

APR 03 2018

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**ETHICS DISCLOSURE STATEMENT  
CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
State Form 55860 (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Martin	Name (first) Joshua	Name (middle) L.
Name of office or agency Indiana Management Performance Hub		Job title Chief of Staff
Address of office (number and street) 105 Statehouse		City Indianapolis
Office telephone number ( 317 ) 234-4418		ZIP code 46204
Office e-mail address (required) jmartin@mph.IN.gov		
Describe the conflict of interest: The Indiana Management Performance Hub (MPH) is the recipient of a grant, a portion of which involves the Indiana University Public Policy Institute (PPI). Under this grant, PPI is eligible for grant funds, subject to MPH review and approval of deliverables completed by PPI. MPH has sole discretion to reallocate funds among the participating entities. At this time, there is no plan to reallocate funds or future intention to do so.		
I serve the State of Indiana as MPH Chief of Staff and, in that capacity, make administrative, financial, and technical decisions affecting MPH and our partner entities. I believe a potential conflict of interest exists under IC 4-2-6-9(a) due to a financial interest arising from my spouse's employment. My spouse, Joti Martin, is employed by PPI as a Program Analyst and as a result, maintains a financial interest under IC 4-2-6-1(a)(11).		
MPH believes this interest to be mitigated by the following facts:		
- Opinion 11-I-5, relating to a conflict of economic interest, provides that "[s]ince the [state employee's] spouse's salary depends on the outcome of the [state employee's] assessment, it is the opinion of the Commission that the [state employee's] spouse's interest in this decision would appear to be greater than the interest of the general public."		
Opinion 11-I-5 appears to find that the spousal interest is greater than that of the general public due to the spouse's salary dependency on the grant administered by the state employee. Here, my spouse's salary is fixed and is in no way affected by this grant.		
- Irrespective of whether there had been a potential conflict of interest, any decision to allocate or reallocate funds among participating entities would be made at the sole discretion of the state signatory to the forthcoming contract, the MPH appointing authority.		
- The contract associated with this grant will be by and between MPH and Indiana University, not PPI. Indiana University maintains discretion as to how it distributes funds within its organization.		

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

As a result of the potential conflict of interest described above, and in accordance with IC 4-2-6-9(b)(2), MPH files this written disclosure statement detailing the conflict of interest, describing and affirming the implementation of a screen established by the MPH ethics officer, signed by the statutorily-required parties, includes a copy of the disclosure provided to the appointing authority, and does so not later than seven days after the conduct that gives rise to the conflict. Note that the contract between MPH and Indiana University is not yet in place and, as a result, there has not yet been "conduct that gives rise to the conflict." This disclosure and proposed screen are submitted preemptively to ensure full compliance with the Indiana Code of Ethics.

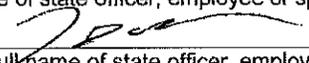
Due to his unique knowledgebase and skillset, Mr. Martin will be involved in administrative and technical matters relating to PPI in the course of MPH's administration of this grant. MPH proposes the following protocol to screen Mr. Martin from any financial decision or vote directly affecting PPI, or matter relating to that decision or vote:

- Mr. Martin will be excluded from discussions, in-person or electronic, relating to a financial decision or vote directly affecting PPI.
- The MPH appointing authority will personally address or allocate responsibility to other MPH team members on an as-needed basis with respect to discussions from which Mr. Martin is excluded under this screen;
- Mr. Martin will immediately inform the MPH appointing authority and MPH ethics officer if any provision of this screening protocol is violated;
- The MPH ethics officer will notify Indiana University and PPI that Mr. Martin has been screened as stated herein;
- Mr. Martin and the MPH ethics officer will review this screening protocol on a monthly basis to ensure compliance; and
- Mr. Martin and/or the MPH ethics officer will communicate with the Office of Inspector General as needed and in compliance with the Indiana Code of Ethics until such time as this potential conflict of interest no longer exists.

#### AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

April 3, 2018

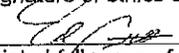
Printed full name of state officer, employee or special state appointee

Joshua L. Martin

#### FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

April 3, 2018

Printed full name of ethics officer

Theodore C. Cotterill

## Cotterill, Ted

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**From:** Martin, Joshua  
**Sent:** Friday, March 16, 2018 10:41 AM  
**To:** Cotterill, Ted  
**Cc:** Shah, Darshan  
**Subject:** Indiana University partnership

Ted,

As you are aware, my wife is employed with the IU Public Policy Institute as a Program Analyst. Since PPI is a small organization, through the course of her work she could potentially be working on parts of the Indiana Data Partnership project. What do we need to do to ensure compliance with the Indiana Code of Ethics?

**Josh Martin**

*Chief of Staff*

[Indiana Management Performance Hub](#)

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