



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 55860 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

INDIANA
 STATE ETHICS COMMISSION

DEC 13 2017

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Stein	Name (first) Jodi	Name (middle) Kathryn
Name of office or agency Office of the Attorney General		Job title Deputy Attorney General
Address of office (number and street) IGCS - Fifth Fl., 302 W. Washington St.		City Indianapolis
		ZIP code 46203
Office telephone number (317) 232-6249	Office e-mail address (required) Jodi.Stein@atg.in.gov	

Describe the conflict of interest:
 I am a Deputy Attorney General in the Advisory Division. One of my many functions in Advisory is to review state contracts for form and legality under Indiana Code Section 4-22-2. My husband, Corey Stein, is the Vice President of Sexson Mechanical, a mechanical contractor that enters into contracts with the State. Although his salary/compensation is not directly connected to contracts with the State at this time, Corey will become part owner of Sexson Mechanical in 2018. At that time, Corey's salary/compensation will become directly connected to contracts with the State. Contracts assigned to be reviewed in the Advisory Division involving Sexson Mechanical are not assigned for me for review to avoid any appearance of impropriety.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Please see attached sheet for screening protocol.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed (month, day, year)



12/13/17

Printed full name of state officer, employee or special state appointee

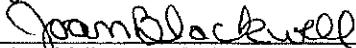
Jodi Kathryn Stein

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed (month, day, year)



12-13-17

Printed full name of ethics officer

Joan Blackwell, Chief of Staff

Screen implemented for the conflict of interest re: Jodi Stein and Sexson Mechanical Corporation

1. All contracts, grants, and other agreements that come to the Advisory Section for review are first given to the Advisory Section's Administrative Assistant. The Administrative Assistant then assigns all contracts, grants, and other agreements received to a Deputy Attorney General in the Advisory Section for review.
2. Ms. Stein and the OAG Ethics Officer have notified the Advisory Section's Administrative Assistant that Ms. Stein cannot review any contracts or other agreements involving Sexson Mechanical.
3. If any contract or other type of agreement involving Sexson Mechanical comes to the Advisory Section for review, the Advisory Section's Administrative Assistant will assign them to another Deputy Attorney General for review. The Administrative Assistant will not assign any such contracts to Ms. Stein, and Ms. Stein will have no involvement in any of these contracts.
4. There are approximately ten other Deputy Attorneys General in the Advisory Section who can review contracts involving Sexson Mechanical.
5. The OAG has also restricted Ms. Stein's access in the two case management systems used by the OAG, and she cannot access any contracts or matters involving Sexson Mechanical in these systems.
6. Ms. Stein will not discuss any contract or other matter involving Sexson Mechanical with any OAG employee.
7. Ms. Stein will notify the OAG Ethics Officer and the Chief Counsel of Advisory if this screening mechanism is violated.

Croft, Celeste

From: Sutton, Elizabeth <Elizabeth.Sutton@atg.in.gov>
Sent: Wednesday, December 13, 2017 9:46 AM
To: IG Info
Cc: Stein, Jodi
Subject: Conflict of Interest notice
Attachments: Conflict of Interest Screen.docx; 20171213094442043.pdf

**** This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected email. ****

Please see below.

Kind Regards,

Liz Sutton

Executive Assistant to Chief of Staff
Office of the Attorney General Curtis Hill
302 W. Washington Street
IGCS - 5th Floor
Indianapolis, IN 46204
p:317-233-3205 | f:317-232-7979
Elizabeth.sutton@atg.in.gov



From: Stein, Jodi
Sent: Tuesday, December 12, 2017 2:39 PM
To: Negangard, Aaron <Aaron.Negangard@atg.in.gov>
Cc: Sutton, Elizabeth <Elizabeth.Sutton@atg.in.gov>
Subject: Conflict of Interest notice

Dear Mr. Negangard,

My name is Jodi Stein, and I am a Deputy Attorney General in the Advisory Division.

I am working with Joan Blackwell to disclose a potential conflict of interest regarding Sexson Mechanical Corporation, a company that my husband will have an ownership interest in as of early 2018. The company has contracts with the State, and as a Deputy Attorney General in Advisory, I review all state contracts for form and legality.

We have implemented a screen to prevent me from having any involvement in contracts or other matters involving Sexson Mechanical. Attached is the conflict of interest, decisions and voting form that we are submitting to the Office of Inspector General, as well as the screening protocol.

Please let me know if you have any questions for me.

Regards,

Jodi Kathryn Stein
Deputy Attorney General
Office of Attorney General Curtis Hill
302 West Washington St., IGCS-Fifth Floor
Indianapolis, IN 46204
P: 317-232-6249/F: 317-232-7979
Jodi.Stein@atg.in.gov



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