

JUN 27 2019

FILED



**ETHICS DISCLOSURE STATEMENT  
CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
State Form 55860 (R / 10-16)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Leckie	Name (first) John	Name (middle)	
Name of office or agency Indiana Department of Transportation		Job title Materials Management Director	
Address of office (number and street) 120 South Shortridge Rd. M&T-OMM		City Indianapolis	ZIP code 46219
Office telephone number ( 317 ) 522-9680	Office e-mail address (required) jleckie@indot.in.gov		

Describe the conflict of interest:

John Leckie is Materials Management Director for the Indiana Department of Transportation (INDOT).

Mr. Leckie accepted a position with the American Concrete Paving Association (ACPA), a business association representing the Indiana concrete pavement industry. ACPA has a business relationship with INDOT.

Mr. Leckie's negotiations with ACPA therefore created a decisions and voting conflict under IC 4-2-6-9.

This disclosure is therefore being filed in anticipation of that potential conflict. Mr. Leckie will not serve as an executive branch lobbyist in his role with ACPA for at least one (1) year after leaving INDOT, pursuant to the post-employment restrictions under IC 4-2-6-11(b). Mr. Leckie is not otherwise prevented from immediately accepting employment with ACPA.

This disclosure was provided to Mr. Leckie's appointing authority, INDOT Commissioner Joe McGuinness, on June 24, 2019.

Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).

Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

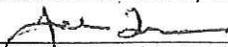
A formal screen was executed with INDOT's ethics officer preventing John Leckie from participating in any decision, vote, or matter related to such decision or vote, in which ACPA actively participates. This screen was discussed with Mr. Leckie's supervisor, who understands its scope and effect. Mr. Leckie will not participate in any decision making related to any matter in which ACPA actively engages the Department. This screen shall be effective for the duration of Mr. Leckie's employment with INDOT.

The screen was executed on June 20, 2019, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

June 24, 2019

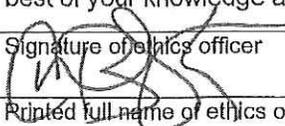
Printed full name of state officer, employee or special state appointee

John Leckie

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

June 24, 2019

Printed full name of ethics officer

Christopher B. Serak

**PREEMPTIVE CONFLICT OF INTEREST SCREEN FOR JOHN LECKIE**

**June 20, 2019**

**I. RECITALS**

WHEREAS, whereas John Leckie is the Materials Management Director for the Indiana Department of Transportation (INDOT); and

WHEREAS, John Leckie was recently approached concerning employment opportunities with the American Concrete Paving Association (ACPA), a business association representing the Indiana concrete pavement industry; and

WHEREAS, ACPA seeks to influence INDOT decision making to promote industry interests; and

WHEREAS, John Leckie participates in decision making related to matters ACPA seeks to influence; and

WHEREAS, in order to prevent any real or apparent conflict of interest related to John Leckie's employment discussions with ACPA, John Leckie needs to be screened from participating in INDOT decision making regarding matters ACPA seeks to influence.

NOW THEREFORE, John Leckie, Materials Management Director for INDOT, for the duration of his remaining employment with the Department or until the termination of negotiations with the American Concrete Paving Association (ACPA), whichever occurs first, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen.

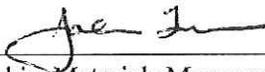
**II. CONFLICT OF INTEREST SCREEN**

1. John Leckie shall not participate in decision making related to any matter ACPA seeks to influence.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in decision making related to any matter American Concrete Paving Association ("ACPA") seeks to influence. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor. I understand that this screen shall be effective for the duration of my employment with INDOT or until I terminate employment negotiations with the ACPA, whichever occurs first.

Executed and agreed this 20<sup>th</sup> day of June, 2019, by:



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John Leckie, Materials Management Director  
Indiana Department of Transportation

**Baker, Nathaniel P**

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**Subject:** FW: Executed Conflict of Interest Disclosure and Screen  
**Attachments:** PREEMPTIVE CONFLICT OF INTEREST SCREEN FOR JOHN LECKIE.pdf; Executed CI Disclosure- Leckie.pdf

**From:** Serak, Chris (INDOT)  
**Sent:** Thursday, June 27, 2019 11:26 AM  
**To:** McGuinness, Joe <[JMcGuinness@indot.IN.gov](mailto:JMcGuinness@indot.IN.gov)>  
**Cc:** Grand, Alison <[AGrand@indot.in.gov](mailto:AGrand@indot.in.gov)>; Leckie, John <[JLECKIE@indot.IN.gov](mailto:JLECKIE@indot.IN.gov)>  
**Subject:** Executed Conflict of Interest Disclosure and Screen

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee John Leckie. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

**Christopher B. Serak,**  
*Prequalification Director*  
*Ethics Officer*  
*Prequalification Committee (Chairman)*  
*Selection Review Committee (Member)*  
**Indiana Department of Transportation**  
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