



**ETHICS DISCLOSURE STATEMENT  
CONFLICTS OF INTEREST – DECISIONS AND VOTING**  
State Form 55860 (R / 10-15)  
OFFICE OF THE INSPECTOR GENERAL  
IC 4-2-6-9

INDIANA  
STATE ETHICS COMMISSION

MAY 17 2019

**FILED**

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Jung	Name (first) Eric	Name (middle)	
Name of office or agency Indiana Department of Transportation.		Job title Mechanic	
Address of office (number and street) 12636 4- A Road		City Plymouth	ZIP code 46563
Office telephone number ( 574 ) 936-4057	Office e-mail address (required) ejung@indot.IN.gov		

Describe the conflict of interest:  
Eric Jung is a Mechanic for the Indiana Department of Transportation (INDOT). Mr. Jung was recently offered a position with Weirs International ("Weirs"), a company that has a business relationship with INDOT. Mr. Jung's employment negotiations with Weirs created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.

Mr. Jung's position with INDOT does not entail contract administration, negotiation, or regulatory decision making of any kind. Further, Mr. Jung does not participate in "matters" for the purposes of IC 4-2-6-11. Therefore, Mr. Jung is generally not subject to the post-employment restrictions imposed by said statute.

This disclosure was provided to the employee's appointing authority, INDOT Commissioner Joe McGuinness, on May 17, 2019. Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D). Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

A formal screen was executed with INDOT's ethics officer preventing Eric Jung from participating in any decision or vote, or matter related to such decision or vote, involving Weir International, or in which the firm has a financial interest.

The screen was executed on May 17, 2019, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure on the same date.

**AFFIRMATION**

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee <i>Eric Jung</i>	Date signed (month, day, year) 5/17/2019
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Printed full name of state officer, employee or special state appointee  
Eric Jung

**FOR ETHICS OFFICER USE ONLY**

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer <i>[Signature]</i>	Date signed (month, day, year) 5/17/2019
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Printed full name of ethics officer  
Christopher B. Serak

**CONFLICT OF INTEREST SCREEN FOR ERIC JUNG**

**May 17, 2019**

**I. RECITALS**

WHEREAS, whereas Eric Jung is a Mechanic for the Indiana Department of Transportation (INDOT); and  
WHEREAS, Eric Jung has recently entered employment negotiations with Weir International (“Weir”); and  
WHEREAS, Weir has a business relationship with INDOT; and

WHEREAS, the employment negotiations commenced between Weir and Eric Jung created a potential decisions and voting conflict under IC 4-2-6-9, requiring a formal screen to prevent the employee from working with Weir in his capacity with INDOT.

NOW THEREFORE, Eric Jung, a Mechanic for INDOT, for the duration of his employment with INDOT or until the termination of negotiations with Weir International (“Weir”), whichever occurs first, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen.

**II. CONFLICT OF INTEREST SCREEN**

1. Eric Jung shall not participate in any decision or vote, or any matter related to such decision or vote, in which Weir has a financial interest.
2. Eric Jung should be screened from participating in any present or future contract, agreement, or other formal collaborative venture between INDOT and Weir.

**III. EMPLOYEE AFFIRMATION**

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Weir International (“Weir”) has a financial interest. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor. I understand that this screen shall be effective for the duration of my employment with INDOT or until I terminate employment negotiations with the subject firm, whichever occurs first.

Executed and agreed this 17<sup>th</sup> day of May, 2019, by:

*Eric Jung* \_\_\_\_\_

Eric Jung, Mechanic  
Indiana Department of Transportation



## Cooper, Jennifer

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**From:** Serak, Chris (INDOT)  
**Sent:** Friday, May 17, 2019 3:54 PM  
**To:** Cooper, Jennifer  
**Cc:** Jung, Eric A; Grand, Alison  
**Subject:** FW: Executed Conflict Disclosure and Screen  
**Attachments:** Executed CI Disclosure- E Jung (INDOT).pdf; Executed Conflict Screen- E. Jung (INDOT).docx

**Follow Up Flag:** Follow up  
**Flag Status:** Flagged

Jen-

In accordance with Ind. Code 4-2-6-9(b)(2), please find the attached conflict disclosure executed by INDOT employee Mr. Eric Jung. The same was provided to the employee's appointing authority, INDOT Commissioner Mr. Joe McGuinness. Said disclosure is included below as part of this email.

**Christopher B. Serak,**  
*Prequalification Director*  
*Ethics Officer*  
*Prequalification Committee (Chairman)*  
*Selection Review Committee (Member)*  
**Indiana Department of Transportation**  
Office: (317) 234-2115  
Cell: (317) 440-9868  
Email: [chserak@indot.in.gov](mailto:chserak@indot.in.gov)

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**From:** Serak, Chris (INDOT)  
**Sent:** Friday, May 17, 2019 3:53 PM  
**To:** McGuinness, Joe <[JMcGuinness@indot.IN.gov](mailto:JMcGuinness@indot.IN.gov)>  
**Cc:** Grand, Alison <[AGrand@indot.in.gov](mailto:AGrand@indot.in.gov)>; Jung, Eric A <[EJung@indot.IN.gov](mailto:EJung@indot.IN.gov)>  
**Subject:** Executed Conflict Disclosure and Screen

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Eric Jung. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

**Christopher B. Serak,**  
*Prequalification Director*  
*Ethics Officer*  
*Prequalification Committee Chairman*  
*Selection Review Committee Member*  
**Indiana Department of Transportation**  
Office: (317) 234-2115  
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