



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

INDIANA
STATE ETHICS COMMISSION

JAN 10 2017

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

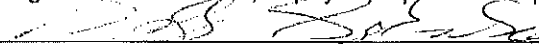
Name (last) Schomacker	Name (first) Geoff	Name (middle)	
Name of office or agency Office of Community and Rural Affairs		Job title Deputy Director	
Address of office (number and street) 1 North Capitol, Suite 600		City Indianapolis	ZIP code 46204
Office telephone number (317) 232-8909	Office e-mail address (required) gschomacker@ocra.in.gov		

Describe the conflict of interest:
In my role as Deputy Director of OCRA, my name is listed in the Notice provision of 2 contracts between OCRA and Ball State University. Based on an informal advisory opinion, I understand that this means that I have administrative responsibility for the contracts, although I have not been the final decision maker or signatory for the contracts. Recently, I was offered the position of project manager for the Indiana Communities Institute at Ball State. While there have been no decisions or votes regarding the 2 Ball State contracts since the offer of employment, I wanted to file this conflict of interest disclosure statement to memorialize that I have consulted with my Ethics Officer about instituting a screen which would block me from having any matter related to a decision or vote while I have a pending offer of employment.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*
 After talking with my Ethics Officer, all communication related to the Ball State contracts - specifically, A159-14-PSC-001RED and A159-16-PSC-204 - will flow around me through 2 mechanisms. First, any periodic progress reports or financial claim vouchers will flow directly to the OCRA Project Manager responsible for the contract. The Project Manager will be responsible for taking action on these items, and if discussion is needed with OCRA senior leadership, it will be done in a way that excludes me. Second, any other communication related to the contracts will flow to the Executive Director. Ball State will be made aware of these requests via written communication from our Executive Director, with a copy to our Ethics Officer and myself, so that I can confirm that they have occurred. Third, my Ethics Officer has instructed that if any matters related to either of the Ball State contracts comes to my attention (conversation, phone, email, etc.), I will immediately redirect to the appropriate party (the Project Managers on claims and progress reports and the Executive Director on all others) in writing, with a copy to the Ethics Officer. Finally, I will not communicate with communities about the Hometown Collaboration Initiative or the Community Readiness Initiative, which are the two programs under the 2 Ball State contracts, so as to avoid any perception that I may be intending to financially benefit Ball State. I have attached a copy of 3 emails from our Executive Director effectuating this screen.

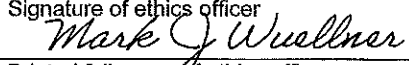
AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee 	Date signed (month, day, year) January 10, 2017
Printed full name of state officer, employee or special state appointee Geoff Schomacker	

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer 	Date signed (month, day, year) January 10, 2017
Printed full name of ethics officer Mark Wuellner	

Wuellner, Mark (LG)

From: Wuellner, Mark (LG)
Sent: Tuesday, January 10, 2017 11:25 AM
To: Konyha, Bill; Barnes, Tracy (LG)
Subject: Geoff Schomacker - COI Disclosure

Hi Bill & Tracy,

Pursuant to 42 IAC 1-5-6(b), I am providing you written notice in your capacities as agency appointing authority of OCRA that Geoff Schomacker provided verbally notice of a conflict of interest with regard to an offer of employment from Ball State University. The screening mechanism which we have developed and you have instituted stays in place.

Best,
Mark



Mark Wuellner
Deputy Chief of Staff
Office of Indiana Lt. Governor Eric Holcomb
Office: 317-232-8831
Email: mwuellner@lg.in.gov



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Wuellner, Mark (LG)

From: Konyha, Bill
Sent: Monday, January 09, 2017 3:50 PM
To: David Terrell
Cc: Wuellner, Mark (LG)
Subject: NEW-LETTERHEAD Konyha
Attachments: NEW-LETTERHEAD Konyha.docx

Categories: OCRA

David,

Please let me know if you have any questions regarding this communication.

Bill Konyha



To: David Terrell
Indiana Communities Institute, Ball State University
Fr: Bill Konyha, Executive Director, Indiana Office of Community and Rural Affairs
Da: January 9, 2017
Re: Geoff Schomacker Employment Offer

Dear David,

While Geoff Schomacker has a pending offer of employment from Ball State, we want to ensure that Geoff is excluded from all matters related to the 2 OCRA – Ball State Contracts to avoid any ethical issues.

