May 31, 2021. Wynn failed to submit the title change in a timely manner.
8. On February 3, 2023, the Commission was notified of a position change for a Junior Trader. The Junior Trader changed positions to a Senior Trader on April 4, 2022. Wynn failed to timely submit the title change in a timely manner.

TERMS AND CONDITIONS

Commission staff alleges that the acts or omissions of Wynn by and through its agents as described herein constitute a breach of IC 4-38, 68 IAC, and/or Wynn's approved internal control procedures. The Commission and Wynn hereby agree to a monetary settlement of the alleged violations described herein in lieu of the Commission pursuing formal disciplinary action against Wynn.

Wynn shall pay to the Commission a total of \$1,000 in consideration for the Commission foregoing disciplinary action based on the facts specifically described in the Findings of Fact contained in this Agreement. This Agreement extends only to those violations and findings of fact specifically alleged in the findings above. If the Commission subsequently discovers facts that give rise to additional or separate violations, the Commission may pursue disciplinary action for such violations even if the subsequent violations are similar or related to an incident described in the findings above.

Upon execution and approval of this Agreement, Commission staff shall submit this Agreement to the Commission for review and final action. Upon approval of the Agreement by the Commission, Wynn agrees to promptly remit payment in the amount of \$1,000 and waive all rights to further administrative or judicial review.

This Agreement constitutes the entire agreement between the Parties. No prior or subsequent understandings, agreements, or representations, oral or written, not specified or referenced within this document will be valid provisions of this Agreement. This Agreement may not be modified, supplemented, or amended, in any manner, except by written agreement signed by all Parties.

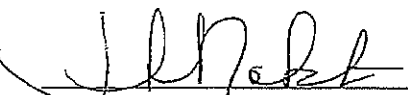
This Agreement may be executed in multiple counterparts, each of which shall be deemed an original agreement and both of which shall constitute one and the same agreement. The counterparts of this Agreement may be executed and delivered by electronic mail, facsimile, or other electronic signature by either of the parties and the receiving party may rely on the receipt of such document so executed and delivered electronically as if the original had been received.

This Agreement shall be binding upon the Commission and Wynn.

IN WITNESS WHEREOF, the Parties have signed this Agreement on the date and year as set forth below.



Greg Small, Executive Director
Indiana Gaming Commission



Jennifer Roberts, VP & General Counsel
WSI US, LLC d/b/a WynnBET

6/6/2023
Date

May 30, 2023
Date