

SEP 18 2019

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**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Wilson		Name (first) Rachel		Name (middle)	
Name of office or agency Indiana Department of Transportation			Job title Operations Field Support Manager		
Address of office (number and street) 100 N. Senate Ave			City Indianapolis		ZIP code 46204
Office telephone number (317) 233-9083		Office e-mail address (required) racwilson@indot.in.gov			
Describe the conflict of interest: Rachel Wilson is Operations Field Support Manager for the Indiana Department of Transportation (INDOT). Rachel Wilson has accepted a position with HNTB Corporation ("HNTB") effective December 2, 2019. HNTB has a business relationship with INDOT, including ongoing contracts with the Department. As such, Rachel Wilson's employment negotiations with HNTB created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict. Rachel Wilson is not otherwise subject to the mandatory cooling off period under IC 4-2-6-11(b) with respect to HNTB. This disclosure was provided to Rachel Wilson's appointing authority, INDOT Commissioner Joe McGuinness, on September 16, 2019. Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D). Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by virtue of the advise of the below signed ethics officer.					

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Rachel Wilson from doing work with HNTB in her capacity as an INDOT employee. Said screen prohibits Rachel Wilson from under taking any of her official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving HNTB or in which HNTB has an interest.

The screen was executed on September 16, 2019, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

September 16, 2019

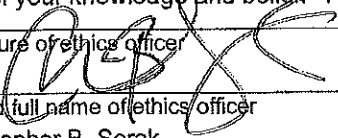
Printed full name of state officer, employee or special state appointee

Rachel Wilson

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

September 16, 2019

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR RACHEL WILSON

September 16, 2019

I. RECITALS

WHEREAS, Rachel Wilson is the Operations Field Support Manager for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Rachel Wilson has entered employment negotiations with HNTB Corporation (“HNTB”); and

WHEREAS, HNTB has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as the Operations Field Support Manager for INDOT, it is possible that Rachel Wilson could be assigned to work with HNTB, or to participate in matters involving the company as part of her regular duties; and

WHEREAS, Rachel Wilson’s employment negotiations with HNTB created a potential decisions and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen.

NOW THEREFORE, Rachel Wilson, the Operations Field Support Manager for INDOT, for her remaining tenure with the Department, or until she discontinues employment negotiations with HNTB Corporation, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen.


II. CONFLICT OF INTEREST SCREEN

1. Rachel Wilson shall not participate in any decision or vote, or any matter related to such decision or vote, in which HNTB Corporation has a financial interest.
2. Rachel Wilson should be screened from participating in any present or future contract or other matter involving HNTB Corporation.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which HNTB Corporation has a financial interest. I understand that the terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with HNTB Corporation, whichever occurs first. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 16th day of September, 2019, by:



Rachel Wilson, Operations Field Support Manager
Indiana Department of Transportation

Baker, Nathaniel P

Subject: Executed COI Disclosure and Internal Screen (Rachel Wilson)
Attachments: Signed Screen (Rachel Wilson).pdf; Signed COI Disclosure (Rachel Wilson).pdf

From: Serak, Chris (INDOT)
Sent: Wednesday, September 18, 2019 10:55 AM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Wilson, Rachel <RacWilson@indot.IN.gov>
Subject: Executed COI Disclosure and Internal Screen (Rachel Wilson)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Rachel Wilson. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
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