

INDIANA
STATE ETHICS COMMISSION

MAR 08 2019



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
State Form 55869 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Martin	Name (first) Ken	Name (middle)
Name of office or agency Indiana Department of Transportation		Job title Senior Project Manager
Address of office (number and street) 7701 E Melton Road		City Gary
		ZIP code 46403
Office telephone number (219) 938-2018	Office e-mail address (required) kemartin@indot.IN.gov	

Describe the conflict of interest:
Ken Martin is a Senior Project Manager for the LaPorte District of the Indiana Department of Transportation (INDOT).
Mr. Martin was recently approached by American Structurepoint, Inc. ("Structurepoint") regarding employment opportunities with the firm.
Structurepoint has a business relationship with INDOT and Mr. Martin has worked with the company in his role with the Department.
As such, Mr. Martin's employment negotiations resulted in a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.
Mr. Martin is not otherwise subject to the mandatory cooling off period with regard to Structurepoint, as he is not in a position to make discretionary decisions effecting the nature or scope of any Structurepoint contract or contract negotiations.

This disclosure was provided to the employee's appointing authority, INDOT Commissioner Joe McGuinness, on March 6, 2019.
Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being realized by virtue of the advise of the below signed ethics officer.

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

A formal screen was executed with INDOT's ethics officer preventing Ken Martin from participating in any decision or vote, or matter related to such decision or vote, involving American Structurpoint, Inc., or in which the firm has a financial interest.

The screen was executed on March 6, 2019, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure on the same date.

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AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed (month, day, year)

Printed full name of state officer, employee or special state appointee

Ken Martin

3/6/19

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed (month, day, year)

Printed full name of ethics officer

Christopher B. Serak

3/6/19

CONFLICT OF INTEREST SCREEN FOR KEN MARTIN

March 5, 2019

I. RECITALS

WHEREAS, Ken Martin is a Senior Project Manager for the LaPorte District of the Indiana Department of Transportation (INDOT); and

WHEREAS, Ken Martin has recently entered employment negotiations with American Structurepoint, Inc. ("Structurepoint"); and

WHEREAS, Structurepoint has a business relationship with INDOT and active contracts with the Department; and

WHEREAS, as a Senior Project Manager, Ken Martin has administered Structurepoint contracts with INDOT; and

WHEREAS, Ken Martin could be assigned additional such duties on future Structurepoint contracts with the Department; and

WHEREAS, the employment negotiations commenced between Structurepoint and Ken Martin creates a potential decisions and voting conflict under IC 4-2-6-9, requiring a formal screen to prevent the employee from working with Structurepoint on future contracts in his capacity with INDOT.

NOW THEREFORE, Ken Martin, Senior Project Manager for the LaPorte District of INDOT, until the termination of employment negotiations with American Structurepoint, Inc. ("Structurepoint"), agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen.

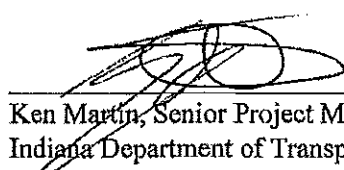
II. CONFLICT OF INTEREST SCREEN

1. Ken Martin shall not participate in any decision or vote, or any matter related to such decision or vote, in which Structurepoint has a financial interest.
2. Ken Martin should be screened from participating in any present or future agreement between INDOT and Structurepoint.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which American Structurepoint, Inc. ("Structurepoint") has a financial interest. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor. I understand that this screen shall be effective until the termination of my employment negotiations with the subject firms.

Executed and agreed this 6th day of March, 2019, by:



Ken Martin, Senior Project Manager, LaPorte District
Indiana Department of Transportation

INDIANA
STATE ETHICS COMMISSION

MAR 08 2019

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Brewer, Dale L

From: Cooper, Jennifer
Sent: Friday, March 8, 2019 9:52 AM
To: Brewer, Dale L
Subject: FW: Executed Conflict Disclosure and Internal Screen (INDOT- K. Martin)
Attachments: CI Disclosure Ken Martin- Executed.pdf; CI Screen Ken Martin-signed.pdf

Follow Up Flag: Follow up
Flag Status: Flagged

Hi Dale,

We received a new COI:D&V disclosure. Please see below and attached.

Thank you!
Jen

Jen Cooper | State Ethics Director
Indiana Office of Inspector General/
State Ethics Commission
315 W. Ohio Street, Room 104
Indianapolis, IN 46202
Tel: 317.234.4108
Email: jcooper@ig.in.gov
Web: www.in.gov/ig

From: Serak, Chris (INDOT)
Sent: Friday, March 08, 2019 9:50 AM
To: Cooper, Jennifer <JCooper@ig.IN.gov>
Cc: Martin, Ken (INDOT) <kemartin@indot.IN.gov>
Subject: RE: Executed Conflict Disclosure and Internal Screen (INDOT- K. Martin)

Sorry, here are correct attachments.

From: Serak, Chris (INDOT)
Sent: Wednesday, March 06, 2019 12:21 PM
To: Cooper, Jennifer <JCooper@ig.IN.gov>
Cc: Grand, Alison <AGrand@indot.in.gov>; Martin, Ken (INDOT) <kemartin@indot.IN.gov>
Subject: Executed Conflict Disclosure and Internal Screen (INDOT- K. Martin)

Jen-

In accordance with Ind. Code 4-2-6-9(b)(2), please find the attached conflict disclosure executed by INDOT employee Mr. Ken Martin. The same was provided to the employee's appointing authority, INDOT Commissioner Mr. Joe McGuinness. Said disclosure is included below as part of this email.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Chairman, Prequalification Committee
Member, Selection Review Committee
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov

From: Serak, Chris (INDOT)
Sent: Tuesday, February 26, 2019 11:09 AM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Grand, Alison <AGrand@indot.in.gov>; Moffatt, Charles D <CMoffatt@indot.IN.gov>
Subject: Executed Conflict Disclosure and Internal Screen

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Mr. Charles Moffatt. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Chairman, Prequalification Committee
Member, Selection Review Committee
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov