



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**

State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

INDIANA
STATE ETHICS COMMISSION

AUG 14 2018

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Pace	Name (first) Brian	Name (middle) Andrew
Name of office or agency IDEM	Job title Senior Environmental Manager	
Address of office (number and street) 100 North Senate Avenue	City Indianapolis	ZIP code 46204-2251
Office telephone number (317) 233-6658	Office e-mail address (required) bpace@idem.in.gov	
Describe the conflict of interest:		
<p>Mr. Pace is a Senior Environmental Manager with the Geology Permits section within the Office of Land Quality at IDEM. Mr. Pace has applied for positions at Duke Energy. While Mr. Pace does not have any project with Duke, IDEM does provide regulatory oversight of Duke</p>		
<p>Kathy Mills, the IDEM Ethics Officer and Commissioner Pigott have both been notified, and a screening profile has been implemented.</p>		

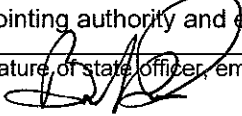
Describe the screen established by your ethics officer: (Attach additional pages as needed.)

See attached.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

8/13/18

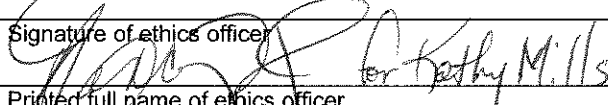
Printed full name of state officer, employee or special state appointee

Brian A. Pace

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

8/13/18

Printed full name of ethics officer

Kathleen Mills

**Protocol
to Screen IDEM Employee Brian Pace
from Any Matters Regarding Duke Energy**

Brian Pace is a Senior Environmental Manager within the Geology Section of the Permits Branch in the Office of Land Quality (“OLQ”) at the Indiana Department of Environmental Management (“IDEM”).

He has applied for multiple positions as a project manager with Duke Energy (“Duke”). Duke is an energy holding company headquartered in North Carolina and operating within Indiana. It holds multiple permits from IDEM.

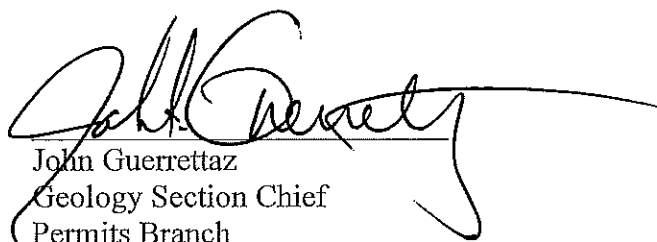
IDEM, to date, has not assigned Mr. Pace to any matter involving Duke. However, because Duke is a regulated entity, IDEM has instituted the following protocol to screen Mr. Pace from any potential conflict of interest concerning Duke.

1. Geology Section Chief John Guerrettaz is Mr. Pace’s immediate supervisor. He will not assign any current or future matters that may arise involving Duke to Mr. Pace.
2. Mr. Pace shall recuse himself from the portion of any meeting in which discussions specific to Duke or any of its interests are discussed or are expected to be discussed.
3. Mr. Pace shall not discuss any matter involving Duke with any IDEM employee.
4. Mr. Guerrettaz will notify the employees in the geology section in writing that they are not to discuss any matter involving Duke with Mr. Pace or send him any emails concerning the corporation.
5. Mr. Pace shall not access any correspondence or files relating to or involving Duke. Such documents, if not a matter of public record in IDEM’s Virtual File Cabinet, shall be housed in the office of the employee assigned to the matter or kept where Mr. Pace does not have access to alter the documents.
6. Mr. Pace shall not participate in decisions regarding or relating to Duke.
7. Mr. Pace shall immediately inform his supervisor and IDEM Ethics Officer Kathleen Mills if any provision of this screening protocol is violated.
8. Mr. Pace shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.
9. All signatories to this screening protocol shall review it within sixty (60) days from the date of the last signature to assure it has been followed and determine if the circumstances have changed to make the protocol no longer necessary.



Brian Pace
Senior Environmental Manager
Geology Section
Permits Branch
Office of Land Quality
IDEM

8/13/18
Date



John Guerrettaz
Geology Section Chief
Permits Branch
Office of Land Quality
IDEM

8/13/2018
Date



Kathleen Mills
Ethics Officer
Office of Legal Counsel
IDEM

8/10/18
Date

Pace, Brian A

From: Pace, Brian A
Sent: Wednesday, August 08, 2018 3:01 PM
To: PIGOTT, BRUNO
Cc: MILLS, KATHLEEN; B Pace
Subject: Notification of Appointing Authority

Commissioner Pigott,
in accordance with IC 4-2-6-9(b), I am informing you that I have submitted an application for employment with an energy provider for which IDEM has oversight. I have informed the IDEM ethics officer, Ms. Kathy Mills, and included her on this email.

Thank you,



Brian Pace
Geology Section | Permits Branch | Office of Land Quality
Indiana Department of Environmental Management

(317) 234-6645 | 233-6658 bpace@idem.IN.gov

