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ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Will	Name (first) Shawn	Name (middle)
Name of office or agency Indiana Department of Transportation		Job title Consultant Services Manager
Address of office (number and street) 3650 Old US Hwy 41		City Vincennes
		ZIP code 47591
Office telephone number (812) 895-7358	Office e-mail address (required) ShWill@indot.IN.gov	

Describe the conflict of interest:
I am currently Consultant Services Manager for the INDOT Vincennes District. On October 26, 2018 I became aware of a potential conflict of interest related to employment negotiations I have entered with Shrewsberry & Associates LLC a consultant with a business relationship with INDOT. In my role with INDOT I have assigned work to Shrewsberry through an on-call contract they have with the Department. I have not negotiated or administered any agreement with Shrewsberry, however I could be assigned such duties through my role with INDOT. Accordingly, I am in a position to potentially participate in decisions/votes in which Shrewsberry may have a financial interest. Because I have commenced employment negotiations with Shrewsberry, I can no longer participate in any decision or vote, or matter related to such a decision or vote, in which Shrewsberry has a financial interest. My participation would represent a potential conflict of interest under IC 4-2-6-9.

This notice is being submitted less than 7 days after learning of my potential conflict of interest on October 25, 2018, by virtue of the advise and direction of INDOT's ethics officer.

This disclosure was provided to my appointing authority as an INDOT employee, Commissioner Joe McGuinness, as documented by the attached Exhibit A, provided as part of this disclosure pursuant to IC 4-2-6-9(b)(2)(D).

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

I signed a formal screen from INDOT, prohibiting my participation in any decision or vote in which Shrewsberry has a financial interest. The screen provides I shall not participate in any decision or vote in which the firm has a financial interest, including any vote or decision related to the contracts listed above. The screen further states that I shall not participate in decisions or votes, or matters related to such decisions and votes, with regard to the firm's compliance with controlling rules and regulations related to any work completed on behalf of INDOT. Finally, the screen prevents me from administering or supervising the administration of any existing or future INDOT contract or subcontract awarded to Shrewsberry.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

11/2/2018

Printed full name of state officer, employee or special state appointee

Shawn Will

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

11/2/2018

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR SHAWN WILL

November 2, 2018

I. RECITALS

WHEREAS, Shawn Will is Consultant Services Manager for the Vincennes District of the Indiana Department of Transportation; and

WHEREAS, Shawn Will has entered employment negotiations with Shrewsberry & Associates LLC ("Shrewsberry"); and

WHEREAS, Shrewsberry is an INDOT vendor and has open contracts with the Department to provide consultant services; and

WHEREAS, as Consultant Services Manager for INDOT, Shawn Will is in a position to be assigned to supervise the project managers assigned to administer Shrewsberry contracts; and

WHEREAS, Shawn Will's employment negotiations with Shrewsberry created a decisions and voting conflict of interest under IC 4-2-6-9 requiring a formal screen.

NOW THEREFORE, Shawn Will, Consultant Services Manager for the Department's Vincennes District, for as long as he continues negotiations with Shrewsberry & Associates LLC, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen.

II. CONFLICT OF INTEREST SCREEN

1. Shawn Will shall not participate in any decision or vote, or any matter related to such decision or vote, in which Shrewsberry has a financial interest.
2. Shawn Will should be screened from participating in any present or future agreement between INDOT and Shrewsberry.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Shrewsberry & Associates LLC has a financial interest. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 2nd day of November, 2018, by:



Shawn Will, Consultant Services Manager
INDOT Vincennes District

11/02/2018

Date

Croft, Celeste

From: Cooper, Jennifer
Sent: Friday, November 02, 2018 5:09 PM
To: Croft, Celeste
Subject: FW: Executed Conflict Disclosure and Internal Screen- Employee Shawn Will
Attachments: CONFLICT OF INTEREST SCREEN FOR SHAWN WILL.PDF; 20181102141417242.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

Celeste-

Can you process this disclosure form, please?

Thank you!
Jen

Jen Cooper | State Ethics Director
Indiana Office of Inspector General/
State Ethics Commission
315 W. Ohio Street, Room 104
Indianapolis, IN 46202
Tel: 317.234.4108
Email: jcooper@ig.in.gov
Web: www.in.gov/ig

From: Serak, Chris (INDOT)
Sent: Friday, November 02, 2018 5:05 PM
To: Cooper, Jennifer <JCooper@ig.IN.gov>
Cc: Grand, Alison <AGrand@indot.in.gov>; Will, Shawn <ShWill@indot.IN.gov>
Subject: FW: Executed Conflict Disclosure and Internal Screen- Employee Shawn Will

Jen-

In accordance with Ind. Code 4-2-6-9(b)(2), please find the attached conflict disclosure executed by INDOT employee Mr. Shawn Will. The same was provided to the employee's appointing authority, INDOT Commissioner Joe McGuinness. Said disclosure is contained below and as an attachment to this email.

Thanks!

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Indiana Department of Transportation
Office: (317) 234-2115

Cell: (317) 440-9868
Email: chserak@indot.in.gov

From: Serak, Chris (INDOT)
Sent: Friday, November 2, 2018 5:03 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Grand, Alison <AGrand@indot.in.gov>; Will, Shawn <ShWill@indot.IN.gov>
Subject: Executed Conflict Disclosure and Internal Screen- Employee Shawn Will

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Mr. Shawn Will. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov