

SEP 05 2019



**ETHICS DISCLOSURE STATEMENT  
CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
State Form 55060 (R / 10-16)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

**FILED**

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Spahr	Name (first) Edward	Name (middle)
Name of office or agency Indiana Department of Transportation		Job title Bridge Design Team Leader
Address of office (number and street) 100 N. Senate Ave		City Indianapolis
		ZIP code 46204
Office telephone number ( 317 ) 232-0675	Office e-mail address (required) espahr@indot.IN.gov	

Describe the conflict of interest:

Edward Spahr is a Bridge Design Team Leader for the Indiana Department of Transportation (INDOT).

Edward Spahr has entered employment negotiations for a position with Burgess & Niple, Inc. ("Burgess"). Burgess has a business relationship with INDOT, including ongoing contracts with the Department. As such, Edward Spahr's employment negotiations with Burgess created a potential decisions and voting conflict of interest under IC 4-2-6-9.

This disclosure is therefore being filed in anticipation of that potential conflict.

Edward Spahr is not otherwise subject to the mandatory cooling off period under IC 4-2-6-11(b) with respect to Burgess & Niple.

This disclosure was provided to Edward Spahr's appointing authority, INDOT Commissioner Joe McGuinness, on September 5, 2019.

Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).

Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by virtue of the advise of the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

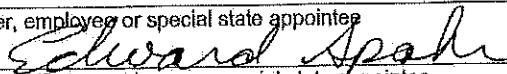
A formal screen was executed with INDOT's ethics officer preventing Edward Spahr from doing work with Burgess & Niple in his capacity as an INDOT employee. Said screen prohibits Edward Spahr from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Burgess & Niple or in which Burgess has an interest.

The screen was executed on September 5, 2019, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed *(month, day, year)*

September 5, 2019

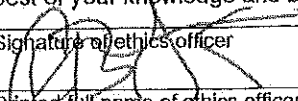
Printed full name of state officer, employee or special state appointee

Edward Spahr

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed *(month, day, year)*

September 5, 2019

Printed full name of ethics officer

Christopher B. Serak

**CONFLICT OF INTEREST SCREEN FOR EDWARD SPAHR**

September 5, 2019

**I. RECITALS**

WHEREAS, Edward Spahr is a Bridge Design Team Leader for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Edward Spahr has entered employment negotiations with Burgess & Niple, Inc. (“Burgess”); and

WHEREAS, Burgess has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Bridge Design Team Leader for INDOT, Edward Spahr oversees his staff’s review of work completed by Burgess and otherwise participates in matters involving the company; and

WHEREAS, Edward Spahr’s employment negotiations with Burgess created a potential decisions and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen.

NOW THEREFORE, Edward Spahr, a Bridge Design Team Leader for INDOT, for as long as he continues negotiations with Burgess & Niple, Inc., or until the termination of his employment with Department, whichever occurs first, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen.

**II. CONFLICT OF INTEREST SCREEN**

1. Edward Spahr shall not participate in any decision or vote, or any matter related to such decision or vote, in which Burgess & Niple, Inc. has a financial interest.
2. Edward Spahr should be screened from participating in any present or future contract or other matter involving Burgess & Niple, Inc.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Burgess & Niple, Inc. has a financial interest. I understand that the terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Burgess & Niple, Inc., whichever occurs first. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 5<sup>h</sup> day of September, 2019, by:



Edward Spahr, Bridge Design Team Leader  
Indiana Department of Transportation

## Baker, Nathaniel P

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**From:** Baker, Nathaniel P  
**Sent:** Friday, September 6, 2019 8:19 AM  
**To:** Baker, Nathaniel P  
**Subject:** FW: Executed Conflict Disclosure and Screen (Ed Spahr)  
**Attachments:** Signed COI Screen (Ed Spahr).pdf; Signed COI Disclosure (Spahr).pdf

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**From:** Serak, Chris (INDOT)  
**Sent:** Thursday, September 5, 2019 3:36 PM  
**To:** McGuinness, Joe <[JMcGuinness@indot.IN.gov](mailto:JMcGuinness@indot.IN.gov)>  
**Cc:** Spahr, Edward <[ESpahr@indot.IN.gov](mailto:ESpahr@indot.IN.gov)>  
**Subject:** Executed Conflict Disclosure and Screen (Ed Spahr)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Ed Spahr. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

**Christopher B. Serak,**  
*Prequalification Director*  
*Ethics Officer*  
*Prequalification Committee (Chairman)*  
*Selection Review Committee (Member)*  
**Indiana Department of Transportation**  
Office: (317) 234-2115  
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Location: Room N730 (Internal Affairs)

