

**ORDER 2022-77  
IN RE SETTLEMENT AGREEMENT  
BELTERRA RESORT INDIANA, LLC  
22-BT-02**

After having reviewed the attached Settlement Agreement, the Indiana Gaming Commission hereby:

**APPROVED**

---

APPROVES OR DISAPPROVES

the proposed terms of the Settlement Agreement.

**IT IS SO ORDERED THIS THE 30<sup>th</sup> DAY OF JUNE, 2022.**

**THE INDIANA GAMING COMMISSION:**



---

Milton O. Thompson, Chair

ATTEST:



---

Jason Dudich, Secretary

**STATE OF INDIANA  
INDIANA GAMING COMMISSION**

**IN RE THE MATTER OF:** )  
 ) **SETTLEMENT**  
**BELTERRA RESORT INDIANA, LLC** ) **22-BT-02**

**SETTLEMENT AGREEMENT**

The Indiana Gaming Commission (“Commission”) by and through its Executive Director Greg Small and Belterra Resort Indiana, LLC (“Beltterra”) (collectively, the “Parties”) desire to enter into this settlement agreement (“Agreement”) prior to the initiation of a disciplinary proceeding pursuant to 68 IAC 13-1-18(a). The Parties stipulate and agree that the following facts are true:

**FINDINGS OF FACT**

**COUNT I**

1. IC 4-38-11-1(a) provides the bureau shall provide information to a certificate holder concerning persons who are delinquent in child support.
  - (b) Prior to a certificate holder disbursing a payout of six hundred dollars (\$600) or more, in winnings, from sports wagering to a person who is delinquent in child support and who is claiming the winning sports wager in person at the certificate holder's facility, the certificate holder:
    - (1) may deduct and retain an administrative fee in the amount of the lesser of:
      - (A) three percent (3%) of the amount of delinquent child support withheld under subdivision (2)(A); or
      - (B) one hundred dollars (\$100); and
    - (2) shall:
      - (A) withhold the amount of delinquent child support owed from winnings;
      - (B) transmit to the bureau:
        - (i) the amount withheld for delinquent child support; and
        - (ii) identifying information, including the full name, address, and Social Security number of the obligor and the child support case identifier, the date and amount of the payment, and the name and location of the licensed owner, operating agent, or trustee; and
      - (C) issue the obligor a receipt in a form prescribed by the bureau with the total amount withheld for delinquent child support and the administrative fee.
    - (c) The bureau shall notify the obligor at the address provided by the certificate holder that the bureau intends to offset the obligor's delinquent child support with the winnings.
    - (d) The bureau shall hold the amount withheld from the winnings of an obligor for ten (10) business days before applying the amount as payment to the obligor's delinquent child support.
    - (e) The delinquent child support required to be withheld under this section and an administrative fee described under subsection (b)(1) have priority over any secured or unsecured claim on winnings except claims for federal or state taxes that are required to be withheld under federal or state law.

2. 68 IAC 27-5-2(2)(X) provides prior to beginning sports wagering operations, a sports wagering operator must submit for approval under 68 IAC 11 internal controls for withholding winnings from delinquent child support obligors in accordance with IC 4-38-11, including a plan for complying with IC 4-38-11 if the sports wagering operator allows the redemption of tickets via mail.
3. 68 IAC 11-9-2(a) provides the casino licensee or trustee shall submit to the executive director internal control procedures concerning the withholding of cash winnings from delinquent obligors in accordance with 68 IAC 11-1.
4. 68 IAC 11-1-3(c)(4) provides that no casino licensee or casino license applicant may use an internal control procedure unless the internal control procedure has been approved, in writing, by the executive director.
5. 68 IAC 13-1-1(b)(2) and (3) provides the Commission may initiate an investigation or a disciplinary action, or both, against a licensee if the Commission has reason to believe the licensee is not complying with licensure conditions or is not complying with this Act or this title.
6. Belterra's approved internal control procedures, S-1, describe the procedures for the Child Intercept Process.
7. Gaming Agents conducted an audit of the Child Support Arrears Delinquency Registry (CSADR) for March 2022. The results of this audit found that three (3) individuals were not searched through the CSADR system at the time sports wagering winnings in excess of \$600 was won.

