



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 55860 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

INDIANA
 STATE ETHICS COMMISSION

APR 16 2021

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Smedley	Name (first) Samuel	Name (middle) Wayne
Name of office or agency Indiana Department of Transportation- Crawfordsville District		Job title Project Manager
Address of office (number and street) 41 West 300 North		City Crawfordsville
		ZIP code 47933
Office telephone number (317) 361-7397	Office e-mail address (required) ssmedley@indot.IN.gov	

Describe the conflict of interest:
 Samuel Smedley is a Project Manager for the Indiana Department of Transportation (INDOT)- Crawfordsville District.
 Samuel Smedley has entered employment negotiations with American Structurepoint Inc. ("Structurepoint"). Structurepoint has a business relationship with INDOT, including active contracts with the Department.
 Samuel Smedley's employment negotiations with Structurepoint created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.
 Samuel Smedley is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to Structurepoint.
 Samuel Smedley is subject to the particular matter restriction and will not assist future employers with a matter he personally and substantially participated in on behalf of INDOT.
 This disclosure was provided to Samuel Smedley's appointing authority, Commissioner Joe McGuinness, on April 16, 2021.
 Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
 Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

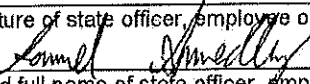
A formal screen was executed with INDOT's ethics officer preventing Samuel Smedley from working with Structurepoint in his capacity as an INDOT employee. Said screen prohibits Mr. Smedley from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Structurepoint, or in which Structurepoint has an interest. Samuel Smedley is further screened from assisting any future employer, including Structurepoint, with a matter in which he personally and substantially participated in while employed by INDOT.

The screen was executed on April 16, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

April 16, 2021

Printed full name of state officer, employee or special state appointee

Samuel Smedley

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

/s/ Christopher B. Serak

Date signed (month, day, year)

April 16, 2021

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR SAMUEL SMEDLEY

April 16, 2021

I. RECITALS

WHEREAS, Samuel Smedley is a Project Manager for the Indiana Department of Transportation- Crawfordsville District ("INDOT" or "Department"); and

WHEREAS, Samuel Smedley has accepted a position with American Structurepoint Inc. ("Structurepoint"); and

WHEREAS, Structurepoint has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Project Manager for INDOT, it is possible that Samuel Smedley could be assigned to work with Structurepoint or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Samuel Smedley's employment negotiations with Structurepoint created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Samuel Smedley is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including Structurepoint, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Samuel Smedley, a Project Manager, for his remaining tenure with the Department, or until he discontinues employment negotiations with American Structurepoint Inc., agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Samuel Smedley must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

II. CONFLICT OF INTEREST SCREEN

1. Samuel Smedley shall not participate in any decision or vote, or any matter related to such decision or vote, in which Structurepoint has a financial interest.
2. Samuel Smedley should be screened from participating in any present or future contract or other matter involving Structurepoint.
3. Samuel Smedley shall not assist any future employers, including Structurepoint, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which American Structurepoint Inc. has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Structurepoint, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 16th day of April 2021, by:



Samuel Smedley, Project Manager
Indiana Department of Transportation- Crawfordsville District

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Samuel Smedley)

From: Serak, Chris (INDOT)
Sent: Friday, April 16, 2021 9:55 AM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Smedley, Samuel W <SSmedley@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Samuel Smedley)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Samuel Smedley. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
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