



**ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING**
State Form 55860 (R / 10-15)
OFFICE OF THE INSPECTOR GENERAL
IC 4-2-6-9

INDIANA
STATE ETHICS COMMISSION

NOV 13 2019

FILED

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) James	Name (first) Autumn	Name (middle) Alyse
Name of office or agency Office of General Counsel for the Indiana Family and Social Services Agency		Job title Staff Attorney
Address of office (number and street) 402 W. Washington Street, Room W451		City Indianapolis
Office telephone number (317) 234-6381		ZIP code 46204
Office e-mail address (required) autumn.james@fssa.in.gov		

Describe the conflict of interest:

I am a staff attorney for FSSA who advises the Office of Early Childhood and Out of School Learning (OECOSL) regarding the regulation of child care facilities. I had a baby on June 28, 2019 and have enrolled my child in day care at The Goddard School of Lawrence Township (Geist) at 10925 Cork Place, Indianapolis, IN 46236. There could be a potential conflict of interest if there is a legal issue that comes up in the regulation of Goddard's child care license. I have notified my supervisor and she will make sure that I do not have any involvement in any matters involving Goddard, as described below.

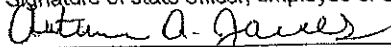
Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*

Erin McQueen, the Deputy Counsel who supervises me, is responsible for assigning the child care program tasks to two staff attorneys, which I am one of. Erin McQueen will maintain a screen for me for the Goddard School of Lawrence by assigning any tasks associated with the Goddard School of Lawrence to the other staff attorney or handling the task herself.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee



Date signed (month, day, year)

11/12/2019

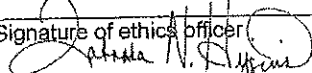
Printed full name of state officer, employee or special state appointee

Autumn A. James

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer



Date signed (month, day, year)

November 13, 2019

Printed full name of ethics officer

Latosha N. Higgins

Higgins, Latosha

From: Sullivan, Jennifer
Sent: Wednesday, November 13, 2019 9:19 AM
To: James, Autumn A
Cc: Higgins, Latosha
Subject: Re: Ethics Disclosure Statement

Autumn,

Congrats on your growing family! This looks very reasonable to me.

Sincerely,
Jen

Jennifer Sullivan (Walthall), MD MPH
Secretary, Indiana Family and Social Services Administration
302 W Washington Street, W461
Indianapolis, IN 46204
Jennifer.Sullivan@fssa.IN.gov
317-233-4690 (office)
@confectionismd
@FSSAIndiana



From: James, Autumn A <Autumn.James@fssa.IN.gov>
Sent: Wednesday, November 13, 2019 8:48 AM
To: Sullivan, Jennifer <Jennifer.Sullivan@fssa.IN.gov>
Cc: Higgins, Latosha <Latosha.Higgins@fssa.IN.gov>
Subject: Ethics Disclosure Statement

Dr. Sullivan,

I am a staff attorney for FSSA who advises the Office of Early Childhood and Out of School Learning (OECOSL) regarding the regulation of child care facilities. I had a baby on June 28, 2019 and have enrolled my child in day care at The Goddard School of Lawrence Township (Geist). There could be a potential conflict of interest if there is a legal issue that comes up in the regulation of Goddard's child care license. I have notified my supervisor and she will make sure that I do not have any involvement in any matters involving Goddard, as described in the attached Disclosure Statement. I have also been in contact with Latosha Higgins, who is the Ethics Officer for our office. She has reviewed my Disclosure Statement and informed me that the next step is to send you a copy of the disclosure statement to review before I submit it to the Indiana Office of the Inspector General. Please let me know if you have any questions or need anything else.

Thank you for your time,

Autumn A. James

Staff Attorney
Office of General Counsel
Indiana Family and Social Services Administration
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