

INDIANA
STATE ETHICS COMMISSION

FEB 09 2021

FILED



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55880 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9


In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Seef		Name (first) Erik		Name (middle)	
Name of office or agency Indiana Department of Transportation- Crawfordsville			Job title Area Engineer		
Address of office (number and street) 41 West 300 North			City Crawfordsville		ZIP code 47933
Office telephone number (765) 361-5218		Office e-mail address (required) eseef@indot.in.gov			
Describe the conflict of interest: Erik Seef is an Area Engineer for the Indiana Department of Transportation (INDOT)- Crawfordsville District.					
Erik Seef has entered employment negotiations with HNTB Corporation ("HNTB"). HNTB has a business relationship with INDOT, including active contracts with the Department.					
Erik Seef's employment negotiations with HNTB created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.					
Erik Seef is not subject to the mandatory cooling off period under IC 4-2-6-11(b) with respect to HNTB.					
Erik Seef is subject to the particular matter restriction and will not assist any future employer with a matter he personally and substantially participated in on behalf of INDOT.					
This disclosure was provided to Mr. Seef's appointing authority, Commissioner Joe McGuinness, on February 9, 2021.					
Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).					
Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.					

Describe the screen established by your ethics officer. *(Attach additional pages as needed.)*
 A formal screen was executed with INDOT's ethics officer preventing Erik Seef from doing work with HNTB in his capacity as an INDOT employee. Said screen prohibits Mr. Seef from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving HNTB, or in which HNTB has an interest. Erik Seef is further screened from assisting any future employer, including HNTB, with a matter in which he personally and substantially participated in while employed by INDOT.
 The screen was executed on February 9, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee 	Date signed (month, day, year) February 9, 2021
--	--

Printed full name of state officer, employee or special state appointee
Erik Seef

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer 	Date signed (month, day, year) February 9, 2021
--	--

Printed full name of ethics officer
Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR ERIK SEEF

February 9, 2021

I. RECITALS

WHEREAS, Erik Seef is an Area Engineer for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Erik Seef has accepted a position with HNTB Corporation (“HNTB”); and

WHEREAS, HNTB has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as an Area Engineer for INDOT, it is possible that Erik Seef could be assigned to work with HNTB or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Erik Seef’s employment negotiations with HNTB created a potential decisions and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Erik Seef is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including HNTB, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Erik Seef, an Area Engineer for INDOT, for his remaining tenure with the Department, or until he discontinues employment negotiations with HNTB Corporation, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Erik Seef must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

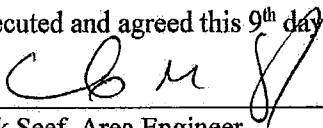
II. CONFLICT OF INTEREST SCREEN

1. Erik Seef shall not participate in any decision or vote, or any matter related to such decision or vote, in which HNTB has a financial interest.
2. Erik Seef should be screened from participating in any present or future contract or other matter involving HNTB.
3. Erik Seef shall not assist any future employers, including HNTB, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which HNTB Corporation has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with HNTB Corporation, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 9th day of February 2021, by:



Erik Seef, Area Engineer
Indiana Department of Transportation

Baker, Nathaniel P

To: Serak, Chris (INDOT)
Subject: RE: Executed COI Disclosure & Screen (Erik Seef)

From: Serak, Chris (INDOT)
Sent: Tuesday, February 9, 2021 3:35 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Seef, Erik <ESEEF@indot.IN.gov>
Subject: Executed COI Disclosure & Screen (Erik Seef)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Erik Seef. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov
Location: *IGCN, 100 N Senate, N758-PQ*

