



INDIANA
STATE ETHICS COMMISSION
CONFLICTS OF INTEREST - CONTRACTS
ETHICS DISCLOSURE STATEMENT JUL 14 2016
 State Form 53345 (R2 / 6-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-10.5

FILED

Mail to:
 OFFICE OF INSPECTOR GENERAL
 315 West Ohio Street, Room 104
 Indianapolis, IN 46202
 Telephone: (317) 232-3850
 E-mail scanned copy to: info@ig.in.gov

Check if you are making a correction to a previously filed statement.

A state officer, employee, or special state appointee may not knowingly have a financial interest in a contract made by an agency. The term financial interest is defined in IC 4-2-6-1. This prohibition, however, does not apply to an officer, employee, or special state appointee who (1) does not participate in or have contracting responsibility for the contracting agency and (2) meets the criteria in IC 4-2-6-10.5(b)(2) and (c)(1)-(5). One criterion is that the officer, employee, or special state appointee must file a written statement with the Inspector General before the officer, employee, or special state appointee executes the contract with the state agency.

The foregoing consists only of excerpts from IC 4-2-6-10.5. Care should be taken to review IC 4-2-6-10.5 in its entirety to ensure compliance with all criteria set forth in the statute. This disclosure will be posted on the Inspector General's website.

PART 1 - GENERAL INFORMATION

Last name Newlin	First name John	Middle initial S
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Address of office (number and street, city, state, and ZIP code)
 601 Ohio St., Terre Haute, IN 47807

Title or position within agency Board Member	Name of agency Indiana Real Estate Appraiser Licensure and Certification Board
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PART 2 - CONTRACT

List the name for each entity (i.e. vendor, contractor, consultant, subcontractor, or subconsultant) in which you have a financial interest that has a contract with a state agency. Also, list the name of the state agency the entity is contracting with (use a different form for each contract).

Business name of entity Indiana Department of Transportation	Name of entity contact person (first name and last name) John Reller
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This contract was (check one):

made after public notice and, if applicable, through competitive bidding; or

not subject to notice and bidding requirements

If the contract was not subject to notice and bidding requirements, please provide the basis for that conclusion here.

Because the Indiana Department of Transportation does not have enough employees that are licensed real estate appraisers the department enters into multi assignment contracts with licensed real estate appraisers. The appraisers receiving contracts are chosen based on an evaluation performed by several members of the INDOT land acquisition staff. The fees are determined by use of a preset table. Assignments are made using purchase orders.

Description(s) of Contract(s): (Describe the type of contract involved and the effective date and term of the contract if reasonably determinable.)

EDS # A249-15-320214 was entered into on Sept. 17, 2014 and renewed on May 14, 2016 until Sept. 17, 2018. This is for On Call Right of Way Appraising Services on an as needed basis. The maximum amount of the original contract was \$150,000. The renewal does not state a maximum but I assume it is \$150,000.

Description of the Financial Interest: (Describe in what manner the state officer, employee, or special state appointee expects to derive a financial interest from or otherwise has a pecuniary interest in, the above contract. State the approximate dollar value of the interest if reasonably determinable. Attach extra pages if additional space is needed.)

The total amount of appraised review services is \$150,000. The assignments are made using purchase orders.

ONLY COMPLETE PART 3 IF CONTRACT IS FOR PROFESSIONAL SERVICES

PART 3 - AGENCY CERTIFICATION

Approval of appointing authority

Being the Commissioner of INDOT
(Title of Appointing Authority) (Name of Contracting Agency)

I hereby affirm that no other state officer, employee, or special state appointee of INDOT
(Name of the Contracting Agency)

is available to perform those services as part of the regular duties of the state officer, employee, or special state appointee.

Signature of Appointing Authority Brandye Hendrickson Date signed (month, day, year) 7/11/16

Name of Appointing Authority Brandye Hendrickson

PART 4 - AFFIRMATION

I submit this statement to the Inspector General pursuant to 42 IAC 1-5-7 (IC 4-2-6-10.5) to disclose my financial interest in a contract with an agency. This contract can be performed without compromising the performance of my official duties and responsibilities as a state officer, employee or special state appointee. I affirm that I do not participate in or have contracting responsibility for the contracting agency. I further affirm that the contract was made after public notice or competitive bidding, if applicable. I also affirm, under penalty of perjury, the truth and completeness of the statements made above and that I am the above named state officer, employee, or special state appointee.

Signature James S. Newlin Date signed (month, day, year) 6/8/2016

ATTACHMENT A TO AGENCY CERTIFICATION

For John S. Newlin

This Attachment A is made an essential part of the PART 3 – AGENCY CERTIFICATION (the “Agency Certification”) of Brandye Hendrickson, the Commissioner and Appointing Authority of the Indiana Department of Transportation (the “Appointing Authority”), with respect to the “Conflicts of Interest-Contracts Ethics Disclosure Statement” (the “Statement”) affirmed by General by John S. Newlin dated June 8, 2016.

The contract covered by the Statement and the Agency Certification is an on-call contract, in which particular appraisal assignments are made as needed from time to time. The affirmation of the Appointing Authority in the Agency Certification is clarified to mean that no other state officer, employee, or special state appointee of the Indiana Department of Transportation is or was available to perform the particular appraisal assignments at the time of the assignments under this on-call contract as part of the regular duties of the state officer, employee, or special state appointee.

Brandye Hendrickson 7/11, 2016
Signature of Appointing Authority