

FEB 22 2021

FILED



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 55860 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Louks	Name (first) Douglas	Name (middle) Ryan
Name of office or agency Indiana Department of Environmental Management - OLQ		Job title Deputy Assistant Commissioner
Address of office (number and street) 100 N. Senate Ave., IGCN 1154		City Indianapolis
Office telephone number (317) 234-5344		ZIP code 46204
Office e-mail address (required) dlouks@idem.in.gov		

Describe the conflict of interest:
 Doug Louks is Deputy Assistant Commissioner in the Office of Land Quality at the Indiana Department of Environmental Management (IDEM). Doug Louks has applied for a position at 3M Company. Doug Louks does not currently have any known matter or regulatory oversight of a known matter concerning 3M Company. However, IDEM does have matters concerning and regulatory oversight of 3M Company.

**Protocol to Screen IDEM
Office of Land Quality's
Deputy Assistant Commissioner Doug Louks
from any Matters Regarding 3M Company**

Mr. Doug Louks is employed by the Indiana Department of Environmental Management ("IDEM") as a Deputy Assistant Commissioner ("DAC") within the Office of Land Quality ("OLQ"). His current duties include oversight of the Science Services Branch, the Underground Storage Tank Branch and the Emergency Response Section within OLQ.

DAC Louks has applied for the position of Counsel with 3M Company ("3M"). 3M is a manufacturer of a wide range of products. Duties for this position include providing counsel related to sustainability and product stewardship. DAC Louks has had no contact with 3M in his position as DAC. However, because of his oversight of multiple branches within OLQ, IDEM will institute the following protocol to make sure DAC Louks is screened from any potential future conflict of interest.

1. Assistant Commissioner ("AC") Peggy Dorsey is DAC Louks' immediate supervisor. AC Dorsey will not assign any current or future matter that may arise involving 3M to DAC Louks.
2. DAC Louks shall recuse himself from the portion of any meeting in which discussions specific to 3M or any of its clients or interests are discussed or are expected to be discussed.
3. DAC Louks shall not discuss any matter involving 3M or any of its clients with any other IDEM employee
4. AC Dorsey will notify in writing all branch chiefs supervised by DAC Louks that they are not to discuss any matters involving 3M or any of its clients with DAC Louks or send him any emails concerning 3M or its clients.
5. DAC Louks shall not participate in decisions regarding or relating to 3M or any of their clients.
6. DAC Louks shall not access any correspondence or files relating to or involving 3M or any of its. Such documents, if not a matter of public record in IDEM's Virtual File Cabinet, shall be housed with the employee assigned to the matter or kept where DAC Louks does not have access to alter the documents.
7. DAC Louks shall immediately inform his supervisor and IDEM Ethics Officer James French if any provision of this screening protocol is violated.
8. DAC Louks shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.
9. All signatories to this screening protocol shall review it within thirty (30) days from the date of the last signature to assure it has been followed and determine if the circumstances have changed to make the protocol no longer necessary.

From: [Louks, Douglas](#)
To: [PIGOTT, BRUNO](#)
Cc: [Rockensuess, Brian](#); [Dorsey, Peggy](#)
Subject: Ethics Disclosure - 3M
Date: Wednesday, February 17, 2021 9:11:39 AM
Attachments: [Douglas Louks - Feb 17 Disclosure \(3M\) \[signed\].pdf](#)
[image001.png](#)
[image002.png](#)
[image003.png](#)
[image004.png](#)
[image005.png](#)
[image006.png](#)

Bruno,

I was recently offered an interview for a counsel position with 3M. This role is new to the company and focuses on product stewardship and sustainability. OLC is working on a screening protocol currently. I have not made any determinations related to 3M and will recuse myself from any matters related to 3M until the interviewing process is complete. Feel free to let me know if you have any questions. Thanks.

COVID-19 Resources:

- **Indiana State Dept. of Health (ISDH) COVID-19 Call Center:** Call 877-826-0011 (available 8:00 am-5:00 pm daily).
- **Anthem NurseLine:** Call 800-337-4770 or visit the [Anthem NurseLine](#) online for a FREE symptom screening. Available to anyone with an Anthem health plan (this includes State of IN employees)
- **Anthem Employee Assistance Program (EAP):** Available to full-time state employees and their household members regardless of health plan participation. Call 800-223-7723 or visit [anthemeap.com](#) (enter State of Indiana) for crisis counseling, help finding child/elder care, legal/financial consultation and much more.



Douglas Louks
Deputy Assistant Commissioner
Office of Land Quality
Indiana Department of Environmental Management

(317) 234-5344 | dlouks@idem.IN.gov



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IDEM values your feedback

