

**ORDER 2023-122  
IN RE SETTLEMENT AGREEMENT  
ARISTOCRAT TECHNOLOGIES, INC.  
23-ATI-02**

After having reviewed the attached Settlement Agreement, the Indiana Gaming Commission hereby:

**APPROVED**

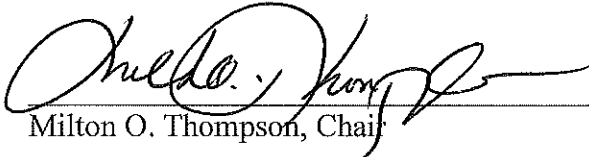
---

APPROVES OR DISAPPROVES

the proposed terms of the Settlement Agreement.

**IT IS SO ORDERED THIS THE 15<sup>th</sup> DAY OF SEPTEMBER, 2023.**

**THE INDIANA GAMING COMMISSION:**

  
Milton O. Thompson, Chair

ATTEST:

  
Jason Dudich, Secretary

**STATE OF INDIANA  
INDIANA GAMING COMMISSION**

**IN RE THE MATTER OF:** )  
 )  
**ARISTOCRAT TECHNOLOGIES, INC.** ) **SETTLEMENT**  
 ) **23-ATI-02**  
 )

**SETTLEMENT AGREEMENT**

The Indiana Gaming Commission (“Commission”) by and through its Executive Director Greg Small and Aristocrat Technologies, Inc. (“ATT”), (collectively, the “Parties”) desire to settle this matter prior to the initiation of a disciplinary proceeding pursuant to 68 IAC 13-1-18(a). The Parties stipulate and agree to the following:

**FINDINGS OF FACT**

1. 68 IAC 2-6-2 (a) requires that the following may not be used for gaming by any casino licensee prior to the executive director, or the executive director's designee, authorizing their inclusion in the commission's online database of approved items and technologies: (1) Electronic gaming devices (EGD) and any component parts material to gaming activity, including, but not limited to, the following: (A) Random number generators. (B) All game media. (C) Progressive controllers. (D) Bill changers.
2. 68 IAC 17-1-3 provides a casino licensee, casino license applicant, or supplier licensee shall use the commission's electronic gaming device database as prescribed by the commission.
3. In accordance with 68 IAC 17-1-2(a) and 68 IAC 17-1-3, when shipping an item approved under 68 IAC 2-6-2, the supplier is required to provide the Commission with an accurate inventory of each item to be shipped and delivered, including each item’s identification code in the Commission’s Electronic Gaming Device System (“EGDS”).
4. On June 29, 2023, a Slot Technician Manager at Hard Rock Northern Indiana notified Gaming Agents that an Aristocrat ARC button panel was shipped directly to Hard Rock before a request was made in the EGDS. The Slot Technician Manager advised that he had not placed a request in the EGDS for this product but advised that an Aristocrat Field Service Technician needed the button panel and did not have one in stock, so the Field Service Technician requested that one be shipped to him. The Slot Technician Manager advised that once the Field Service Technician received the button panel, he was going to enter it into EGDS and have it shipped to Hard Rock. Through communication with Aristocrat, it was discovered that a Field Service Specialist at Aristocrat shipped the button panel directly to Hard Rock instead of the Field Service Technician.

5. On April 28, 2023, a Slot Technician Supervisor at Blue Chip Casino notified Gaming Agents that four (4) unauthorized gaming chips and four (4) blank scratch partition chips were located inside of four (4) new electronic gaming devices ("EGD") that Blue Chip Casino that had been received on April 24, 2023. A Slot Technician discovered the chips inside the EGD when he was removing the shipping material. The Slot Technician also discovered a single game software chip and blank scratch partition chip attached to the wiring harness of each EGD. The software was removed from the EGD and given to the Slot Technician Supervisor. It was determined that the software found inside the EGD was extra software. Blue Chip had ordered all the software separately as required by Commission rules.

### **TERMS AND CONDITIONS**

Commission staff alleges that the acts or omissions of ATI by and through its agents as described herein constitute a breach of IC 4-33, IC 4-35, and/or 68 IAC. The Commission and ATI hereby agree to a monetary settlement of the alleged violations described herein in lieu of the Commission pursuing formal disciplinary action against ATI.

ATI shall pay to the Commission a total of \$3,000 in consideration for the Commission foregoing disciplinary action based on the facts specifically described in this Agreement. This Agreement extends only to those violations and findings of fact specifically alleged in the findings above. If the Commission subsequently discovers facts that give rise to additional or separate violations, the Commission may pursue disciplinary action for such violations even if the subsequent violations are similar or related to an incident described in the findings above.

Upon execution and approval of this Agreement, Commission staff shall submit this Agreement to the Commission for review and final action. Upon approval of the Agreement by the Commission, ATI agrees to promptly remit payment in the amount of \$3,000 and shall waive all rights to further administrative or judicial review.

This Agreement constitutes the entire agreement between the Parties. No prior or subsequent understandings, agreements, or representations, oral or written, not specified or referenced within this document will be valid provisions of this Agreement. This Agreement may not be modified, supplemented, or amended, in any manner, except by written agreement signed by all Parties.

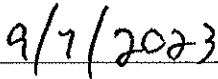
This Agreement may be executed in multiple counterparts, each of which shall be deemed an original agreement and both of which shall constitute one and the same agreement. The counterparts of this Agreement may be executed and delivered by electronic mail, facsimile, or other electronic signature by either of the parties and the receiving party may rely on the receipt of such document so executed and delivered electronically as if the original had been received.

This Agreement shall be binding upon the Commission and ATI.

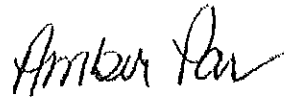
IN WITNESS WHEREOF, the Parties have signed this Settlement Agreement on the date and year as set forth below.



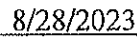
\_\_\_\_\_  
Greg Small, Executive Director  
Indiana Gaming Commission



\_\_\_\_\_  
Date



\_\_\_\_\_  
Amber Parker  
Senior Director, Compliance  
Aristocrat Technologies, Inc.



\_\_\_\_\_  
Date