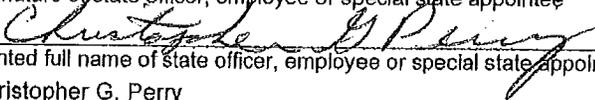


Describe the screen established by your ethics officer: (Attach additional pages as needed.)
See attached screening procedures

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



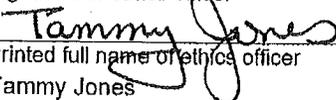
Date signed (month, day, year)
11/04/2015

Printed full name of state officer, employee or special state appointee
Christopher G. Perry

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)
11/04/2015

Printed full name of ethics officer
Tammy Jones

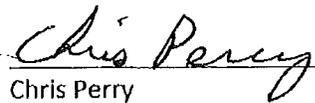
Procedures to ensure compliance with 42 IAC 1-5-6 and IC 4-2-6-9: Conflicts of interest: decisions and voting:

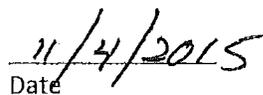
Re: Chris Perry and Danny Perry, Chris' son

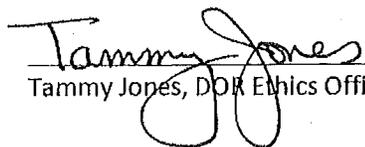
Chris Perry, Deputy Director over the Indianapolis field audit operation, will not participate in any decision or vote if he, or the DOR management, have knowledge that Danny Perry, while employed by a tax services provider to Indiana entities (individuals and businesses – both for-profit and not-for-profit) – currently McGladrey LLP (McGladrey), has a financial interest in the outcome of the matter (i.e., assigned to provide advisory, preparation or review services to an Indiana entity). To ensure compliance with the intent of this statement:

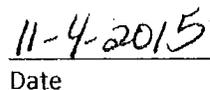
1. Inform all Indianapolis DOR enforcement employees of the potential perceived conflict of interest and ask them to remain alert of taxpayers represented by McGladrey. Any identified conflicts are to be reported via email to the Deputy Commissioner of Enforcement and all Enforcement deputy directors. We will discern whether or not Danny Perry has any direct involvement with the taxpayer.
2. Chris Perry is to report any knowledge of additional perceived conflicts involving Danny Perry's direct involvement on a taxpayer undergoing a DOR audit under Chris' oversight to the Deputy Commissioner of Enforcement and all Enforcement deputy directors.
3. McGladrey LLP (while Danny is employed by them) have agreed to report any direct involvement Danny has with an Indiana taxpayer. Any such disclosures will be appropriately disseminated.
4. Notify the Indianapolis audit staff of all known potential conflicts, instructing them to direct all technical questions involving decisions whether to assess, or not assess, refund, or not refund, a taxpayer to the team leader. If the team leader is unavailable or unsure of the appropriate direction, the issue should be brought to Mandi Shawarira. In Mandi's absence, any other deputy director, may be consulted. Chris will continue to provide administrative oversight for all Indianapolis audits.
5. If the issue requires elevation to Enforcement's executive technical review team, Chris will need to excused from the discussion and related decision of the team.

Any decision to diverge from these procedures will be brought to DOR's Ethics Advisor for approval.


Chris Perry


Date


Tammy Jones, DOR Ethics Officer


Date

Date: November 4, 2015

To: Tammy Jones, Indiana Dept of Revenue, Dir. Taxpayer Advocacy, Ethics Officer
Patrick Price, Indiana Dept of Revenue, Dep. Commissioner-Special Counsel
Ronald Broughton, Indiana Dept of Revenue, Dep. Commissioner-Enforcement

From: Christopher G. Perry, Indiana Dept of Revenue, Dep. Director-Enforcement

Re: Conflict of Interest

In accordance with IC 4-2-6-9, I intend to file a disclosure with the State Ethics Commission with regard to a conflict of interest.

Specifically, my son, Daniel J. Perry, is a recent hire of the accounting firm of McGladrey LLP that provides tax services to Indiana taxpayers. Various employees of McGladrey represent their clients in the course of an audit by the DOR, which may or may not include Daniel. Since his employment, the DOR and I established an informal policy under which I would not participate, in any manner, regarding any audits conducted by the DOR in which Daniel has a financial interest in the outcome of the matter. The DOR and I have adhered to that policy since Daniel's employment at McGladrey LLP and I will formally establish that policy by filing the "Ethics Disclosure Statement Conflicts of Interest – Decisions and Voting" form pursuant to guidance received from DOR counsel.

Thank you,

Christopher G. Perry
Deputy Director-Enforcement
Indiana Dept of Revenue
100 N. Senate Ave, IGCN 241
Indianapolis, IN 46204
317.234-5518
cperry@dor.in.gov

Date: November 18, 2015

To: Commissioner Andrew Kossack, Indiana Department of Revenue
cc: Tammy Jones, Indiana Dept of Revenue, Dir. Taxpayer Advocacy, Ethics Officer
cc: Patrick Price, Indiana Dept of Revenue, Dep. Commissioner-Special Counsel
cc: Ronald Broughton, Indiana Dept of Revenue, Dep. Commissioner-Enforcement

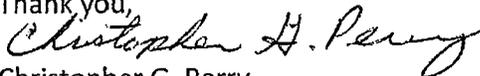
From: Christopher G. Perry, Indiana Dept of Revenue, Dep. Director-Enforcement

Re: Conflict of Interest

This is a follow-up to our previous discussion and a formal notification that I filed an Ethics Disclosure Statement Conflict of Interest on November 4th, 2015 in accordance with IC 4-2-6-9.

Specifically, my son, Daniel J. Perry, is a recent hire of the accounting firm of McGladrey LLP that provides tax services to Indiana taxpayers. Various employees of McGladrey represent their clients in the course of an audit by the DOR, which may or may not include Daniel. Since his employment, the DOR and I established an informal policy under which I would not participate, in any manner, regarding any audits conducted by the DOR in which Daniel has a financial interest in the outcome of the matter. The DOR and I have adhered to that policy since Daniel's employment at McGladrey LLP.

Thank you,



Christopher G. Perry
Deputy Director-Enforcement
Indiana Dept of Revenue
100 N. Senate Ave, IGCN 241
Indianapolis, IN 46204
317.234-5518
cperry@dor.in.gov