

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

Area with horizontal dashed lines for describing the screen established by the ethics officer.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed (month, day, year)

Debra J. McNeil

6-6-16

Printed full name of state officer, employee or special state appointee

Debra J. McNeil

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed (month, day, year)

Holly Williams

6-6-16

Printed full name of ethics officer

Holly Williams

Williams, Holly

From: Williams, Holly
Sent: Wednesday, June 01, 2016 12:15 PM
To: Robertson, Stephen W.; Webber, Doug; Korty, Tina; Donovan, Cindy; Foy, Bettye; Lohman, Barbara; Kixmiller, Kate; Bower, Terry; Holleman, Phil; Evans, Randall; Rose, David; Ninealtevogt, Cathleen; Handsborough, Jonathan; Herndon, Mike; Gray, Kimberly; Lotter, Nicole; Cowling, Constance; Nowak, Stacy; Ankney, Ronda; Dain, Calla; Higgins, Melissa; Clark, Greg
Cc: McNeil, Debra; Williams, Holly
Subject: Ethical Screen

All:

I am writing as IDOI's Ethics Officer to make you aware of an ethical screen that has recently been established. Debra McNeil has been contacted by a representative of CNO Financial Group ("CNO") regarding a position. CNO is an insurance company regulated by the IDOI. Therefore, to allow Debra to pursue this potential employment opportunity if she so chooses, she must be screened completely from any contact with CNO as well as Bankers Life, Colonial Penn Life, Washington National, and Conseco Insurance Companies (companies within the CNO Financial Group). Please refrain from discussing matters involving CNO or CNO Financial Group's companies with Debra. Going forward, any matters involving these companies which require Enforcement involvement should be addressed to Holly Williams.

This ethical screen is required to be established by IC 4-2-6-9, and will remain in place until I notify you otherwise. If you have any questions, please contact me.

Holly A. Williams, MCM, APIR
Attorney, Market Conduct
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