



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 55888 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

MAR 20 2020

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Kelley	Name (first) Jacob	Name (middle)
Name of office or agency Indiana Department of Transportation- Crawfordsville District		Job title Project Engineer
Address of office (number and street) 41 West 300 North		City Crawfordsville
Office telephone number (765) 267-0959		ZIP code 47933
Office e-mail address (required) JKelley2@indot.IN.gov		

Describe the conflict of interest:
 Jacob Kelley is a Project Engineer for the Indiana Department of Transportation (INDOT)- Crawfordsville District.
 Jacob Kelley has accepted a position with HWC Engineering ("HWC"). HWC has
 a business relationship with INDOT, including ongoing contracts with the Department. As such, Jacob Kelley's
 employment negotiations with HWC created a potential decisions and voting conflict of interest under IC 4-2-6-9.
 This disclosure is therefore being filed in anticipation of that potential conflict.
 Jacob Kelley is not otherwise subject to the mandatory cooling off period under IC 4-2-6-11(b). Jacob Kelley is subject to
 the particular matter restriction (IC 4-2-6-11(a)) and may not work on any matter he participated in while with INDOT.
 This disclosure was provided to Jacob Kelley's appointing authority, INDOT Commissioner Joe McGuinness, on March 20, 2020.
 Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
 Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict
 being identified by virtue of the advise of the below signed ethics officer.

Describe the screen established by your ethics officer. (Attach additional pages as needed.)

A formal screen was executed with INDOT's ethics officer preventing Jacob Kelley from doing work with HWC in his capacity as an INDOT employee. Said screen prohibits Jacob Kelley from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving HWC or in which HWC has an interest. Said screen further prevents Jacob Kelley from assisting HWC or any other future employer with matters he personally and substantially participated in while employed by INDOT.

The screen was executed on March 20, 2020, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee

Date signed (month, day, year)

March 20, 2020

Printed full name of state officer, employee or special state appointee

Jacob Kelley

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer

Date signed (month, day, year)

March 20, 2020

Printed full name of ethics officer

Christopher B. Serak

CONFLICT OF INTEREST SCREEN FOR JACOB KELLEY

March 20, 2020

I. RECITALS

WHEREAS, Jacob Kelley is a Project Engineer for the Indiana Department of Transportation, Crawfordsville District ("INDOT" or "Department"); and

WHEREAS, Jacob Kelley has entered employment negotiations with HWC Engineering ("HWC"); and

WHEREAS, HWC has a business relationship with INDOT; and

WHEREAS, as a Project Engineer for INDOT, it is possible that Jacob Kelley could be assigned to work with HWC, or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Jacob Kelley's employment negotiations with HWC created a potential decisions and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Jacob Kelley is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including HWC, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Jacob Kelley, a Project Engineer for INDOT, Crawfordsville District, for his remaining tenure with the Department, or until he discontinues employment negotiations with HWC, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Jacob Kelley must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of the particular matter.

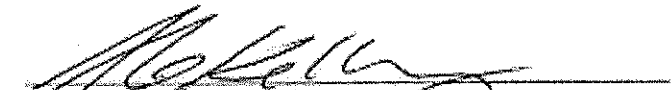
II. CONFLICT OF INTEREST SCREEN

1. Jacob Kelley shall not participate in any decision or vote, or any matter related to such decision or vote, in which HWC has a financial interest.
2. Jacob Kelley should be screened from participating in any present or future contract or other matter involving HWC.
3. Jacob Kelley shall not assist any future employers, including HWC, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which HWC Engineering has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with HWC, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 20th day of March, 2020, by:



Jacob Kelley, Project Engineer
Indiana Department of Transportation- Crawfordsville District

Baker, Nathaniel P

Subject: FW: Executed COI Disclosure and Screen (Jacob Kelley)
Attachments: Executed COI Disclosure (Jacob Kelley).pdf; Executed Internal Screen (Jacob Kelley).pdf

From: Serak, Chris (INDOT)
Sent: Friday, March 20, 2020 12:49 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Kelley, Jacob <JKelley2@indot.IN.gov>
Subject: Executed COI Disclosure and Screen (Jacob Kelley)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Jacob Kelley. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov
Location: Room N730 (Internal Affairs)

