

INDIANA  
STATE ETHICS COMMISSION

DEC 18 2018

FILED



**ETHICS DISCLOSURE STATEMENT**  
**CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
State Form 5588D (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Schumacher	Name (first) Amy	Name (middle) M.
Name of office or agency The Heritage Group		Job title President
Address of office (number and street) 6320 Intech Way		City Indianapolis
		ZIP code 46278
Office telephone number ( 317 ) 872-6010	Office e-mail address (required) ams@thgrp.com	

Describe the conflict of interest:  
On December 11, 2018, the Indiana Economic Development Corporation ("IEDC") Board of Directors and its Business Development Committee considered an application from Waelz Sustainable Products LLC ("WSP") for the approval of an Industrial Recovery Tax Credit (IRTC) to support its proposed project in East Central Indiana. Ms. Schumacher serves as an IEDC Board member and as a member of the Business Development Committee. WSP is a joint venture that includes Heritage Environmental Services LLC, a subsidiary of The Heritage Group ("THG"). Prior to the meeting, Ms. Schumacher notified the IEDC's General Counsel & Ethics Officer that Heritage Environmental Services LLC is a subsidiary company of THG and that she is the President of THG. Ms. Schumacher, by virtue of her senior leadership position with THG, has a potential financial interest (as defined in Indiana Code section 4-2-6-1(a)(11)) in WSP's application to the IEDC for an IRTC. In the interest of transparency and out of an abundance of caution, the IEDC's General Counsel & Ethics Officer identified this as a potential financial interest requiring an ethical screen and disclosure.

Describe the screen established by your ethics officer. (Attach additional pages as needed.)

As detailed in the Ethics Commission's Advisory Opinion 17-I-2, the IEDC has well-established ethical screening

procedures. Ms. Schumacher's senior leadership position with THG and the company's relationship to Heritage

Environmental Services LLC were logged into the IEDC's Customer Relationship Management (CRM) database, which is

used to identify potential conflicts of interest. Ms. Schumacher was screened from any involvement in the WSP matter.

Ms. Schumacher has not and will not discuss the WSP matter with the IEDC staff or members of the IEDC Board of

Directors, other than to disclose to the IEDC's Ethics Officer the relationship of THG to Heritage Environmental Services

LLC and her senior leadership position with THG.

When the Business Development Committee of the IEDC Board conducted its public meeting on December 11, 2018, the

IEDC's Ethics Officer asked Ms. Schumacher to recuse herself from any discussion, decision, or vote related to the WSP

matter, and Ms. Schumacher was completely screened from the matter. Subsequently, during the public meeting of the

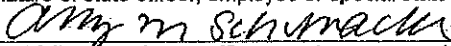
entire IEDC Board, Ms. Schumacher again recused herself and was completely screened from any discussion, decision or

vote related to the WSP matter.

#### AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

12-19-18

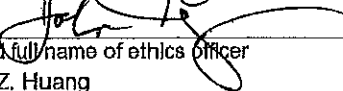
Printed full name of state officer, employee or special state appointee

Amy M. Schumacher

#### FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

12-18-18

Printed full name of ethics officer

John Z. Huang

**Cooper, Jennifer**

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**From:** Huang, John Z  
**Sent:** Tuesday, December 18, 2018 1:01 PM  
**To:** Schellinger, Jim  
**Cc:** Bedel, Elaine; Cotterill, Chris W; Kimmick, Matthew; Gaddis, Kyle (IEDC)  
**Subject:** Notification of Potential Conflict for IEDC Board Member  
**Attachments:** Schumacher Amy 4-2-6-9 Disclosure (Waelz Sustainable Products).pdf

Hi Jim,

Pursuant to 42 IAC 1-5-6 and Indiana Code section 4-2-6-9, attached is the ethics disclosure statement for Amy Schumacher regarding the Waelz Sustainable Products matter. I have filed today with the Inspector General's Office. Please let me know if you have any questions or concerns.

Sincerely,

**John Z. Huang**  
General Counsel and Vice President

Indiana Economic Development Corporation  
1 North Capitol Avenue, Suite 700  
Indianapolis, IN 46204  
t 317.233.4459  
m 317.619.3855

