



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 55860 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

INDIANA
 STATE ETHICS COMMISSION
 MAR 14 2017
 FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

| | | |
|---|----------------------|------------------------------|
| Name (last) Korty | Name (first) Tina | Name (middle) L |
| Name of office or agency Indiana Department of Insurance | | Job title General Counsel |
| Address of office (number and street) 311 W Washington St, Ste 103 | | City Indianapolis |
| Office telephone number (317) 232-0143 | | ZIP code 46204 |
| Office e-mail address (required) tkorty@idoi.IN.gov | | |

Describe the conflict of interest:
 I have had discussions with IU Health about potential job opportunities within that organization. The IDOI has many areas of involvement with IU Health and its numerous subsidiaries, including health care providers that participate in the Patient's Compensation Fund and insurance companies that are regulated by the IDOI.
 Although I'm not certain any particular conflict would exist, as I am a counselor to the Commissioner but make few decisions myself, out of a sense of caution we enacted an ethical screen.

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

The following was sent on February 23, 2017, to my direct reports and their direct reports, all Chiefs at the IDOI, and other IDOI employees who could have potentially come to me with an issue involving IU Health.

I am writing as IDOI's Ethics Officer to make you aware of an ethical screen that has recently been established. Tina Korty has been in talks with representative from IU Health regarding a position. IU Health is regulated by the IDOI. Therefore, to allow Tina to pursue this potential employment opportunity if she so chooses, she must be screened completely from any contact with IU Health as well as IUHRRG, MDwise, MDwise Marketplace, IU Health Plans, IU Health Plans NFP and SIHO. Additionally, Tina should be removed from any decision-making for IRMIA as it is managed by IU Health RRG and PCF matters involving IU Health and their hospitals, employed physicians, etc. Please refrain from discussing matters involving IU Health or any of the other entities listed as well as general PCF matters, including rulemaking and bulletins, with Tina. Going forward, any matters involving these companies that require the Legal Division's involvement should be addressed to Doug Webber.

This ethical screen is required to be established by IC 4-2-6-9, and will remain in place until I notify you otherwise. If you have any questions, please contact me.

Holly A. Williams

Ethics Officer

Indiana Department of Insurance

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed (month, day, year)

Tina L. Korty

14 March 17

Printed full name of state officer, employee or special state appointee

Tina L. Korty

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed (month, day, year)

Holly Williams

3-14-17

Printed full name of ethics officer

Holly Williams

Williams, Holly

From: Castor, Tory J <tcastor@IUHealth.org>
Sent: Friday, February 24, 2017 1:38 PM
To: Williams, Holly
Subject: RE: Ethical Screen

**** This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected email. ****

Hi Holly –

Thank you for your email. I have alerted the general counsels for all organizations listed below so that they are aware of this ethics screen. Thank you for reaching out to me. If you have any further questions, please do not hesitate to contact me.

Best regards
Tory Callaghan Castor

From: Williams, Holly [mailto:HoWilliams@idoi.IN.gov]
Sent: Thursday, February 23, 2017 4:54 PM
To: Castor, Tory J
Subject: Ethical Screen

Ms. Castor,

I am writing as the Indiana Department of Insurance's ("IDOI") Ethics Officer to make you aware of an ethical screen that has recently been established. I understand that the IDOI's General Counsel, Tina Korty, may be interested in beginning potential employment discussions with IU Health. Therefore, aside from potential employment discussions, Tina must be screened completely from any contact with IU Health during the pendency of these discussions. Outside of potential employment discussions, Tina and representatives of IU Health should have no contact with each other. This includes all forms of communication, including by telephone, email or in-person. In addition, outside of potential employment discussions, Tina and representatives from IUHRRG, MDwise, MDwise Marketplace, IU Health Plans, IU Health Plans NFP, and SIHO should have no contact with each other.

Please respond to this email to confirm that you have received it and that you understand and agree on behalf of IU Health and the other companies listed to abide by the terms of the ethical screen. If you have any questions, please direct them to me. Thank you for your anticipated cooperation.

Sincerely,

Holly A. Williams
Ethics Officer
Indiana Department of Insurance
311 West Washington Street, Suite 103
Indianapolis, Indiana 46204
phone: 317-232-5312
fax: 317-234-2103

Williams, Holly

From: Williams, Holly
Sent: Thursday, February 23, 2017 4:18 PM
To: Robertson, Stephen W.; Webber, Doug; Foy, Bettye; Lohman, Barbara; Eft, Roy; Simpkins, James M (IDOI); Beard, Amy (IDOI); Ninealtevogt, Cathleen; Kixmiller, Kate; Knable, Karl J; Peck, Alex; Beverage, Richard; Chamblee, Stephen; Henn, Bobbi; Collins, Kim; Nag, Mihir; Reeder, Robert; Faust, Thomas; Mckinney, Linda; Hockwalt, Greta; Wensing, Kelly; Alberts, Mark; Evans, Randall; Fulford, Wade; Tracey, Brandi; Bower, Terry; Holleman, Phil
Cc: Korty, Tina; Williams, Holly
Subject: Ethical Screen

All:

I am writing as IDOI's Ethics Officer to make you aware of an ethical screen that has recently been established. Tina Korty has been in talks with representative from IU Health regarding a position. IU Health is regulated by the IDOI. Therefore, to allow Tina to pursue this potential employment opportunity if she so chooses, she must be screened completely from any contact with IU Health as well as IUHRRG, MDwise, MDwise Marketplace, IU Health Plans, IU Health Plans NFP, and SIHO. Additionally, Tina should be removed from any decision-making for IRMIA as it is managed by IU Health RRG and PCF matters involving IU Health and their hospitals, employed physicians, etc. Please refrain from discussing matters involving IU Health or any of the other entities listed as well as general PCF matters, including rulemaking and bulletins, with Tina. Going forward, any matters involving these companies that require the Legal Division's involvement should be addressed to Doug Webber.

This ethical screen is required to be established by IC 4-2-6-9, and will remain in place until I notify you otherwise. If you have any questions, please contact me.

Holly A. Williams
Ethics Officer
Indiana Department of Insurance
311 West Washington Street, Suit 103
Indianapolis, Indiana 46204
Phone: 317-232-2404
Fax: 317-234-2103