

INDIANA
STATE ETHICS COMMISSION

JUN 12 2017

FILED



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST - DECISIONS AND VOTING
State Form 55880 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

| | | |
|--|---|---------------------|
| Name (last) Schumacher | Name (first) Amy | Name (middle) M. |
| Name of office or agency The Heritage Group | Job title President | |
| Address of office (number and street) 5400 West 86th Street | City Indianapolis | ZIP code 46268 |
| Office telephone number (317) 223-2644 | Office e-mail address (required) ams@thgrp.com | |

Describe the conflict of interest:
On June 5, 2017, the Indiana Economic Development Corporation (IEDC) Board of Directors considered an Application for Incentives from Dow Chemical Company (Dow) and E.I. du Pont de Nemours and Company (Dupont). Ms. Schumacher is the President of the Heritage Group. Prior to the June 5 IEDC Board meeting, Ms. Schumacher notified the IEDC's General Counsel & Ethics Officer that several subsidiaries of the Heritage Group have business relationships with Dow-related legal entities and Dupont-related legal entities. In the interests of transparency and out of an abundance of caution, the IEDC General Counsel & Ethics Officer identified this as a financial interest requiring an ethical screen and disclosure.

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

As detailed in the Ethics Commission's Advisory Opinion 17-1-2, the IEDC has well-established ethical screening procedures. The relationship of Heritage Group's subsidiaries with Dow-related legal entities and Dupont-related legal entities was logged into the IEDC's Customer Relationship Management (CRM) database, which is used to identify potential conflicts of interest. Ms. Schumacher was screened from any involvement in the Dow Dupont matter. Ms. Schumacher has not discussed the Dow Dupont matter with the IEDC staff or members of the IEDC Board of Directors, other than to disclose the relationship of Heritage Group's subsidiaries with Dow-related legal entities and Dupont-related legal entities to the IEDC's Ethics Officer. When the Business Development Committee of the IEDC Board conducted its public meeting on June 5, 2017, the IEDC's Ethics Officer asked Ms. Schumacher to recuse herself from any discussion, decision, or vote related to the Dow Dupont matter, and Ms. Schumacher was completely screened from the matter. Subsequently, during the public meeting of the entire IEDC Board, Ms. Schumacher again recused herself and was completely screened from any discussion, decision or vote related to the Dow Dupont matter.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Amy M Schumacher

Date signed (month, day, year)

6.11.17

Printed full name of state officer, employee or special state appointee

Amy M. Schumacher

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

John Z. Huang

Date signed (month, day, year)

6/12/17

Printed full name of ethics officer

John Z. Huang

Croft, Celeste

From: Cooper, Jennifer
Sent: Monday, June 12, 2017 3:21 PM
To: Croft, Celeste
Subject: FW: Notification of Potential Conflict for IEDC Board Member
Attachments: A. Schumacher_IC 4-2-6-9_Disclosure (FINAL).pdf

Celeste,

Attached is a new COI:D&V disclosure for logging, please.

Thanks,

Jen Cooper

State Ethics Director
Office of the Inspector General
315 W. Ohio Street, Room 104
Indianapolis, IN 46202
317-234-4108
jcooper@ig.in.gov

From: Huang, John Z
Sent: Monday, June 12, 2017 12:22 PM
To: Schellinger, Jim <JSchellinger@iedc.IN.gov>
Cc: Bedel, Elaine <EBedel@iedc.IN.gov>; Cotterill, Chris W <CCotterill@iedc.IN.gov>
Subject: Notification of Potential Conflict for IEDC Board Member

Hi Jim,

Pursuant to 42 IAC 1-5-6 and Indiana Code section 4-2-6-9, attached is the ethics disclosure statement for Amy Schumacher regarding the Dow Dupont matter, which Chris and I have reviewed. I have filed today with the Inspector General's Office. Please let me know if you have any questions or concerns.

Sincerely,

John Z. Huang
General Counsel and Vice President

Indiana Economic Development Corporation
1 North Capitol Avenue, Suite 700
Indianapolis, IN 46204
t 317.233.4459
m 317.619.3855



