

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

Given the conflict of interest inherent in any involvement in the DFI Board's decision on the pending merger application, I will recuse myself from any discussion and voting on this matter and will not be present at the meeting during the time this pending merger application will be reviewed and the vote for approval/denial of this merger application occurs.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Max X. Schroeder

Date signed (month, day, year)

06/25/19

Printed full name of state officer, employee or special state appointee

MAX X. SCHROEDER

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Nicole Buskill

Date signed (month, day, year)

06/26/19

Printed full name of ethics officer

Nicole Buskill

Buskill, Nicole R

From: Buskill, Nicole R
Sent: Tuesday, June 25, 2019 9:46 AM
To: Fite, Tom
Subject: Voting Conflict Notification

Director Fite, this email serves as notification that Vice-Chairman Mark Schroeder has a conflict of interest with a vote at the DFI's June 28 Members' meeting. Schroeder is the President of German American Bank and they have applied for approval of a merger with another financial institution. Schroeder will abstain from the discussion and vote on the matter. A voting disclosure form will be filed with the Office of the Inspector General. Please let me know if you have any questions.

Nicole Buskill
General Counsel
Indiana Department of Financial Institutions
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