

INDIANA
STATE ETHICS COMMISSION



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

JAN 12 2021

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Louks	Name (first) Douglas	Name (middle) Ryan
Name of office or agency Indiana Department of Environmental Management - OLQ		Job title Deputy Assistant Commissioner
Address of office (number and street) 100 N. Senate Ave., IGCN 1154		City Indianapolis
Office telephone number (317) 234-5344		ZIP code 46204
Office e-mail address (required) dlouks@idem.in.gov		


Describe the conflict of interest:
Doug Louks is Deputy Assistant Commissioner in the Office of Land Quality at the Indiana Department of Environmental Management (IDEM). Doug Louks has applied for a position at Cummins, Inc. Doug Louks does not currently have any known matter or regulatory oversight of a known matter concerning Cummins, Inc. However, IDEM does have matters concerning and regulatory oversight of Cummins, Inc.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*
Please see the attached screening protocol.

[Dotted lines for text entry]

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee 	Date signed (month, day, year) 01/06/2021
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Printed full name of state officer, employee or special state appointee Douglas R. Louks

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer /s/ James French	Date signed (month, day, year) 1/12/2021
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Printed full name of ethics officer James French

**Protocol to Screen
IDEM Office of Land Quality's
Deputy Assistant Commissioner Doug Louks
from any Matters Regarding Cummins Inc.**

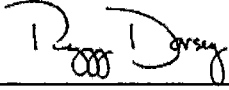
Mr. Doug Louks is employed by the Indiana Department of Environmental Management ("IDEM") as a Deputy Assistant Commissioner ("DAC") within the Office of Land Quality ("OLQ"). His current duties include oversight of the Science Services Branch, the Underground Storage Tank Branch and the Emergency Response Section within OLQ.

DAC Louks has applied for the position of Regulatory Compliance Senior Manager at Cummins Inc. ("Cummins"). Cummins manufactures engines and components for multiple purposes throughout the world. Duties for this position include ensuring regulatory compliance with federal and state laws and regulations. DAC Louks has had no contact with Cummins in his position as DAC. However, because of his oversight of multiple branches within OLQ, IDEM will institute the following protocol to make sure DAC Louks is screened from any potential future conflict of interest.

1. Assistant Commissioner ("AC") Peggy Dorsey is DAC Louks' immediate supervisor. AC Dorsey will not assign any current or future matter that may arise involving Cummins to DAC Louks.
2. DAC Louks shall recuse himself from the portion of any meeting in which discussions specific to Cummins or any of its clients or interests are discussed or are expected to be discussed.
3. DAC Louks shall not discuss any matter involving Cummins or any of its clients with any other IDEM employee
4. AC Dorsey will notify in writing all branch chiefs supervised by DAC Louks that they are not to discuss any matters involving Cummins or any of its clients with DAC Louks or send him any emails concerning Cummins or its clients.
5. DAC Louks shall not participate in decisions regarding or relating to Cummins or any of their clients.
6. DAC shall not access any correspondence or files relating to or involving Cummins or any of its. Such documents, if not a matter of public record in IDEM's Virtual File Cabinet, shall be housed with the employee assigned to the matter or kept where DAC Louks does not have access to alter the documents.
7. DAC Louks shall immediately inform his supervisor and IDEM Ethics Officer James French if any provision of this screening protocol is violated.
8. DAC Louks shall follow IC 4-2-6-9(b) and file a written disclosure statement with the State Ethics Commission.
9. All signatories to this screening protocol shall review it within thirty (30) days from the date of the last signature to assure it has been followed and determine if the circumstances have changed to make the protocol no longer necessary.

/s/ Douglas R. Louks
Doug Louks
Deputy Assistant Commissioner
Office of Land Quality

1/7/21
Date


Peggy Dorsey
Peggy Dorsey
Assistant Commissioner
Office of Land Quality

1/12/2021
Date

/s/ James French
James French
Ethics Officer
Office of Legal Counsel

1/11/21
Date

From: [Louks, Douglas](#)
To: [PIGOTT, BRUNO](#)
Cc: [Rockensuess, Brian](#); [Dorsey, Peggy](#)
Subject: Ethics/Conflicts Disclosure
Date: Wednesday, January 06, 2021 10:21:28 AM
Attachments: [Douglas Louks - Jan 21 Disclosure \(Cummins\).pdf](#)
[image001.png](#)
[image002.png](#)
[image003.png](#)
[image004.png](#)
[image005.png](#)
[image006.png](#)

Bruno,

Please see the attached. At the moment, this is exploratory as I don't know much about the position or whether I would even want it, but have to disclose it to meet state ethics requirements. OLC is working on a screen to put in place and I have already reached out to Peg and my direct reports to let them know I cannot discuss anything related to Cummins until the screen is removed. Let me know if you have any questions. Thanks!

Douglas Louks
Deputy Assistant Commissioner
IDEM OLQ

From: Louks, Douglas
Sent: Wednesday, January 06, 2021 8:45 AM
To: French, James M <JFrench@idem.IN.gov>
Subject: Ethics/Conflicts Disclosure

Hey Michael,

Happy New Year! I just received an email today asking me to interview for a position with Cummins. Attached is a disclosure form (I just used the one I used last time and updated the sig date). I will let Peg and my direct reports know not to contact me about anything related to Cummins until you can get a formal screen drafted. There shouldn't be any problem since I don't have any firsthand dealings with them very often, if at all. Let me know what else I need to get to you. Thanks.

COVID-19 Resources:

- **Indiana State Dept. of Health (ISDH) COVID-19 Call Center:** Call 877-826-0011 (available 8:00 am-5:00 pm daily).
- **Anthem NurseLine:** Call 800-337-4770 or visit the [Anthem NurseLine](#) online for a FREE symptom screening. Available to anyone with an Anthem health plan (this includes State of IN employees)
- **Anthem Employee Assistance Program (EAP):** Available to full-time state employees and their household members regardless of health plan participation. Call 800-223-7723 or visit anthemeap.com (enter State of Indiana) for crisis counseling, help finding child/elder care, legal/financial consultation and much more.

Douglas Louks
Deputy Assistant Commissioner



Office of Land Quality
Indiana Department of Environmental Management

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Help us improve!
IDEM values your feedback