Therefore, please direct:

- 1) Any progress reports or financial claim vouchers due to Matt Crouch, Senior Project Manager at mcrouch@ocra.in.gov
- 2) Any other communication related to the contracts to the undersigned at bkonyha@ocra.in.gov

If Geoff happens to receive any communication from you, he is instructed to route it directly to me to handle, so that we may screen him from any Ball State matters.

Thank you for your willingness to ensure we continue to operate in the most transparent way possible. Please let me know if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "W. Konyha", written over a light blue horizontal line.

William S. Konyha
Executive Director

Wuellner, Mark (LG)

From: Konyha, Bill
Sent: Monday, January 09, 2017 3:56 PM
To: Schomacker, Geoff
Cc: Wuellner, Mark (LG)
Subject: Ball State
Attachments: NEW-LETTERHEAD Konyha.docx

Categories: OCRA

Geoff,

Attached is a copy of the email that I sent to David Terrell of Ball State University's Indiana Communities Institute on Jan. 9, 2017.

By way of confirmation; if you happen to receive any communication from Ball State or any OCRA staff on either of the two OCRA – BSU contracts, please route it to me to handle, so that we may maintain the screen from any Ball State matters. Please cc Mark Wuellner as our ethics officer.

Further, if any matters related to Ball State rise to a senior staff level, I will request that you leave the room prior to the remainder of our senior staff discussing it. This is also to maintain the screen.

Please let me know if you have any questions.

Best,

Bill Konyha



To: David Terrell
Indiana Communities Institute, Ball State University
Fr: Bill Konyha, Executive Director, Indiana Office of Community and Rural Affairs
Da: January 9, 2017
Re: Geoff Schomacker Employment Offer

Dear David,

While Geoff Schomacker has a pending offer of employment from Ball State, we want to ensure that Geoff is excluded from all matters related to the 2 OCRA – Ball State Contracts to avoid any ethical issues.

Therefore, please direct:

- 1) Any progress reports or financial claim vouchers due to Matt Crouch, Senior Project Manager at mcrouch@ocra.in.gov
- 2) Any other communication related to the contracts to the undersigned at bkonyha@ocra.in.gov

If Geoff happens to receive any communication from you, he is instructed to route it directly to me to handle, so that we may screen him from any Ball State matters.

Thank you for your willingness to ensure we continue to operate in the most transparent way possible. Please let me know if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "W. Konyha", is written over a light blue horizontal line.

William S. Konyha
Executive Director

Wuellner, Mark (LG)

From: Konyha, Bill
Sent: Monday, January 09, 2017 4:01 PM
To: Crouch, Matthew
Cc: Wuellner, Mark (LG)
Subject: Ball State University
Attachments: NEW-LETTERHEAD Konyha.docx

Categories: OCRA

Matt,

While Geoff Schomacker has a pending offer of employment from Ball State, we want to ensure that Geoff is excluded from all matters related to the two OCRA-Ball State contracts to avoid any ethical issues.

Therefore, we asked that Ball State direct all progress reports and financial claims to you. If you have any questions about these matters, please keep Geoff out of the loop and direct them to me. If Senior staff needs to discuss Ball State we will request that Geoff leave the room before doing so.

Please let me know if you have any questions.

Best,

Bill Konyha



To: David Terrell
Indiana Communities Institute, Ball State University
Fr: Bill Konyha, Executive Director, Indiana Office of Community and Rural Affairs
Da: January 9, 2017
Re: Geoff Schomacker Employment Offer

Dear David,

While Geoff Schomacker has a pending offer of employment from Ball State, we want to ensure that Geoff is excluded from all matters related to the 2 OCRA – Ball State Contracts to avoid any ethical issues.

Therefore, please direct:

- 1) Any progress reports or financial claim vouchers due to Matt Crouch, Senior Project Manager at mcrouch@ocra.in.gov
- 2) Any other communication related to the contracts to the undersigned at bkonyha@ocra.in.gov

If Geoff happens to receive any communication from you, he is instructed to route it directly to me to handle, so that we may screen him from any Ball State matters.

Thank you for your willingness to ensure we continue to operate in the most transparent way possible. Please let me know if you have any questions.

Sincerely,

William S. Konyha
Executive Director