ETHICS DISCLOSURE STATEMENT CONFLICTS OF INTEREST — DECISIONS AND VOTING State Form 65860 (R / 10-16) OFFICE OF THE INSPECTOR GENERAL. IC 4-2-6-9

INDIANA STATE ETHECS COMMUSSION

MAR 1.6 2016

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the inspector General's website. Name (last) Name (first) Name (middie) Kellh Priscilla Name of office or agency Job Illie Indiana State Ethics Commission - Office of Inspector General Commissioner Address of office (number and street) City ZIP code 316 W. Ohio St. indianapolis 46202 Office telephone number Office e-mail address (required) (317) 232-3850 Info@lg.in.gov Describe the conflict of interest: The State Ethics Commission (SEC) received a request for a formal advisory opinion from Alilson Taylor, General Counsel and Ethics Officer for the Indiana Family and Social Services Administration (FSSA) on behalf of Dr. Jerry Sheward, who will employed through FSSA as the State's Chief Medical Officer (CMO), effective March 14, 2016. Ms. Taylor and Dr. Sheward requested an opinion from the SEC to determine if any ethics issues would arise for Dr. Sheward as CMO If he were to continue to provide psychiatric services to patients for five hours a week, outside of his state hours. As CMO, Dr. Sheward will be overseeing the State's new Neuro-Diagnostic Institute. The State has selected Community Health Network, Inc. as its partner for the development of the Neuro-Diagnostic institute, which will be constructed on the grounds of Community East Hospital in Indianapolis. Commissioner Kelth is employed by Community Health Network, Inc.

Describe the screen established by your ethics officer: (Attach additional pages as needed.) Commissioner Kelth, after reviewing the March meeting materials, sent an email on March 7, 2016 to Jennifer
Cooper, Ethics Director, and the other SEC members letting them know that she was recusing herself from the Dr.
Sheward matter because of her employment with Community Health Network, Inc. Ms. Cooper forwarded Commissioner
Kelth's email to inspector General Cyndi Carrasco, appointing authority and ethics officer for the Office of Inspector
General and State Ethics Commission, to notify her of Commissioner Kelth's recusal from this matter.
At the SEC meeting on March 10, 2016, Commissioner Keith stated on the record that she would not be participating
In the SEC's consideration of Ms. Taylor's and Dr. Sheward's formal advisory opinion request due to a potential
conflict of interests. Commissioner Keith abstained from all involvement in the SEC opinion provided to Ms. Taylor and
Dr. Sheward at the meeting, including the SEC's related questions, discussion, and vote on the matter.
Commissioner Keilh will continue to be screened from all further discussion and participation in this matter.
AFFIRMATION
Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.
Signature of state officer, employer or special state appointee Date signed (month, day, year) 3/15/16
Printed full pame of state difficer, apployed of special state appointee
FOR ETHICS OFFICER USE ONLY
Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.
Signifiture of ethics officer Date signed (month, day, year) 3 [6 80 6
Printed full marine of ethics officer UMTIVIA V. D. MASCO

Cooper, Jennifer

From:

Cooper, Jennifer

Sent:

Monday, March 07, 2016 2:46 PM

To: Subject: Carrasco, Cynthia (Cyndi) FW: SEC Meeting Materials

fyi

From: P. Keith [mailto:pdkeith31@gmail.com] Sent: Monday, March 07, 2016 12:35 PM

To: Cooper, Jennifer

Cc: James Clevenger; pnugent999@aol.com; Robert Jamison; Daryl Yost; Flynn, Joann

Subject: Re: SEC Meeting Materials

**** This is an EXTERNAL email. Exercise caution. DO NOT open attachments or click links from unknown senders or unexpected email. ****

Team:

I have reviewed documents and will have to recuse myself re: Dr. She ward due to being employed by Community Health Network, Inc.

Thanks, PK

Sent from my iPhone

On Mar 4, 2016, at 5:02 PM, Cooper, Jennifer < <u>JCooper@ig.IN.gov</u>> wrote:

Hi Commissioners,

Attached are your materials for the Ethics Commission meeting on March 10th. See you on Thursday. I hope you have a wonderful weekend!

Thanks,

Jen

Jennifer Cooper Ethics Director Indiana Office of Inspector General 317.234.4108 Jcooper@ig.in.gov

<Jen's Cover Letter 3-4-16.doc>

<Agenda 3-10-16.doc>

<Minutes 2-11-16.doc>

- <16-I-6 FSSA Taylor (Sheward) Request.pdf>
- <16-I-6 FSSA Taylor FAO analysis.doc>
- <Fenton Final Report.doc>
- <SEC Recommended Report- Shockley.doc>
- <Recommended report attachments.pdf>
- <Shockley Public Hrg Transcript.pdf>