

INDIANA
STATE ETHICS COMMISSION

JAN 27 2021

FILED



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
State Form 55800 (R / 10-16)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Johnson	Name (first) Joby	Name (middle) Alan
Name of office or agency Bureau of Mines & Mining Safety, Department of Labor		Job title Chief Mine Inspector
Address of office (number and street) 1002 North 1st Street		City Vincennes
Office telephone number (812) 888-4312		ZIP code 47591
Office e-mail address (required) JoJohnson@DOL.IN.gov		

Describe the conflict of interest:

On December 23, 2020 I began discussions with Sunrise Coal on possible employment with their company as a mine examiner. At the time of these discussions, mine inspections were on hold due to the rising numbers of Covid-19 cases in our area and across the State. There have been a few occasions I have had contact with the employees and management of Sunrise Coal, but these interactions have not involved any decision making on my part, therefore, I feel no conflicts of interest have taken place.

Mine inspections have recently been authorized and an inspection of each of the two Sunrise Coal mines will be due by the end of March. No inspections of Sunrise Coal have been scheduled any further. I have discussed with Mr. Lett that all inspections at Sunrise Coal will be postponed until after my departure from the Bureau of Mines.

As for the mine rescue team training at the Sunrise Coal mines on January 28, 2021 the training exercise itself would not be a conflict of interest, and no inspection will take place at that time.

If there is a mine accident or emergency in either of Sunrise Coal mines any time while I am still employed by the Bureau of Mines, any investigations during the mine accident or emergency would be handled by Mr. Lett and the Mine Safety & Health Administration. My role would solely be on the best interests of team safety and coordination of rescue or recovery efforts, I would have no input with the investigation.

Describe the screen established by your ethics officer: (Attach additional pages as needed.)

Mine inspections have recently been authorized and an inspection of each of the two Sunrise Coal mines will be due by the end of March. I have discussed with Mr. Lett that all inspections at Sunrise Coal will be postponed until after my departure from the Bureau of Mines.

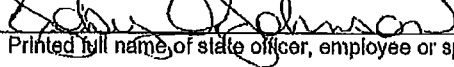
Mine rescue team training at the Sunrise Coal mines on January 28, 2021, no inspection will take place at that time.

If there is a mine accident or emergency in either of Sunrise Coal mines any time while I am still employed by the Bureau of Mines, any investigations during the mine accident or emergency would be handled by Mr. Lett and the Mine Safety & Health Administration. I would have no input with the investigation.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee


Printed full name of state officer, employee or special state appointee
Joby Alan Johnson

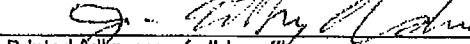
Date signed (month, day, year)

01-26-2021

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer


Printed full name of ethics officer
J. ANTHONY HARDMAN

Date signed (month, day, year)

1/27/21

Hardman, John A (Tony)

From: Johnson, Joby A
Sent: Tuesday, January 26, 2021 11:56 AM
To: Hoage, Joseph B (DOL); Lett, Brian; Hardman, John A (Tony)
Subject: Possible Conflict of Interest

Gentlemen:

On December 23, 2020 I began discussions with Sunrise Coal on possible employment with their company as a mine examiner. At the time of these discussions, mine inspections were on hold due to the rising numbers of Covid-19 cases in our area and across the State. There have been a few occasions I have had contact with the employees and management of Sunrise Coal, but these interactions have not involved any decision making on my part, therefore, I feel no conflicts of interest have taken place.

Mine inspections have recently been authorized and an inspection of each of the two Sunrise Coal mines will be due by the end of March. No inspections of Sunrise Coal have been scheduled, but my involvement of such inspections of either of these mines would be a conflict of interest.

I will be doing mine rescue team training at the Sunrise Coal mines on January 28, 2021 and although the training exercise itself would not be a conflict of interest, any inspection done during the training exercise could be seen as a conflict. Brian Lett will be attending these training sessions and no inspections will be made at this time.

If there is a mine accident or emergency in either Sunrise Coal mines any time while I am still employed by the Bureau of Mines, being an active part of any mine rescue or rescue team would be ok, but being part of any investigation could be a conflict of interest. Any investigations during a mine accident or emergency at Sunrise Coal would be handled by Brian Lett.

These are the only potential conflicts of interest through continued interaction with the Sunrise Coal I foresee in the upcoming months.

Thank you,

Joby A Johnson

Indiana Department of Labor
Chief Mine Inspector – Bureau of Mines & Mine Safety
Mine Rescue Trainer – IN Mine Rescue Team
Construction Technology Building at Vincennes University
1002 N 1st St Vincennes, Indiana 47591
Office Phone: (812) 888-4312 Cell: (812) 890-6689



A State that Works

Customer Satisfaction Survey: [Let us know how we are doing.](#)

CONFIDENTIALITY NOTICE: This electronic message and any attachments may contain legally privileged or confidential information that may only be viewed and used by the sender and the intended recipient(s). If you are not an addressee, or if you have received this information contrary to the intent of the sender, please notify the sender immediately by email or at 812-890-6689, and thereafter immediately destroy the information received. Be advised that any unauthorized disclosure, copying, distribution, use, or the taking of any action in reliance on the contents of this information is strictly prohibited. Delivery of this message and any attachments to any person(s) other than the intended recipient(s) is not intended in any way to waive confidentiality or a privilege.

