

INDIANA
STATE ETHICS COMMISSION

SEP 13 2018

FILED



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Will	Name (first) Shawn	Name (middle)
Name of office or agency Indiana Department of Transportation		Job title Consultant Services Manager
Address of office (number and street) 3650 Old US Hwy 41		City Vincennes
		ZIP code 47591
Office telephone number (812) 895-73	Office e-mail address (required) ShWill@indot.IN.gov	

Describe the conflict of interest:
I am currently Consultant Services Manager for the INDOT Vincennes District. On September 7, 2018 I became aware of a potential conflict of interest related to employment negotiations I have entered with American Structurepoint, a consultant with a business relationship with INDOT. In my previous role as Project Manager for the Vincennes District, I administered several ongoing contracts with American Structurepoint, including: IR-39096; R-30473; and R-38722. In my current role as Consultant Services Manager for the Vincennes District, I supervise the project managers assigned to administer the following American Structurepoint contracts with INDOT; R-38715; B-38729; R-38715; R-39927; and RS-39928. Accordingly, I am in a position to potentially participate in decisions/votes in which American Structurepoint may have a financial interest. Because I have commenced employment negotiations with American Structurepoint, I can no longer participate in any decision or vote, or matter related to such a decision or vote, in which American Structurepoint has a financial interest. My participation would represent a potential conflict of interest under IC 4-2-6-9.

This notice is being submitted less than 7 days after learning of my potential conflict of interest on September 7, 2018, by virtue of the advise and direction of INDOT's ethics officer.

This disclosure was provided to my appointing authority as an INDOT employee, Commissioner Joe McGuinness, as documented by the attached Exhibit A, provided as part of this disclosure pursuant to IC 4-2-6-9(b)(2)(D).

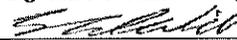
Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

I signed a formal screen from INDOT, prohibiting my participation in any decision or vote in which American Structurepoint has a financial interest. The screen provides I shall not participate in any decision or vote in which the firm has a financial interest, including any vote or decision related to the contracts listed above. The screen further states that I shall not participate in decisions or votes, or matters related to such decisions and votes, with regard to the firm's compliance with controlling rules and regulations related to any work completed on behalf of INDOT, including on the above contracts. Finally, the screen prevents me from administering or supervising the administration of any existing or future INDOT contract or subcontract awarded to American Structurepoint.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

9/13/2018

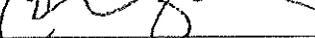
Printed full name of state officer, employee or special state appointee

Shawn Will

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

9/10/2018

Printed full name of ethics officer

Christopher B. Serak

From: [Serak, Chris \(INDOT\)](#)
To: [McGuinness, Joe](#)
Cc: [Grand, Alison](#); [Will, Shawn](#)
Subject: Executed Conflict Disclosure and Internal Screen- Employee Shawn Will
Date: Thursday, September 13, 2018 9:51:09 PM
Attachments: [Executed Conflict Screen \(Shawn Will\).pdf](#)
[Executed Conflict Disclosure- Shawn Will.pdf](#)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Mr. Shawn Will. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Mr. Will recently began negotiating employment with American Structurepoint. He is presently the Consultant Services Manager for the Vincennes District. In the last two (2) years he administered and negotiated contracts with Structurepoint as a Project Manager, and currently supervises Project Managers assigned to several ongoing contracts with the firm. While Mr. Will is not directly administering or negotiating any active Structurepoint contracts, and the potential for a conflict is remote, the attached screen prevents any real or apparent conflict with regard to his supervision of Project Managers working with the company.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov

Croft, Celeste

From: Cooper, Jennifer
Sent: Friday, September 14, 2018 9:31 AM
To: Croft, Celeste
Subject: FW: Executed Conflict Disclosure and Internal Screen- Employee Shawn Will
Attachments: Executed Conflict Disclosure- Shawn Will.pdf; Evidence of Conflict Disclosure- INDOT Employee Shawn Will.pdf

Celeste-

Good morning. We received a new COI:D&V disclosure from INDOT.

Thank you,

Jen Cooper | State Ethics Director
Indiana Office of Inspector General/
State Ethics Commission
315 W. Ohio Street, Room 104
Indianapolis, IN 46202
Tel: 317.234.4108
Email: jcooper@ig.in.gov
Web: www.in.gov/ig

From: Serak, Chris (INDOT)
Sent: Thursday, September 13, 2018 10:00 PM
To: Cooper, Jennifer <JCooper@ig.IN.gov>
Cc: Grand, Alison <AGrand@indot.in.gov>; Will, Shawn <ShWill@indot.IN.gov>
Subject: Executed Conflict Disclosure and Internal Screen- Employee Shawn Will

Jen-

In accordance with Ind. Code 4-2-6-9(b)(2), please find the attached conflict disclosure executed by INDOT employee Mr. Shawn Will. The same was provided to the employee's appointing authority, INDOT Commissioner Joe McGuinness. Said disclosure is contained below and as an attachment to this email.

Thanks!

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov

From: Serak, Chris (INDOT)
Sent: Thursday, September 13, 2018 9:51 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Grand, Alison <AGrand@indot.in.gov>; Will, Shawn <ShWill@indot.IN.gov>
Subject: Executed Conflict Disclosure and Internal Screen- Employee Shawn Will

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