

Describe the screen established by your ethics officer. (Attach additional pages as needed.)

A formal screen was executed with INDOT's ethics officer preventing Charles Moffatt from participating in any decision or vote, or matter related to such decision or vote, involving Cultural Resource Analyst, Inc. ("CRA"), or in which the firm has a financial interest. The only exception to the screen is that Mr. Moffatt may review CRA's work on INDOT project Des No. 1592476. Mr. Moffatt will review CRA's work on this project under the close direction of his supervisor.

The screen was executed on February 26, 2019, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure on the same date.

INDIANA
STATE ETHICS COMMISSION

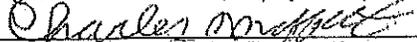
FEB 26 2019

FILED

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

2-26-19

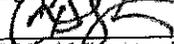
Printed full name of state officer, employee or special state appointee

Charles Moffatt

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

2/26/19

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR CHARLES MOFFATT

February 26, 2019

I. RECITALS

WHEREAS, Charles Moffatt is an Archaeologist - Environmental Manager 2 for the Indiana Department of Transportation (INDOT); and

WHEREAS, Charles Moffatt has recently entered employment negotiations with Cultural Resource Analyst, Inc. ("CRA"); and

WHEREAS, CRA has a business relationship with INDOT and active contracts with the Department; and

WHEREAS, as an Archaeologist, Charles Moffatt has reviewed and helped supervise CRA's work on several INDOT projects; and

WHEREAS, Charles Moffatt could be assigned additional such duties on future CRA contracts in his position with INDOT; and

WHEREAS, the employment negotiations commenced between CRA and Charles Moffatt creates a potential decisions and voting conflict under IC 4-2-6-9, requiring a formal screen to prevent the employee from working with CRA on future contracts in his capacity with INDOT; and

WHEREAS, the effective administration of INDOT project Des No 1592476 requires Charles Moffatt to complete his duties with regard to the review CRA deliverables related to such project.

NOW THEREFORE, Charles Moffatt, Archaeologist - Environmental Manager 2 for INDOT, until the termination of employment negotiations with Cultural Resource Analyst, Inc. ("CRA"), agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen.

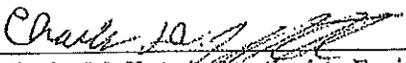
II. CONFLICT OF INTEREST SCREEN

1. Except with regard to Des No 1592476, Charles Moffatt shall not participate in any decision or vote, or any matter related to such decision or vote, in which CRA has a financial interest.
2. Except with regard to Des No 1592476, Charles Moffatt should be screened from participating in any present or future agreement between INDOT and CRA.
3. Subject to the close direction of his supervisor, Charles Moffatt may review CRA's work on INDOT project Des No 1592476 and complete such other tasks as required to satisfy his job duties with regard to that project.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Cultural Resource Analyst, Inc. ("CRA") has a financial interest. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor. I understand that this screen shall be effective until the termination of my employment negotiations with the subject firms.

Executed and agreed this 26th day of February, 2019, by:



Charles Moffatt, Archaeologist - Environmental Manager 2
Indiana Department of Transportation

INDIANA
STATE ETHICS COMMISSION

FEB 26 2019

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Brewer, Dale L

FEB 26 2019

From: Cooper, Jennifer
Sent: Tuesday, February 26, 2019 11:19 AM
To: Brewer, Dale L
Subject: FW: Executed Conflict Disclosure and Internal Screen (INDOT- C. Moffatt)
Attachments: Executed CI Disclosure- Moffatt.pdf; Executed CI Screen (Moffatt).pdf

FILED

Hi Dale,

Here is a new COI:D&V disclosure that was sent to me today for filing.

Thanks!
Jen

Jen Cooper | State Ethics Director
Indiana Office of Inspector General/
State Ethics Commission
315 W. Ohio Street, Room 104
Indianapolis, IN 46202
Tel: 317.234.4108
Email: jcooper@ig.in.gov
Web: www.in.gov/ig

From: Serak, Chris (INDOT)
Sent: Tuesday, February 26, 2019 11:11 AM
To: Cooper, Jennifer <JCooper@ig.IN.gov>
Cc: Grand, Alison <AGrand@indot.in.gov>; Moffatt, Charles D <CMoffatt@indot.IN.gov>
Subject: Executed Conflict Disclosure and Internal Screen (INDOT- C. Moffatt)

Jen-

In accordance with Ind. Code 4-2-6-9(b)(2), please find the attached conflict disclosure executed by INDOT employee Mr. Charles Moffatt. The same was provided to the employee's appointing authority, INDOT Commissioner Mr. Joe McGuinness. Said disclosure is included below as part of this email.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Chairman, Prequalification Committee
Member, Selection Review Committee
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