



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**

State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

INDIANA
STATE ETHICS COMMISSION

MAR 17 2021

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name <i>(last)</i> Rohr	Name <i>(first)</i> Kimberly	Name <i>(middle)</i>	
Name of office or agency Indiana Department of Environmental Management		Job title Senior Environmental Manager 1	
Address of office <i>(number and street)</i> 100 N. Senate Ave.		City Indianapolis	ZIP code 46204
Office telephone number (317) 719-1666	Office e-mail address <i>(required)</i> krohr@idem.in.gov		

Describe the conflict of interest:

I currently work in the wastewater inspections section of the Office of Water Quality. I am applying for a position at Asphalt Materials as an HSE Compliance Specialist. I would be applying for permits, ensuring compliance and submitting reports. I have never inspected Asphalt Materials. However, Asphalt Materials is a subsidiary of the Heritage Group. I have never inspected the Heritage Group. I have inspected another subsidiary of the Heritage Group, US Aggregates.

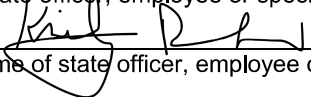
Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

See attached.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed *(month, day, year)*

03/11/2021

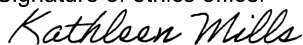
Printed full name of state officer, employee or special state appointee

Kimberly Rohr

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed *(month, day, year)*

3/10/2021

Printed full name of ethics officer

Kathleen Mills

**Protocol to Screen
IDEM Employee Kim Rohr
From any matter regarding Asphalt Materials, Inc.,
The Heritage Group and US Aggregates**

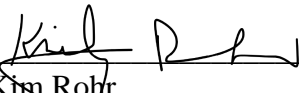
Ms. Rohr is a Senior Environmental Manager within the Office of Water Quality (“OWQ”) at the Indiana Department of Environmental Management (“IDEM”). Her duties in the position include sewer ban coordinator, lab and operation assistance and wastewater facility inspector. As sewer ban coordinator, she analyzes flows of municipal wastewater treatment plants to ensure the facilities are not operating the plant above what it is designed to treat. She inspects wastewater treatment facilities for compliance with their NPDES permits and provides lab training and trouble shooting of operational issues at small treatment plants.

Ms. Rohr has recently applied for a position of HSE (“Health Safety Environmental) Compliance Specialist with Asphalt Materials. The responsibilities of the prospective position include updating the Facility Response Plan (“FRP”), the Spill Prevention and Countermeasures plan (“SPCC”) and the Stormwater Pollution Prevention Plan (“SWPPP”); conducting incident investigations and analyses; supporting environmental compliance initiatives and assisting in various projects when assigned.

Asphalt Materials is a subsidiary of The Heritage Group. Ms. Rohr, during the course of her IDEM tenure, has not had any dealings with The Heritage Group or Asphalt Materials. However, she did perform an inspection of another Heritage Group subsidiary, US Aggregates. Therefore, this screening protocol will include all three companies.

1. Office of Water Quality (“OWQ”) Wastewater Inspections and Enforcement Section Chief, Samantha Groce, is Ms. Rohr’s immediate supervisor. SC Groce will not assign to Ms. Rohr any current or future matter that may arise involving Asphalt Materials Inc., The Heritage Group, or US Aggregates (hereinafter referred to collectively as the companies).
2. Ms. Rohr shall recuse herself from the portion of any meeting in which discussions specific to the companies are discussed or are expected to be discussed.
3. Ms. Rohr shall not discuss any matter involving the companies with any IDEM employee.
4. SC Groce will notify the employees in Ms. Rohr’s section, in writing, that they are not to discuss any matter involving the companies with Ms. Rohr or send her any emails concerning the companies.
5. Ms. Rohr shall not access any correspondence or files relating to or involving the companies.


6. Ms. Rohr shall not participate in decisions regarding or relating to the companies.
7. Ms. Rohr shall immediately inform her supervisor and the IDEM Chief Ethics Officer if any provision of this screening protocol is violated.
8. Ms. Rohr shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.
9. All signatories to this protocol shall review it within thirty(30) days from the date of the last signature to assure it has been followed and determine if the circumstances have changed to make the protocol no longer necessary.



Kim Rohr
Senior Environmental Manager 1
Wastewater Inspections Section

03/11/2021


Date



Samantha Groce
Section Chief
Wastewater Inspections and Enforcement Section

3/11/2021

Date



Kathleen Mills
Chief Ethics Officer
Office of Legal Counsel

3/11/2021

Date

Rohr, Kimberly

From: PIGOTT, BRUNO
Sent: Thursday, March 11, 2021 12:05 PM
To: Rohr, Kimberly
Cc: MILLS, KATHLEEN
Subject: Re: Ethics disclosure

Thanks for letting me know Kim

Sent from my iPad

On Mar 11, 2021, at 11:36 AM, Rohr, Kimberly <KRohr@idem.in.gov> wrote:

Bruno,

To comply with IAC 4-2-6-9, I am letting you know I have applied for a position with Asphalt Materials as the HSE Compliance Specialist. I have been in touch with Kathy Mills and we have a screening protocol prepared. Please let me know if you need any other information.

Thank you.

<image001.jpg>

Kim Rohr

Sewer Ban Coordinator, Lab/Operator Assistance,
Wastewater Facility Inspector

<image002.jpg>

*Celebrating Thirty-Five
Years of Protecting
Hoosiers and Our
Environment*

(317) 719-1666 • krohr@idem.in.gov

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IDOH COVID-19 Call Center

Call (877) 826-0011 (open 24/7)

www.coronavirus.in.gov