## COUNT II

8. 68 IAC 27-5-2(R) provides that Certificate Holders are required to Identify and restrict prohibited sports wagering participants.
9. Belterra's approved internal control procedures, S-2 and S-3, describe the process of providing the list of prohibited sports wagering participants to its vendors, so that its vendors can properly restrict sports wagering from those individuals.
10. On January 5, 2022, a Gaming Agent conducted a statewide exclusion audit. The results of this audit determined that Belterra failed to restrict and evict twenty (20) individuals on the statewide exclusion list. It was determined that Belterra did not receive the email communication from the Commission's Legal Division because they are restricted from receiving zip files, however, new additions to the Statewide Exclusion List are announced at the Commission's public business meeting each quarter and subsequently posted on the website. Belterra could have requested this information from the Gaming Agents or the Commission's Legal Division at any time after the Commission meeting. As a result, Belterra did not provide this information to their sports wagering partners Betway and Roar Digital in a timely manner.

### COUNT III

11. 68 IAC 15-12-3(a) provides the requirements for live gaming device fills.
12. 68 IAC 12-1-8(a)(1) provides that an activity log must be continuously maintained by surveillance employees.
13. 68 IAC 1-5-1(1)(A) provides a casino or supplier licensee shall provide a written notice to the executive director as soon as the casino or supplier licensee becomes aware of a violation or apparent violation of a rule of the commission by any the casino or supplier licensee.
14. 68 IAC 12-1-8(a)(5)(E&H) provides the log must include entries for events and notifications received by surveillance employees about movements and transfers of cash equivalents and chips and observance of procedural or control errors.
15. On February 14, 2022, Gaming Agents became aware of a table fill error. The table fill was not properly verified and delivered to a table game with an additional \$20,000 in chips. The fill was accepted by a Dealer and Floor Supervisor at the table. The table fill error was not logged by Surveillance and Gaming Agents were not notified of the table fill error.

### TERMS AND CONDITIONS

Commission staff alleges that the acts or omissions of Belterra by and through its agents as described herein constitute a breach of IC 4-33, IC 4-38, 68 IAC, and/or Belterra's approved internal control procedures. The Commission and Belterra hereby agree to a monetary settlement of the alleged violations described herein in lieu of the Commission pursuing formal disciplinary action against Belterra.

Belterra shall pay to the Commission a total of \$8,500 (\$3,000 for Count I, \$2,000 for Count II and \$3,500 for Count III) in consideration for the Commission foregoing disciplinary action based on the facts specifically described in the Findings of Fact contained in this Agreement. This Agreement extends only to those violations and findings of fact specifically alleged in the findings above. If the Commission subsequently discovers facts that give rise to additional or separate violations, the Commission may pursue disciplinary action for such violations even if the subsequent violations are similar or related to an incident described in the findings above.

Upon execution and approval of this Agreement, Commission staff shall submit this Agreement to the Commission for review and final action. Upon approval of the Agreement by the Commission, Belterra agrees to promptly remit payment in the amount of \$8,500 and shall waive all rights to further administrative or judicial review.

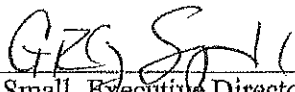
This Agreement constitutes the entire agreement between the Parties. No prior or subsequent understandings, agreements, or representations, oral or written, not specified or

referenced within this document will be valid provisions of this Agreement. This Agreement may not be modified, supplemented, or amended, in any manner, except by written agreement signed by all Parties.

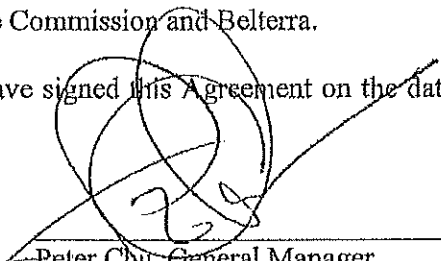
This Agreement may be executed in multiple counterparts, each of which shall be deemed an original agreement and both of which shall constitute one and the same agreement. The counterparts of this Agreement may be executed and delivered by electronic mail, facsimile, or other electronic signature by either of the parties and the receiving party may rely on the receipt of such document so executed and delivered electronically as if the original had been received.

This Agreement shall be binding upon the Commission and Belterra.

IN WITNESS WHEREOF, the Parties have signed this Agreement on the date and year as set forth below.

  
\_\_\_\_\_  
Greg Small, Executive Director  
Indiana Gaming Commission

6/27/2022  
Date

  
\_\_\_\_\_  
Peter Chu, General Manager  
Beltterra Resort Indiana, LLC

6/16/2022  
Date