

APR 1 2021

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**ETHICS DISCLOSURE STATEMENT  
CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
State Form 55860 (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Olson	Name (first) Katie	Name (middle)
Name of office or agency Indiana Department of Transportation- Greenfield District		Job title Utility and Railroad Coordinator
Address of office (number and street) 32 S Broadway St		City Greenfield
		ZIP code 46140
Office telephone number ( 317 ) 467-3421	Office e-mail address (required) KaOlson@Indot.IN.gov	
Describe the conflict of interest: Katie Olson is a Utility and Railroad Coordinator for the Indiana Department of Transportation (INDOT)- Greenfield District. Katie Olson has entered employment negotiations with HWC Engineering ("HWC"). HWC has a business relationship with INDOT, including active contracts with the Department. Katie Olson's employment negotiations with HWC created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict. Katie Olson is not subject to the mandatory cooling off period (IC 4-2-6-11(b)) with regard to HWC Engineering. Katie Olson is subject to the particular matter restriction and will not assist any future employer with a matter she personally and substantially participated in on behalf of INDOT. This disclosure was provided to Katie Olson's appointing authority, Commissioner Joe McGuinness, on April 1, 2021. Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D). Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.		

Describe the screen established by your ethics officer. (Attach additional pages as needed.)

A formal screen was executed with INDOT's ethics officer preventing Katie Olson from doing work with HWC Engineering in her capacity as an INDOT employee. Said screen prohibits Ms. Olson from under taking any of her official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving HWC, or in which HWC has an interest. Katie Olson is further screened from assisting any future employer, including HWC, with a matter in which she personally and substantially participated in while employed by INDOT.

The screen was executed on April 1 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

#### AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

*Katie Olson*

Date signed (month, day, year)

April 1, 2021

Printed full name of state officer, employee or special state appointee

Katie Olson

#### FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

*Christopher B. Sprak*  
Printed full name of ethics officer  
Christopher B. Sprak

Date signed (month, day, year)

April 1, 2021

**CONFLICT OF INTEREST SCREEN FOR KATIE OLSON**

April 1, 2021

**I. RECITALS**

WHEREAS, Katie Olson is a Utility and Railroad Coordinator for the Indiana Department of Transportation-Greenfield District ("INDOT" or "Department"); and

WHEREAS, Katie Olson has accepted a position with HWC Engineering ("HWC"); and

WHEREAS, HWC has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Utility and Railroad Coordinator for INDOT, it is possible that Katie Olson could be assigned to work with HWC or to participate in matters involving the company as part of her regular duties; and

WHEREAS, Katie Olson's employment negotiations with HWC created a potential decision and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Katie Olson is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including HWC, with any matter she personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Katie Olson, a Utility and Railroad Coordinator, for her remaining tenure with the Department, or until she discontinues employment negotiations with HWC Engineering, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Katie Olson must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

**II. CONFLICT OF INTEREST SCREEN**

1. Katie Olson shall not participate in any decision or vote, or any matter related to such decision or vote, in which HWC has a financial interest.
2. Katie Olson should be screened from participating in any present or future contract or other matter involving HWC.
3. Katie Olson shall not assist any future employers, including HWC, with any matter she personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which HWC Engineering has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with HWC Engineering, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 1<sup>st</sup> day of April 2021, by:

Katie Olson

Katie Olson, Utility and Railroad Coordinator  
Indiana Department of Transportation- Greenfield District

**Baker, Nathaniel P**

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**To:** Serak, Chris (INDOT)  
**Subject:** RE: Executed COI Disclosure & Screen (Katie Olson)

**From:** Serak, Chris (INDOT)  
**Sent:** Thursday, April 1, 2021 11:25 AM  
**To:** McGuinness, Joe <[JMcGuinness@indot.IN.gov](mailto:JMcGuinness@indot.IN.gov)>  
**Cc:** Kennedy, Heather <[HKennedy@indot.IN.gov](mailto:HKennedy@indot.IN.gov)>; Olson, Katie <[KaOlson@indot.IN.gov](mailto:KaOlson@indot.IN.gov)>  
**Subject:** Executed COI Disclosure & Screen (Katie Olson)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Katie Olson. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

**Christopher B. Serak,**  
*Prequalification Director*  
*Ethics Officer*  
*Prequalification Committee (Chairman)*  
*Selection Review Committee (Member)*  
**Indiana Department of Transportation**  
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