

JAN 22 2021

FILED



ETHICS DISCLOSURE STATEMENT
CONFLICTS OF INTEREST – DECISIONS AND VOTING
 State Form 55860 (R / 10-15)
 OFFICE OF THE INSPECTOR GENERAL
 IC 4-2-6-9

In accordance with IC 4-2-6-9, you must file your disclosure with the State Ethics Commission no later than seven (7) days after the conduct that gives rise to the conflict. You must also include a copy of the notification provided to your agency appointing authority and ethics officer when filing this disclosure. This disclosure will be posted on the Inspector General's website.

Name (last) Henke	Name (first) Mark	Name (middle)
Name of office or agency Indiana Department of Transportation- Vincennes		Job title Construction Engineer 3
Address of office (number and street) 3650 Old US Hwy 41		City Vincennes
		ZIP code 47591
Office telephone number (812) 681-0993	Office e-mail address (required) mhenke@indot.in.gov	

Describe the conflict of interest:
 Mark Henke is a Construction Engineer for the Indiana Department of Transportation (INDOT)- Vincennes District.
 Mark Henke has entered employment negotiations with Michael Baker International ("Michael Baker"). Michael Baker has a business relationship with INDOT, including active contracts.
 Mark Henke's employment negotiations with Michael Baker created a potential decisions and voting conflict of interest under IC 4-2-6-9. This disclosure is therefore being filed in anticipation of that potential conflict.
 Mark Henke is not subject to the mandatory cooling off period under IC 4-2-6-11(b) with respect to Michael Baker.
 Mark Henke is subject to the particular matter restriction and will not assist any future employer with a matter he personally and substantially participated in on behalf of INDOT.
 This disclosure was provided to Mr. Henke's appointing authority, Commissioner Joe McGuinness, on January 19, 2021.
 Evidence of said disclosure to Commissioner McGuinness is filed herewith pursuant to IC 4-2-6-9(b)(2)(D).
 Pursuant to IC 4-2-6-9(b)(2), this disclosure was executed and filed with the SEC within seven days (7) of the potential conflict being identified by the below signed ethics officer.

Describe the screen established by your ethics officer: *(Attach additional pages as needed.)*
 A formal screen was executed with INDOT's ethics officer preventing Mark Henke from doing work with Michael Baker in his capacity as an INDOT employee. Said screen prohibits Mr. Henke from under taking any of his official duties or otherwise participating in any decision or vote, or matter related to such decision or vote, involving Michael Baker, or in which Michael Baker has an interest. Mark Henke is further screened from assisting any future employer, including Michael Baker, with a matter in which he personally and substantially participated in while employed by INDOT.
 The screen was executed on January 19, 2021, a copy of which was sent to Commissioner Joe McGuinness along with this disclosure.

AFFIRMATION

Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer.

Signature of state officer, employee or special state appointee <i>Mark Henke</i>	Date signed (month, day, year) January 19, 2021
Printed full name of state officer, employee or special state appointee Mark Henke	

FOR ETHICS OFFICER USE ONLY

Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above.

Signature of ethics officer <i>Christopher B. Serak</i>	Date signed (month, day, year) January 19, 2021
Printed full name of ethics officer Christopher B. Serak	

CONFLICT OF INTEREST SCREEN FOR MARK HENKE

January 19, 2021

I. RECITALS

WHEREAS, Mark Henke is a Construction Engineer for the Indiana Department of Transportation (“INDOT” or “Department”); and

WHEREAS, Mark Henke has accepted a position with Michael Baker International (“Michael Baker”); and

WHEREAS, Michael Baker has a business relationship with INDOT, including ongoing contracts with the Department; and

WHEREAS, as a Construction Engineer for INDOT, it is possible that Mark Henke could be assigned to work with Michael Baker or to participate in matters involving the company as part of his regular duties; and

WHEREAS, Mark Henke’s employment negotiations with Michael Baker created a potential decisions and voting conflict of interest under IC 4-2-6-9 requiring disclosure and a formal screen; and

WHEREAS, Mark Henke is subject to the particular matter restriction under IC 4-2-6-11(a) and cannot assist future employers, including Michael Baker, with any matter he personally and substantially participated in while employed by INDOT.

NOW THEREFORE, Mark Henke, a Construction Engineer for INDOT, for his remaining tenure with the Department, or until he discontinues employment negotiations with Michael Baker International, agrees to in all ways follow, adhere to, and satisfy the terms of the following Conflict of Interest Screen. Mark Henke must adhere to term three (3) regarding the particular matter restriction in perpetuity, for the life of each particular matter.

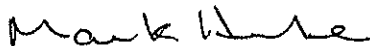
II. CONFLICT OF INTEREST SCREEN

1. Mark Henke shall not participate in any decision or vote, or any matter related to such decision or vote, in which Michael Baker has a financial interest.
2. Mark Henke should be screened from participating in any present or future contract or other matter involving Michael Baker.
3. Mark Henke shall not assist any future employers, including Michael Baker, with any matter he personally and substantially participated in while employed by INDOT. This restriction applies in perpetuity, for the life of the particular matter.

III. EMPLOYEE AFFIRMATION

I have read and understand the terms of the foregoing Conflict of Interest Screen, and will in all ways follow, adhere to, and satisfy the above stated restrictions on my participation in any decision or vote in which Michael Baker International has a financial interest. I understand that the first two (2) terms of this screen terminate upon my leaving the Department or the discontinuation of negotiations with Michael Baker International, whichever occurs first. I further understand that term three (3) concerning the particular matter restrict applies in perpetuity, for the life of the matter. I have shared and discussed this Conflict of Interest Screen and its requirements with my supervisor.

Executed and agreed this 19th day of January 2021, by:



Mark Henke, Construction Engineer 3
Indiana Department of Transportation

From: [Baker, Nathaniel P](#)
To: [Baker, Nathaniel P](#)
Subject: RE: Executed Ethics Disclosure & Screen (Mark Henke)
Date: Monday, January 25, 2021 8:06:04 AM
Attachments: [image001.png](#)

From: Serak, Chris (INDOT)
Sent: Friday, January 22, 2021 1:50 PM
To: McGuinness, Joe <JMcGuinness@indot.IN.gov>
Cc: Kennedy, Heather <HKennedy@indot.IN.gov>; Henke, Mark <mhenke@indot.in.gov>
Subject: Executed Ethics Disclosure & Screen (Mark Henke)

Commissioner McGuinness-

Pursuant to the notice requirements of Ind. Code 4-2-6-9(b), please find the attached conflict disclosure and internal screen executed by employee Mark Henke. The disclosure will be filed with the Indiana Ethics Commission in satisfaction of the same.

Please let me know if you have any questions.

Thank you.

Chris

Christopher B. Serak,
Prequalification Director
Ethics Officer
Prequalification Committee (Chairman)
Selection Review Committee (Member)
Indiana Department of Transportation
Office: (317) 234-2115
Cell: (317) 440-9868
Email: chserak@indot.in.gov
Location: *IGCN, 100 N Senate, N758-PQ*

