



Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Given the conflict of interest inherent in any involvement in the DFI Board's decision on the pending merger application, I will recuse myself from any discussion and voting on this matter and will not be present at the meeting during the time this pending merger application will be reviewed and the vote for approval/denial of this merger application occurs.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

*Mark A. Schroeder*

Date signed (month, day, year)

August 21, 2018

Printed full name of state officer, employee or special state appointee

Mark A. Schroeder

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

*Nicole R. Buskill*

Date signed (month, day, year)

9/4/18

Printed full name of ethics officer

Nicole R. Buskill

## **Buskill, Nicole R**

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**From:** Buskill, Nicole R  
**Sent:** Thursday, September 6, 2018 10:18 AM  
**To:** Fite, Tom  
**Cc:** Schreiber, Kirk; Schroeder, Mark  
**Subject:** Conflict of Interest  
**Attachments:** Schroeder Disclaimer 2018 (executed).pdf

Tom, I am following up on our previous discussions regarding Mark Schroeder's conflict of interest in the upcoming Members meeting on September 13, 2018, in compliance with IC 4-2-6-9(b) and 42 IAC 1-5-6 relating to conflicts of interest in any decision or vote. We have identified a conflict of interest regarding an upcoming item, a merger application for German American Bank, that will be presented to the Members for a decision. Schroeder sits both as a Member for the DFI and on the Board of Directors for German American Bank. Schroeder drafted and we have filed the attached disclosure form with the Ethics Commission and Schroeder will be screened from the relevant portion of the Members' meeting per the plan in the disclosure. Please let me know if you have any questions. Thank you.

**Nicole Buskill**  
General Counsel  
Indiana Department of Financial Institutions  
Office: 317-232-3955  
[nbuskill@dfi.in.gov](mailto:nbuskill@dfi.in.gov)

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## Croft, Celeste

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**From:** Buskill, Nicole R  
**Sent:** Wednesday, September 05, 2018 2:06 PM  
**To:** IG Info  
**Cc:** Schreiber, Kirk  
**Subject:** DFI Member Disclosure Form  
**Attachments:** Schroeder Disclaimer 2018 (executed).pdf

Attached please find an executed conflict of interest – decisions and voting disclosure statement from one of our Members. Please let me know if you have any questions.

**Nicole Buskill**  
General Counsel  
Indiana Department of Financial Institutions  
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[nbuskill@dfi.in.gov](mailto:nbuskill@dfi.in.gov)

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