

**INDIANA
STATE ETHICS COMMISSION**

JUN 07 2018

FILED



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name <i>(last)</i> Vorndran	Name <i>(first)</i> David	Name <i>(middle)</i> A
Name of office or agency Indiana Department of Transportation		Job title Senior Contracts Engineer
Address of office <i>(number and street)</i> 100 North Senate Ave, IGCN Room N725		City Indianapolis
		ZIP code 46204
Office telephone number (317) 232-5179	Office e-mail address <i>(required)</i> dvorndrn@indot.in.gov	

Describe the conflict of interest:
I have a financial interest in two consultants who have a business relationship with INDOT. My financial interest is through the ESOP Plan maintained by each of these consultants. The consultants are Hansen Professional Services and GAI Consultants.

My INDOT position involves negotiating with consultants selected by the INDOT Commissioner to determine the appropriate contract terms for the project being handled for INDOT by that consultant. The final terms that are negotiated are incorporated into the contract between INDOT and the consultant.

I have been operating under an informal agency screen established by INDOT's Ethics Officer because there is a substantial question whether any conflict of interest exists under the state ethics rules (due to the restrictive nature of my ESOP interests). However, I am filing this disclosure out of an abundance of caution to comply fully with any potential applicable ethics rule.

Describe the screen established by your ethics officer. (Attach additional pages as needed.)

This screen has been established by Mark Tidd, INDOT's Ethics Officer, in consultation with my supervisor, Joel Myers, and Jeff Clanton, INDOT's Consultant Contracting Manager:

In the event that any matter involves contract negotiations or otherwise involving potential contracts or any other matter that could have a direct financial impact on Hanson Professional Services or on GAI Consultants, the following procedures will be followed:

1. The matter will immediately be referred to my supervisor, Joel Myers, for handling; and
2. Mr. Myers will be solely responsible for handling this matter, including all communications and all decision-making associated with the matter. If Mr. Myers is unable to handle a particular matter that would be covered by this screen, it will be the obligation of Mr. Myers to find someone else to handle the matter and he will assign such matter without discussing it with me.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

David A. Vorndran

Date signed (month, day, year)

6-7-18

Printed full name of state officer, employee or special state appointee

David A. Vorndran

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Mark J. Tidd

Date signed (month, day, year)

6-7-18

Printed full name of ethics officer

Mark J. Tidd

Tidd, Mark

From: Vorndran, David
Sent: Thursday, June 07, 2018 12:21 PM
To: McGuinness, Joe
Cc: Tidd, Mark
Subject: Potential Conflict of Interest Situation

Mr. McGuinness,

Pursuant to IC 4-2-6-9(b), I am providing you with written confirmation of a potential Conflicts of Interest situation. This potential Conflicts of Interest is related to my interest in ESOP Plans of Hanson Professional Services and of GAI Consultants, who are consultants who do business with INDOT. I have been operating under an informal screen established by Mark Tidd, INDOT Ethics Officer, because there is a substantial question as to whether any conflict actually exists due to the restrictive nature of my ESOP interests. However, I am filing a disclosure statement out of an abundance of caution.

I have submitted a written disclosure statement to Mark Tidd, INDOT Ethics Officer, and a screen is being implemented between me and any contract negotiations between INDOT and either Hanson Professional Services and of GAI Consultants Hanson Professional Services and of GAI Consultants. Please contact me or Mark Tidd if you have any questions related to this situation.

Thank you,

David Vorndran

David A. Vorndran, P.E.

Senior Contract Engineer

INDOT – Contract Administration

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Indianapolis, IN 46204

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This message and any attachments are for the sole use of the intended recipient(s) and may contain confidential and/or privileged information. If you are not the intended recipient, please contact the sender by reply email. Thank you