

FEB 06 2020

FILED



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

| | | |
|--|---|-------------------------------|
| Name (last) Vincent | Name (first) Karla | Name (middle) |
| Name of office or agency Indiana Department of Transportation- LaPorte District | | Job title Scoping Engineer |
| Address of office (number and street) 315 Boyd Blvd | | City LaPorte |
| | | ZIP code 46350 |
| Office telephone number (219) 325-7527 | Office e-mail address (required) KVincent@indot.IN.gov | |

Describe the conflict of interest:
 Karla Vincent is a Scoping Manager for the Indiana Department of Transportation (INDOT)- LaPorte District.
 Karla Vincent's husband Paul Vincent owns and operates Blue Wolf Consulting, LLC, a company that may do business with INDOT. Karla Vincent's relationship to the owner of Blue Wolf Consulting, LLC creates a potential decisions and voting conflict of interest under IC 4-2-6-9, in the event Karla Vincent was assigned to work with Blue Wolf Consulting, LCC in her capacity with INDOT.
 This disclosure is therefore being filed in anticipation of that potential conflict, and is being executed along with a formal internal screen preventing Karla Vincent from working with Blue Wolf Consulting, LCC in her capacity with the Department.
 This disclosure was provided to Karla Vincent's appointing authority, Commissioner Joe McGuinness, on February 6, 2020. Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D). Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by virtue of the advise of the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed preventing Karla Vincent from doing work with Blue Wolf Consulting, LCC

in her capacity as an INDOT employee. Said screen prohibits Karla Vincent from under taking any of her official duties

or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Blue Wolf

Consulting, LLC, or in which Blue Wolf Consulting, LLC has any interest.

The screen was executed on February 6, 2020, a copy of which was sent to Commissioner Joe McGuinness along

with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed *(month, day, year)*

February 6, 2020

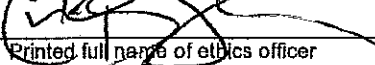
Printed full name of state officer, employee or special state appointee

Karla Vincent

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed *(month, day, year)*

February 6, 2020

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR KARLA VINCENT

February 6, 2020

I. RECITALS

WHEREAS, Karla Vincent is a Scoping Manager for the Indiana Department of Transportation, LaPorte District ("INDOT" or "Department"); and

WHEREAS, Karla Vincent's husband, Paul Vincent, owns and operates a company called Blue Wolf Consulting, LLC; and

WHEREAS, Blue Wolf Consulting, LLC wishes to contract for INDOT and LPA projects, including Community Crossing Projects; and

WHEREAS, Karla Vincent may be assigned to work on projects awarded to Blue Wolf Consulting, LLC in her employment capacity with INDOT; and

WHEREAS, Karla Vincent being assigned to work on Blue Wolf Consulting, LLC contracts would create a decisions and voting conflict of interest under IC 4-2-6-9 and in turn requires a disclosure and a formal screen.

NOW THEREFORE, Karla Vincent, a Scoping Manager for INDOT, LaPorte District, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen involving Blue Wolf Consulting, LLC.

II. CONFLICT OF INTEREST SCREEN

1. Karla Vincent shall not participate in any decision or vote, or any matter related to such decision or vote, in which Blue Wolf Consulting, LLC has a financial interest.
2. Karla Vincent should be screened from participating in any present or future contract or other matter involving Blue Wolf Consulting, LLC, including LPA and Community Crossing Projects.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Blue Wolf Consulting, LLC has a financial interest. I understand that the terms of this screen shall continue for the remainder of my tenure with INDOT, or until such time that Blue Wolf Consulting, LLC ceases operations. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor who will take measure to ensure participate in any decision or vote involving Blue Wolf Consulting, LLC.

Executed and agreed this 6th day of February, 2020, by:



Karla Vincent, Scoping Manager
Indiana Department of Transportation- LaPorte District

Baker, Nathaniel P

Subject: RE: Executed Screen and Disclosure (Karla Vincent)

From: Serak, Chris (INDOT)
Sent: Thursday, February 6, 2020 10:33 AM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Vincent, Karla D <KVincent@indot.IN.gov>
Subject: Executed Screen and Disclosure (Karla Vincent)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Karla D. Vincent. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
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Location: Room N730 (Internal Affairs)

