



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55890 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

INDIANA
STATE ETHICS COMMISSION

SEP 19 2016

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Koch	Name (first) Robert	Name (middle) L.
Name of office or agency Koch Foundation		Job title President (Board Member of the IEDC)
Address of office (number and street) 14 South Eleventh Avenue		City Evansville
Office telephone number (812) 465-9800		ZIP code 47712
Office e-mail address (required) rlk@kochllc.com		

Describe the conflict of interest:

The Board of Directors of the Regional Development Authority of Southwest Indiana ("the RDA") has voted to utilize funds available to the RDA from the Indiana Economic Development Corporation ("IEDC") for the Regional Cities Initiative ("RCI") to support a project to enhance science lab facilities at the Signature School, a public charter school in Evansville.

The request to release funds would normally be a staff decision, i.e., this is not the type of matter that would be submitted to the IEDC Board of Directors for review and approval; however, Mr. Koch is a member of the IEDC Board of Directors, a member of the Board of Directors of the Signature School, and a member of the non-profit foundation that supports the Signature School. Mr. Koch and the Koch Foundation are also significant donors to the Signature School Foundation, which has contributed to the school becoming the top school in the State of Indiana. Mr. Koch is not a member of the RDA board, which is the party that submitted the proposal to the IEDC and is requesting release of the funds. Mr. Koch also does not receive any compensation from the school, the Signature School Foundation, or the RDA.

Though IEDC staff have determined that the project meets all of the established criteria for the RCI, the IEDC has withheld distribution of funds pending this filing and the proposed next steps detailed herein.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*
 As detailed in the Ethics Commission's Advisory Opinion 13-I-33, the IEDC has well established ethical screening procedures. Mr. Koch's involvement with the Signature School and its foundation have been logged in the IEDC's Customer Relationship Management (CRM), which is used to identify potential conflicts of interest. Mr. Koch has been screened from any involvement with this matter. Mr. Koch has not discussed the proposed project or the request for release of funds with the IEDC staff or members of the IEDC Board of Directors, other than to disclose his involvement with the school with the IEDC's Ethics Officer. Further, though the IEDC Board of Directors would not normally consider a specific project proposed by an RDA, the IEDC Ethics Officer intends to take this matter to the next meeting of the full IEDC Board of Directors for its review in the public session of the meeting. Mr. Koch's potential conflict of interest will be fully described to the Board prior to its vote on the matter. Mr. Koch will not participate in any decision or vote, or any matter relating to that decision or vote. If the IEDC Board of Directors approves the project, the IEDC will then proceed with distributing the requested funds to the RDA. If the IEDC Board of Directors rejects the project, the IEDC will not distribute the funds to the RDA. Contemporaneously with this filing, Mr. Koch has also updated his conflicts form on file with the Office of Inspector General. The RDA, the school, and the Signature School Foundation will remain advised that Mr. Koch should have no involvement in matter going forward.

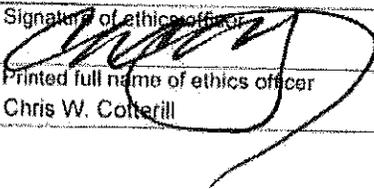
AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee 	Date signed (month, day, year) 09/16/2016
Printed full name of state officer, employee or special state appointee Robert L. Koch II	

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer 	Date signed (month, day, year) 9/16/2016
Printed full name of ethics officer Chris W. Cotterill	

Cooper, Jennifer

From: Cotterill, Chris W
Sent: Saturday, September 17, 2016 1:00 PM
To: Cooper, Jennifer
Cc: Smith, Victor P
Subject: IEDC Board Member IC 4-2-6-9 Filing & Updated Conflicts of Interest Form
Attachments: IEDC - Bob Koch IC 4-2-6-9 Filing.pdf; IEDC - Bob Koch Conflicts of Interest Form Updated.pdf

Importance: High

Follow Up Flag: Follow up
Flag Status: Flagged

Jen,
Please find attached Mr. Koch's updated Conflicts of Interest Form and his disclosure made pursuant to IC 4-2-6-9. We understand the latter will be made available to the public on the IG's website.

I have kept Secretary of Commerce Victor P. Smith apprised of this matter over the past several weeks, and this email is meant to serve as a notification of this filing to Secretary of Commerce Smith in his capacity as the appointing authority of the Indiana Economic Development Corporation.

Thank you again for your assistance on this matter.

Sincerely,
Chris

CHRIS W. COTTERILL
Executive Vice President & General Counsel

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Development Corporation
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